

LL MG01

Particulars of a mortgage or charge created by a Limited Liability Partnership (LLP)



A fee is payable with this form.

We will not accept this form unless you send the correct fee.
Please see 'How to pay' on the last page.

☒ **What this form is for**
You may use this form to
register particulars of a
mortgage or charge created
by an LLP in England and
Wales or Northern Ireland.

☐ **What this form is for**
You cannot use this form to
register particulars of a
mortgage or charge created
by a Scottish LLP. To
use form LLMG01

TUESDAY



A53 19/01/2010 204
COMPANIES HOUSE

ase

r.uk

1

LLP details

LLP number

0 C 3 4 9 0 4 4

LLP name in full

AS Underwriting LLP

For official use

6

→ **Filling in this form**
Please complete in typescript
or in bold black capitals.
All fields are mandatory unless
specified or indicated by *

2

Date of creation of charge

Date of creation

0 1 0 1 2 0 1 0

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Description

Description

Please give a description of the instrument (if any) creating or
evidencing the charge, e.g. 'Trust Deed', 'Debenture', 'Mortgage', or
'Legal charge'.

Lloyd's Premium Trust Deed (General business) (the "Trust Deed")

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Amount secured

Amount secured

Please give us details of the amount secured by the mortgage or
charge.

Continuation page

Please use a continuation page if
you need to enter more details.

The losses, claims, returns of premiums, reinsurance premiums, expenses, obligations and
other "Permitted Trust Outgoings" set out in clause 3(a) of and paragraph 1 of schedule 3 to the
Trust Deed.

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Mortgagee(s) or person(s) entitled to the charge (if any)

Please give the name and address of the mortgagee(s) or person(s) entitled to the charge.

Name

See definition on continuation page for 'Short particulars of all the property mortgaged or charged'

Address

Post code

Name

Address

Post code

Continuation page

Please use a continuation page if you need to enter more details.

6

Short particulars of all the property mortgaged or charged

Please give the short particulars of the property mortgaged or charged.

Continuation page

Please use a continuation page if you need to enter more details.

Short particulars

All the present and future assets of the LLP comprised in the Trust Fund constituted under and pursuant to the Trust Deed full particulars of which assets are set out in clause 2 of and schedule 2 to the Trust Deed, including the following:

- (i) all premiums, reinsurance recoveries, rights of salvage and subrogation and other monies whatsoever in connection with the Company's Underwriting and all interest of the LLP therein
- (ii) all monies payable (whether or not in connection with the Underwriting) under any personal stop loss or estate protection plan policies for the time being belonging to the LLP (or held on its behalf) and any open year reinsurance contracts of (or held for) the LLP
- (iii) all rights of recovery (save to the extent excluded in the Trust Deed) and all litigation recoveries made against any person (except in respect of defamation) in relation to the LLP's affairs at Lloyd's
- (iv) all Auction Proceeds
- (v) all assets (being assets (i) comprised in any Lloyd's Deposit or Special Reserve Fund of the LLP or income of the same or (ii) subject to any other Premiums Trust Deed of the LLP expressed to have effect in respect of years of account at Lloyd's prior to the 1987 year of account or assets released from any of the trust funds mentioned in (i) or (ii)) which are vested in or under the control of any Members' Agent or Managing Agent (otherwise than as a trustee or under the terms of and subject to any other Premiums Trust Deed of the Company) or the Trustees or any of them as trustees of this Deed

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Particulars as to commission, allowance or discount (if any)

Please insert the amount or rate percent of any commission, allowance or discount paid or made either directly or indirectly by the company to any person on consideration of his:

- subscribing or agreeing to subscribe, whether absolutely or conditionally, or
- procuring or agreeing to procure subscriptions, whether absolute or conditional,

for any debentures included in this return. The rate of interest payable under the terms of the debentures should not be entered.

Commission
allowance or
discount

N/A

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Delivery of instrument

You must deliver the original instrument (if any) creating or evidencing the charge and these prescribed particulars to the Registrar of Companies within 21 days after the date of creation of the charge. If the property is situated and the charge was created outside the United Kingdom (UK), you must deliver the information to the Registrar within 21 days after the date on which the instrument could have been received in the UK in the normal course of post and assuming you had posted it promptly.

We will accept a verified copy of the instrument creating the charge where the property charged is situated and the charge was created outside the UK. The company or the person who has delivered the copy to the Registrar must verify it to be a correct copy and sign it. Where a body corporate gives the verification, an officer of that body must sign it. We will also accept a verified copy where property situated in another part of UK.

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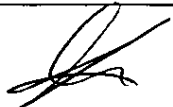
Signature

Please sign the form here.

Signature

Signature

X



X

This form must be signed by a person with an interest in the registration of the charge.

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Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form and will establish where we return the original documents. The contact information you give will be visible to searchers of the public record.

