# **RP04**

## Second filing of a document previously delivered



What this form is for
You can only use this form to file
a second filing of a document
delivered under the Companies Act
2006 on or after 1 October 2009
that held inaccuracies.

A second filing of a document must only be filed where it is providing corrected information that has been properly delivered but inaccuracies still appear on the register. What this form is NOT for
You cannot use this form to file a
second filing of a document delivered
under the Companies Act 1985 or

the Companier Order 1986 re delivered.

A second filing cannot be filed information th properly delive used in these c For further information, please refer to our guidance at www.gov.uk/companieshouse



A06

26/02/2020 COMPANIES HOUSE

#239

Company	details

Company number 0 7 2 4 0 2 4 8

Company name in full

SHAWBROOK GROUP PLC

→ Filling in this form Please complete in typescript or in bold black capitals.

All fields are mandatory unless specified or indicated by \*

### Applicable documents

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mis ioimi	only applic	es to the i	ollowing for	ms:

AP01 Appointment of director

AP02 Appointment of corporate director

AP03 Appointment of secretary

AP04 Appointment of corporate secretary

CH01 Change of director's details

CH02 Change of corporate director's details

CH03 Change of secretary's details

CH04 Change of corporate secretary's details

TM01 Termination of appointment of director

TM02 Termination of appointment of secretary

SH01 Return of allotment of shares

AR01 Annual Return

CS01 Confirmation statement (Parts 1-4 only)

PSC01 Notice of individual person with significant control (PSC)

PSC02 Notice of relevant legal entity (RLE) with significant control

PSC03 Notice of other registrable person (ORP) with significant control

PSC04 Change of details of individual person with significant control (PSC)

PSC05 Change of details of relevant legal entity (RLE) with significant control

PSC06 Change of details of other registrable person (ORP) with significant

control

PSC07 Notice of ceasing to be a person with significant control (PSC),

relevant legal entity (RLE), or other registrable person (ORP)

PSC08 Notification of PSC statements

PSC09 Update to PSC statements

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3	Description of the o	iginal document	
Document type	Form AP01		Description of the original document Please enter the document type (e.g. a Return of allotment of shares—SH01) and any distinguishing information if more than one document of that type was filed on the same day.
Date of registration of the original documen	$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	y 2 y 0 y 1 y 9	

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### Section 243 or 790ZF Exemption 9

If you are applying for, or have been granted, exemption under section 243 or 790ZF of the Companies Act 2006 and the document(s) you are updating contain(s) your usual residential address, please post this form along with the updated document(s) to the address below:

The Registrar of Companies, PO BOX 4082, Cardiff, CF14 3WE.

If you are currently in the process of applying for or have been granted a Section 243 or 790ZF exemption, you may wish to check that you have not entered your usual residential address as the service address in the accompanying form (e.g. AP01 or CH01).

### **RP04**

#### Second filing of a document previously delivered

## **Presenter information** You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record. Daniel Franklin Shawbrook Bank Limited Address 3rd Floor, 7 Bishopsgate Post town London County/Region Postcode R Ε С 2 3 Country UK DΧ Telephone 01277 757242 Checklist We may return forms completed incorrectly or with information missing.

# Please make sure you have remembered the following:

- ☐ The company name and number match the information held on the public Register.
- You can only use this form to file a second filing of a document delivered to the Registrar of Companies under the Companies Act 2006 on or after
   October 2009 that held inaccuracies.
- October 2009 that held inaccuracies.
- If you are updating a document where you have previously paid a fee, do not send a fee along with this form.
- You have enclosed the second filed document(s).
   If the company to which this document relates has signed up to the PROOF (PROtected Online Filing) scheme, you must also deliver with this form, and the second filed document(s), a PRO3 form 'Consent for paper filing.'

#### Important information

Please note that all information on this form will appear on the public record.

## Where to send

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:

For companies registered in England and Wales: The Registrar of Companies, Companies House, Crown Way, Cardiff, Wales, CF14 3UZ. DX 33050 Cardiff.

