

In accordance with  
Section 854 of the  
Companies Act 2006.

# AR01

## Annual Return



A fee is payable with this form  
Please see 'How to pay' on the  
last page.

You can use the WebFiling service to file this form online.  
Please go to [www.companieshouse.gov.uk](http://www.companieshouse.gov.uk)

☒ What this form is for  
You may use this form to confirm  
that the company information is  
correct as at the date of this return.  
You must file an Annual Return at  
least once every year.

☒ What this form is NOT for  
You cannot use this form to  
give notice of changes to the  
company officers, registered office or  
company type or information  
relating to the company re



SCT 17/08/2012 #376  
COMPANIES HOUSE

### Part 1 Company details

The section must be completed by all companies.

→ Filling in this form  
Please complete in typescript or in  
bold black capitals.  
All fields are mandatory unless  
specified or indicated by \*

#### A1 Company details

Company number S C 0 0 1 8 3 8  
Company name in full The Edinburgh Investment Trust plc

Ⓢ Company name change  
If your company has recently  
changed its name, please provide  
the company name as at the date of  
this return.

#### A2 Return date

Please give the annual return made up date. The return date must not be a future  
date. The annual return must be delivered within 28 days of the date given below.

Date of this return 1 8 0 9 2 0 4 2

Ⓢ Date of this return  
Your company's return date  
is usually the anniversary of  
incorporation or the anniversary  
of the last annual return filed at  
Companies House. You may choose  
an earlier return date but it must not  
be a later date.

#### A3 Principal business activity

Please show the trade classification code number(s) for the principal  
activity or activities. Ⓢ

Classification code 1 6 4 9 9 9  
Classification code 2  
Classification code 3  
Classification code 4

If you cannot determine a code, please give a brief description of your  
business activity below:

Principal activity  
description

Ⓢ Principal business activity  
You must provide a trade  
classification code (SIC code) or a  
description of your company's main  
business in this section.

A full list of the trade classification  
codes are available on our website:  
[www.companieshouse.gov.uk](http://www.companieshouse.gov.uk)

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(For returns made up to a date on or after 1 October 2011)

**A4****Company type<sup>ⓐ</sup>**

Please confirm your company type by ticking the appropriate box below (only one box must be ticked):

- ☒ Public limited company  
☐ Private company limited by shares  
☐ Private company limited by guarantee  
☐ Private company limited by shares exempt under section 60  
☐ Private company limited by guarantee exempt under section 60  
☐ Private unlimited company with share capital  
☐ Private unlimited company without share capital

**ⓐ Company type**

If you are unsure of your company type, please check your latest certificate of incorporation or our website:  
[www.companieshouse.gov.uk](http://www.companieshouse.gov.uk)

**A5****Registered office address<sup>ⓑ</sup>**

Building name/number	Quatermle One
Street	15 Lauriston Place
Post town	Edinburgh
County/Region	
Postcode	E H 2 4 E P

**ⓑ Change of registered office**

This must agree with the address that is held on the Companies House record at the date of this return.

If the registered office address has changed, you should complete form AD01 and submit it together with this annual return.

**A6****Single alternative inspection location (SAIL) of the company records (if applicable)<sup>ⓒ</sup>**

Building name/number	Capita Registrars
Street	Erskine House
	4th Floor, 88-73 Queen Street
Post town	Edinburgh
County/Region	
Postcode	E H 3 4 N R

**ⓒ SAIL address**

This must agree with the address that is held on the Companies House record at the date of this return.

If the address has changed, you should complete form AD02 and submit it together with this annual return.

**A7****Location of company records<sup>ⓓ</sup>**

Please tick the appropriate box to indicate which records are kept at the SAIL address in Section A6:

- ☒ Register of members.  
☐ Register of directors.  
☐ Directors' service contracts.  
☐ Directors' indemnities.  
☐ Register of secretaries.  
☐ Records of resolutions etc.  
☐ Contracts relating to purchase of own shares.  
☐ Documents relating to redemption or purchase of own share out of capital by private company.  
☐ Register of debenture holders.  
☐ Report to members of outcome of investigation by public company into interests in its shares.  
☐ Register of interests in shares disclosed to public company.  
☐ Instruments creating charges and register of charges: England and Wales or Northern Ireland.  
☐ Instruments creating charges and register of charges: Scotland.

