

Chq: 100030/£40-00

In accordance with Section 854 of the Companies Act 2006

AR01

BLUEPRINT

2000

Annual Return

(For returns made up to a date on or after 1 October 2011)

A fee is payable with this form
Please see 'How to pay' on the last page

You can use the WebFiling service to file this form online
Please go to www.companieshouse.gov.uk

What this form is for
You may use this form to confirm that the company information is correct as at the date of this return. You must file an Annual Return at least once every year.

What this form is NOT
You cannot use this form to give notice of changes to the directors, registered office, company type or information relating to the company.



LD2 14/03/2013 #96
COMPANIES HOUSE

Part 1

Company details

The section must be completed by all companies

→ **Filing in this form**
Please complete in typescript or in bold black capitals
All fields are mandatory unless specified or indicated by *

A1 Company details

Company number 0 7 9 7 1 0 9 7

Company name in full **1** Hartland Shipping Limited

1 Company name change
If your company has recently changed its name, please provide the company name as at the date of this return

A2 Return date

Please give the annual return made up date. The return date must not be a future date. The annual return must be delivered within 28 days of the date given below.

If you would like the company's made up date to be earlier than 1 October 2011, please complete the AR01 appropriate for earlier made up dates.

Date of this return **2** 0 1 / 0 3 / 2 0 1 3

2 Date of this return
Your company's return date is usually the anniversary of incorporation or the anniversary of the last annual return filed at Companies House. You may choose an earlier return date but it must not be a later date.

A3 Principal business activity

Please show the trade classification code number(s) for the principal activity or activities **3**

Classification code 1	6	4	2	0	9
Classification code 2					
Classification code 3					
Classification code 4					

If you cannot determine a code, please give a brief description of your business activity below

Principal activity description

3 Principal business activity
You must provide a trade classification code (SIC code 2007) or a description of your company's main business in this section.
A full list of the trade classification codes are available on our website www.companieshouse.gov.uk

AR01

Annual Return

(For returns made up to a date on or after 1 October 2011)

A4

Company type ①

Please confirm your company type by ticking the appropriate box below (only one box must be ticked)

- Public limited company
- Private company limited by shares
- Private company limited by guarantee
- Private company limited by shares exempt under section 60
- Private company limited by guarantee exempt under section 60
- Private unlimited company with share capital
- Private unlimited company without share capital

① Company type

If you are unsure of your company type, please check your latest certificate of incorporation or our website
www.companieshouse.gov.uk

A5

Registered office address ②

Building name/number	28,
Street	Bedford Street
Post town	London
County/Region	
Postcode	W C 2 E 9 E D

② Change of registered office

This must agree with the address that is held on the Companies House record at the date of this return

If the registered office address has changed, you should complete form **AD01** and submit it together with this annual return

A6

Single alternative inspection location (SAIL) of the company records (if applicable) ③

Building name/number	
Street	
Post town	
County/Region	
Postcode	

③ SAIL address

This must agree with the address that is held on the Companies House record at the date of this return

If the address has changed, you should complete form **AD02** and submit it together with this annual return

A7

Location of company records ④

Please tick the appropriate box to indicate which records are kept at the SAIL address in **Section A6**

- Register of members
- Register of directors
- Directors' service contracts
- Directors' indemnities
- Register of secretaries
- Records of resolutions etc
- Contracts relating to purchase of own shares
- Documents relating to redemption or purchase of own share out of capital by private company
- Register of debenture holders
- Report to members of outcome of investigation by public company into interests in its shares
- Register of interests in shares disclosed to public company
- Instruments creating charges and register of charges England and Wales or Northern Ireland
- Instruments creating charges and register of charges Scotland

④ Location of company records

If the company records are held at the registered office address, **do not** tick any of the boxes in this section

Certain records must be kept by every company while other records are only kept by certain company types where appropriate

If the records are not kept at the SAIL address, they must be available at the registered office

If any of the company records have moved from the registered office to the address in Section A6 since the last annual return, you must complete form **AD03** and submit it together with this annual return

AR01

Annual Return

(For returns made up to a date on or *after 1 October 2011*)

Part 2

Officers of the company

This section should include details of the company at the date to which this annual return is made up

- For a **secretary** who is an individual, go to **Section B1**
- For a **corporate secretary**, go to **Section C1**
- For a **director** who is an individual, go to **Section D1**
- For a **corporate director**, go to **Section E1**

