



Companies House
— for the record —

AR01 (ef)

Annual Return



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Company Name: **PULMAGEN THERAPEUTICS (INFLAMMATION) LIMITED**

Company Number: **07087533**

Date of this return: **26/11/2012**

SIC codes: **72190**

Company Type: **Private company limited by shares**

Situation of Registered Office: **THE COACH HOUSE GRENVILLE COURT BRITWELL ROAD
BURNHAM
SLOUGH
UNITED KINGDOM
SL1 8DF**

Officers of the company

Company Secretary 1

Type: **Person**
Full forename(s): **COLIN GRAHAM**

Surname: **KNOX**

Former names:

Service Address recorded as Company's registered office

Company Director 1

Type: **Person**
Full forename(s): **DR CHRISTOPHER PHILIP**

Surname: **ASHTON**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: **09/02/1960** Nationality: **BRITISH**
Occupation: **COMPANY DIRECTOR**

Company Director **2**

Type: **Person**
Full forename(s): **DR HARRY**

Surname: **FINCH**

Former names:

Service Address: **FULMER HALL WINDMILL ROAD
FULMER
SLOUGH
BERKSHIRE
SL3 6HD**

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: **28/11/1948** *Nationality:* **BRITISH**
Occupation: **RESEARCH SCIENTIST**

Company Director **3**

Type: **Person**
Full forename(s): **DR MARY FRANCES**

Surname: **FITZGERALD**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: **13/01/1960** *Nationality:* **BRITISH**

Occupation: **RESEARCH SCIENTIST**

Company Director **4**

Type: **Person**
Full forename(s): **IAN FLETCHER**

Surname: **KENT**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: **16/03/1944** *Nationality:* **BRITISH**

Occupation: **NONE**

Company Director **5**

Type: **Person**

Full forename(s): **COLIN GRAHAM**

Surname: **KNOX**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: **14/02/1971**

Nationality: **BRITISH**

Occupation: **ACCOUNTANT**

Company Director **6**

Type: **Person**
Full forename(s): **DR STEPHEN THOMAS**

Surname: **REEDERS**

Former names:

Service Address: **6 HENRIETTA STREET
LONDON
UNITED KINGDOM
WC2E 8PU**

Country/State Usually Resident: **UNITED STATES AF AMERICA**

Date of Birth: **21/05/1953** *Nationality:* **BRITISH**

Occupation: **VENTURE CAPITALIST**

Company Director 7

Type: **Person**
Full forename(s): **HUGO ALEXANDER**

Surname: **SLOOTWEG**

Former names:

Service Address: **FULMER HALL WINDMILL ROAD
FULMER
SLOUGH
BERKSHIRE
SL3 6HD**

Country/State Usually Resident: **THE NETHERLANDS**

Date of Birth: **29/09/1968** *Nationality:* **DUTCH**
Occupation: **VENTURE CAPITALIST**

Statement of Capital (Share Capital)

Class of shares	ORDINARY	<i>Number allotted</i>	16703271
		<i>Aggregate nominal value</i>	167032.71
<i>Currency</i>	GBP	<i>Amount paid per share</i>	0.01
		<i>Amount unpaid per share</i>	0

Prescribed particulars

(A) EACH SHARE IS ENTITLED TO ONE VOTE IN ANY CIRCUMSTANCES (B) EACH SHARE HAS AN EQUAL RIGHT TO PARTICIPATE IN DISTRIBUTIONS OF INCOME (C) EACH SHARE HAS AN EQUAL RIGHT TO PARTICIPATE IN DISTRIBUTIONS OF CAPITAL BUT ALL SHARES RANK AFTER DISTRIBUTIONS TO PREFERENCE SHAREHOLDERS (D) NO SHARE IS REDEEMABLE

Class of shares	A PREFERENCE	<i>Number allotted</i>	17020680
		<i>Aggregate nominal value</i>	170206.8
<i>Currency</i>	GBP	<i>Amount paid per share</i>	0.01
		<i>Amount unpaid per share</i>	0

Prescribed particulars

(A) NO SHARE HAS A RIGHT TO VOTE EXCEPT IN CLASS MEETINGS (B) NO SHARE HAS ANY RIGHT TO DISTRIBUTIONS OF INCOME (C) EACH SHARE HAS AN EQUAL RIGHT TO PARTICIPATE IN DISTRIBUTIONS OF CAPITAL TO A MAXIMUM OF £1 PER SHARE AND RANKS AHEAD OF B PREFERENCE AND ORDINARY SHARES (D) NO SHARE IS REDEEMABLE

