

In accordance with
regulations 5 & 6 of the
Scottish Partnerships
(Register of People with
Significant Control)
Regulations 2017

SQP1

Registration of a Scottish qualifying partnership



Companies House

A fee is payable with this form.
Please see 'How to pay' on the last page.

☒ **What this form is for**
You may use this form to register a
Scottish partnership (which is not
a limited partnership or LLP) which
has no natural person partners.

☒ **What this form is NOT for**
You cannot use this form to register
a Scottish limited partnership. Use
LP5(s) or LP7(s) as appropriate.



SCT

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22/08/2017

#114

COMPANIES HOUSE

www.gov.uk/companieshouse

Don't use this form if any individual PSC is applying or has applied for protection
from having their details disclosed on the public register.
Contact secureforms@companieshouse.gov.uk to get the correct form.

Part 1 Partnership details

A1	Partnership details	Filling in this form Please complete in typescript or in bold black capitals. All fields are mandatory unless specified or indicated by *
	Please show the partnership name below.	
Partnership name in full	Falcon SP	
For official use	516101014411	

A2	Service address *	Please give an address where documents can be delivered
Building name/number	Gti Building, 2nd Floor	
Street	P.O. Box N-1576 14 University Drive (formerly 110 Thompson Boulevard)	
Post town	Nassau	
County/Region	The Bahamas	
Postcode		

COMPANIES HOUSE
22 AUG 2017
EDINBURGH FRONT DESK

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Part 2 Partner Details

Use this Part to list all the partners of the partnership.

Please use the partner continuation pages if necessary.

B1

Name of corporate body or firm	Cititrust (Switzerland) Limited
Where the company/ firm is registered	If applicable, please give details of the register in which the partner is entered and the registration number in that register. ● Switzerland
Registration number	CHE-105.991.492 - Handelsregister des Kanton Zurich

● The register maintained under section 1080(1) of the Companies Act 2006 elsewhere in the United Kingdom, and; any comparable register maintained in a country or territory outside the United Kingdom.

B1

Name of corporate body or firm	Cititrust Private Trust Zurich GmbH
Where the company/ firm is registered	If applicable, please give details of the register in which the partner is entered and the registration number in that register. ● Switzerland
Registration number	CHE-104.473.639 - Handelsregister des Kanton Zurich

● The register maintained under section 1080(1) of the Companies Act 2006 elsewhere in the United Kingdom, and; any comparable register maintained in a country or territory outside the United Kingdom.

B1

Name of corporate body or firm	
Where the company/ firm is registered	If applicable, please give details of the register in which the partner is entered and the registration number in that register. ●
Registration number	

● The register maintained under section 1080(1) of the Companies Act 2006 elsewhere in the United Kingdom, and; any comparable register maintained in a country or territory outside the United Kingdom.

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Part 3

People with significant control (PSC)

Use this Part to tell us about people with significant control or registrable relevant legal entities or any applicable statements in respect of the partnership. Do not use this Part to tell us about any individual people with significant control whose particulars must not be disclosed on the public record. You must use the correct form, which you can get by contacting us: secureforms@companieshouse.gov.uk

If on registration there will be no registrable person or RLE, tick the box in C1.

C1

Statement of no PSC

(Please tick if applicable)

- ☐ The partnership knows or has reason to believe that there is no person with significant control (either a registrable person or RLE) in relation to the partnership.

C2

Additional statements

(Please tick any applicable statements)

- ☒ The partnership has not yet completed taking reasonable steps to find out if there is anyone who is a registrable person or registrable relevant legal entity in relation to the partnership.
- ☐ The partnership knows or has reasonable cause to believe that there is a registrable person in relation to the partnership, but it has not identified the registrable person.
- ☐ The partnership has identified a registrable person in relation to the partnership but all the required particulars of that person have not been confirmed.

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Individual PSC

Use sections D1-D7 as appropriate to tell us about an individual with significant control who is a registrable person and the nature of their control in relation to the partnership. Please use a PSC continuation page if necessary.

D1

Individual's details

	Date that individual became a registrable person (this cannot be before 26 June 2017)							
Date	d	d	m	m	y	y	y	y
Title*								
Full forename(s)								
Surname								
Country/State of residence ①								
Nationality								
Month/year of birth ②	X	X	m	m	y	y	y	y

① Country/State of residence
This is in respect of the usual residential address as stated in section D4.

② Month and year of birth
Please provide month and year only.
You must provide the full date of birth in section D3

D2

Individual's service address ①

	Please complete the individual's service address below. You must also complete the individual's usual residential address in Section D4.							
Building name/number								
Street								
Post town								
County/Region								
Postcode								
Country								

① Service address
This is the address that will appear on the public record. This does not have to be the individual's usual residential address.