Continuation pages

Please use a continuation page if you need to enter more officer details

Secretary

B1

Secretary's details ^①

Please use this section to list all the secretaries of the company
For a corporate secretary, complete Section C1-C4

Title*	
Full forename(s)	
Surname	
Former name(s) ^②	

① Secretary appointments

You may not use this form to appoint a secretary. To do this, please complete form AP03 and submit it together with this annual return

Corporate details

Please use Section C1-C4 to enter corporate secretary details

Secretary details

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH03

② Former name(s)

Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes

B2

Secretary's service address ^③

Building name/number	
Street	
Post town	
County/Region	
Postcode	
Country	

③ Service address

If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address

This information will appear on the public record

AR01

Annual Return

(For returns made up to a date on or after 1 October 2011)

Corporate secretary

C1 Corporate secretary's details ¹

Please use this section to list all the corporate secretaries of the company

Corporate body/firm name

Building name/number

Street

Post town

County/Region

Postcode

Country

1 Corporate secretary appointments

You cannot use this form to appoint a corporate secretary. To do this, please complete form AP04 and submit it together with this annual return.

Corporate secretary details

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH04.

This information will appear on the public record.

C2 Location of the registry of the corporate body or firm

Is the corporate secretary registered within the European Economic Area (EEA)?

→ Yes Complete **Section C3 only**

→ No Complete **Section C4 only**

C3 EEA companies ²

Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register.

Where the company/firm is registered ³

Registration number

2 EEA

A full list of countries of the EEA can be found in our guidance www.companieshouse.gov.uk

3 This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC)

C4 Non-EEA companies

Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register.

Legal form of the corporate body or firm

Governing law

If applicable, where the company/firm is registered ⁴

If applicable, the registration number

4 Non-EEA

Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register.

AR01

Annual Return

(For returns made up to a date on or *after 1 October 2011*)

Director

D1 Director's details ^①																	
Please use this section to list all the directors of the company For a corporate director, complete Section E1-E4.																	
Title*																	
Full forename(s)	Charlie																
Surname	Laughton-Scott																
Former name(s) ^②																	
Country/State of residence	United Kingdom																
Nationality	British																
Date of birth	<table border="1"><tr><td>d</td><td>1</td><td>d</td><td>2</td><td>m</td><td>1</td><td>m</td><td>0</td><td>y</td><td>1</td><td>y</td><td>9</td><td>y</td><td>5</td><td>y</td><td>6</td></tr></table>	d	1	d	2	m	1	m	0	y	1	y	9	y	5	y	6
d	1	d	2	m	1	m	0	y	1	y	9	y	5	y	6		
Business occupation (if any)	Advisor																

① Director appointments
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

Corporate details
Please use Section E1-E4 to enter corporate director details.

Director details
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

② Former name(s)
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

D2 Director's service address ^③									
Building name/number	28,								
Street	Bedford Street								
Post town	London								
County/Region									
Postcode	<table border="1"><tr><td>W</td><td>C</td><td>2</td><td>E</td><td></td><td>9</td><td>E</td><td>D</td></tr></table>	W	C	2	E		9	E	D
W	C	2	E		9	E	D		
Country	England								

③ Service address
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

AR01

Annual Return

(For returns made up to a date on or after 1 October 2011)

Director

D1 Director's details ¹

Please use this section to list all the directors of the company
For a corporate director, complete Section E1-E4

Title*																	
Full forename(s)	Clive Arnold																
Surname	Makepeace																
Former name(s) ²																	
Country/State of residence	United Kingdom																
Nationality	British																
Date of birth	<table border="1"><tr><td>d</td><td>0</td><td>d</td><td>1</td><td>m</td><td>0</td><td>m</td><td>5</td><td>y</td><td>1</td><td>y</td><td>9</td><td>y</td><td>5</td><td>y</td><td>7</td></tr></table>	d	0	d	1	m	0	m	5	y	1	y	9	y	5	y	7
d	0	d	1	m	0	m	5	y	1	y	9	y	5	y	7		
Business occupation (if any)	Accountant / company secretary																

1 Director appointments

You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return

Corporate details

Please use Section E1-E4 to enter corporate director details

Director details

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01

2 Former name(s)

Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes

D2 Director's service address ³

Building name/number	28,								
Street	Bedford Street								
Post town	London								
County/Region									
Postcode	<table border="1"><tr><td>W</td><td>C</td><td>2</td><td>E</td><td></td><td>9</td><td>E</td><td>D</td></tr></table>	W	C	2	E		9	E	D
W	C	2	E		9	E	D		
Country	England								