Class of shares	B PREFERENCE	<i>Number allotted</i>	7443918
		<i>Aggregate nominal value</i>	74439.18
<i>Currency</i>	GBP	<i>Amount paid per share</i>	0.01
		<i>Amount unpaid per share</i>	0

Prescribed particulars

(A) NO SHARE HAS A RIGHT TO VOTE EXCEPT IN CLASS MEETINGS (B) NO SHARE HAS ANY RIGHT TO DISTRIBUTIONS OF INCOME (C) EACH SHARE HAS AN EQUAL RIGHT TO PARTICIPATE IN DISTRIBUTIONS OF CAPITAL TO A MAXIMUM OF £1 PER SHARE AND RANKS AFTER A PREFERENCE SHARES BUT BEFORE ORDINARY SHARES (D) NO SHARE IS REDEEMABLE

Statement of Capital (Totals)

<i>Currency</i>	GBP	<i>Total number of shares</i>	41167869
		<i>Total aggregate nominal value</i>	411678.69

Full Details of Shareholders

The details below relate to individuals / corporate bodies that were shareholders as at 26/11/2012 or that had ceased to be shareholders since the made up date of the previous Annual Return

A full list of shareholders for the company are shown below

<i>Shareholding 1</i> <i>Name:</i>	: 26682 ORDINARY shares held as at the date of this return TREVOR SMYTHE ABRAM
<i>Shareholding 2</i> <i>Name:</i>	: 39080 B PREFERENCE shares held as at the date of this return TREVOR SMYTHE ABRAM
<i>Shareholding 3</i> <i>Name:</i>	: 5000 ORDINARY shares held as at the date of this return JANET ANN ARCHER
<i>Shareholding 4</i> <i>Name:</i>	: 7323 B PREFERENCE shares held as at the date of this return JANET ANN ARCHER
<i>Shareholding 5</i> <i>Name:</i>	: 385655 ORDINARY shares held as at the date of this return CHRISTOPHER PHILIP ASHTON
<i>Shareholding 6</i> <i>Name:</i>	: 470165 B PREFERENCE shares held as at the date of this return CHRISTOPHER PHILIP ASHTON
<i>Shareholding 7</i> <i>Name:</i>	: 1415 ORDINARY shares held as at the date of this return GERALD AVISON
<i>Shareholding 8</i> <i>Name:</i>	: 1827 A PREFERENCE shares held as at the date of this return GERALD AVISON
<i>Shareholding 9</i> <i>Name:</i>	: 244 B PREFERENCE shares held as at the date of this return GERALD AVISON
<i>Shareholding 10</i> <i>Name:</i>	: 4925 ORDINARY shares held as at the date of this return PETER J BARNES
<i>Shareholding 11</i> <i>Name:</i>	: 1209 A PREFERENCE shares held as at the date of this return PETER J BARNES
<i>Shareholding 12</i> <i>Name:</i>	: 6004 B PREFERENCE shares held as at the date of this return PETER J BARNES
<i>Shareholding 13</i>	: 184701 ORDINARY shares held as at the date of this return

Name: ANTHONY GERARD MARTIN BARRETT

Shareholding 14 : 45350 A PREFERENCE shares held as at the date of this return
Name: ANTHONY GERARD MARTIN BARRETT

Shareholding 15 : 225175 B PREFERENCE shares held as at the date of this return
Name: ANTHONY GERARD MARTIN BARRETT

Shareholding 16 : 185074 ORDINARY shares held as at the date of this return
Name: ANTHONY DAVID BAXTER

Shareholding 17 : 71974 A PREFERENCE shares held as at the date of this return
Name: ANTHONY DAVID BAXTER

Shareholding 18 : 199097 B PREFERENCE shares held as at the date of this return
Name: ANTHONY DAVID BAXTER

Shareholding 19 : 6090 ORDINARY shares held as at the date of this return
Name: NEIL BAYLISS

Shareholding 20 : 1424 A PREFERENCE shares held as at the date of this return
Name: NEIL BAYLISS

Shareholding 21 : 7495 B PREFERENCE shares held as at the date of this return
Name: NEIL BAYLISS

Shareholding 22 : 4634 ORDINARY shares held as at the date of this return
Name: MANDY CHRISTINE BESWICK

Shareholding 23 : 6787 B PREFERENCE shares held as at the date of this return
Name: MANDY CHRISTINE BESWICK

Shareholding 24 : 3407 ORDINARY shares held as at the date of this return
Name: PAUL MATTHEW BLANEY

