



Companies House

# CS01<sub>(ef)</sub>

## Confirmation Statement

Company Name: **IMMUNOCORE LIMITED**

Company Number: **06456207**



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Company Name: **IMMUNOCORE LIMITED**

Company Number: **06456207**

Confirmation **18/12/2016**

Statement date:

## Statement of Capital (Share Capital)

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<b>Class of Shares:</b>	<b>ORDINARY</b>	Number allotted	<b>2419391</b>
Currency:	<b>GBP</b>	Aggregate nominal value:	<b>241.9391</b>

Prescribed particulars

**THE SHARES HAVE ATTACHED TO THEM FULL VOTING, DIVIDEND AND CAPITAL DISTRIBUTION (INCLUDING ON WINDING UP) RIGHTS; THEY DO NOT CONFER ANY RIGHTS OF REDEMPTION.**

<b>Class of Shares:</b>	<b>SERIES</b>	Number allotted	<b>1699576</b>
	<b>A</b>	Aggregate nominal value:	<b>169.9576</b>
Currency:	<b>GBP</b>		

Prescribed particulars

**THE SHARES HAVE ATTACHED TO THEM FULL VOTING AND CAPITAL DISTRIBUTION (INCLUDING ON WINDING UP) RIGHTS; THEY DO NOT CONFER ANY RIGHTS OF REDEMPTION. AN 8% FIXED NON-CUMULATIVE PREFERENCE DIVIDEND IS PAYABLE ON THE SHARES.**

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## Statement of Capital (Totals)

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Currency:	<b>GBP</b>	Total number of shares:	<b>4118967</b>
		Total aggregate nominal value:	<b>411.8967</b>
		Total aggregate amount unpaid:	<b>0</b>

## Full details of Shareholders

The details below relate to individuals/corporate bodies that were shareholders during the review period or that had ceased to be shareholders since the date of the previous confirmation statement.

Shareholder information for a non-traded company as at the confirmation statement date is shown below

Shareholding 1: **344 transferred on 2015-12-30**  
**0 ORDINARY shares held as at the date of this confirmation statement**  
Name: **MILOS ALEKSIC**

Shareholding 2: **15607 transferred on 2015-12-30**  
**0 ORDINARY shares held as at the date of this confirmation statement**  
Name: **EVA-LOTTA ALLAN**

Shareholding 3: **79 transferred on 2015-12-30**  
**0 ORDINARY shares held as at the date of this confirmation statement**  
Name: **DEBBIE EMMA BAKER**

Shareholding 4: **92 transferred on 2015-12-30**  
**0 ORDINARY shares held as at the date of this confirmation statement**  
Name: **HELEN MARGARET BARBER**

Shareholding 5: **407 transferred on 2015-12-30**  
**0 ORDINARY shares held as at the date of this confirmation statement**  
Name: **EMMA ELIZABETH BASTON**

Shareholding 6: **1152 ORDINARY shares held as at the date of this confirmation statement**  
Name: **JOHN IRVING BELL**

Shareholding 7: **79 transferred on 2015-12-30**  
**0 ORDINARY shares held as at the date of this confirmation statement**  
Name: **FRAYNE CLARE BIANCHI**

Shareholding 8: **74600 ORDINARY shares held as at the date of this confirmation statement**  
Name: **CHRISTINA JANE BLACKWELL**

Shareholding 9: **170632 ORDINARY shares held as at the date of this confirmation statement**  
Name: **NIGEL STIRLING BLACKWELL**

Shareholding 10: **240 transferred on 2015-12-30**  
**0 ORDINARY shares held as at the date of this confirmation statement**  
Name: **GIOVANNA PAOLA BOSSI**

Shareholding 11: **407 transferred on 2015-12-30**  
**0 ORDINARY shares held as at the date of this confirmation statement**  
Name: **BRIAN JOHN CAMERON**

Shareholding 12: **815 ORDINARY shares held as at the date of this confirmation statement**  
Name: **CORIN CANUTO**

