



**Confirmation Statement**

Company Name: **ROK WATER TECHNOLOGY PLC**

Company Number: **07621331**



X66NR9A0

Received for filing in Electronic Format on the: **17/05/2017**

Company Name: **ROK WATER TECHNOLOGY PLC**

Company Number: **07621331**

Confirmation **04/05/2017**

Statement date:

Sic Codes: **64209**

Principal activity description: **Activities of other holding companies n.e.c.**

## Statement of Capital (Share Capital)

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<b>Class of Shares:</b>	<b>ORDINARY</b>	Number allotted	<b>4 139 499 46</b>
	<b>SHARES</b>	Aggregate nominal value:	<b>4 139 49.946</b>
Currency:	<b>GBP</b>		

Prescribed particulars

**ALL SHARES TO RANK PARI PASSU WITH REGARD TO ANY VOTING RIGHTS EXCEPT AS MAY BE DEFINED UNDER THE ARTICLES AND PREVAILING LEGISLATION FROM TIME TO TIME.**

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## Statement of Capital (Totals)

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Currency:	<b>GBP</b>	Total number of shares:	<b>4 139 499 46</b>
		Total aggregate nominal value:	<b>4 139 49.946</b>
		Total aggregate amount unpaid:	<b>0</b>

## Full details of Shareholders

The details below relate to individuals/corporate bodies that were shareholders during the review period or that had ceased to be shareholders since the date of the previous confirmation statement.

Shareholder information for a non-traded company as at the confirmation statement date is shown below

Shareholding 1:	<b>52725 ORDINARY SHARES shares held as at the date of this confirmation statement</b>
Name:	<b>ROK ORGANISATION LIMITED</b>
Shareholding 2:	<b>540295 ORDINARY SHARES shares held as at the date of this confirmation statement</b>
Name:	<b>GUY-PHILIPPE BERTIN</b>
Shareholding 3:	<b>43224 ORDINARY SHARES shares held as at the date of this confirmation statement</b>
Name:	<b>DAX BRADLEY</b>
Shareholding 4:	<b>43224 ORDINARY SHARES shares held as at the date of this confirmation statement</b>
Name:	<b>FRANK BRADLEY</b>
Shareholding 5:	<b>432 ORDINARY SHARES shares held as at the date of this confirmation statement</b>
Name:	<b>IAN CLARK</b>
Shareholding 6:	<b>129671 ORDINARY SHARES shares held as at the date of this confirmation statement</b>
Name:	<b>RUPERT CLEVELY</b>
Shareholding 7:	<b>594324 ORDINARY SHARES shares held as at the date of this confirmation statement</b>
Name:	<b>JOHN DEJORIA II</b>
Shareholding 8:	<b>432 ORDINARY SHARES shares held as at the date of this confirmation statement</b>
Name:	<b>CLARE FAIETA</b>
Shareholding 9:	<b>432 ORDINARY SHARES shares held as at the date of this confirmation statement</b>
Name:	<b>NICHOLAS FAIETA</b>
Shareholding 10:	<b>432 ORDINARY SHARES shares held as at the date of this confirmation statement</b>
Name:	<b>KELLY FURNIVAL</b>
Shareholding 11:	<b>432 ORDINARY SHARES shares held as at the date of this confirmation statement</b>
Name:	<b>ANDREA GREW</b>

Shareholding 12: **432 ORDINARY SHARES** shares held as at the date of this confirmation statement  
Name: **IAN GREW**

Shareholding 13: **151283 ORDINARY SHARES** shares held as at the date of this confirmation statement  
Name: **ALAMGIR BASHIR AND NAWEEEDA KASHMIRI**

Shareholding 14: **432 ORDINARY SHARES** shares held as at the date of this confirmation statement  
Name: **DANIEL LEWIS**

Shareholding 15: **8645 ORDINARY SHARES** shares held as at the date of this confirmation statement  
Name: **STEFANO MARCIANO**

Shareholding 16: **129671 ORDINARY SHARES** shares held as at the date of this confirmation statement  
Name: **ANTHONY MCCABE**

Shareholding 17: **432 ORDINARY SHARES** shares held as at the date of this confirmation statement  
Name: **RICHARD PREECE**

Shareholding 18: **432236 ORDINARY SHARES** shares held as at the date of this confirmation statement  
Name: **MARCUS SANSOM**

Shareholding 19: **2882 ORDINARY SHARES** shares held as at the date of this confirmation statement  
Name: **ACB INVESTMENT HOLDING OFFSHORE SARL**

Shareholding 20: **2161179 ORDINARY SHARES** shares held as at the date of this confirmation statement  
Name: **AKTIENBRAUEREI KAUFBEUREN AG**

Shareholding 21: **126465 ORDINARY SHARES** shares held as at the date of this confirmation statement  
Name: **ALPHA PROSPECTS PLC**

Shareholding 22: **594324 ORDINARY SHARES** shares held as at the date of this confirmation statement  
Name: **DEJORIA 1995 GIFT TRUSTS - ALEXIS J DEJORIA**

Shareholding 23: **594324 ORDINARY SHARES** shares held as at the date of this confirmation statement  
Name: **DEJORIA 1995 GIFT TRUSTS - MICHAELINE DEJORIA**