**ⓓ Location of company records**

If the company records are held at the registered office address, do not tick any of the boxes in this section.

Certain records must be kept by every company while other records are only kept by certain company types where appropriate.

If the records are not kept at the SAIL address, they must be available at the registered office.

If any of the company records have moved from the registered office to the address in Section A6 since the last annual return, you must complete form AD03 and submit it together with this annual return.

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(For returns made up to a date on or after 1 October 2011)

**Corporate secretary**

<b>C1</b>	<b>Corporate secretary's details</b>	<b>Corporate secretary appointments</b> You cannot use this form to appoint a corporate secretary. To do this, please complete form APO4 and submit it together with this annual return.  <b>Corporate secretary details</b> All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH04.  This information will appear on the public record.
Please use this section to list all the corporate secretaries of the company.		
Corporate body/firm name	Invesco Asset Management Limited	
Building name/number	30	
Street	Finsbury Square	
Post town	London	
County/Region		
Postcode	E C 2 A 1 A G	
Country		
<b>C2</b>	<b>Location of the registry of the corporate body or firm</b>	
Is the corporate secretary registered within the European Economic Area (EEA)? → Yes Complete Section C3 only → No Complete Section C4 only		
<b>C3</b>	<b>EEA companies</b>	<b>EEA</b> A full list of countries of the EEA can be found in our guidance: <a href="http://www.companieshouse.gov.uk">www.companieshouse.gov.uk</a>  This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC).
Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register.		
Where the company/firm is registered	United Kingdom	
Registration number	949417	
<b>C4</b>	<b>Non-EEA companies</b>	<b>Non-EEA</b> Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register.
Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register.		
Legal form of the corporate body or firm		
Governing law		
If applicable, where the company/firm is registered		
If applicable, the registration number		

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(For returns made up to a date on or after 1 October 2011)

**Director****D1****Director's details <sup>Ⓢ</sup>**

	Please use this section to list all the directors of the company. For a corporate director, complete Section E1-E4.	
Title*	Mr	
Full forename(s)	William	
Surname	Gordon	
Former name(s) <sup>Ⓢ</sup>	McQueen	
Country/State of residence	United Kingdom	
Nationality	British	
Date of birth	1 9 1 2 1 9 4 6	
Business occupation (if any)	Company Director	

**Ⓢ Director appointments**  
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

**Corporate details**  
Please use Section E1-E4 to enter corporate director details.

**Director details**  
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CHD1.

**Ⓢ Former name(s)**  
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

**D2****Director's service address <sup>Ⓢ</sup>**

Building name/number	The Company's Registered Office	
Street		
Post town	✓	
County/Region		
Postcode		
Country		

**Ⓢ Service address**  
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

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Director

D1 Director's details <sup>⓪</sup>	
Please use this section to list all the directors of the company. For a corporate director, complete Section E1-E4.	
Title*	Mr
Full forename(s)	James Neilson
Surname	Pettigrew
Former name(s) <sup>⓪</sup>	
Country/State of residence	United Kingdom
Nationality	British
Date of birth	2 8 0 7 1 9 5 8
Business occupation (if any)	Group Finance Director

<sup>⓪</sup> Director appointments  
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

Corporate details  
Please use Section E1-E4 to enter corporate director details.

Director details  
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

<sup>⓪</sup> Former name(s)  
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

D2 Director's service address <sup>⓪</sup>	
Building name/number	6 Park Avenue
Street	
Post town	Harpenden
County/Region	Hertfordshire
Postcode	A L 5 2 E A
Country	United Kingdom

<sup>⓪</sup> Service address  
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

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**Director**

**D1**

**Director's details <sup>ⓐ</sup>**

Please use this section to list all the directors of the company.  
For a corporate director, complete Section E1-E4.

