



Companies House

**AR01** (ef)

**Annual Return**



Received for filing in Electronic Format on the: 09/05/2016

X56N554Y

*Company Name:* UPSTREAM WORKING CAPITAL LTD

*Company Number:* NI607293

*Date of this return:* 05/05/2016

*SIC codes:* 64992

*Company Type:* Private company limited by shares

*Situation of Registered Office:* SUITE B GROUND FLOOR  
40 LINENHALL STREET  
BELFAST  
NORTHERN IRELAND  
BT2 8BA

**Officers of the company**

*Company Director* 1

Type: **Person**  
Full forename(s): **MR COLIN RICHARD**

Surname: **DUNDAS**

Former names:

*Service Address recorded as Company's registered office*

Country/State Usually Resident: **NORTHERN IRELAND**

Date of Birth: **\*\*/11/1956** Nationality: **BRITISH**

Occupation: **COMPANY DIRECTOR**

---

*Company Director* 2

Type: **Person**  
Full forename(s): **JUDITH MARGARET**

Surname: **TOTTEN**

Former names: **KERR**

*Service Address recorded as Company's registered office*

Country/State Usually Resident: **NORTHERN IRELAND**

Date of Birth: **\*\*/07/1966** Nationality: **BRITISH**

Occupation: **DIRECTOR**

## Statement of Capital (Share Capital)

---

<b>Class of shares</b>	<b>ORDINARY</b>	<i>Number allotted</i>	<b>63493</b>
		<i>Aggregate nominal value</i>	<b>63493</b>
<i>Currency</i>	<b>GBP</b>	<i>Amount paid per share</i>	<b>1</b>
		<i>Amount unpaid per share</i>	<b>0</b>

### *Prescribed particulars*

TO RANK PARI PASSU IN ALL RESPECTS CARRYING FULL VOTING RIGHTS, FULL ENTITLEMENT TO A DIVIDEND AND RIGHTS TO PARTICIPATE IN ANY CAPITAL DISTRIBUTION (INCLUDING WINDING UP). THEY DO NOT CONFER ANY RIGHTS OF REDEMPTION.

<b>Class of shares</b>	<b>PREFERENCE</b>	<i>Number allotted</i>	<b>400000</b>
		<i>Aggregate nominal value</i>	<b>400000</b>
<i>Currency</i>	<b>GBP</b>	<i>Amount paid per share</i>	<b>1</b>
		<i>Amount unpaid per share</i>	<b>0</b>

### *Prescribed particulars*

THE RIGHTS AS MORE PARTICULARLY SET OUT IN THE ARTICLES OF ASSOCIATION OF THE COMPANY INCLUDING: - NO VOTING RIGHTS; - TO BE REPAID IN PRIORITY TO ORDINARY SHARES ON A RETURN OF CAPITAL; - FIXED CUMULATIVE DIVIDEND OF 6%, PAID ON A QUARTERLY BASIS, WHICH IS TO BE PAID IN PRIORITY TO OTHER DIVIDENDS; - REDEEMABLE AFTER 3 YEARS BY EITHER THE COMPANY OR THE HOLDER OF THE SHARES OR ON A SHARE SALE OR SUBSTANTIAL ASSET SALE; AND - 3% ADDITIONAL DIVIDEND ON REDEMPTION.

---

## Statement of Capital (Totals)

---

<i>Currency</i>	<b>GBP</b>	<i>Total number of shares</i>	<b>463493</b>
		<i>Total aggregate nominal value</i>	<b>463493</b>

---

## *Full Details of Shareholders*

The details below relate to individuals / corporate bodies that were shareholders as at 05/05/2016 or that had ceased to be shareholders since the made up date of the previous Annual Return

*A full list of shareholders for the company are shown below*

<i>Shareholding 1</i>	<b>: 25000 ORDINARY shares held as at the date of this return</b>
<i>Name:</i>	<b>JUDITH TOTTEN</b>
<i>Shareholding 2</i>	<b>: 0 ORDINARY shares held as at the date of this return</b>
	<b>25000 shares transferred on 2015-06-04</b>
<i>Name:</i>	<b>KEYS PREMIUM FINANCE LIMITED</b>
<i>Shareholding 3</i>	<b>: 3175 ORDINARY shares held as at the date of this return</b>
<i>Name:</i>	<b>BRIAN SUMNER</b>
<i>Shareholding 4</i>	<b>: 3968 ORDINARY shares held as at the date of this return</b>
<i>Name:</i>	<b>PAUL LARMOR</b>
<i>Shareholding 5</i>	<b>: 3175 ORDINARY shares held as at the date of this return</b>
<i>Name:</i>	<b>KEN ROULSTON</b>
<i>Shareholding 6</i>	<b>: 3175 ORDINARY shares held as at the date of this return</b>
<i>Name:</i>	<b>PAUL CLARKE</b>
<i>Shareholding 7</i>	<b>: 25000 ORDINARY shares held as at the date of this return</b>
<i>Name:</i>	<b>EMIROBEL LTD</b>
<i>Shareholding 8</i>	<b>: 400000 PREFERENCE shares held as at the date of this return</b>
<i>Name:</i>	<b>JOHN CORR</b>

---

## *Authorisation*

*Authenticated*

*This form was authorised by one of the following:*

Director, Secretary, Person Authorised, Charity Commission Receiver and Manager, CIC Manager, Judicial Factor.