3 Service address

If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address

This information will appear on the public record

AR01 - continuation page

Annual Return

(For returns made up to a date on or *after 1 October 2011*)

Director

D1 Director's details																	
Please use this section to list all the directors of the company For a corporate director, complete Section E1-E4																	
Title*																	
Full forename(s)	Christopher Mark																
Surname	Ohlson																
Former name(s)																	
Country/State of residence	United Kingdom																
Nationality	British																
Date of birth	<table border="1"> <tr> <td>d</td><td>2</td><td>d</td><td>7</td><td>m</td><td>0</td><td>m</td><td>6</td><td>y</td><td>1</td><td>y</td><td>9</td><td>y</td><td>6</td><td>y</td><td>0</td> </tr> </table>	d	2	d	7	m	0	m	6	y	1	y	9	y	6	y	0
d	2	d	7	m	0	m	6	y	1	y	9	y	6	y	0		
Business occupation (if any)	Shipbroker																

Director appointments
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

Corporate details
Please use Section E1-E4 to enter corporate director details.

Director details
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

Former name(s)
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

D2 Director's service address									
Building name/number	28,								
Street	Bedford Street								
Post town	London								
County/Region									
Postcode	<table border="1"> <tr> <td>W</td><td>C</td><td>2</td><td>E</td><td></td><td>9</td><td>E</td><td>D</td> </tr> </table>	W	C	2	E		9	E	D
W	C	2	E		9	E	D		
Country	England								

Service address
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

AR01 - continuation page

Annual Return

(For returns made up to a date on or after 1 October 2011)

Director

D1 Director's details ¹																	
Please use this section to list all the directors of the company For a corporate director, complete Section E1-E4																	
Title*																	
Full forename(s)	Nigel Brian																
Surname	Prentis																
Former name(s) ²																	
Country/State of residence	United Kingdom																
Nationality	British																
Date of birth	<table border="1"> <tr> <td>d</td><td>1</td><td>d</td><td>5</td><td>m</td><td>0</td><td>m</td><td>7</td><td>y</td><td>1</td><td>y</td><td>9</td><td>y</td><td>5</td><td>y</td><td>8</td> </tr> </table>	d	1	d	5	m	0	m	7	y	1	y	9	y	5	y	8
d	1	d	5	m	0	m	7	y	1	y	9	y	5	y	8		
Business occupation (if any)	Company Director																

1 Director appointments
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

Corporate details
Please use Section E1-E4 to enter corporate director details.

Director details
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

2 Former name(s)
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

D2 Director's service address ³									
Building name/number	28,								
Street	Bedford Street								
Post town	London								
County/Region									
Postcode	<table border="1"> <tr> <td>W</td><td>C</td><td>2</td><td>E</td><td></td><td>9</td><td>E</td><td>D</td> </tr> </table>	W	C	2	E		9	E	D
W	C	2	E		9	E	D		
Country	England								

3 Service address
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

AR01 - continuation page

Annual Return

(For returns made up to a date on or *after 1 October 2011*)

Director

D1 Director's details									
Please use this section to list all the directors of the company For a corporate director, complete Section E1-E4									
Title*									
Full forename(s)	Wu Ye								
Surname	Wu								
Former name(s)									
Country/State of residence	China								
Nationality	Chinese								
Date of birth	<table border="1"> <tr> <td>^d2</td> <td>^d1</td> <td>^m1</td> <td>^m1</td> <td>^y1</td> <td>^y9</td> <td>^y6</td> <td>^y8</td> </tr> </table>	^d 2	^d 1	^m 1	^m 1	^y 1	^y 9	^y 6	^y 8
^d 2	^d 1	^m 1	^m 1	^y 1	^y 9	^y 6	^y 8		
Business occupation (if any)	None								

Director appointments
You cannot use this form to appoint a director. To do this, please complete form **AP01** and submit it together with this annual return.

Corporate details
Please use **Section E1-E4** to enter corporate director details.

Director details
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form **CH01**.