Contact name Stephanie Lockyer

Company name Corporation of Lloyd's

Address Fidentia House

Walter Burke Way

Post town Chatham

County/Region Kent

Postcode M E 4 4 R N

Country

DX

Telephone 01634 392877



Certificate

We will send your certificate to the presenter's address if given above or to the Company's Registered Office if you have left the presenter's information blank.



Checklist

We may return forms completed incorrectly or with information missing.

Please make sure you have remembered the following:

- ☐ The LLP name and number match the information held on the public Register.
- ☐ You have included the original deed with this form.
- ☐ You have entered the date the charge was created.
- ☐ You have supplied the description of the instrument.
- ☐ You have given details of the amount secured by the mortgagee or chargee.
- ☐ You have given details of the mortgagee(s) or person(s) entitled to the charge.
- ☐ You have entered the short particulars of all the property mortgaged or charged.
- ☐ You have signed the form.
- ☐ You have enclosed the correct fee.



Important information

Please note that all information on this form will appear on the public record.



How to pay

A fee of £13 is payable to Companies House in respect of each mortgage or charge.

Make cheques or postal orders payable to 'Companies House'.



Where to send

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:

For LLPs registered in England and Wales:

The Registrar of Companies, Companies House,
Crown Way, Cardiff, Wales, CF14 3UZ.
DX 33050 Cardiff.

For LLPs registered in Scotland:

The Registrar of Companies, Companies House,
Fourth floor, Edinburgh Quay 2,
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF.
DX ED235 Edinburgh 1
or LP - 4 Edinburgh 2 (Legal Post).

For LLPs registered in Northern Ireland:

The Registrar of Companies, Companies House,
First Floor, Waterfront Plaza, 8 Laganbank Road,
Belfast, Northern Ireland, BT1 3BS.
DX 481 N.R. Belfast 1.



Further information

For further information please see the guidance notes on the website at www.companieshouse.gov.uk or email enquiries@companieshouse.gov.uk

This form is available in an alternative format. Please visit the forms page on the website at www.companieshouse.gov.uk

LL MG01 – continuation page

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Amount secured

Please give us details of the amount secured by the mortgage or charge.

Amount secured

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Mortgagee(s) or person(s) entitled to the charge

Please give the name and address of the mortgagee(s) or person(s) entitled to the charge.

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LL MG01 – continuation page

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Short particulars of all the property mortgaged or charged

Please give the short particulars of the property mortgaged or charged.

Short particulars

- (vi) all funds, sub-funds and income earned in respect of any asset comprised in the Trust Fund
- (vii) all further assets at any time added to the Trust Fund during the Trust Period whether by or on behalf of the LLP or otherwise howsoever
- (viii) all assets now or for the time being representing the foregoing.

Definition of 'Mortgagee(s) or person(s) entitled to the charge'

The Society incorporated by Lloyd's Act 1871 by the name of Lloyd's ("Lloyd's") of One Lime Street, London EC3M 7HA, the Regulating Trustee, the Managing Agent's Trustees of any Managing Agent and other trustees appointed under or pursuant to the Trust Deed, in each case for themselves and as trustees for any person to whom a sum of money is or becomes payable in respect of a "Permitted Trust Ongoing" as defined in clause 3(a) of and paragraph 1 of schedule 3 to the Trust Deed.



CERTIFICATE OF THE REGISTRATION OF A MORTGAGE OR CHARGE

**Pursuant to section 869(5) & (6) of the Companies Act 2006 as
applied to the Limited Liability Partnerships (Application of
Companies Act 2006) Regulations 2009**

LLP NO. OC349044
CHARGE NO. 6

THE REGISTRAR OF COMPANIES FOR ENGLAND AND WALES
HEREBY CERTIFIES THAT A LLOYD'S PREMIUM TRUST DEED
(GENERAL BUSINESS) (THE TRUST DEED) DATED 1 JANUARY
2010 AND CREATED BY AS UNDERWRITING LLP FOR
SECURING ALL MONIES DUE OR TO BECOME DUE FROM THE
LIMITED LIABILITY PARTNERSHIP TO LLOYD'S, THE
REGULATING TRUSTEE AND CERTAIN OTHER PERSONS OR
BODIES (AS FURTHER DEFINED ON FORM LLMG01) UNDER
THE TERMS OF THE AFOREMENTIONED INSTRUMENT
CREATING OR EVIDENCING THE CHARGE WAS REGISTERED
PURSUANT TO CHAPTER 1 PART 25 OF THE COMPANIES ACT
2006 AS APPLIED TO THE LIMITED LIABILITY PARTNERSHIPS
(APPLICATION OF COMPANIES ACT 2006) REGULATIONS 2009
ON THE 19 JANUARY 2010

GIVEN AT COMPANIES HOUSE, CARDIFF THE 2 FEBRUARY
2010

Selo



Companies House
— for the record —



THE OFFICIAL SEAL OF THE
REGISTRAR OF COMPANIES