For companies registered in Scotland: The Registrar of Companies, Companies House, Fourth floor, Edinburgh Quay 2, 139 Fountainbridge, Edinburgh, Scotland, EH3 9FF. DX ED235 Edinburgh 1 or LP - 4 Edinburgh 2 (Legal Post).

For companies registered in Northern Ireland: The Registrar of Companies, Companies House,

Second Floor, The Linenhall, 32-38 Linenhall Street, Belfast, Northern Ireland, BT2 8BG.
DX 481 N.R. Belfast 1.

#### Section 243 or 790ZF exemption

If you are applying for or have been granted a section 243 or 790ZF exemption, please post this whole form to the different postal address below:
The Registrar of Companies, PO Box 4082, Cardiff, CF14 3WE.

### Further information

For further information, please see the guidance notes on the website at www.gov.uk/companieshouse or email enquiries@companieshouse.gov.uk

This form is available in an alternative format. Please visit the forms page on the website at www.gov.uk/companieshouse



# AP01

# Appointment of director





Go online to file this information www.gov.uk/companieshouse

✓ What this form is for

You may use this form to appoint
an individual as a director.

What this form is NOT for You cannot use the form to appoint a corporate director. To do this, please use form APO2 'Appointment of corporate director'.

For further information, please refer to our guidance at www.gov.uk/companieshouse