Title*	Mrs
Full forename(s)	Nicola Thomas
Surname	Ralston
Former name(s) <sup>ⓑ</sup>	
Country/State of residence	United Kingdom
Nationality	British
Date of birth	2 0 9 9 7 0 5 5
Business occupation (if any)	Fund Manager

**ⓐ Director appointments**  
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

**Corporate details**  
Please use Section E1-E4 to enter corporate director details.

**Director details**  
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

**ⓑ Former name(s)**  
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

**D2**

**Director's service address <sup>ⓐ</sup>**

Building name/number	Canonbury House
Street	Canonbury Place
Post town	London
County/Region	
Postcode	N 1 2 N Q
Country	United Kingdom

**ⓐ Service address**  
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

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Director

<b>D1</b>	<b>Director's details <sup>Ⓢ</sup></b>	
	Please use this section to list all the directors of the company. For a corporate director, complete Section E1-E4.	
Title*	Mr	
Full forename(s)	William Meredith	
Surname	Samuel	
Former name(s) <sup>Ⓢ</sup>		
Country/State of residence	United Kingdom	
Nationality	British	
Date of birth	2 0 0 2 4 9 5 2	
Business occupation (if any)	Director	

**Ⓢ Director appointments**  
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

**Corporate details**  
Please use Section E1-E4 to enter corporate director details.

**Director details**  
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

**Ⓢ Former name(s)**  
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

<b>D2</b>	<b>Director's service address <sup>Ⓢ</sup></b>	
Building name/number	23	
Street	Cross Street	
Post town	London	
County/Region		
Postcode	N 1 2 B H	
Country	United Kingdom	

**Ⓢ Service address**  
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

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## Annual Return

(For returns made up to a date on or after 1 October 2011)

## Director

D1

Director's details <sup>Ⓢ</sup>

	Please use this section to list all the directors of the company. For a corporate director, complete Section E1-E4.	
Title*	Mr	
Full forename(s)	Maxwell Colin Bernard	
Surname	Ward	
Former name(s) <sup>Ⓢ</sup>		
Country/State of residence	Great Britain	
Nationality	British	
Date of birth	<div>2</div> <div>2</div> <div>0</div> <div>8</div> <div>1</div> <div>9</div> <div>4</div> <div>9</div>	
Business occupation (if any)	Investment Manager	

<sup>Ⓢ</sup> Director appointments  
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

Corporate details  
Please use Section E1-E4 to enter corporate director details.

Director details  
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

<sup>Ⓢ</sup> Former name(s)  
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

D2

Director's service address <sup>Ⓢ</sup>

Building name/number	Quaternile One	
Street	15 Lauriston Place	
Post town	Edinburgh	
County/Region		
Postcode	<div>E</div> <div>H</div> <div>3</div> <div></div> <div>9</div> <div>E</div> <div>P</div> <div></div>	
Country	United Kingdom	

<sup>Ⓢ</sup> Service address  
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.



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**Director**

<b>D1</b>	<b>Director's details <sup>Ⓢ</sup></b>	<b>Ⓢ Director appointments</b> You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.  <b>Corporate details</b> Please use Section E1-E4 to enter corporate director details.  <b>Director details</b> All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CHD1.  <b>Ⓢ Former name(s)</b> Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.
	Please use this section to list all the directors of the company. For a corporate director, complete Section E1-E4.	
Title*	Sir	
Full forename(s)	Nigel Leonard	
Surname	Wicks	
Former name(s) <sup>Ⓢ</sup>		
Country/State of residence	United Kingdom	
Nationality	British	
Date of birth	'1' '6' '0' '8' '1' '9' '4' '0'	
Business occupation (if any)	Company Director	

<b>D2</b>	<b>Director's service address <sup>Ⓢ</sup></b>	<b>Ⓢ Service address</b> If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.  This information will appear on the public record.
Building name/number	Steeple Ashton	
Street	Lime Grove	
	West Clandon	
Post town	Guilford	
County/Region	Surrey	
Postcode	G U 4 7 U T	
Country	United Kingdom	

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**Part 3 Statement of capital<sup>o</sup>**

Does your company have share capital?

