

**FILE COPY**



**CERTIFICATE OF INCORPORATION  
OF A  
PRIVATE LIMITED COMPANY**

Company Number **10964943**

The Registrar of Companies for England and Wales, hereby certifies that

**DBW MANAGERS LIMITED**

is this day incorporated under the Companies Act 2006 as a private company, that the company is limited by shares, and the situation of its registered office is in England and Wales

Given at Companies House, Cardiff, on **15th September 2017**



\* N10964943N \*



**Companies House**



**THE OFFICIAL SEAL OF THE  
REGISTRAR OF COMPANIES**



Companies House

**IN01**<sub>(ef)</sub>

**Application to register a company**



Received for filing in Electronic Format on the: **14/09/2017**

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*Company Name in full:* **DBW MANAGERS LIMITED**

*Company Type:* **Private company limited by shares**

*Situation of Registered Office:* **England and Wales**

*Proposed Registered Office Address:* **1 CAPITAL QUARTER  
TYNDALL STREET  
CARDIFF  
UNITED KINGDOM CF10 4BZ**

*Sic Codes:* **64205**

*I wish to entirely adopt the following model articles:*

**Private (Ltd by Shares)**

## *Proposed Officers*

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### *Company Secretary*     *1*

<i>Type:</i>	<b>Person</b>
<i>Full Forename(s):</i>	<b>MRS JUDI MAY</b>
<i>Surname:</i>	<b>OATES</b>
<i>Former Names:</i>	<b>KISSACK</b>
<i>Service Address:</i>	<b>59 HEOL Y GROES CWMBRAN UNITED KINGDOM NP44 7LT</b>

*The subscribers confirm that the person named has consented to act as a secretary.*

*Company Director* 1

*Type:* **Person**

*Full Forename(s):* **MR GILES ALEXANDER**

Surname: **THORLEY**

*Former Names:*

*Service Address:* **recorded as Company's registered office**

Country/State Usually Resident: UNITED KINGDOM

*Date of Birth:* \*\*/06/1967 *Nationality:* **BRITISH**

*Occupation:* **CHIEF  
EXECUTIVE**

*The subscribers confirm that the person named has consented to act as a director.*

## *Company Director*      2

*Type:*                                      **Person**

*Full Forename(s):*                      **MR MICHAEL**

*Surname:*                                **OWEN**

*Former Names:*

*Service Address:*                      **6 WYNCLIFFE GARDENS  
CARDIFF  
UNITED KINGDOM CF23 7FA**

*Country/State Usually  
Resident:*                                **UNITED KINGDOM**

*Date of Birth:*    **\*\*/12/1968**                                      *Nationality:*    **BRITISH**

*Occupation:*    **INVESTEMENT  
DIRECTOR**

*The subscribers confirm that the person named has consented to act as a director.*

## *Company Director*      3

*Type:*                      **Person**

*Full Forename(s):*        **MR NEIL**

*Surname:*                **MAGUINNESS**

*Former Names:*

*Service Address:*        **9 WITHY AVENUE  
FORDEN  
WELSHPOOL  
UNITED KINGDOM SY21 8NJ**

*Country/State Usually  
Resident:*                **UNITED KINGDOM**

*Date of Birth:*    **\*\*/03/1968**                      *Nationality:*    **BRITISH**

*Occupation:*    **RISK DIRECTOR**

*The subscribers confirm that the person named has consented to act as a director.*

## *Statement of Capital (Share Capital)*

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<i>Class of Shares:</i>	<b>ORDINARY</b>	<i>Number allotted</i>	<b>1</b>
<i>Currency:</i>	<b>GBP</b>	<i>Aggregate nominal value:</i>	<b>1</b>
<i>Prescribed particulars</i>			

**EACH SHARE HAS FULL RIGHTS IN THE COMPANY WITH RESPECT TO VOTING,  
DIVIDENDS AND DISTRIBUTIONS.**

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### **Statement of Capital (Totals)**

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<i>Currency:</i>	<b>GBP</b>	<i>Total number of shares:</i>	<b>1</b>
		<i>Total aggregate nominal value:</i>	<b>1</b>
		<i>Total aggregate unpaid:</i>	<b>0</b>

## ***Initial Shareholdings***

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*Name:* **FINANCE WALES PLC**

*Address* **1 CAPITAL QUARTER  
TYNDALL STREET  
CARDIFF  
UNITED KINGDOM  
CF10 4BZ**

*Class of Shares:* **ORDINARY**

*Number of shares:* **1**

*Currency:* **GBP**

*Nominal value of each  
share:* **1**

*Amount unpaid:* **0**

*Amount paid:* **1**



## ***Persons with Significant Control (PSC)***

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### **Statement of initial significant control**

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**On incorporation, there will be someone who will count as a Person with Significant Control (either a registerable person or relevant legal entity (RLE)) in relation to the company**

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## ***Relevant Legal Entity (RLE) details***

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*Company Name:* **FINANCE WALES PLC**

*Service Address:* **1 CAPITAL QUARTER  
TYNDALL STREET  
CARDIFF  
UNITED KINGDOM  
CF10 4BZ**

*Legal Form:* **PUBLIC LIMITED COMPANY**

*Governing Law:* **COMPANIES ACT**

*Register Location:* **COMPANIES HOUSE**

*Country/State:* **ENGLAND & WALES**

*Registration Number:* **4055414**

*Nature of control*

The relevant legal entity holds, directly or indirectly, 75% or more of the shares in the company.

## ***Statement of Compliance***

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*I confirm the requirements of the Companies Act 2006 as to registration have been complied with.*

*Name:* **FINANCE WALES PLC**  
*Authenticated* **YES**

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## ***Authorisation***

*Authoriser Designation:* **subscriber** *Authenticated* **YES**

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# COMPANY HAVING A SHARE CAPITAL

## Memorandum of association of DBW MANAGERS LIMITED

Each subscriber to this memorandum of association wishes to form a company under the Companies Act 2006 and agrees to become a member of the company and to take at least one share.

Name of each subscriber	Authentication
Finance Wales plc	Authenticated Electronically

Dated: 14/09/2017