Please complete in typescript or in bold black capitals. All fields are mandatory unless specified or indicated by "   Date of director's appointment   50	1	Company details	- ""	
Date of director's appointment  Date of papointment  Date of appointment  Solution of the policy of the papointment  Date of appointment  Solution of the policy of the papointment  Solution of the policy of the papointment of the policy of	Company number	0 7 2 4 0 2 4 8	→ Filling in this form Please complete in typescript or in	
Date of director's appointment  Date of appoint previous and appoint a	Company name in full	SHAWBROOK GROUP PLC		
New director's details   New director's details   New director's details				
New director's details    Former name(s)   Please provide any previous names (including maiden or married names) which have been used for business purposes in the last 20 years.   Commer name(s)   Country/State of esidence   Country/Region   Country/State of esidence   Country/Region   C	2	Date of director's appointment		
Full forename(s)  IAN  COWIE  COUNTE/  Country/State of esidence  Susiness occupation  (if any)  Please provide any previous names, which have been used for business purposes in the last 20 years.  Continue in section 7 if required.  Country/State of esidence  UNITED KINGDOM  Susiness occupation  (if any)  DIRECTOR  Please complete your service address  Please complete your service address below. You must also complete your usual residential address in Section 4a.  New director's service address below. You must also complete your usual residential address in Section 4a.  New Alley HILL BUSINESS PARK  THE DRIVE, GREAT WARLEY  Post town  BRENTWOOD  BRENTWOOD  Please complete your service address or service address below. You must also complete your usual residential address. If you go be your usual residential address in Section 4a.  Please state The Company's Registered Office if your service address is recorded in the company's registered office. If you provide your residential address are recompany's registered office. If you provide your residential address are recompany's registered office. If you provide your residential address are recompany's registered office. If you provide your residential address have it will appear on the public record. This does not have to be your usual residential address. If you provide your usual residential address. If you provide your residential address. If you provide your residential address have it will appear on the public record.	Date of appointment	$\begin{bmatrix} \frac{1}{0} & \frac{1}{8} & \frac{m}{0} & \frac{m}{2} & \frac{y_2}{2} & \frac{y_0}{1} & \frac{y_1}{9} \end{bmatrix}$		
fitle* MR  Full forename(s) IAN  Surname COWIE  Former name(s) O  Country/State of residence O  Nationality BRITISH  Month/year of birth O  Susiness occupation (if any) O  Please complete your service address O  Please complete your service address Selow. You must also complete your usual residential address in Section 4a.  New director's service address O  Building name/number LUTEA HOUSE  Street WARLEY HILL BUSINESS PARK  THE DRIVE, GREAT WARLEY  Postcode C M 1 3 B E   (including maiden or married names) which have been used for business purposes in the last 20 years.  Continue in section 7 if required.  O Country/State of residence This is in sepect of your usual residential address as stated in section 4a.  O Business occupation (if you have a business occupation, please enter here. If you do not, please leave blank.  O Service address  This is the address that will appear on the public record. This does not have to be your usual residential address.  The DRIVE, GREAT WARLEY  Post town BRENTWOOD  Country/Region ESSEX  Postcode C M 1 3 B E	3	New director's details		
Former name(s) IAN  COWIE  Former name(s) O  Country/State of esidence O  Nationality  BRITISH  Month/year of birth  Please provide month and year of birth Please provide month and year only. Provide full date of birth in section 3a.  Business occupation (if any) O  Please complete your service address O  Please complete your service address below. You must also complete your usual residential address in Section 4a.  New director's service address O  Please complete your service address below. You must also complete your usual residential address in Section 4a.  Building name/number  LUTEA HOUSE  WARLEY HILL BUSINESS PARK  THE DRIVE, GREAT WARLEY  Post town  BRENTWOOD  County/Region  ESSEX  Oottode  C M 1 3 3 8 E   Continue in section 7 if required.  Country/State of residence This is in section 7 if required.  Ocuntry/State of residence This is in section 4a.  South and year of birth Please provide month and year only. Provide full date of birth in section 3a.  Susiness occupation If you have a business occupation If you on not, please enter here. If you do not, please leave blank.  Service address This is the address that will appear on the public record. This does not have to be your usual residential address.  Please state 'The Company's Registered Office' if your service address is recorded in the company's register of directors as the company's register of tive will appear on the public record.	Title*	MR	(including maiden or married names)	
Country/State of residence This is in respect of your usual residential address as stated in section 4a.  Month/year of birth BRITISH  Month/year of birth DIRECTOR  Business occupation (if any) DIRECTOR  Please complete your service address below. You must also complete your usual residential address that will appear on the public record. This is in respect of your usual residential address that will appear on the public record.  Business occupation  If you have a business occupation, please enter here. If you do not, please leave blank.  Business occupation  If you have a business occupation, please enter here. If you do not, please leave blank.  Business occupation  If you have a business occupation, please enter here. If you do not, please leave blank.  Business occupation  If you have a business occupation, please enter here. If you do not, please leave blank.  Business occupation  If you do not, please leave blank.  Business occupation  If you have a business occupation, please enter here. If you do not, please leave blank.  Business occupation  If you have a business occupation, please enter here. If you do not, please leave blank.  Business occupation  If you have a business occupation, please enter here. If you do not, please leave blank.  Business occupation  If you have a business occupation, please enter here. If you do not, please leave blank.  Business occupation  If you do not, please leave blank.  Business occupation  If you do not, please leave blank.  Business occupation  If you do not, please leave blank.  Business occupation  If you do not, please leave blank.  Business occupation  If you do not, please leave blank.  Business occupation  If you do not, please leave blank.  Business occupation  If you do not, please leave blank.  Business occupation  If you do not, please leave blank.  Business occupation  If you do not, please leave blank.  Business occupation  If you do not, please leave blank.  Business occupation  If you do not, please leave blank.  Business occupation  If you do not, plea	Full forename(s)	IAN	· •	