Shareholding 13: **26420 transferred on 2016-01-22**  
**24676 ORDINARY shares held as at the date of this confirmation statement**  
Name: **WILLIAM THOMAS CHOWN**

Shareholding 14: **88514 transferred on 2016-01-26**  
**0 ORDINARY shares held as at the date of this confirmation statement**  
Name: **JOSEPHINE SUSAN CROSS**

Shareholding 15: **466855 ORDINARY shares held as at the date of this confirmation statement**  
Name: **NICHOLAS JOHN CROSS**

Shareholding 16: **230 transferred on 2015-12-30**  
**0 ORDINARY shares held as at the date of this confirmation statement**  
Name: **JOSEPH DONALDSON DUKES**

Shareholding 17: **700 transferred on 2015-12-30**  
**0 ORDINARY shares held as at the date of this confirmation statement**  
Name: **MARTIN JOHANNES EBNER**

Shareholding 18: **35148 ORDINARY shares held as at the date of this confirmation statement**  
Name: **FINANCIAL CONSULTANTS (JERSEY) LIMITED A/C 91**

Shareholding 19: **815 transferred on 2015-12-30**  
**0 ORDINARY shares held as at the date of this confirmation statement**  
Name: **JANE VERA HARPER**

Shareholding 20: **4147 transferred on 2015-12-30**  
**0 ORDINARY shares held as at the date of this confirmation statement**  
Name: **NAMIR HASSAN**

Shareholding 21: **400 transferred on 2015-12-30**  
**0 ORDINARY shares held as at the date of this confirmation statement**  
Name: **LINDA MARGARET HIBBERT**

Shareholding 22: **6000 transferred on 2015-12-30**  
**7277 transferred on 2016-02-02**  
**1 ORDINARY shares held as at the date of this confirmation statement**  
Name: **BENT KARSTEN JAKOBSEN**

Shareholding 23: **815 transferred on 2015-12-30**  
**0 ORDINARY shares held as at the date of this confirmation statement**  
Name: **ANDREW DAVID JOHNSON**

Shareholding 24:	<b>815 transferred on 2015-12-30</b>
Name:	<b>0 ORDINARY shares held as at the date of this confirmation statement</b> <b>TRACEY LORRAINE JOHNSON</b>
Shareholding 25:	<b>90 transferred on 2015-12-30</b>
Name:	<b>0 ORDINARY shares held as at the date of this confirmation statement</b> <b>DAPHNE MAVIS JONES</b>
Shareholding 26:	<b>92 transferred on 2015-12-30</b>
Name:	<b>0 ORDINARY shares held as at the date of this confirmation statement</b> <b>DEBBIE CAROLINE KIRBY</b>
Shareholding 27:	<b>49687 ORDINARY shares held as at the date of this confirmation statement</b>
Name:	<b>JONATHAN KNOWLES</b>
Shareholding 28:	<b>88514 ORDINARY shares held as at the date of this confirmation statement</b>
Name:	<b>CAROLINE ELIZABETH LAING</b>
Shareholding 29:	<b>377303 ORDINARY shares held as at the date of this confirmation statement</b>
Name:	<b>IAN MICHAEL LAING</b>
Shareholding 30:	<b>96296 ORDINARY shares held as at the date of this confirmation statement</b>
Name:	<b>PETER LAMMER</b>
Shareholding 31:	<b>100 transferred on 2015-12-30</b>
Name:	<b>0 ORDINARY shares held as at the date of this confirmation statement</b> <b>ALISON JOAN LEGG</b>
Shareholding 32:	<b>407 transferred on 2015-12-30</b>
Name:	<b>0 ORDINARY shares held as at the date of this confirmation statement</b> <b>NATHANIEL ROSS LIDDY</b>
Shareholding 33:	<b>375 transferred on 2015-12-30</b>
Name:	<b>0 ORDINARY shares held as at the date of this confirmation statement</b> <b>NIKOLAI MIKHAILOVICH LISSIN</b>
Shareholding 34:	<b>92 transferred on 2015-12-30</b>
Name:	<b>0 ORDINARY shares held as at the date of this confirmation statement</b> <b>JACQUELINE EVELYN LONG</b>
Shareholding 35:	<b>407 transferred on 2015-12-30</b>
Name:	<b>0 ORDINARY shares held as at the date of this confirmation statement</b> <b>TARA MARIA CHRISTINA MAHON</b>