Shareholding 25 : 4990 B PREFERENCE shares held as at the date of this return
Name: PAUL MATTHEW BLANEY

Shareholding 26 : 1000 ORDINARY shares held as at the date of this return
Name: HELEN KATHERINE BOFFEY

Shareholding 27 : 1464 B PREFERENCE shares held as at the date of this return
Name: HELEN KATHERINE BOFFEY

Shareholding 28 : 1000 ORDINARY shares held as at the date of this return

Name: RAYMOND JOHN BOFFEY

Shareholding 29 : 1464 B PREFERENCE shares held as at the date of this return
Name: RAYMOND JOHN BOFFEY

Shareholding 30 : 4313 ORDINARY shares held as at the date of this return
Name: WALTER BORDOGNA

Shareholding 31 : 6317 B PREFERENCE shares held as at the date of this return
Name: WALTER BORDOGNA

Shareholding 32 : 12313 ORDINARY shares held as at the date of this return
Name: COLIN BRIGHT

Shareholding 33 : 15011 B PREFERENCE shares held as at the date of this return
Name: COLIN BRIGHT

Shareholding 34 : 35360 ORDINARY shares held as at the date of this return
Name: STEPHEN BROWN

Shareholding 35 : 51790 B PREFERENCE shares held as at the date of this return
Name: STEPHEN BROWN

Shareholding 36 : 22430 ORDINARY shares held as at the date of this return
Name: BRENDA JOY BURTON

Shareholding 37 : 31423 A PREFERENCE shares held as at the date of this return
Name: BRENDA JOY BURTON

Shareholding 38 : 10756 B PREFERENCE shares held as at the date of this return
Name: BRENDA JOY BURTON

Shareholding 39 : 25895 ORDINARY shares held as at the date of this return
Name: DAVID EDWARD CLARK

Shareholding 40 : 22125 A PREFERENCE shares held as at the date of this return
Name: DAVID EDWARD CLARK

Shareholding 41 : 16569 B PREFERENCE shares held as at the date of this return
Name: DAVID EDWARD CLARK

Shareholding 42 : 13364 ORDINARY shares held as at the date of this return
Name: PAUL JONATHAN CLEWLOW

Shareholding 43 : 19573 B PREFERENCE shares held as at the date of this return

Name: PAUL JONATHAN CLEWLOW

Shareholding 44 : 2324 ORDINARY shares held as at the date of this return
Name: DAVID CHARLES CONNELL

Shareholding 45 : 3016 A PREFERENCE shares held as at the date of this return
Name: DAVID CHARLES CONNELL

Shareholding 46 : 386 B PREFERENCE shares held as at the date of this return
Name: DAVID CHARLES CONNELL

Shareholding 47 : 4000 ORDINARY shares held as at the date of this return
Name: CHARLES COOMBES

Shareholding 48 : 5858 B PREFERENCE shares held as at the date of this return
Name: CHARLES COOMBES

Shareholding 49 : 2281953 ORDINARY shares held as at the date of this return
Name: COÖPERATIEVE AAC LS UA

Shareholding 50 : 3184594 A PREFERENCE shares held as at the date of this return
Name: COÖPERATIEVE AAC LS UA

Shareholding 51 : 157703 B PREFERENCE shares held as at the date of this return
Name: COÖPERATIEVE AAC LS UA

Shareholding 52 : 10454 ORDINARY shares held as at the date of this return
Name: ROGER COX

Shareholding 53 : 15311 B PREFERENCE shares held as at the date of this return
Name: ROGER COX

Shareholding 54 : 7434 ORDINARY shares held as at the date of this return
Name: PETER HEADLEY CRACKETT

Shareholding 55 : 19004 A PREFERENCE shares held as at the date of this return
Name: PETER HEADLEY CRACKETT

Shareholding 56 : 458 B PREFERENCE shares held as at the date of this return
Name: PETER HEADLEY CRACKETT

Shareholding 57 : 2757 ORDINARY shares held as at the date of this return
Name: SUSAN MARY CRAMP

Shareholding 58 : 5294 A PREFERENCE shares held as at the date of this return

Name: SUSAN MARY CRAMP

Shareholding 59 : 236 B PREFERENCE shares held as at the date of this return
Name: SUSAN MARY CRAMP

Shareholding 60 : 45441 ORDINARY shares held as at the date of this return
Name: DONALD DALEY

Shareholding 61 : 59445 B PREFERENCE shares held as at the date of this return
Name: DONALD DALEY

Shareholding 62 : 7236 ORDINARY shares held as at the date of this return
Name: STEPHEN DALY

