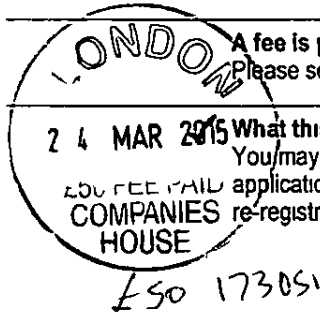


# RR01

Laserform

## Application by a private company for re-registration as a public company

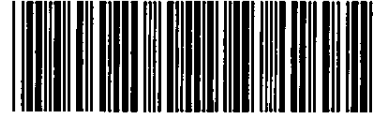


A fee is payable with this form.  
Please see 'How to pay' on the last page

**What this form is for**  
You may use this form to make an  
application by a private company for  
re-registration as a public company

**X What this form is NOT for**  
You cannot use this form to make  
an application by a private limited  
company for re-registration as a  
private unlimited company

TUESDAY



LD1

\*L43VOPQH\*

24/03/2015

#26

COMPANIES HOUSE

### Part 1

**A1**

#### Company details

Company number 0 7 2 4 0 2 4 8

Company name in full Shawbrook Group Limited

→ Filling in this form  
Please complete in typescript or in  
bold black capitals

All fields are mandatory unless  
specified or indicated by \*

**A2**

#### Re-registration

The above company applies to be re-registered as a public company by the  
name of.

Full name of  
re-registered company  
① Shawbrook Group plc

① Name  
Please insert the full name of the  
company including the appropriate  
name ending for a public company

② The balance sheet must be made up  
to a date not more than 7 months  
before the date of this application.

and for that purpose delivers the following documents for re-registration

- 1 A copy of the special resolution that the company should re-register as a public limited company (unless previously delivered)
- 2 A printed copy of the articles as proposed to be amended
- 3 A copy of the auditors written statement in relation to section 92(1)(c) of the Companies Act 2006
- 4 A copy of the relevant balance sheet ②
- 5 A copy of the auditors unqualified report
- 6 If applicable, a copy of the valuation report in accordance with section 93(2)(a) of the Companies Act 2006
- 7 If there is no company secretary appointed, a statement of the company's proposed secretary in accordance with section 95 of the Companies Act 2006 needs to be completed. Please complete the secretary details in Part 2

**A3**

#### Authorised minimum share capital

- The above company confirms that
- 1 the aggregate nominal value of the company's share capital is not less than the authorised minimum
  - 2 the company is designating its authorised minimum capital in ③  
☒ Sterling  
☐ Euros

③ One of the boxes only need to be  
ticked where the company satisfies  
the authorised minimum in both  
Sterling and Euros

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Application by a private company for re-registration as a public company

**Part 2 Statement of proposed secretary**

To be completed only where the company that is being re-registered does not have a secretary

For a secretary who is an individual, go to B1, for a corporate secretary, go to C1.

**Secretary****B1****Secretary appointment ①**

Title *	Mr
Full forename(s)	Daniel
Surname	Rushbrook
Former name(s) ②	

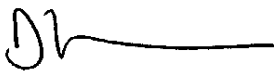
- ① **Corporate appointment**  
For a corporate secretary, please complete sections C1-C5 instead of Section B
- ② **Former names**  
Please provide any previous names which have been used for business purposes in the past 20 years  
Marrried women do not need to give former names unless previously used for business purposes.

**B2****Secretary's service address ③**

	Please complete the service address below	
Building name/number	Lutea House	
Street	Warley Hill Business Park, The Drive	
	Great Warley	
Post town	Brentwood	
County/Region	Essex	
Postcode	C M 1 3 3 B E	
Country	United Kingdom	

- ③ **Service address**  
This is the address that will appear on the public record. This does not have to be your usual residential address.  
Please state the 'Company's Registered Office' if your service address is recorded in the company's register of secretaries as the company's registered office.  
If you provide your residential address here it will appear on the public record.