This is in respect of your usual residential address as stated in section 4a.  BRITISH  Month/year of birth  BRITISH  Business occupation (if any)  Please complete your service address  Please complete your service address below. You must also complete your usual residential address that will appear on the public record. This does not have to be your usual residential address. If you provide full daddress is recidential address.  Business occupation  If you have a business occupation, please enter here. If you do not, please leave blank.  Please complete your service address below. You must also complete your usual residential address in Section 4a.  Busilding name/number  LUTEA HOUSE  WARLEY HILL BUSINESS PARK  THE DRIVE, GREAT WARLEY  Post town  BRENTWOOD  County/Region  ESSEX  Postcode  C M 1 3 B E	Surname	Continue in section 7 if required		
Section 4a.    Section 4a.	Former name(s) •		This is in respect of your usual	
Month/year of birth   Month and year of birth   Please provide month and year only. Provide full date of birth in section 3a.  Business occupation   If you have a business occupation, please enter here. If you do not, please leave blank.  Please complete your service address below. You must also complete your usual residential address in Section 4a.  Building name/number   LUTEA HOUSE   MARLEY HILL BUSINESS PARK   THE DRIVE, GREAT WARLEY    Month and year of birth   Please provide month and year only. Provide full date of birth in section 3a.  Business occupation   If you have a business occupation, please leave blank.   Service address   This is the address that will appear on the public record. This does not have to be your usual residential address.  Please state 'The Company's Registered Office' if your service address is recorded in the company's registered office of irectors as the company's registered office. If you provide your residential address here it will appear on the public record.	Country/State of residence	UNITED KINGDOM	residential address as stated in	
Month/year of birth    Month/year of birth    DIRECTOR	Nationality			
If you have a business occupation, please enter here. If you do not, please leave blank.  New director's service address   Please complete your service address below. You must also complete your usual residential address in Section 4a.  Building name/number  LUTEA HOUSE  WARLEY HILL BUSINESS PARK  THE DRIVE, GREAT WARLEY  Post town  BRENTWOOD  County/Region  ESSEX  Postcode  C M 1 3 3 B E  If you have a business occupation, please enter here. If you do not, please enter here. I	Month/year of birth <sup>3</sup>	$X  X  \boxed{\stackrel{m}{0}}  \boxed{\stackrel{m}{7}}  \boxed{\stackrel{y}{1}}  \boxed{\stackrel{y}{9}}  \boxed{\stackrel{y}{6}}  \boxed{\stackrel{y}{0}}$	Provide full date of birth in	
Please complete your service address below. You must also complete your usual residential address in Section 4a.  Building name/number  LUTEA HOUSE  WARLEY HILL BUSINESS PARK  THE DRIVE, GREAT WARLEY  Post town  BRENTWOOD  County/Region  ESSEX  Costcode  C M 1 3 3 B E   Service address This is the address that will appear on the public record. This does not have to be your usual residential address.  Please state 'The Company's Registered Office' if your service address is recorded in the company's register of directors as the company's registered office.  If you provide your residential address here it will appear on the public record.	Business occupation (if any) <b>⊙</b>	DIRECTOR	If you have a business occupation, please enter here. If you do not,	
residential address in Section 4a.  Building name/number  LUTEA HOUSE  THE DRIVE, GREAT WARLEY  Post town  BRENTWOOD  County/Region  ESSEX  To M 1 3 3 B E  This is the address that will appear on the public record. This does not have to be your usual residential address.  Please state 'The Company's Registered Office' if your service address is recorded in the company's register of directors as the company's registered office.  If you provide your residential address here it will appear on the public record.	4	New director's service address <sup>©</sup>		
Building name/number LUTEA HOUSE  WARLEY HILL BUSINESS PARK  THE DRIVE, GREAT WARLEY  Post town  BRENTWOOD  County/Region  ESSEX  Postcode  C M 1 3 3 B E  have to be your usual residential address.  Please state 'The Company's Registered Office' if your service address is recorded in the company's register of directors as the company's registered office.  If you provide your residential address here it will appear on the public record.			This is the address that will appear	
WARLEY HILL BUSINESS PARK  THE DRIVE, GREAT WARLEY  Post town  BRENTWOOD  County/Region  ESSEX  Postcode  C M 1 3 B E  Please state 'The Company's Registered Office' if your service address is recorded in the company's register of directors as the company's registered office.  If you provide your residential address here it will appear on the public record.	Building name/number	LUTEA HOUSE	have to be your usual residential	
THE DRIVE, GREAT WARLEY  Post town  BRENTWOOD  County/Region  ESSEX  Postcode  C M 1 3 3 B E  Registered Office' if your service address is recorded in the company's register of directors as the company's registered office.  If you provide your residential address here it will appear on the public record.	Street	WARLEY HILL BUSINESS PARK		
Post town BRENTWOOD register of directors as the company's registered office.  County/Region ESSEX  Postcode C M 1 3 3 B E  The provide your residential address here it will appear on the public record.		THE DRIVE, GREAT WARLEY	Registered Office' if your service	
County/Region ESSEX  Postcode C M 1 3 B E  If you provide your residential address here it will appear on the public record.	Post town	BRENTWOOD	register of directors as the	
Osicode C M 1 3 B E public record.	County/Region	ECCEV		
Country ENGLAND	Postcode	C M 1 3 B E	address here it will appear on the	
	Country	ENGLAND		