Former name(s)
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

D2 Director's service address									
Building name/number	28,								
Street	Bedford Street								
Post town	London								
County/Region									
Postcode	<table border="1"> <tr> <td>W</td> <td>C</td> <td>2</td> <td>E</td> <td></td> <td>9</td> <td>E</td> <td>D</td> </tr> </table>	W	C	2	E		9	E	D
W	C	2	E		9	E	D		
Country	England								

Service address
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

AR01

Annual Return

(For returns made up to a date on or after 1 October 2011)

Corporate director

E1 Corporate director's details

Please use this section to list all the corporate director's of the company

Corporate body/firm name

Building name/number

Street

Post town

County/Region

Postcode

Country

Corporate director appointments
You cannot use this form to appoint a corporate director. To do this, please complete form AP02 and submit it together with this annual return.

Corporate director details
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH02.

This information will appear on the public record.

E2 Location of the registry of the corporate body or firm

Is the corporate director registered within the European Economic Area (EEA)?

→ Yes Complete **Section E3 only**

→ No Complete **Section E4 only**

E3 EEA companies

Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register.

Where the company/firm is registered

Registration number

EEA

A full list of countries of the EEA can be found in our guidance www.companieshouse.gov.uk

This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC)

E4 Non-EEA companies

Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register.

Legal form of the corporate body or firm

Governing law

If applicable, where the company/firm is registered

If applicable, the registration number

Non-EEA

Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register.

AR01

Annual Return

(For returns made up to a date on or after 1 October 2011)

Part 3**Statement of capital** ¹

Does your company have share capital?

→ Yes Complete the sections below and the following Part 4

→ No Go to Part 5 (Signature)

¹ This should reflect the company's capital status at the made up date of this annual return**F1****Share capital in pound sterling (£)**

Please complete the table below to show each class of shares held in pound sterling

If all your issued capital is in sterling, only complete Section F1 and then go to Section F4

Class of shares (E.g. Ordinary/Preference etc)	Amount paid up on each share ²	Amount (if any) unpaid on each share ²	Number of shares ³	Aggregate nominal value ⁴
Ordinary	1 00	0 00	325,000	£ 3,250 00
				£
				£
				£
Totals			325,000	£ 3,250 00

F2**Share capital in other currencies**

Please complete the table below to show any class of shares held in other currencies

Please complete a separate table for each currency

Currency

Class of shares (E.g. Ordinary/Preference etc)	Amount paid up on each share ²	Amount (if any) unpaid on each share ²	Number of shares ³	Aggregate nominal value ⁴
Totals				

Currency

Class of shares (E.g. Ordinary/Preference etc)	Amount paid up on each share ²	Amount (if any) unpaid on each share ²	Number of shares ³	Aggregate nominal value ⁴
Totals				

F3**Totals**

Please give the total number of shares and total aggregate nominal value of issued share capital

Total number of shares

Total aggregate
nominal value ⁵⁵ Total aggregate nominal value
Please list total aggregate values in
different currencies separately. For
example £100 + €100 + \$10 etc² Including both the nominal value and any
share premium³ Number of shares issued multiplied by
nominal value of each share**Continuation Pages**Please use a Statement of Capital continuation
page if necessary⁴ Total number of issued shares in this class

AR01

Annual Return

(For returns made up to a date on or *after 1 October 2011*)

F4

Statement of capital (Voting rights)

Please give the prescribed particulars of rights attached to shares for each class of share shown in the statement of capital share tables in **Sections F1 and F2**

Class of share	£0 01 Ordinary
Voting rights	Please see continuation sheets
Class of share	
Voting rights	
Class of share	
Voting rights	
Class of share	
Voting rights	

F4 Statement of capital (Voting rights)

