

# LIQ03

## Notice of progress report in voluntary winding up



Companies House

For further information, please  
refer to our guidance at  
[www.gov.uk/companieshouse](http://www.gov.uk/companieshouse)

### 1 Company details

Company number 0 7 4 4 4 7 4 5

Company name in full NOMURA ALTERNATIVE INVESTMENT MANAGEMENT  
(EUROPE) LIMITED

#### → Filling in this form

Please complete in typescript or in  
bold black capitals.

### 2 Liquidator's name

Full forename(s) Laura May

Surname Waters

### 3 Liquidator's address

Building name/number PricewaterhouseCoopers LLP

Street 7 More London Riverside

Post town London

County/Region

Postcode S E 1 2 R T

Country

### 4 Liquidator's name ①

Full forename(s) Steven

Surname Sherry

#### ① Other liquidator

Use this section to tell us about  
another liquidator.

### 5 Liquidator's address ②

Building name/number PricewaterhouseCoopers LLP

Street 7 More London Riverside

Post town London

County/Region

Postcode S E 1 2 R T


Country

#### ② Other liquidator

Use this section to tell us about  
another liquidator.

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<b>6</b>	<b>Period of progress report</b>															
From date	d	1	d	5	m	0	m	6	y	2	y	0	y	2	y	1
To date	d	1	d	4	m	0	m	6	y	2	y	0	y	2	y	2
<b>7</b>	<b>Progress report</b>															
<input checked="" type="checkbox"/> The progress report is attached																
<b>8</b>	<b>Sign and date</b>															
Liquidator's signature	<div>Signature</div> <div>  </div>															
Signature date	d	1	d	2	m	0	m	8	y	2	y	0	y	2	y	2

**Presenter information**

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name Lisa O'Connor

Company name PricewaterhouseCoopers LLP

Address NO. 1 Spinningfields, Hardman Street

Post town Manchester

County/Region

Postcode

M

2

3

E

B

Country

DX

Telephone 07808035857

**Checklist**

**We may return forms completed incorrectly or with information missing.**

**Please make sure you have remembered the following:**

- ☐ The company name and number match the information held on the public Register.
- ☐ You have attached the required documents.
- ☐ You have signed the form.

**Important information**

**All information on this form will appear on the public record.**

**Where to send**

**You may return this form to any Companies House address, however for expediency we advise you to return it to the address below:**

The Registrar of Companies, Companies House,  
Crown Way, Cardiff, Wales, CF14 3UZ.  
DX 33050 Cardiff.

**Further information**

For further information please see the guidance notes on the website at [www.gov.uk/companieshouse](http://www.gov.uk/companieshouse) or email [enquiries@companieshouse.gov.uk](mailto:enquiries@companieshouse.gov.uk)

**This form is available in an alternative format. Please visit the forms page on the website at [www.gov.uk/companieshouse](http://www.gov.uk/companieshouse)**

# **NOMURA ALTERNATIVE INVESTMENT MANAGEMENT (EUROPE) LIMITED – IN MEMBERS’ VOLUNTARY LIQUIDATION**

## **PROGRESS REPORT TO MEMBERS PURSUANT TO SECTION 92A OF THE INSOLVENCY ACT 1986 AND RULE 18.7 OF THE INSOLVENCY (ENGLAND AND WALES) RULES 2016 FOR THE PERIOD FROM 15 JUNE 2021 TO 14 JUNE 2022**

**ISSUED ON: 12 AUGUST 2022**

### **INTRODUCTION**

Nomura Alternative Investment Management (Europe) Limited (Entity) entered members’ voluntary liquidation on 15 June 2021. Laura May Waters and Steven Sherry were appointed joint liquidators of the Entity (Liquidators).

This report covers the conduct and progress of the liquidation in the period from 15 June 2021 to 14 June 2022 (Period).

A summary of receipts and payments for this Period is at Appendix A.

The statutory information relating to the Entity and the Liquidators is at Appendix B.

### **REPORT ON THE LIQUIDATION**

#### **Realisation of assets:**

The below table shows the assets listed on the Directors’ Declaration of Solvency as at 15 June 2021, together with a summary of the assets dealt with by the Liquidators in the Period.

<b>Asset</b>	<b>Declaration of Solvency est. to realise \$</b>	<b>Liquidation assets realised in the Period \$</b>
Intercompany Receivables	1	-
<b>Total</b>	<b>1</b>	<b>-</b>

In the Period, an intercompany Receivables of \$1 due from Nomura Europe Holdings Plc, was taken under the control of the Liquidators until distribution.

The Liquidators are not aware of any other assets that remain to be realised.

#### **Settlement of liabilities:**

In the Period, the Liquidators published a notice in the London Gazette inviting creditors to submit details of claims they may have against the Entity. No claims were received as a result of this notice.

The Directors’ Declaration of Solvency showed that the Entity had no liabilities.

The Liquidators have not received any claims in the Period.