	APO1 Appointment of director	
	Appointment of director	
5	Consent to act as director	
	Please tick the box to confirm consent.  The company confirms that the person named in section 3 has consented to act as a director of the company named in section 1.	
6	Signature	·
	I am signing this form on behalf of the company.	Societas Europaea
Signature	This form may be signed and sutherized by	If the form is being filed on behalf of a Societas Europaea (SE) please delete 'director' and insert details of which organ of the SE the person signing has membership.  Person authorised
	This form may be signed and authorised by: Director ●, Secretary, Person authorised ᢀ, Administrator, Administrative Receiver, Receiver, Receiver manager, Charity commission receiver and manager, CIC manager, Judicial factor.	Under either section 270 or 274 of the Companies Act 2006.
7	Additional former names (continued from Section 3)	
Former names <b>9</b>		Additional former names     Use this space to enter any     additional names.

## Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name	DANIEL FRANKLIN	
Company name	SHAWBROOK BANK LIMITED	
Address	3RD FLOOR, 7 BISHOPSGATE	
Post town	LONDON	
County/Region		
Postcode	E C 2 N 3 A R	
Country	UK	
DX		
Telephone	01277 757242	

#### ✓ Checklist

We may return forms completed incorrectly or with information missing.

# Please make sure you have remembered the following:

- The company name and number match the information held on the public Register.
- You have completed the date of appointment.
- You have included all former names used for business purposes over the last 20 years.
- You have completed the nationality box.
- Sou have provided the month and year of birth in section 3.
- You have provided a business occupation if you have one.
- You have provided your full date of birth in section 3a.
- ☐ You have provided both the service address and the usual residential address.
- ☐ Addresses must be a physical location. They cannot be a PO Box number (unless part of a full service address), DX or LP (Legal Post in Scotland) number.
- You have enclosed a relevant section 243
   application if applying for this at the same time as completing this form.
- ☐ You have ticked the consent to act statement.
- You have signed the form.

### Important information

Please note that all information on this form will appear on the public record, apart from information relating to usual residential addresses and day of birth.

## Where to send

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:

For companies registered in England and Wales: The Registrar of Companies, Companies House, Crown Way, Cardiff, Wales, CF14 3UZ. DX 33050 Cardiff.

For companies registered in Scotland: The Registrar of Companies, Companies House, Fourth floor, Edinburgh Quay 2, 139 Fountainbridge, Edinburgh, Scotland, EH3 9FF. DX ED235 Edinburgh 1 or LP - 4 Edinburgh 2 (Legal Post).

For companies registered in Northern Ireland: The Registrar of Companies, Companies House, Second Floor, The Linenhall, 32-38 Linenhall Street, Belfast, Northern Ireland, BT2 8BG. DX 481 N.R. Delfast 1.

Section 243 exemption

If you are applying for, or have been granted a section 243 exemption, please post this whole form to the different postal address below:
The Registrar of Companies, PO Box 4082, Cardiff, CF14 3WE.

#### Further information

For further information please see the guidance notes on the website at www.gov.uk/companieshouse or email enquiries@companieshouse.gov.uk

This form is available in an alternative format. Please visit the forms page on the website at www.gov.uk/companieshouse