Class of share	Ordinary shares of £0.01 each
Voting rights	<p>1 The voting rights attached to each class of shares shall be as set out in this paragraph</p> <p>1.1 on a written resolution, every Shareholder holding one or more Ordinary Shares on the date on which the resolution is circulated as required by the Companies Act 2006 ("Act") shall, subject to sections 289 and 290 of the Act and these Paragraphs, have one vote for each Ordinary Share held by him,</p> <p>1.2 on a resolution to be passed at a general meeting of the Company on a show of hands, every qualifying person (as defined in section 318(3) of the Act) present shall, subject to section 323(4) of the Act, have one vote, and</p> <p>1.3 on a resolution to be passed at a general meeting of the Company on a poll, every Shareholder holding one or more Ordinary Shares, who (being an individual) is present in person or by proxy or (being a corporation) is present by a duly authorised representative or by proxy, shall have one vote for each Ordinary Shares of which he is the holder</p> <p>2 The provisions of Paragraph 3 shall apply (unless the Investors by a Majority Decision direct otherwise) if at any time</p> <p>2.1 any Shareholder is in material breach of the provisions of these Paragraphs (without prejudice to the provisions of Article 10.2) and/or the Investment Agreement,</p> <p>2.2 any Group Company is entitled to terminate any contract of employment by reason of a repudiatory breach thereof by an employee who is a Shareholder or whose Permitted Transferee(s) are Shareholders or who is otherwise entitled to Shares held by a nominee or trustee on his behalf, or</p> <p>2.3 any person becomes a Leaver.</p> <p>3 If the provisions of this Paragraph apply</p> <p>3.1 the Shares which such person holds or to which he is entitled,</p> <p>3.2 any Shares formerly held by such person, which have been transferred either in breach of the provisions of these Articles or in accordance with Article 10 (Permitted Transfers), and</p> <p>3.3 any Shares formerly held by a Family Member of such person or trustee of a Family Trust of such person, which have been transferred either in breach of the provisions of these Articles or in accordance with Articles 10 (Permitted Transfers),</p> <p>shall immediately cease to entitle the holders thereof to vote on any written resolution of the Company and to attend and vote (whether on a show of hands or on a poll) at any general meeting of the Company or at any separate class meeting</p> <p>4 The provisions of paragraph 3 shall continue</p> <p>4.1 in the case of Paragraph 2.1, for so long as such breach subsists (and for this purpose no account shall be taken of any waiver given by the Company in respect of any such breach), or</p>

F4 Statement of capital (Voting rights)	
Class of share	Ordinary shares of £0.01 each
Voting rights	<p>4.2 In the case of Paragraphs 2.2 and 2.3, until such time as such person and any Permitted Transferee of such a person under the Articles 10.1.1 or 10.1.2 ceases to be a Shareholder</p> <p>5 The class rights attaching to the Ordinary Shares may be varied or abrogated either with the consent in writing of the holders of at least 75% in nominal value of the Ordinary Shares who would have been entitled to vote at a separate meeting of the holders of Ordinary Shares or with the sanction of a special resolution passed at a separate class meeting of the holders of the Ordinary Shares. Any variation or abrogation which does not affect the class rights attaching to the Ordinary Shares shall not require such consent.</p> <p>6 Unless otherwise expressly provided by the terms of issue, the rights attaching to any class of shares shall not be deemed to be varied or abrogated by</p> <p>6.1 the creation, allotment or issue of further shares or securities convertible into shares, ranking subsequent to, pari passu with, or in priority to them, or the issue of any debt securities by the Company or any other Group Company, or the purchase or redemption by the Company of its own shares in accordance with the Act, or</p> <p>6.2 any alteration to the Articles made conditional upon, or otherwise in connection with a Sale, a Listing, a Solvent Reorganisation or in connection with any matter referred to in paragraph 6.1</p>

F4 Statement of capital (Voting rights)

Class of share	Definitions
Voting rights	<p>"FAMILY MEMBER" means, in relation to a Relevant Employee, his spouse and/or any one or more of his children (including step-children)</p> <p>"GROUP" means the Company and any company which is a subsidiary undertaking of the Company from time to time and references to "GROUP COMPANY" and "MEMBERS OF THE GROUP" shall be construed accordingly</p> <p>"LEAVER" means</p> <p>(a) any Shareholder who is on or at any time after the Adoption Date a Relevant Employee and who subsequently ceases, or has ceased, to be a Relevant Employee,</p> <p>(b) any Shareholder who is on or at any time after the Adoption Date a Relevant Employee, who remains a Relevant Employee but who becomes or has become a Non-Contributory Employee,</p> <p>(c) any Shareholder who is (or is the nominee of) a Family Member of any person who is on or at any time after the Adoption Date a Relevant Employee, who subsequently either ceases to be a Relevant Employee or remains a Relevant Employee but who becomes or has become a Non-Contributory Employee,</p> <p>(d) any Shareholder who is (or is the nominee of) the trustee of a Family Trust of any person who is on or at any time after the Adoption Date a Relevant Employee, who subsequently either ceases to be a Relevant Employee or remains a Relevant Employee but who becomes or has become a Non-Contributory Employee, in each case in respect of the Shares held on behalf of such person or on behalf of any Family Member of such person,</p> <p>(e) any Shareholder holding Shares as a result of a transfer made after the date of the adoption of the Articles by a person in relation to whom such Shareholder was a Permitted Transferee under the provisions of Articles 10 1 1 or 10 1 2 who ceases to be such a Permitted Transferee in relation to such person, including, without limitation, any Shareholder who ceases to be the spouse of a Relevant Employee,</p> <p>(f) any person who holds or becomes entitled to any Shares</p> <p>(i) following the death of a Shareholder,</p> <p>(ii) following the bankruptcy of a Shareholder (if an individual) or the receivership, administrative receivership, administration, liquidation or other arrangement for the winding-up (whether solvent or insolvent) of a Shareholder (if a company), or</p> <p>(iii) following the exercise of an option after ceasing to be a Relevant Employee or whilst a Relevant Employee after becoming a Non-Contributory Employee, or</p> <p>(g) any Shareholder holding Shares as a nominee for any person who is on or at any time after the Adoption Date a Relevant Employee, who subsequently either ceases, or who has ceased, to be a Relevant Employee or who remains a Relevant Employee but who becomes, or has become, a Non-Contributory Employee, in either case in respect of the Shares held on behalf of such person,</p> <p>provided that, for the purposes of this definition, a person shall be deemed to cease or have ceased to be a Relevant Employee on the date on which the relevant individual is given notice of termination of his employment or, as the case may be upon the commencement of any period during which the relevant individual is placed on Garden Leave,</p>