- Yes Complete the sections below and the following Part 4.  
→ No Go to Part 5 (Signature).

<sup>o</sup> This should reflect the company's capital status at the made up date of this annual return.

**F1 Share capital in pound sterling (£)**

Please complete the table below to show each class of shares held in pound sterling.  
If all your issued capital is in sterling, only complete Section F1 and then go to Section F4.

Class of shares (E.g. Ordinary/Preference etc.)	Amount paid up on each share <sup>o</sup>	Amount (if any) unpaid on each share <sup>o</sup>	Number of shares <sup>o</sup>	Aggregate nominal value <sup>o</sup>
Ordinary	0.2840p	0p	195,116,734	£ 48,779,183.50
				£
				£
				£
<b>Totals</b>			195,116,734	£ 48,779,183.50

**F2 Share capital in other currencies**

Please complete the table below to show any class of shares held in other currencies.  
Please complete a separate table for each currency.

Currency				
Class of shares (E.g. Ordinary/Preference etc.)	Amount paid up on each share <sup>o</sup>	Amount (if any) unpaid on each share <sup>o</sup>	Number of shares <sup>o</sup>	Aggregate nominal value <sup>o</sup>
<b>Totals</b>				

Currency				
Class of shares (E.g. Ordinary/Preference etc.)	Amount paid up on each share <sup>o</sup>	Amount (if any) unpaid on each share <sup>o</sup>	Number of shares <sup>o</sup>	Aggregate nominal value <sup>o</sup>
<b>Totals</b>				

**F3 Totals**

Please give the total number of shares and total aggregate nominal value of issued share capital.

Total number of shares 195,116,734  
Total aggregate nominal value <sup>o</sup> £48,779,183.50

<sup>o</sup> Total aggregate nominal value  
Please list total aggregate values in  
different currencies separately. For  
example: £100 + €100 + \$10 etc.

- <sup>o</sup> Including both the nominal value and any share premium.  
<sup>o</sup> Total number of issued shares in this class.

- <sup>o</sup> Number of shares issued multiplied by nominal value of each share.

Continuation Pages  
Please use a Statement of Capital continuation page if necessary.

**AR01****Annual Return****F4****Statement of capital (Voting rights)**

Please give the prescribed particulars of rights attached to shares for each class of share shown in the statement of capital share tables in Sections F1 and F2.

Class of share	Ordinary 25p
Voting rights	<p>Any share in the Company may be issued with such rights or restrictions, whether in regard to dividend, voting, return of capital or otherwise as the Company may from time to time by ordinary resolution determine. At a general meeting of the Company every member has one vote on a show of hands and on a poll one vote for each share held.</p> <p>If a shareholder has been served a notice under section 793 of the Companies Act 2006 and has failed to supply the Company with the information requested within 14 days from that notice, then unless the Board determine, the member shall not, for so long as default continues be entitled to attend or vote at any general meeting or on any poll in respect of the default shares.</p>
Class of share	
Voting rights	
Class of share	
Voting rights	
Class of share	
Voting rights	

## AR01

### Annual Return

(For returns made up to a date on or after 1 October 2011)

## Part 4

## Shareholders

Does your company have share capital?

- Yes go to Section G1 'Companies with share capital'.
- No Go to Part 5 (Signature).

### G1

### Companies with share capital

#### Question 1

Were any of the company's shares admitted to trading on a market at any time during this return period? Please tick the appropriate box below: ①

- ☐ No go to Section G2 'Past and present shareholders'.
- ☒ Yes go to Question 2.

#### Question 2

Please only refer to Question 2 below if you have answered 'Yes' to Question 1. If you answered 'No', please go to Section G2 'Past and present shareholders'.

