

In accordance with  
Rule 18.7 of the  
Insolvency (England &  
Wales) Rules 2016 and  
Sections 92A, 104A and  
192 of the Insolvency  
Act 1986.

# LIQ03

## Notice of progress report in voluntary winding up



Companies House

SATURDAY



A16 \*A7904WAZ\*  
07/07/2018 #226  
COMPANIES HOUSE

### 1 Company details

Company number 0 6 5 1 9 0 5 0

Company name in full Uniper Energy Storage Limited

→ Filling in this form  
Please complete in typescript or in  
bold black capitals.

### 2 Liquidator's name

Full forename(s) Matthew

Surname Ingram

### 3 Liquidator's address

Building name/number 35

Street Newhall Street

Post town Birmingham

County/Region

Postcode B 3 3 P U

Country

### 4 Liquidator's name

Full forename(s) Allan

Surname Graham

Other liquidator  
Use this section to tell us about  
another liquidator

### 5 Liquidator's address

Building name/number 35

Street Newhall Street

Post town Birmingham

County/Region

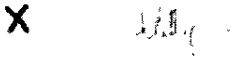
Postcode B 3 3 P U

Country

Other liquidator  
Use this section to tell us about  
another liquidator.

LIQ03

Notice of progress report in voluntary winding up

<b>6</b>		<b>Period of progress report</b>											
From date		'1	'6		"0	"5		'2	'0	'1	'7		
To date		'1	'5		"0	"5		'2	'0	'1	'8		
<b>7</b>		<b>Progress report</b>											
		<input checked="" type="checkbox"/> The progress report is attached											
<b>8</b>		<b>Sign and date</b>											
Liquidator's signature		<div>Signature</div> <div>  </div>										<div>X</div>	
Signature date		'0	'6		"0	"7		'2	'0	'1	'8		

LIQ03

Notice of progress report in voluntary winding up



**Presenter information**

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name **Hossain Muthaliff**

Company name **Duff & Phelps**

Address **35 Newhall Street**

Post town **Birmingham**

Country/region

Postcode **B 3 3 P U**

Country

DX

Telephone **0121 214 1120**



**Checklist**

We may return forms completed incorrectly or with information missing.

Please make sure you have remembered the following:

- ☐ The company name and number match the information held on the public Register.
- ☐ You have attached the required documents.
- ☐ You have signed the form.



**Important information**

All information on this form will appear on the public record.



**Where to send**

You may return this form to any Companies House address, however for expediency we advise you to return it to the address below:

The Registrar of Companies, Companies House,  
Crown Way, Cardiff, Wales, CF14 3UZ.  
DX 33050 Cardiff.



**Further information**

For further information please see the guidance notes on the website at [www.gov.uk/companieshouse](http://www.gov.uk/companieshouse) or email [enquiries@companieshouse.gov.uk](mailto:enquiries@companieshouse.gov.uk)

This form is available in an alternative format. Please visit the forms page on the website at [www.gov.uk/companieshouse](http://www.gov.uk/companieshouse)

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# **Progress Report to Members**

6 July 2018

## **Uniper Energy Storage Limited (In Members' Voluntary Liquidation)**

Joint Liquidators' Progress Report to Members  
for the period from 16 May 2017 to 15 May 2018

Duff & Phelps Ltd.  
35 Newhall Street  
Birmingham  
B3 3PU

**Definitions**

<b>Word or Phrase</b>	<b>Definition</b>
the Act	The Insolvency Act 1986 (as amended)
the Appointment Date	16 May 2017 being the date of appointment of the Joint Liquidators
Category 2 Disbursements	The Joint Liquidators' internal costs and expenses in dealing with the Liquidation
the Company	Uniper Energy Storage Limited (In Members' Voluntary Liquidation) (Company Number: 06519050)
the Directors	Dr. Peter Klingenberger, Andreas Korn, Henning Lustermann, Nicole Otterberg, Joanne Vizer and Axel Wietfeld, the Directors of the Company
Duff & Phelps	Duff & Phelps Ltd.
HMRC	HM Revenue and Customs
the Joint Liquidators	Matthew Ingram and Allan Graham of Duff & Phelps Ltd. 35 Newhall Street, Birmingham, B3 3PU
Prescribed Part	Pursuant to Section 176A of the Act where a floating charge is created after 15 September 2003 a designated amount of the Company's net property (floating charge assets less costs of realisation) shall be made available to ordinary unsecured creditors
RPS	Redundancy Payments Service
the Reporting Period	16 May 2017 to 15 May 2018
the Rules	The Insolvency Rules 2016 (as amended)
SIP 9	Statement of Insolvency Practice 9 – Industry best practice for Insolvency Practitioners in relation to disclosure of remuneration and disbursements
TUPE	The Transfer of Undertaking (Protection of Employment) Regulations 2006