Shareholding 63 : 4562 A PREFERENCE shares held as at the date of this return
Name: STEPHEN DALY

Shareholding 64 : 7637 B PREFERENCE shares held as at the date of this return
Name: STEPHEN DALY

Shareholding 65 : 3436 ORDINARY shares held as at the date of this return
Name: STEPHEN JOHN DUNSDON

Shareholding 66 : 5032 B PREFERENCE shares held as at the date of this return
Name: STEPHEN JOHN DUNSDON

Shareholding 67 : 9496 ORDINARY shares held as at the date of this return
Name: PAUL ROBERT EASTWOOD

Shareholding 68 : 6020 A PREFERENCE shares held as at the date of this return
Name: PAUL ROBERT EASTWOOD

Shareholding 69 : 7889 B PREFERENCE shares held as at the date of this return
Name: PAUL ROBERT EASTWOOD

Shareholding 70 : 184701 ORDINARY shares held as at the date of this return
Name: CHRISTOPHER RICHARD WATKIN EDWARDS

Shareholding 71 : 45350 A PREFERENCE shares held as at the date of this return
Name: CHRISTOPHER RICHARD WATKIN EDWARDS

Shareholding 72 : 225175 B PREFERENCE shares held as at the date of this return
Name: CHRISTOPHER RICHARD WATKIN EDWARDS

Shareholding 73 : 1354 ORDINARY shares held as at the date of this return

Name: **CHARLES WALTER ELLWOOD**

Shareholding 74 : **3038 A PREFERENCE shares held as at the date of this return**
Name: **CHARLES WALTER ELLWOOD**

Shareholding 75 : **123 B PREFERENCE shares held as at the date of this return**
Name: **CHARLES WALTER ELLWOOD**

Shareholding 76 : **47794 ORDINARY shares held as at the date of this return**
Name: **ETV CAPITAL SA**

Shareholding 77 : **70000 A PREFERENCE shares held as at the date of this return**
Name: **ETV CAPITAL SA**

Shareholding 78 : **2 B PREFERENCE shares held as at the date of this return**
Name: **ETV CAPITAL SA**

Shareholding 79 : **2941 ORDINARY shares held as at the date of this return**
Name: **GWEN EYRE**

Shareholding 80 : **1000 A PREFERENCE shares held as at the date of this return**
Name: **GWEN EYRE**

Shareholding 81 : **3307 B PREFERENCE shares held as at the date of this return**
Name: **GWEN EYRE**

Shareholding 82 : **1206971 ORDINARY shares held as at the date of this return**
Name: **FCPR GENAVENT**

Shareholding 83 : **1577353 A PREFERENCE shares held as at the date of this return**
Name: **FCPR GENAVENT**

Shareholding 84 : **190455 B PREFERENCE shares held as at the date of this return**
Name: **FCPR GENAVENT**

Shareholding 85 : **21821 ORDINARY shares held as at the date of this return**
Name: **GARRY FENTON**

Shareholding 86 : **31960 B PREFERENCE shares held as at the date of this return**
Name: **GARRY FENTON**

Shareholding 87 : **33021 ORDINARY shares held as at the date of this return**
Name: **JUSTIAN CRAIG FOX**

Shareholding 88 : **17352 A PREFERENCE shares held as at the date of this return**

Name: JUSTIAN CRAIG FOX

Shareholding 89 : 31013 B PREFERENCE shares held as at the date of this return
Name: JUSTIAN CRAIG FOX

Shareholding 90 : 100 ORDINARY shares held as at the date of this return
Name: SOPHIE GARDAN

Shareholding 91 : 146 B PREFERENCE shares held as at the date of this return
Name: SOPHIE GARDAN

Shareholding 92 : 40967 ORDINARY shares held as at the date of this return
Name: PHILIP JOHN GARDINER

Shareholding 93 : 60002 B PREFERENCE shares held as at the date of this return
Name: PHILIP JOHN GARDINER

Shareholding 94 : 8193 ORDINARY shares held as at the date of this return
Name: GATX EUROPEAN VENTURE FINANCE LIMITED

Shareholding 95 : 12000 A PREFERENCE shares held as at the date of this return
Name: GATX EUROPEAN VENTURE FINANCE LIMITED

Shareholding 96 : 2856 ORDINARY shares held as at the date of this return
Name: YANN GRIFFON

Shareholding 97 : 4183 B PREFERENCE shares held as at the date of this return
Name: YANN GRIFFON

Shareholding 98 : 3873 ORDINARY shares held as at the date of this return
Name: BALRAJ KRISHAN HANDA