#### **HM Revenue & Customs (“HMRC”):**

In the Period, the Liquidators wrote to HMRC to inform them of the liquidation and to request details of any outstanding liabilities.

HMRC has advised they are unable to provide clearance to close the liquidation at this time as there is an open tax enquiry potentially impacting the company. As such the liquidation will remain open until this matter is resolved and HMRC provide clearance to close.

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#### **Distributions to Members:**

No distributions were made during the Period.

A distribution may be made during the course of the liquidation, pending realisation of the remaining assets of the Entity.

#### **LIQUIDATORS’ FEES AND EXPENSES**

##### **Basis of remuneration**

The basis of the Liquidators’ remuneration has been fixed by reference to the time properly given by them and their staff in dealing with the liquidation, in accordance with a resolution dated 15 June 2021

##### **Liquidators’ remuneration and expenses**

Fees and expenses of the liquidation have been paid to PricewaterhouseCoopers LLP by another group company under a separate contractual arrangement. There is no recourse to the liquidation estate.

The Liquidators have separately provided the Member with the information required in accordance with Statement of Insolvency Practice 7.

##### **Members’ rights regarding the Liquidators’ remuneration and expenses**

Any member of the Entity with permission of the court or members of the Entity with at least 5% of the total voting rights of all the members having the right to vote at general meetings of the entity (or permission of the court) are entitled to request further information about our fees and expenses. Such requests need to be made within 21 days of receipt of this report. See Rule 18.9 of the Insolvency (England and Wales) Rules 2016 for further detail.

Any member of the Entity with permission of the court or members of the Entity with at least 10% of the total voting rights of all the members having the right to vote at general meetings of the entity (or permission of the court) are entitled to claim by way of court application that the liquidators’ fees and expenses are excessive. Such applications need to be made within 8 weeks of the receipt of this report. See Rule 18.34 of the Insolvency (England and Wales) Rules 2016 for further detail.

**NOMURA ALTERNATIVE INVESTMENT MANAGEMENT (EUROPE) LIMITED – IN MEMBERS’ VOLUNTARY LIQUIDATION**

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**APPENDIX A**

**ABSTRACT OF RECEIPTS AND PAYMENTS IN THE LIQUIDATION DURING THE PERIOD FROM 15 JUNE 2021 TO 14 JUNE 2022**

	<b>From 15 June 2021 To 14 June 2022</b>
	<b>\$</b>
<b>RECEIPTS</b>	
<b>Total</b>	<hr/> NIL
<b>PAYMENTS</b>	
<b>Total</b>	<hr/> NIL
<b>DISTRIBUTIONS</b>	
<b>Total</b>	<hr/> NIL
<b>TOTAL BALANCE</b>	<hr/> <hr/> <b>NIL</b>

**Note:**

The Liquidators did not operate a bank account during the course of the Liquidation. As such, there are no cash receipts or payments to report on. Please see the assets, liabilities and distributions sections in the main body of the report for details on how the Liquidators dealt with the Entity’s assets and liabilities.

**NOMURA ALTERNATIVE INVESTMENT MANAGEMENT (EUROPE) LIMITED – IN MEMBERS’ VOLUNTARY LIQUIDATION**

**PROGRESS REPORT TO MEMBERS PURSUANT TO SECTION 92A OF THE INSOLVENCY ACT 1986 AND RULE 18.7 OF THE INSOLVENCY (ENGLAND AND WALES) RULES 2016 FOR THE PERIOD FROM 15 JUNE 2021 TO 14 JUNE 2022**

**ISSUED ON: 12 AUGUST 2022**

**APPENDIX B**

**INFORMATION ON THE ENTITY AND THE LIQUIDATORS**

**Entity Details**

**Entity’s registered name:** Nomura Alternative Investment Management (Europe) Limited

**Entity’s registered number:** 07444745

**Postal address of principal place of business** 1 Angel Lane, London, EC4R 3AB

**Liquidators’ Details**

**Liquidators’ names:** Laura May Waters and Steven Sherry

**Liquidators’ postal address:** c/o PricewaterhouseCoopers LLP, 7 More London Riverside, London, SE1 2RT

**Liquidator’ email:** laura.m.waters@pwc.com  
steven.a.sherry@pwc.com

**Nature of appointment:** Members’ voluntary liquidation

*Laura May Waters and Steven Sherry were appointed as joint liquidators of the Entity. They are licensed in the United Kingdom to act as insolvency practitioners by the Institute of Chartered Accountants in England and Wales. The joint liquidators are bound by the Insolvency Code of Ethics which can be found at: <https://www.gov.uk/government/publications/insolvency-practitioner-code-of-ethics>.*

*The Liquidators may act as controllers of personal data as defined by UK data protection law depending upon the specific processing activities undertaken. PricewaterhouseCoopers LLP may act as a processor on the instructions of the Liquidators. Personal data will be kept secure and processed only for matters relating to the liquidation. Further details are available in the privacy statement on the PwC.co.uk website or by contacting the Liquidators.*