## **Contents**

1. Introduction
2. Progress of the Liquidation
3. Distributions
4. Joint Liquidators' Receipts and Payments Account
5. Joint Liquidators' Costs and Expenses
6. Conclusion and Ending the Liquidation

## **Appendices**

1. Statutory Information
2. Joint Liquidators' Receipts and Payments Account
3. Analysis of Time Charged and Expenses Incurred
4. Statement of Members' Rights

**Name and address of Joint Liquidators:** Matthew Ingram

Allan Graham

of

Duff & Phelps Ltd., 35 Newhall Street, Birmingham

**Commencement of liquidation:** 16 May 2017

**Date of appointment:** 16 May 2017

The Joint Liquidators act jointly and severally.

**1. Introduction**

- 1 1 Matthew Ingram and Allan Graham of Duff and Phelps were appointed Joint Liquidators of the Company on 16 May 2017 by resolution of the Company's members.
- 1 2 The purpose of this report is to provide members with details of the progress of the Liquidation, from 16 May 2017 to 15 May 2018
- 1 3 Statutory information on the Company is attached at Appendix 1.

**2. Progress of the Liquidation**

- 2.1 The manner in which the affairs and business of the Company have been managed since the appointment of Joint Liquidators and financed are set out below.

**Asset Realisations**

**Book debts**

- 2 2 At the date of appointment, according to the balance sheet the sole asset of the Company was £2 of book debts

**3. Distributions**

**Creditors**

- 3 1 All creditor matters were dealt with prior to the appointment of the Joint Liquidators. There have been no new claims from creditors since the Company entered Members' Voluntary Liquidation.

**Members**

- 3.2 There will be no distributions to the members

**4. Joint Liquidators' Receipts and Payments Account**

- 4 1 A detailed receipts and payments account, for the period 16 May 2017 to 15 May 2018 is shown in Appendix 2

**5. Joint Liquidators' Costs and Expenses**

- 5.1 The Joint Liquidators' remuneration was agreed at a fixed fee of £7,500 plus VAT at the initial meeting of the Company
- 5 2 Remuneration of £7,500 plus VAT has been paid by the Company.

**Disbursements and Expenses**

- 5.3 The Joint Liquidators have incurred Expenses and Disbursements during the course of the Liquidation. These are detailed at Appendix 3.
- 5 4 Information regarding the fees and disbursements of Liquidators, including details of the Duff & Phelps disbursements policy and hourly charge out rates for each grade of staff that may undertake work on this case, is in a document called "A Creditors' Guide to Liquidators' Fees". This can be viewed and downloaded from the Joint Liquidators' website at <http://www.duffandphelps.com/uk-restructuring/creditor-guides>. Should you require a copy, please contact this office.



### **Statement of Members' Rights**

- 5.5 If you require further information on any aspect of the Joint Liquidators' remuneration, disbursements or expenses, you are entitled to request this information. Should you not be satisfied, in certain circumstances you are entitled to raise a challenge
- 5.6 A full statement of your rights is attached at Appendix 4

### **6. Conclusion and Ending the Liquidation**

- 6.1 On present information it is anticipated that the Liquidation will conclude shortly after corporation tax clearance is received from HMRC
- 6.2 If you have any queries or require any further assistance, please do not hesitate to contact my colleague Hossain Muthaliff of this office.



**Matthew Ingram**  
Joint Liquidator

**Appendix 1**

**Statutory Information**

**Statutory Information**

<b>Date of Incorporation</b>	29 February 2008
<b>Registered Number</b>	06519050
<b>Company Director(s)</b>	Dr. Peter Klingenberger Andreas Korn Henning Lustermann Nicole Otterberg Joanne Vizor Axel Wietfeld
<b>Company Secretary</b>	Peter Stuckey E.ON UK Secretaries Limited
<b>Shareholders</b>	Uniper Energy Storage GMBH
<b>Trading Address</b>	Compton House 2300 The Crescent Birmingham Business Park Birmingham
<b>Registered Office</b>	<b>Current:</b> c/o Duff & Phelps 35 Newhall Street Birmingham B3 3PU  <b>Former:</b> Compton House 2300 The Crescent Birmingham Business Park Birmingham B37 7YE
<b>Any Other Trading Names</b>	None
<b>Principal Trading Activity</b>	EM Energy Equipment & Services

## **Appendix 2**

### **Joint Liquidators' Receipts and Payments Account**

**Uniper Energy Storage Limited  
(In Liquidation)  
Liquidators' Summary of Receipts & Payments  
To 15/05/2018**

<b>Dec of Sol £</b>		<b>£</b>	<b>£</b>
	<b>ASSET REALISATIONS</b>		
2.00	Debtors	NIL	NIL
<b>2.00</b>			<b>NIL</b>
	<b>REPRESENTED BY</b>		<b>NIL</b>