F4 Statement of capital (Voting rights)	
Class of share	Definitions
Voting rights	(notwithstanding that the relevant individual remains an employee of the Company or any other Group Company) or in the case of a Relevant Employee who has become a Non-Contributory Employee, upon the date on which the Relevant Employee was designated as a Non-Contributory Employee by the Board (with Investor Consent)

AR01

Annual Return

(For returns made up to a date on or after 1 October 2011)

Part 4

Shareholders

Does your company have share capital?

→ **Yes** go to **Section G1** 'Companies with share capital'

→ **No** Go to **Part 5 (Signature)**

G1

Companies with share capital

Question 1

Were any of the company's shares admitted to trading on a market at any time during this return period? Please tick the appropriate box below ^①

No go to **Section G2** 'Past and present shareholders'

Yes go to **Question 2**

^① A market is one established under the rules of a UK recognised investment exchange or any other regulated markets in or outside of the UK, or any other market outside of the UK. The current UK recognized investment exchanges and regulated markets can be found at www.fsa.gov.uk/register/exchanges.do

Question 2

Please only refer to Question 2 below if you have answered 'Yes' to Question 1. If you answered 'No', please go to Section G2 'Past and present shareholders'

Did the company, throughout the return period, have any shares admitted to trading on a relevant market and was it, throughout the return period, an issuer to which DTR5 applies?⁽³⁾ Please tick the appropriate box below ^②

No go to **Section G4** 'Shareholders who hold at least 5% of any class of shares of the company as at the made up date of the return'

Yes go to **Part 5 'Signature'**

^② DTR5 refers to the Vote Holder and Issuer Notification Rules contained in Chapter 5 of the Disclosure and Transparency Rules source book issued by the Financial Services Authority. Notification is required when the percentage acquisition of a shareholder in the company has reached a certain threshold (starting at 3%)

G2

List of past and present shareholders ^③

The company is required to provide a full list of past and present shareholders if one was not included with either of the last two returns. Please tick the appropriate box below

There were no shareholder changes in this period. Go to **Part 5 (Signature)**

A full list of shareholders is enclosed

A list of shareholder changes is enclosed

How is the list of shareholders enclosed? Please tick the appropriate box below

The list of shareholders is enclosed on paper. Go to **Section G3** 'List of past and present shareholders'

The list of shareholders is enclosed in another format. Go to **Part 5 (Signature)**

^③ This section only applies to companies answering 'No' in Section G1

AR01

Annual Return

(For returns made up to a date on or after 1 October 2011)

G3

List of past and present shareholders

Changes during this period to shareholders' particulars or details of the amount of stock or shares transferred must be completed each year

You must provide a 'full list' of all company shareholders on

- The company's first annual return following incorporation,
- Every third annual return after a full list has been provided

• Please list the company shareholders in alphabetical order

Joint shareholders should be listed consecutively

Further shareholders

Please use a 'List of past and present shareholders' continuation page if necessary

This section only applies to companies answering 'No' to Question 1 in Section G1