Shareholding 99 : 5672 B PREFERENCE shares held as at the date of this return
Name: BALRAJ KRISHAN HANDA

Shareholding 100 : 10000 ORDINARY shares held as at the date of this return
Name: NEIL VICTOR HARRIS

Shareholding 101 : 14646 B PREFERENCE shares held as at the date of this return
Name: NEIL VICTOR HARRIS

Shareholding 102 : 6408 ORDINARY shares held as at the date of this return
Name: TREVOR KEITH HARRISON

Shareholding 103 : 7545 B PREFERENCE shares held as at the date of this return

Name: **TREVOR KEITH HARRISON**

Shareholding 104 : **1466 ORDINARY shares held as at the date of this return**
Name: **JULIAN HENSCHKE**

Shareholding 105 : **2147 B PREFERENCE shares held as at the date of this return**
Name: **JULIAN HENSCHKE**

Shareholding 106 : **4883 ORDINARY shares held as at the date of this return**
Name: **CHRISTOPHER HIGGS**

Shareholding 107 : **2857 A PREFERENCE shares held as at the date of this return**
Name: **CHRISTOPHER HIGGS**

Shareholding 108 : **4294 B PREFERENCE shares held as at the date of this return**
Name: **CHRISTOPHER HIGGS**

Shareholding 109 : **1170 ORDINARY shares held as at the date of this return**
Name: **BRUCE HOLMAN**

Shareholding 110 : **1713 B PREFERENCE shares held as at the date of this return**
Name: **BRUCE HOLMAN**

Shareholding 111 : **3292 ORDINARY shares held as at the date of this return**
Name: **ANDREW MARK HYNES**

Shareholding 112 : **250 A PREFERENCE shares held as at the date of this return**
Name: **ANDREW MARK HYNES**

Shareholding 113 : **4571 B PREFERENCE shares held as at the date of this return**
Name: **ANDREW MARK HYNES**

Shareholding 114 : **150000 ORDINARY shares held as at the date of this return**
Name: **IMPERIAL INNOVATIONS LLP**

Shareholding 115 : **219699 B PREFERENCE shares held as at the date of this return**
Name: **IMPERIAL INNOVATIONS LLP**

Shareholding 116 : **1985743 ORDINARY shares held as at the date of this return**
Name: **JTC TRUSTEES LIMITED**

Shareholding 117 : **539503 A PREFERENCE shares held as at the date of this return**
Name: **JTC TRUSTEES LIMITED**

Shareholding 118 : **2443076 B PREFERENCE shares held as at the date of this return**

Name: JTC TRUSTEES LIMITED

Shareholding 119 : 763 ORDINARY shares held as at the date of this return

Name: MICHAEL DOUGLAS KELLY

Shareholding 120 : 1117 B PREFERENCE shares held as at the date of this return

Name: MICHAEL DOUGLAS KELLY

Shareholding 121 : 125893 ORDINARY shares held as at the date of this return

Name: IAN FLETCHER KENT

Shareholding 122 : 30910 A PREFERENCE shares held as at the date of this return

Name: IAN FLETCHER KENT

Shareholding 123 : 153480 B PREFERENCE shares held as at the date of this return

Name: IAN FLETCHER KENT

Shareholding 124 : 5000 ORDINARY shares held as at the date of this return

Name: SIMON GRANT LAMMIN

Shareholding 125 : 7323 B PREFERENCE shares held as at the date of this return

Name: SIMON GRANT LAMMIN

Shareholding 126 : 40000 ORDINARY shares held as at the date of this return

Name: PETER MORRISON LOCKEY

Shareholding 127 : 58586 B PREFERENCE shares held as at the date of this return

Name: PETER MORRISON LOCKEY

Shareholding 128 : 13058 ORDINARY shares held as at the date of this return

Name: ROBERT EDWARD MACKENZIE

Shareholding 129 : 7144 A PREFERENCE shares held as at the date of this return

Name: ROBERT EDWARD MACKENZIE

Shareholding 130 : 11981 B PREFERENCE shares held as at the date of this return

Name: ROBERT EDWARD MACKENZIE

Shareholding 131 : 1381 ORDINARY shares held as at the date of this return

Name: ALISTAIR MCAULAY

Shareholding 132 : 2022 B PREFERENCE shares held as at the date of this return

Name: ALISTAIR MCAULAY

Shareholding 133 : 2000 ORDINARY shares held as at the date of this return

Name: **CHRIS MCGUIGAN**

Shareholding 134 : **2929 B PREFERENCE shares held as at the date of this return**