Shareholding 36: **32408 transferred on 2016-04-26**  
**32407 ORDINARY shares held as at the date of this confirmation statement**  
Name: **MEDIGENE AG**

Shareholding 37: **2549 transferred on 2015-12-30**  
**0 ORDINARY shares held as at the date of this confirmation statement**  
Name: **STEPHEN JOHN MEGIT**

Shareholding 38: **3000 transferred on 2016-04-01**  
**3571 ORDINARY shares held as at the date of this confirmation statement**  
Name: **JAMES MELLON**

Shareholding 39: **410 transferred on 2015-12-30**  
**405 ORDINARY shares held as at the date of this confirmation statement**  
Name: **PETER EAMON MOLLOY**

Shareholding 40: **170632 ORDINARY shares held as at the date of this confirmation statement**  
Name: **NIGEL BLACKWELL, NIGEL ROOTS AND JANE MAITLAND THE TRUSTEES OF THE NIGEL BLACKWELL A&M TRUST**

Shareholding 41: **35758 transferred on 2016-02-02**  
**0 ORDINARY shares held as at the date of this confirmation statement**  
Name: **JAMES JULIAN NOBLE**

Shareholding 42: **35147 ORDINARY shares held as at the date of this confirmation statement**  
Name: **NUFRAME LIMITED**

Shareholding 43: **230 transferred on 2015-12-30**  
**0 ORDINARY shares held as at the date of this confirmation statement**  
Name: **JOANNE EMMA OATES**

Shareholding 44: **815 transferred on 2015-12-30**  
**0 ORDINARY shares held as at the date of this confirmation statement**  
Name: **SAMANTHA JAYNE PASTON**

Shareholding 45: **100302 ORDINARY shares held as at the date of this confirmation statement**  
Name: **DAVID JAMES POINTER**

Shareholding 46: **189 transferred on 2015-12-30**  
**0 ORDINARY shares held as at the date of this confirmation statement**  
Name: **ALEX STEPHEN POWLES LAND**

Shareholding 47: **29922 ORDINARY shares held as at the date of this confirmation statement**  
Name: **QUESTER ACADEMIC GP LIMITED AS GENERAL PARTNER OF THE SECOND ISIS COLLEGE FUND LIMITED PARTNERSHIP**

Shareholding 48: **0 ORDINARY shares held as at the date of this confirmation statement**  
Name: **GEORGE EDWARD SILVANUS ROBINSON**

Shareholding 49: **41156 transferred on 2016-04-26**  
**423653 ORDINARY shares held as at the date of this confirmation statement**  
Name: **GEORGE EDWARD SILVANUS ROBINSON**

Shareholding 50: **815 transferred on 2015-12-30**  
**0 ORDINARY shares held as at the date of this confirmation statement**  
Name: **MALKIT SINGH SAMI**

Shareholding 51: **230 transferred on 2015-12-30**  
**0 ORDINARY shares held as at the date of this confirmation statement**  
Name: **WILLIAM HETH SHINGLER**

Shareholding 52: **400 transferred on 2015-12-30**  
**0 ORDINARY shares held as at the date of this confirmation statement**  
Name: **JULIE ANNE SINGLETON**

Shareholding 53: **12293 ORDINARY shares held as at the date of this confirmation statement**  
Name: **ST CATHERINE'S COLLEGE IN THE UNIVERSITY OF OXFORD**

Shareholding 54: **100 transferred on 2015-12-30**  
**0 ORDINARY shares held as at the date of this confirmation statement**  
Name: **MICHELLE SU-WEI TENG**