## **Appendix 3**

### **Analysis of Time Charged and Expenses Incurred**

**Uniper Energy Storage Limited (In Members' Voluntary Liquidation)****Analysis of the Joint Liquidators' Time costs for the period 16 May 2017 to 15 May 2018**

Classification of Work Function	Managing Director	Manager	Hours Senior	Assistant	Support	Total Hours	Time Cost (£)	Avg Hourly Rate (£)
<b>Administration and Planning</b>								
Case review & Case Diary management	0 00	2.90	0 00	0.70	0 00	3 60	1,355 50	376 53
Cashiering & accounting	0 00	0 00	0 00	0 20	0 00	0 20	23 00	115 00
Dealings with Directors and Management	0 00	0 20	0 00	0 00	0 00	0 20	90 00	450 00
Statutory matters (Meetings & Reports & Notices)	0 00	11 20	0 00	0 75	0 00	11 95	5,075 25	424 71
Tax Compliance / Planning	0 00	0 80	0 00	0 00	0 00	0 80	339 00	423 75
<b>Realisation of Assets</b>								
Pre-Appointment Tax Reclaims	0 00	0 30	0 00	0 00	0 00	0 30	126 00	420 00
<b>Total Hours:</b>	<b>0.00</b>	<b>15.40</b>	<b>0.00</b>	<b>1.65</b>	<b>0.00</b>	<b>17.05</b>		<b>411.07</b>
<b>Total Fees Claimed: £</b>	<b>0.00</b>	<b>6,819.00</b>	<b>0.00</b>	<b>189.75</b>	<b>0.00</b>		<b>7,008.75</b>	

**Uniper Energy Storage Limited**  
**Joint Liquidators' Expenses of the Liquidation**

Note	Company	Activity	Date of Instruction	Fee Basis	Current Period	
					Incurred (£)	Amount Paid (£)
1	TMW Solicitors	Legal advice	16-May-17	Fixed Fee	60.00	60.00
	<b>Total professional advisor costs</b>				<b>60.00</b>	<b>60.00</b>
	<b>Disbursements</b>					
2	<b>Category 1</b>					
3	Statutory advertising				253.80	253.80
4	Travel				176.00	176.00
5	Bond premium				20.00	20.00
	<b>Total Category 1 disbursements</b>				<b>449.80</b>	<b>449.80</b>
6	<b>Total Expenses</b>			<b>TOTAL</b>	<b>£ 509.80</b>	<b>£ 509.80</b>

The Joint Liquidators' choice of professional advisors was based on their perception of the experience and ability of the respective firms/individuals to perform their work, the complexity and nature of the assignment and basis of their fee.



### Notes to Expenses Schedule

- 1 TMW Solicitors were instructed to provide legal advice and to assist the completion of the declaration of solvency.
- 2 **Category 1 disbursements** are payments to independent third parties where there is specific expenditure directly referable to the Administration.
- 3 Statutory advertising in the London Gazette is required under insolvency legislation
- 4 These are costs related to travelling to do the required work on site.
- 5 This is a statutory requirement and cost is based on the value of assets.
- 6 **The total anticipated expenses is an estimate based on information at the commencement of the appointment. The estimate should therefore be treated with caution as matters are likely to change over the life of the Liquidation.**

The above costs exclude VAT

## **Appendix 4**

### **Statement of Members' Rights**

## **STATEMENT OF MEMBERS RIGHTS**

*Rule numbers refer to Insolvency (England & Wales) Rules 2016 (as amended)*

*Section numbers refer to Insolvency Act 1986*

### **Members have the right to request information from the Joint Liquidators under Rule 18.9 of the Rules**

Members of the company with at least 5% of the total voting rights of all the members having the right to vote at general meetings of the company and any member with the permission of the court may make a written request to the Joint Liquidators for further information concerning remuneration or expenses. Such a request must be made within 21 days of receipt of this report and a response will be provided within 14 days of receipt of the request

Members have recourse to court, application to be made within 21 days of the Joint Liquidators giving reasons for not providing all the information requested or the expiry of the 14 days within which the Joint Liquidators must respond to a request

### **Members have the right to challenge the Joint Liquidators' remuneration and expenses under Rule 18.34 of the Rules**

Members of the company with at least 10% of the total voting rights of all the members having the right to vote at general meetings of the company and any member with the permission of the court, may make an application to court on the grounds that the remuneration charged or expenses incurred are excessive and/or that the basis fixed for the Joint Liquidators' remuneration is inappropriate. The application to court must be made no later than eight weeks after receipt of the Progress Report where the charging of the remuneration or the incurring of expenses in question occurs