Did the company, throughout the return period, have any shares admitted to trading on a relevant market and was it, throughout the return period, an issuer to which DTRS applies? Please tick the appropriate box below: ②

- ☐ No go to Section G4 'Shareholders who hold at least 5% of any class of shares of the company as at the made up date of the return'.
- ☒ Yes go to Part 5 'Signature'

① A market is one established under the rules of a UK recognised investment exchange or any other regulated markets in or outside of the UK, or any other market outside of the UK. The current UK recognized investment exchanges and regulated markets can be found at: [www.fsa.gov.uk/register/exchanges.do](http://www.fsa.gov.uk/register/exchanges.do)

② DTRS refers to the Vote Holder and Issuer Notification Rules contained in Chapter 5 of the Disclosure and Transparency Rules source book issued by the Financial Services Authority. Notification is required when the percentage acquisition of a shareholder in the company has reached a certain threshold (starting at 3%).

### G2

### List of past and present shareholders ③

The company is required to provide a full list of past and present shareholders if one was not included with either of the last two returns. Please tick the appropriate box below:

- ☐ There were no shareholder changes in this period. Go to Part 5 (Signature).
- ☐ A full list of shareholders is enclosed.
- ☐ A list of shareholder changes is enclosed.

How is the list of shareholders enclosed. Please tick the appropriate box below:

- ☐ The list of shareholders is enclosed on paper. Go to Section G3. 'List of past and present shareholders'
- ☐ The list of shareholders is enclosed in another format. Go to Part 5 (Signature).

③ This section only applies to companies answering 'No' in Section G1.

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(For returns made up to a date on or after 1 October 2011)

**Part 5**

**Signature**

This must be completed by all companies.

I am signing this form on behalf of the company.

Signature

Signature

X

*Twice*

For Director or Secretary  
M.A. [illegible]  
Company Secretary

X

This form may be signed by:

Director<sup>1</sup>, Secretary, Person authorised<sup>2</sup>, Charity commission receiver and manager, CIC manager, Judicial factor.

**1 Societas Europaea**

If the form is being filed on behalf of a Societas Europaea (SE) please delete 'director' and insert details of which organ of the SE the person signing has membership.

**2 Person authorised**

Under either section 270 or 274 of the Companies Act 2006.

# AR01

## Annual Return

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### Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name	Kelly Nice
Company name	Invesco Asset Management Ltd
Address	30 Finsbury Square
Post town	London
Country/Region	
Postcode	E C 2 A 1 A G
Country	
Dx	
Telephone	0207 065 4647



### Checklist

We may return forms completed incorrectly or with information missing.

Please make sure you have remembered the following:

- ☐ That if the made up date of the return is any earlier than 1 October 2011, you must complete the appropriate form AR01.
- ☐ The company name and number match the information held on the public Register.
- ☐ You have completed your principal business activity.
- ☐ You have not used this form to make changes to the registered office address.
- ☐ You have not used this form to make changes to secretary and director details.
- ☐ You have fully completed the Statement of capital (if applicable).
- ☐ You have signed the form.
- ☐ You have enclosed the correct fee.



### Important information

Please note that all information on this form will appear on the public record.



### How to pay

A fee of £40 is payable to Companies House in respect of an Annual Return

Make cheques or postal orders payable to 'Companies House.'



### Where to send

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:

For companies registered in England and Wales:  
The Registrar of Companies, Companies House,  
Crown Way, Cardiff, Wales, CF14 3UZ.  
DX 33050 Cardiff.

For companies registered in Scotland:  
The Registrar of Companies, Companies House,  
Fourth floor, Edinburgh Quay 2,  
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF.  
DX ED235 Edinburgh 1  
or LP - 4 Edinburgh 2 (Legal Post).

For companies registered in Northern Ireland:  
The Registrar of Companies, Companies House,  
Second Floor, The Linenhall, 32-38 Linenhall Street,  
Belfast, Northern Ireland, BT2 8BG.  
DX 481 N.R. Belfast 1.



### Further information

For further information, please see the guidance notes on the website at [www.companieshouse.gov.uk](http://www.companieshouse.gov.uk) or email [enquiries@companieshouse.gov.uk](mailto:enquiries@companieshouse.gov.uk)

This form is available in an alternative format. Please visit the forms page on the website at [www.companieshouse.gov.uk](http://www.companieshouse.gov.uk)

## **Clarification**

A second filed AR01 was registered on 28/08/2012