Shareholding 55: **25015 ORDINARY shares held as at the date of this confirmation statement**  
Name: **THE CHANCELLOR, MASTERS AND SCHOLARS OF THE UNIVERSITY OF OXFORD**

Shareholding 56: **217 transferred on 2015-12-30**  
**0 ORDINARY shares held as at the date of this confirmation statement**  
Name: **PENIO TODOROV**

Shareholding 57: **15363 ORDINARY shares held as at the date of this confirmation statement**  
Name: **VANNI EMMANUELE TREVES**

Shareholding 58: **344 transferred on 2015-12-30**  
**0 ORDINARY shares held as at the date of this confirmation statement**  
Name: **ARSEN VALERYEVICH VOLKOV**

Shareholding 59: **2000 transferred on 2015-12-30**  
**0 ORDINARY shares held as at the date of this confirmation statement**  
Name: **ANNE-LISE BRIGITTE VUIDEPOT**

Shareholding 60: **230 transferred on 2015-12-30**  
**0 ORDINARY shares held as at the date of this confirmation statement**  
Name: **LUISE ULLRIKE HELGA ANDREA WEIGAND**

Shareholding 61: **3931 SERIES A shares held as at the date of this confirmation statement**  
Name: **667, L.P.**

Shareholding 62: **49181 SERIES A shares held as at the date of this confirmation statement**  
Name: **BAKER BROTHERS LIFE SCIENCE, LP**

Shareholding 63: **73318 SERIES A shares held as at the date of this confirmation statement**  
Name: **BBHISL NOMINEES LTD, ACCT 122514**

Shareholding 64: **20244 SERIES A shares held as at the date of this confirmation statement**  
Name: **BBHISL NOMINEES LTD, ACCT 130646**

Shareholding 65: **75 SERIES A shares held as at the date of this confirmation statement**  
Name: **BBHISL NOMINEES LTD, ACCT 131418**

Shareholding 66: **800 SERIES A shares held as at the date of this confirmation statement**  
Name: **CHRISTINA JANE BLACKWELL**

Shareholding 67: **1350 SERIES A shares held as at the date of this confirmation statement**  
Name: **NIGEL STIRLING BLACKWELL**

Shareholding 68: **11275 SERIES A shares held as at the date of this confirmation statement**  
Name: **CHASE NOMINEES LIMITED A/C FIDLEND**

Shareholding 69: **1228 transferred on 2016-01-26**  
**0 SERIES A shares held as at the date of this confirmation statement**  
Name: **JOSEPHINE SUSAN CROSS**

Shareholding 70: **6462 SERIES A shares held as at the date of this confirmation statement**  
Name: **NICHOLAS JOHN CROSS**

Shareholding 71: **398338 SERIES A shares held as at the date of this confirmation statement**  
Name: **ELI LILLY SA**



Shareholding 72: Name:	<b>416 SERIES A shares held as at the date of this confirmation statement FINANCIAL CONSULTANTS (JERSEY) LIMITED</b>
Shareholding 73: Name:	<b>664 SERIES A shares held as at the date of this confirmation statement JONATHAN KNOWLES</b>
Shareholding 74: Name:	<b>1228 SERIES A shares held as at the date of this confirmation statement CAROLINE ELIZABETH LAING</b>
Shareholding 75: Name:	<b>5234 SERIES A shares held as at the date of this confirmation statement IAN MICHAEL LAING</b>
Shareholding 76: Name:	<b>424894 SERIES A shares held as at the date of this confirmation statement MALIN LIFE SCIENCES HOLDINGS LIMITED</b>
Shareholding 77: Name:	<b>1062 SERIES A shares held as at the date of this confirmation statement NIGEL BLACKWELL, NIGEL ROOTS AND JANE MAITLAND THE TRUSTEES OF THE NIGEL BLACKWELL A&amp;M TRUST</b>
Shareholding 78: Name:	<b>239003 SERIES A shares held as at the date of this confirmation statement NORTRUST NOMINEES LIMITED A/C WIX01</b>
Shareholding 79: Name:	<b>292114 SERIES A shares held as at the date of this confirmation statement NORTRUST NOMINEES LIMITED A/C WIZ01</b>
Shareholding 80: Name:	<b>416 SERIES A shares held as at the date of this confirmation statement NUFRAME LIMITED</b>
Shareholding 81: Name:	<b>1391 SERIES A shares held as at the date of this confirmation statement DAVID JAMES POINTER</b>
Shareholding 82: Name:	<b>413 SERIES A shares held as at the date of this confirmation statement QUESTER ACADEMIC GP LIMITED AS GENERAL PARTNER OF THE SECOND ISIS COLLEGE FUND LIMITED PARTNERSHIP</b>
Shareholding 83: Name:	<b>6447 SERIES A shares held as at the date of this confirmation statement GEORGE EDWARD SILVANUS ROBINSON</b>
Shareholding 84: Name:	<b>53112 SERIES A shares held as at the date of this confirmation statement RTW MASTER FUND, LTD</b>