Name: **CHRIS MCGUIGAN**

Shareholding 135 : **1886 ORDINARY shares held as at the date of this return**

Name: **HAMISH LAWRIE MCMATH**

Shareholding 136 : **2762 B PREFERENCE shares held as at the date of this return**

Name: **HAMISH LAWRIE MCMATH**

Shareholding 137 : **101100 ORDINARY shares held as at the date of this return**

Name: **DAVID MCMEEKIN**

Shareholding 138 : **66781 A PREFERENCE shares held as at the date of this return**

Name: **DAVID MCMEEKIN**

Shareholding 139 : **81296 B PREFERENCE shares held as at the date of this return**

Name: **DAVID MCMEEKIN**

Shareholding 140 : **35970 ORDINARY shares held as at the date of this return**

Name: **CHRISTOPHER MOYSES**

Shareholding 141 : **12015 A PREFERENCE shares held as at the date of this return**

Name: **CHRISTOPHER MOYSES**

Shareholding 142 : **40668 B PREFERENCE shares held as at the date of this return**

Name: **CHRISTOPHER MOYSES**

Shareholding 143 : **5000 ORDINARY shares held as at the date of this return**

Name: **JULIA MULLETT**

Shareholding 144 : **7323 B PREFERENCE shares held as at the date of this return**

Name: **JULIA MULLETT**

Shareholding 145 : **31585 ORDINARY shares held as at the date of this return**

Name: **MVM EXECUTIVE LIMITED**

Shareholding 146 : **43187 A PREFERENCE shares held as at the date of this return**

Name: **MVM EXECUTIVE LIMITED**

Shareholding 147 : **3075 B PREFERENCE shares held as at the date of this return**

Name: **MVM EXECUTIVE LIMITED**

Shareholding 148 : **3130496 ORDINARY shares held as at the date of this return**

Name: MVM INTERNATIONAL LIFE SCIENCES NO. 1 LP

Shareholding 149 : 4280377 A PREFERENCE shares held as at the date of this return
Name: MVM INTERNATIONAL LIFE SCIENCES NO. 1 LP

Shareholding 150 : 304749 B PREFERENCE shares held as at the date of this return
Name: MVM INTERNATIONAL LIFE SCIENCES NO. 1 LP

Shareholding 151 : 3784 ORDINARY shares held as at the date of this return
Name: ALAN JOHN NADIN

Shareholding 152 : 1990 A PREFERENCE shares held as at the date of this return
Name: ALAN JOHN NADIN

Shareholding 153 : 3551 B PREFERENCE shares held as at the date of this return
Name: ALAN JOHN NADIN

Shareholding 154 : 8157 ORDINARY shares held as at the date of this return
Name: DAVID JAMES NEIGHBOUR

Shareholding 155 : 4126 A PREFERENCE shares held as at the date of this return
Name: DAVID JAMES NEIGHBOUR

Shareholding 156 : 7821 B PREFERENCE shares held as at the date of this return
Name: DAVID JAMES NEIGHBOUR

Shareholding 157 : 50000 ORDINARY shares held as at the date of this return
Name: CHRISTOPHER GREGORY NEWTON

Shareholding 158 : 73233 B PREFERENCE shares held as at the date of this return
Name: CHRISTOPHER GREGORY NEWTON

Shareholding 159 : 3046 ORDINARY shares held as at the date of this return
Name: DENNIS NORMAN

Shareholding 160 : 4113 A PREFERENCE shares held as at the date of this return
Name: DENNIS NORMAN

Shareholding 161 : 348 B PREFERENCE shares held as at the date of this return
Name: DENNIS NORMAN

Shareholding 162 : 16033 ORDINARY shares held as at the date of this return
Name: THOMAS DAVID PALLIN

Shareholding 163 : 18375 A PREFERENCE shares held as at the date of this return

Name: **THOMAS DAVID PALLIN**

Shareholding 164 : **8552 B PREFERENCE shares held as at the date of this return**

Name: **THOMAS DAVID PALLIN**

Shareholding 165 : **70000 ORDINARY shares held as at the date of this return**

Name: **BRUCE LEWIS HAMILTON POWELL**

Shareholding 166 : **102526 B PREFERENCE shares held as at the date of this return**

Name: **BRUCE LEWIS HAMILTON POWELL**

Shareholding 167 : **5174 ORDINARY shares held as at the date of this return**

Name: **NICHOLAS CHARLES RAY**

Shareholding 168 : **11586 A PREFERENCE shares held as at the date of this return**

Name: **NICHOLAS CHARLES RAY**

Shareholding 169 : **471 B PREFERENCE shares held as at the date of this return**