If you provide the individual's residential address here it will appear on the public record.

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D5

Nature of control for an individual *

Please indicate how the individual is a person with significant control over the partnership.

Share of assets

The individual holds, directly or indirectly, the right to the following percentage of the surplus assets of the partnership on a winding up (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

Ownership of voting rights

The individual holds, directly or indirectly, the following percentage of any voting rights in the partnership (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

Ownership of right to appoint/remove management

- ☐ The individual holds, directly or indirectly, the right to appoint or remove a majority of the persons entitled to take part in the management of the partnership.

Significant influence or control (only tick if the above does not apply)

- ☐ The individual has the right to exercise, or actually exercises, significant influence or control over the partnership.

• Tick only one

Only tick the 4th statement (Significant influence or control) if none of the previous statements apply.

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D6

Nature of control by a firm over which the individual has significant control

The individual has the right to exercise or actually exercises significant influence or control over the activities of a firm that is not a legal person under its governing law, and:

the members of that firm (in their capacity as such) hold directly or indirectly, the right to the following percentage of any surplus assets on a winding up of the partnership (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

the members of that firm (in their capacity as such) hold, directly or indirectly, the following percentage of any voting rights in the partnership (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

☐ the members of that firm (in their capacity as such) hold the right, directly or indirectly, to appoint or remove a majority of the persons entitled to take part in the management of the partnership.

☐ the members of that firm (in their capacity as such) have the right to exercise, or actually exercise, significant influence or control over the partnership.

• Tick each that apply

D7

Nature of control by a trust over which the individual has significant control

The individual has the right to exercise or actually exercises significant influence or control over the activities of a trust and:

the trustees of that trust (in their capacity as such) hold directly or indirectly, the right to the following percentage of any surplus assets on a winding up of the partnership (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

the trustees of that trust (in their capacity as such) hold, directly or indirectly, the following percentage of any voting rights in the partnership (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

☐ the trustees of that trust (in their capacity as such) hold the right, directly or indirectly, to appoint or remove a majority of the persons entitled to take part in the management of the partnership.

☐ the trustees of that trust (in their capacity as such) have the right to exercise, or actually exercise, significant influence or control over the partnership.

• Tick each that apply

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Relevant legal entity (RLE)

Use sections E1-E5 as appropriate to tell us about a registrable RLE and the nature of its control in relation to the partnership. Please use the RLE continuation pages if necessary.

E1

RLE details

Date the RLE became registrable
(this cannot be before 26 June 2017)

Date

d d m m y y y y

Corporate or firm
name

Building name/number

Street

Post town

County/Region

Postcode

Country

E2

Legal form and governing law

Please give details of the legal form of the RLE and the law by which it is governed.
If applicable, please also give details of the register in which it is entered (including
the country/state) and its registration number in that register.

Legal form

Governing law

If applicable, register
in which RLE is
entered *

Country/State *

Registration number *

* Registration number

Where you have provided details
of the register (including country/
state) where the RLE is registered,
you must also provide its number in
that register.

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E3

Nature of control for the RLE •

Please indicate how the RLE has significant control over the partnership

Share of assets

The RLE holds, directly or indirectly, the right to the following percentage of the surplus assets of the partnership on a winding up (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

Ownership of voting rights

The RLE holds, directly or indirectly, the following percentage of any voting rights in the partnership (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

Ownership of right to appoint/remove partners

- ☐ The RLE holds, directly or indirectly, the right to appoint or remove a majority of the persons entitled to take part in the management of the partnership.

Significant influence or control (only tick if none of the above apply)

- ☐ The RLE has the right to exercise, or actually exercises, significant influence or control over the partnership.

• Tick each that apply.

Only tick the 4th statement (Significant influence or control) if none of the previous statements apply.

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Registration of a Scottish qualifying partnership

E4

Nature of control by a firm over which the RLE has significant control*

The RLE has the right to exercise or actually exercises significant influence or control over the activities of a firm that is not a legal person under its governing law, and:

the members of that firm (in their capacity as such) hold, directly or indirectly, the right to the following percentage of any surplus assets on a winding up of the partnership (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

the members of that firm (in their capacity as such) hold, directly or indirectly, the following percentage of any voting rights in the partnership (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

☐ the members of that firm (in their capacity as such) hold the right, directly or indirectly, to appoint or remove a majority of the persons entitled to take part in the management of the partnership

☐ the members of that firm (in their capacity as such) have the right to exercise, or actually exercise, significant influence or control over the partnership.