Shareholding 24: **19178305 ORDINARY SHARES** shares held as at the date of this confirmation statement  
Name: **GOLDMAN SACHS SECURITIES (NOMINEES) LIMITED**

Shareholding 25: **518683 ORDINARY SHARES** shares held as at the date of this confirmation statement  
Name: **HALB NOMINEES LIMITED**

Shareholding 26: **594324 ORDINARY SHARES** shares held as at the date of this confirmation statement  
Name: **JOHN ANTHONY DEJORIA - 2004 TRUST**

Shareholding 27: **10526672 ORDINARY SHARES** shares held as at the date of this confirmation statement  
Name: **MEA HOLDING AG**

Shareholding 28: **1058978 ORDINARY SHARES** shares held as at the date of this confirmation statement  
Name: **PERSHING NOMINEES LIMITED**

Shareholding 29: **129671 ORDINARY SHARES** shares held as at the date of this confirmation statement  
Name: **PLATFORM SECURITIES NOMINEES LIMITED**

Shareholding 30: **243349 ORDINARY SHARES** shares held as at the date of this confirmation statement  
Name: **RACONTEUR PICTURES LIMITED**

Shareholding 31: **43224 ORDINARY SHARES** shares held as at the date of this confirmation statement  
Name: **STM FIDECS TRUST COMPANY LIMITED AS TRUSTEES FOR THE RAMIS FAMILY TRUST**

Shareholding 32: **1087371 ORDINARY SHARES** shares held as at the date of this confirmation statement  
Name: **VIDACOS NOMINEES LIMITED**

Shareholding 33: **212850 ORDINARY SHARES** shares held as at the date of this confirmation statement  
Name: **WESTERN BANKERS CAPITAL INC**

Shareholding 34: **500432 ORDINARY SHARES** shares held as at the date of this confirmation statement  
Name: **STUART FURNIVAL**

Shareholding 35: **20152065 ORDINARY SHARES** shares held as at the date of this confirmation statement  
Name: **SALLY KENDRICK**

Shareholding 36: **1000432 ORDINARY SHARES** shares held as at the date of this  
confirmation statement  
Name: **BRUCE RENNY**

Shareholding 37: **800000 ORDINARY SHARES** shares held as at the date of this  
confirmation statement  
Name: **JOHN RICHARDS**

Shareholding 38: **800000 ORDINARY SHARES** shares held as at the date of this  
confirmation statement  
Name: **SUSAN SANDERS**

Shareholding 39: **2395284 ORDINARY SHARES** shares held as at the date of this  
confirmation statement  
Name: **RACONTEUR LIMITED**

Shareholding 40: **100000 ORDINARY SHARES** shares held as at the date of this  
confirmation statement  
Name: **RYAN LOWE**

Shareholding 41: **10350000 ORDINARY SHARES** shares held as at the date of this  
confirmation statement  
Name: **JEREMY BOSWELL**

Shareholding 42: **170444399 ORDINARY SHARES** shares held as at the date of this  
confirmation statement  
Name: **JOHN PAUL DEJORIA FAMILY TRUST**

Shareholding 43: **159925979 ORDINARY SHARES** shares held as at the date of this  
confirmation statement  
Name: **JONATHAN KENDRICK**

Shareholding 44: **8280000 ORDINARY SHARES** shares held as at the date of this  
confirmation statement  
Name: **STARRH AND STARRH COTTON GROWERS**

# Persons with Significant Control (PSC)

## PSC notifications

### Notification Details

Date that person became **06/04/2016**  
registrable:

Name: **MR JONATHAN MARK KENDRICK**

Service Address: **SUITE 3 8500 STELLER DRIVE  
CULVER CITY  
CALIFORNIA  
USA  
90232**

Country/State Usually  
Resident: **UNITED KINGDOM**

Date of Birth: **\*\*/06/1957**

Nationality: **BRITISH**

### Nature of control

The person holds, directly or indirectly, more than 25% but not more than 50% of the shares in the company.

The person holds, directly or indirectly, more than 25% but not more than 50% of the voting rights in the company.

## Notification Details

Date that person became **06/04/2016**  
registrable:

Name: **JOHN PAUL DEJORIA**

Service Address: **ROK HOUSE KINGSWOOD BUSINESS PARK  
HOLYHEAD ROAD  
ALBRIGHTON  
STAFFORDSHIRE  
UNITED KINGDOM  
WV7 3AU**

Country/State Usually  
Resident: **USA**

Date of Birth: **\*\*/04/1944**

Nationality: **AMERICAN**

## Nature of control

The person has the right to exercise, or actually exercises, significant influence or control over the activities of a trust, and the trustees of that trust (in their capacity as such) hold, directly or indirectly, more than 25% but not more than 50% of the shares in the company.

The person has the right to exercise, or actually exercises, significant influence or control over the activities of a trust, and the trustees of that trust (in their capacity as such) hold, directly or indirectly, more than 25% but not more than 50% of the voting rights in the company.



## **Confirmation Statement**

I confirm that all information required to be delivered by the company to the registrar in relation to the confirmation period concerned either has been delivered or is being delivered at the same time as the confirmation statement

# Authorisation

Authenticated

This form was authorised by one of the following:

Director, Secretary, Person Authorised, Charity Commission Receiver and Manager, CIC Manager,  
Judicial Factor