Name: **NICHOLAS CHARLES RAY**

Shareholding 170 : **625 ORDINARY shares held as at the date of this return**

Name: **BRYAN LEONARD REES**

Shareholding 171 : **915 B PREFERENCE shares held as at the date of this return**

Name: **BRYAN LEONARD REES**

Shareholding 172 : **64687 ORDINARY shares held as at the date of this return**

Name: **ALAN GEOFFREY ROACH**

Shareholding 173 : **94744 B PREFERENCE shares held as at the date of this return**

Name: **ALAN GEOFFREY ROACH**

Shareholding 174 : **2463 ORDINARY shares held as at the date of this return**

Name: **STAN ROBERTS**

Shareholding 175 : **605 A PREFERENCE shares held as at the date of this return**

Name: **STAN ROBERTS**

Shareholding 176 : **3002 B PREFERENCE shares held as at the date of this return**

Name: **STAN ROBERTS**

Shareholding 177 : **23663 ORDINARY shares held as at the date of this return**

Name: **WILLIAM ROBINSON**

Shareholding 178 : **15630 A PREFERENCE shares held as at the date of this return**

Name: **WILLIAM ROBINSON**

Shareholding 179 : **19027 B PREFERENCE shares held as at the date of this return**
Name: **WILLIAM ROBINSON**

Shareholding 180 : **22952 ORDINARY shares held as at the date of this return**
Name: **JEREMY CHARLES ROLT**

Shareholding 181 : **7125 A PREFERENCE shares held as at the date of this return**
Name: **JEREMY CHARLES ROLT**

Shareholding 182 : **26491 B PREFERENCE shares held as at the date of this return**
Name: **JEREMY CHARLES ROLT**

Shareholding 183 : **10545 ORDINARY shares held as at the date of this return**
Name: **RUSSELL SCAMMELL**

Shareholding 184 : **14732 B PREFERENCE shares held as at the date of this return**
Name: **RUSSELL SCAMMELL**

Shareholding 185 : **1471 ORDINARY shares held as at the date of this return**
Name: **DAVID STEVENSON**

Shareholding 186 : **2000 A PREFERENCE shares held as at the date of this return**
Name: **DAVID STEVENSON**

Shareholding 187 : **154 B PREFERENCE shares held as at the date of this return**
Name: **DAVID STEVENSON**

Shareholding 188 : **1250 ORDINARY shares held as at the date of this return**
Name: **DAVID FRENCH STONEHOUSE**

Shareholding 189 : **1830 B PREFERENCE shares held as at the date of this return**
Name: **DAVID FRENCH STONEHOUSE**

Shareholding 190 : **50000 ORDINARY shares held as at the date of this return**
Name: **JOHN BODDENHAM TAYLOR**

Shareholding 191 : **73233 B PREFERENCE shares held as at the date of this return**
Name: **JOHN BODDENHAM TAYLOR**

Shareholding 192 : **5000 ORDINARY shares held as at the date of this return**
Name: **ANDREA TAYLOR**

Shareholding 193 : **7323 B PREFERENCE shares held as at the date of this return**

Name: ANDREA TAYLOR

Shareholding 194 : 3669 ORDINARY shares held as at the date of this return

Name: MAXINE TOMLINSON

Shareholding 195 : 927 A PREFERENCE shares held as at the date of this return

Name: MAXINE TOMLINSON

Shareholding 196 : 4447 B PREFERENCE shares held as at the date of this return

Name: MAXINE TOMLINSON

Shareholding 197 : 34358 ORDINARY shares held as at the date of this return

Name: TTP GROUP PLC

Shareholding 198 : 44322 A PREFERENCE shares held as at the date of this return

Name: TTP GROUP PLC

Shareholding 199 : 6000 B PREFERENCE shares held as at the date of this return

Name: TTP GROUP PLC

Shareholding 200 : 1177080 ORDINARY shares held as at the date of this return

Name: TTP VENTURE FUND LP

Shareholding 201 : 1527594 A PREFERENCE shares held as at the date of this return

Name: TTP VENTURE FUND LP

Shareholding 202 : 196433 B PREFERENCE shares held as at the date of this return

Name: TTP VENTURE FUND LP

Shareholding 203 : 5768 ORDINARY shares held as at the date of this return

Name: SANDRA TURCONI

Shareholding 204 : 4759 A PREFERENCE shares held as at the date of this return

Name: SANDRA TURCONI

Shareholding 205 : 3689 B PREFERENCE shares held as at the date of this return

Name: SANDRA TURCONI

Shareholding 206 : 3741450 ORDINARY shares held as at the date of this return

Name: UK MEDICAL VENTURES FUND NO 1 LP

Shareholding 207 : 4962546 A PREFERENCE shares held as at the date of this return