Shareholder's Name (Address not required)	Class of share	Shares or stock currently held	Shares or stock transferred (if appropriate)	
		Number of shares or amount of stock	Number of shares or amount of stock	Date of registration of transfer
Patrick Hinds	£0 01 Ordinary	12,500		/ /
Charlie Laughton-Scott	£0 01 Ordinary	25,000		/ /
Clive Arnold Makepeace	£0 01 Ordinary	50,000		/ /
Christopher Mark Ohlson	£0 01 Ordinary	125,000		/ /
Nigel Brian Prentis	£0 01 Ordinary	62,500		/ /
Mark Steans	£0 01 Ordinary	12,500		/ /
Simon Ward	£0 01 Ordinary	12,500		/ /
Wu Ye Wu	£0 01 Ordinary	25,000		/ /
				/ /
				/ /
				/ /
				/ /

AR01

Annual Return

(For returns made up to a date on or after 1 October 2011)

G3

List of past and present shareholders

Changes during this period to shareholders' particulars or details of the amount of stock or shares transferred must be completed each year

You must provide a 'full list' of all company shareholders on

- The company's first annual return following incorporation,
- Every third annual return after a full list has been provided

● Please list the company shareholders in alphabetical order

Joint shareholders should be listed consecutively

Further shareholders

Please use a 'List of past and present shareholders' continuation page if necessary

This section only applies to companies answering 'No' to Question 1 in Section G1

Shareholder's Name (Address not required)	Class of share	Shares or stock		Date of registration of transfer
		currently held	transferred (if appropriate)	
		Number of shares or amount of stock	Number of shares or amount of stock	
Travers Smith Limited	£1.00 Ordinary (Cancelled)	0	1	24 / 04 / 2012
Travers Smith Secretaries Limited	£1 00 Ordinary (Cancelled)	0	1	24 / 04 / 2012
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /

AR01

Annual Return

(For returns made up to a date on or after 1 October 2011)

Part 5

Signature

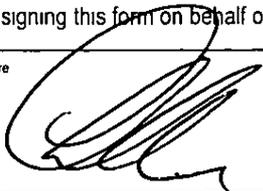
This must be completed by all companies

I am signing this form on behalf of the company

Signature

Signature

X



X

This form may be signed by

Director Secretary, Person authorised Charitable commission receiver and manager, CIC manager, Judicial factor

1 Societas Europaea

If the form is being filed on behalf of a Societas Europaea (SE) please delete 'director' and insert details of which organ of the SE the person signing has membership

2 Person authorised

Under either section 270 or 274 of the Companies Act 2006

AR01

Annual Return

(For returns made up to a date on or after 1 October 2011)



Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name

Company name Lewis Golden & Co

Address 40, Queen Anne Street

Post town London

County/Region

Postcode W 1 G 9 E L

Country England

DX

Telephone



Checklist

We may return forms completed incorrectly or with information missing

Please make sure you have remembered the following

- That if the made up date of the return is any earlier than 1 October 2011, you must complete the appropriate form AR01
- The company name and number match the information held on the public Register
- You have completed your principal business activity
- You have not used this form to make changes to the registered office address
- You have not used this form to make changes to secretary and director details
- You have fully completed the Statement of capital (if applicable)
- You have signed the form
- You have enclosed the correct fee



Important information

Please note that all information on this form will appear on the public record



How to pay

A fee of £40 is payable to Companies House in respect of an Annual Return. Make cheques or postal orders payable to 'Companies House'.



Where to send

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:

For companies registered in England and Wales

The Registrar of Companies, Companies House, Crown Way, Cardiff, Wales, CF14 3UZ
DX 33050 Cardiff

For companies registered in Scotland

The Registrar of Companies, Companies House, Fourth floor, Edinburgh Quay 2, 139 Fountainbridge, Edinburgh, Scotland, EH3 9FF
DX ED235 Edinburgh 1
or LP - 4 Edinburgh 2 (Legal Post)

For companies registered in Northern Ireland

The Registrar of Companies, Companies House, Second Floor, The Linenhall, 32-38 Linenhall Street, Belfast, Northern Ireland, BT2 8BG
DX 481 N R Belfast 1



Further information

For further information, please see the guidance notes on the website at www.companieshouse.gov.uk or email enquiries@companieshouse.gov.uk

This form is available in an alternative format. Please visit the forms page on the website at www.companieshouse.gov.uk