**B3****Signature ④**

	I consent to act as secretary of this company	
Signature	Signature X  X	

- ④ **Signature**  
The person named above consents to act as secretary

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Application by a private company for re-registration as a public company

**Corporate secretary****C1 Corporate secretary appointment**

Name of corporate body or firm		<b>Registered or principal address</b> This is the address that will appear on the public record. This address must be a physical location for the delivery of documents. It cannot be a PO box number (unless contained within a full address), DX number or LP (Legal Post in Scotland) number.
Building name/number		
Street		
Post town		
County/Region		
Postcode		
Country		

**C2 Location of the registry of the corporate body or firm**

Is the corporate secretary registered within the European Economic Area (EEA)?

→ Yes Complete Section C3 only.

→ No Complete Section C4 only.



**C3 European Economic Area companies ①**

Please give details of the place where the company file is kept (including the relevant state) and the registration number in that register		<b>① EEA</b> A full list of countries of the EEA can be found in our guidance at <a href="http://www.companieshouse.gov.uk">www.companieshouse.gov.uk</a>  <b>②</b> This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC)
Where the company/firm was registered ②		
Registration number		

**C4 Non-European Economic Area companies**

Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register		<b>③ Non-EEA</b> Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register.
Legal form of the corporate body or firm		
Governing law		
If applicable, where the company/firm is registered ③		
If applicable, the registration number		

**C5 Signature ④**

I consent to act as secretary of this company		<b>④ Signature</b> The corporate body named above consents to act as corporate secretary.
Signature	Signature  	

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Application by a private company for re-registration as a public company

## Part 3 Statement of compliance

D1

### Signature

I am signing this form on behalf of the company and confirm that the requirements of Part 7 of the Companies Act 2006 as to the re-registration as a public company have been complied with

Signature

Signature

X 

X

This form may be signed by  
Director ❶, Secretary, Person authorised ❷, CIC manager

❶ **Societas Europaea**

If the form is being filed on behalf of a Societas Europaea (SE) please delete 'director' and insert details of which organ of the SE the person signing has membership

❷ **Person authorised**

Under either section 270 or 274 of the Companies Act 2006

# RR01

Application by a private company for re-registration as a public company

## Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name **Paul Mudie**

Company name  
**Slaughter and May**

Address **One Bunhill Row**

Post town

Country/Region **London**

Postcode **E C 1 Y 8 Y Y**

Country **UK**

DX

Telephone **020 7090 3973**

## Checklist

We may return forms completed incorrectly or with information missing.

Please make sure you have remembered the following:

- ☒ [x] The company name and number match the information held on the public Register
- ☒ [x] You have provided details in section A2 of the new company name following re-registration
- ☒ [x] You have provided the supporting documentation as required in section A2 of this form
- ☒ [x] If you have provided details of a secretary, the address must be a physical location. It cannot be a PO Box number (unless part of a full address), DX or LP (Legal Post in Scotland) number
- ☒ [x] You have signed the form
- ☒ [x] You have enclosed the correct fee

## Important information

Please note that all information on this form will appear on the public record.

## How to pay

A fee of £20 is payable to Companies House in respect of an application to re-register.

Make cheques or postal orders payable to 'Companies House'

## Where to send

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:

**For companies registered in England and Wales:**  
The Registrar of Companies, Companies House,  
Crown Way, Cardiff, Wales, CF14 3UZ  
DX 33050 Cardiff

**For companies registered in Scotland:**  
The Registrar of Companies, Companies House,  
Fourth floor, Edinburgh Quay 2,  
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF  
DX ED235 Edinburgh 1  
or LP - 4 Edinburgh 2 (Legal Post)

**For companies registered in Northern Ireland:**  
The Registrar of Companies, Companies House,  
Second Floor, The Linenhall, 32-38 Linenhall Street,  
Belfast, Northern Ireland, BT2 8BG  
DX 481 N R Belfast 1

## Further information

For further information, please see the guidance notes on the website at [www.companieshouse.gov.uk](http://www.companieshouse.gov.uk) or email [enquiries@companieshouse.gov.uk](mailto:enquiries@companieshouse.gov.uk)

This form is available in an alternative format. Please visit the forms page on the website at [www.companieshouse.gov.uk](http://www.companieshouse.gov.uk)