Name: UK MEDICAL VENTURES FUND NO 1 LP

Shareholding 208 : 517423 B PREFERENCE shares held as at the date of this return

Name: UK MEDICAL VENTURES FUND NO 1 LP

Shareholding 209 : 4989 ORDINARY shares held as at the date of this return

Name: MARCO VAN DEN HEUVEL

Shareholding 210 : 7307 B PREFERENCE shares held as at the date of this return

Name: MARCO VAN DEN HEUVEL

Shareholding 211 : 1681 ORDINARY shares held as at the date of this return

Name: CHRISTOPHER VAN ESSEN

Shareholding 212 : 2174 A PREFERENCE shares held as at the date of this return

Name: CHRISTOPHER VAN ESSEN

Shareholding 213 : 286 B PREFERENCE shares held as at the date of this return

Name: CHRISTOPHER VAN ESSEN

Shareholding 214 : 13313 ORDINARY shares held as at the date of this return

Name: JULIAN T WALSH

Shareholding 215 : 4330 A PREFERENCE shares held as at the date of this return

Name: JULIAN T WALSH

Shareholding 216 : 15169 B PREFERENCE shares held as at the date of this return

Name: JULIAN T WALSH

Shareholding 217 : 10000 ORDINARY shares held as at the date of this return

Name: MARTIN CHARLES WALSH

Shareholding 218 : 14646 B PREFERENCE shares held as at the date of this return

Name: MARTIN CHARLES WALSH

Shareholding 219 : 4526 ORDINARY shares held as at the date of this return

Name: STUART IAIN WARD

Shareholding 220 : 7632 A PREFERENCE shares held as at the date of this return

Name: STUART IAIN WARD

Shareholding 221 : 412 B PREFERENCE shares held as at the date of this return

Name: STUART IAIN WARD

Shareholding 222 : 8908 ORDINARY shares held as at the date of this return

Name: INES WARNER

Shareholding 223 : 2188 A PREFERENCE shares held as at the date of this return

Name: INES WARNER

Shareholding 224 : 10859 B PREFERENCE shares held as at the date of this return

Name: INES WARNER

Shareholding 225 : 6090 ORDINARY shares held as at the date of this return

Name: ANNE BERNADETTE WHITE

Shareholding 226 : 7495 B PREFERENCE shares held as at the date of this return

Name: ANNE BERNADETTE WHITE

Shareholding 227 : 5545 ORDINARY shares held as at the date of this return

Name: TINA JANE WILKINSON

Shareholding 228 : 7409 B PREFERENCE shares held as at the date of this return

Name: TINA JANE WILKINSON

Shareholding 229 : 30000 ORDINARY shares held as at the date of this return

Name: ALAN ROWE WILLIAMSON

Shareholding 230 : 43939 B PREFERENCE shares held as at the date of this return

Name: ALAN ROWE WILLIAMSON

Shareholding 231 : 9375 ORDINARY shares held as at the date of this return

Name: MELANIE LOK WAN WONG

Shareholding 232 : 13731 B PREFERENCE shares held as at the date of this return

Name: MELANIE LOK WAN WONG

Shareholding 233 : 7761 ORDINARY shares held as at the date of this return

Name: BARRY MARK WYMAN

Shareholding 234 : 6701 A PREFERENCE shares held as at the date of this return

Name: BARRY MARK WYMAN

Shareholding 235 : 7721 B PREFERENCE shares held as at the date of this return

Name: BARRY MARK WYMAN

Shareholding 236 : 438012 ORDINARY shares held as at the date of this return

Name: COLIN GRAHAM KNOX

Shareholding 237 : 142509 A PREFERENCE shares held as at the date of this return

Name: COLIN GRAHAM KNOX

Shareholding 238 : 499031 B PREFERENCE shares held as at the date of this return

Name: COLIN GRAHAM KNOX

Shareholding 239 : 352421 ORDINARY shares held as at the date of this return

Name: HARRY FINCH

Shareholding 240 : 142509 A PREFERENCE shares held as at the date of this return

Name: HARRY FINCH

Shareholding 241 : 373669 B PREFERENCE shares held as at the date of this return

Name: HARRY FINCH

Authorisation

Authenticated

This form was authorised by one of the following:

Director, Secretary, Person Authorised, Charity Commission Receiver and Manager, CIC Manager, Judicial Factor.