Shareholding 85: Name:	<b>145 SERIES A shares held as at the date of this confirmation statement ST CATHERINE'S COLLEGE IN THE UNIVERSITY OF OXFORD</b>
Shareholding 86: Name:	<b>17149 SERIES A shares held as at the date of this confirmation statement STATE STREET NOMINEES LIMITED A/C 24F3</b>
Shareholding 87: Name:	<b>4035 SERIES A shares held as at the date of this confirmation statement STATE STREET NOMINEES LIMITED A/C 24JA</b>
Shareholding 88: Name:	<b>18504 SERIES A shares held as at the date of this confirmation statement STATE STREET NOMINEES LIMITED A/C 24JZ</b>
Shareholding 89: Name:	<b>524 SERIES A shares held as at the date of this confirmation statement STATE STREET NOMINEES LIMITED A/C IM86</b>
Shareholding 90: Name:	<b>67323 SERIES A shares held as at the date of this confirmation statement THE BANK OF NEW YORK ( NOMINEES ) LIMITED</b>
Shareholding 91: Name:	<b>322 SERIES A shares held as at the date of this confirmation statement THE CHANCELLOR, MASTERS AND SCHOLARS OF THE UNIVERSITY OF OXFORD</b>
Shareholding 92: Name:	<b>206 SERIES A shares held as at the date of this confirmation statement VANNI EMMANUELE TREVES</b>
Shareholding 93: Name:	<b>116599 ORDINARY shares held as at the date of this confirmation statement GT HEALTHCARE FUND 1 LP</b>
Shareholding 94: Name:	<b>7246 ORDINARY shares held as at the date of this confirmation statement MALIN LIFE SCIENCES HOLDINGS LIMITED</b>
Shareholding 95: Name:	<b>1613 ORDINARY shares held as at the date of this confirmation statement N5 INVESTMENTS AS</b>
Shareholding 96: Name:	<b>24807 ORDINARY shares held as at the date of this confirmation statement NEOMED INNOVATION V L.P.</b>
Shareholding 97: Name:	<b>24737 ORDINARY shares held as at the date of this confirmation statement NORTRUST NOMINEES LIMITED A/C WIX01</b>

Shareholding 98: **10000 ORDINARY shares held as at the date of this confirmation statement**

Name: **WANGANUI PTY LIMITED**

# **Persons with Significant Control (PSC)**

## **PSC Statements**

**The company has not yet completed taking reasonable steps to find out if there is anyone who is a registrable person or a registrable relevant legal entity in relation to the company.**

## **Confirmation Statement**

I confirm that all information required to be delivered by the company to the registrar in relation to the confirmation period concerned either has been delivered or is being delivered at the same time as the confirmation statement

# Authorisation

Authenticated

This form was authorised by one of the following:

Director, Secretary, Person Authorised, Charity Commission Receiver and Manager, CIC Manager,  
Judicial Factor