*Tick each that apply.

SQP1

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E5

Nature of control by a trust over which the RLE has significant control •

The RLE has the right to exercise or actually exercises significant influence or control over the activities of a trust and:

the trustees of that trust (in their capacity as such) hold, directly or indirectly, the right to the following percentage of any surplus assets on a winding up of the partnership (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

the trustees of that trust (in their capacity as such) hold, directly or indirectly, the following percentage of any voting rights in the partnership (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more
- ☐ the trustees of that trust (in their capacity as such) hold the right, directly or indirectly, to appoint or remove a majority of the persons entitled to take part in the management of the partnership
- ☐ the trustees of that trust (in their capacity as such) have the right to exercise, or actually exercise, significant influence or control over the partnership.

• Tick each that apply.

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Other registrable person (ORP)

Use sections F1-F6 as appropriate to tell us about an ORP and the nature of its control in relation to the partnership. Please use the ORP continuation pages if necessary.

F1

ORP details

An 'other registrable person' is:

- a corporation sole
- a government or government department of a country or territory or a part of a country or territory
- an international organisation whose members include two or more countries or territories (or their governments)
- a local authority or local government body in the UK or elsewhere

Date the ORP became registrable
(this cannot be before 26 June 2017)

Date

dd	mm	yy	yy
----	----	----	----

Name of ORP

F2

Principal office address ●

Building name/number

Street

Post town

County/Region

Postcode

Country

● Principal office address

This is the address that will appear on the public record.

F3

Legal form and governing law

Legal form

Governing law

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F4

Nature of control for the ORP*

Please indicate how the ORP has significant control over the partnership

Share of assets

The ORP holds directly or indirectly, the right to the following percentage of the surplus assets of the partnership on a winding up (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

Ownership of voting rights

The ORP holds directly or indirectly, the following percentage of any voting rights in the partnership (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

Ownership of right to appoint/remove partners

- ☐ The ORP holds, directly or indirectly, the right to appoint or remove a majority of the persons entitled to take part in the management of the partnership.

Significant influence or control (only tick if none of the above apply)

- ☐ The ORP has the right to exercise, or actually exercises, significant influence or control over the partnership.

*Tick each that apply.

Only tick the 4th statement (Significant influence or control) if none of the previous statements apply.

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F5

Nature of control by a firm over which the ORP has significant control*

The ORP has the right to exercise or actually exercises significant influence or control over the activities of a firm that is not a legal person under its governing law, and:

the members of that firm (in their capacity as such) hold directly or indirectly, the right to the following percentage of any surplus assets on a winding up of the partnership (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

the members of that firm (in their capacity as such) hold directly or indirectly, the following percentage of any voting rights in the partnership (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

- ☐ the members of that firm (in their capacity as such) hold the right, directly or indirectly, to appoint or remove a majority of the persons entitled to take part in the management of the partnership

- ☐ the members of that firm (in their capacity as such) have the right to exercise, or actually exercise, significant influence or control over the partnership.

*Tick each that apply.

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F6

Nature of control by a trust over which the ORP has significant control •

The ORP has the right to exercise or actually exercises significant influence or control over the activities of a trust and:

the trustees of that trust (in their capacity as such) hold directly or indirectly, the right to the following percentage of any surplus assets on a winding up of the partnership

(tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

the trustees of that trust (in their capacity as such) hold directly or indirectly, the following percentage of any voting rights in the partnership (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

☐ the trustees of that trust (in their capacity as such) hold the right, directly or indirectly, to appoint or remove a majority of the persons entitled to take part in the management of the partnership

☐ the trustees of that trust (in their capacity as such) have the right to exercise, or actually exercise, significant influence or control over the partnership.

• Tick each that apply.

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Part 4 Statement about individual PSC particulars

G1

Particulars of an individual PSC •

Please tick the box to confirm.

☐ The partners confirm that each person named in this application as an individual PSC knows that their particulars are being supplied as part of this application.

• Only tick this if you have completed details of one or more individual PSCs in sections D1-D7.

Part 5 Statement & signature

H1

Statement & signature

I confirm that this partnership is a general partnership which is a qualifying partnership and is constituted under the law of Scotland

Partner's signature

Signature:

For and on behalf of Cititrust (Switzerland) Limited and Cititrust Private Trust Zurich GmbH

Cititrust (Bahamas) Limited
as Agent of Cititrust
Private Trust Zurich GmbH
as Partner of:

FALCON SP

Cititrust (Bahamas) Limited
as Agent of Cititrust
(Switzerland) Limited
as Partner of:

FALCON SP