



Companies House
— for the record —

AR01 (ef)

Annual Return



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Company Name: **KKR CAPITAL MARKETS LIMITED**

Company Number: **06251062**

Date of this return: **17/05/2011**

SIC codes: **6711**

Company Type: **Private company limited by shares**

Situation of Registered Office: **STIRLING SQUARE 7 CARLTON GARDENS
LONDON
SW1Y 5AD**

Officers of the company

Company Secretary 1

Type: **Person**
Full forename(s): **WAI SHING PETER**

Surname: **LIU**

Former names:

Service Address: **138 MANOR PARK
LEWISHAM
LONDON
SE13 5RH**

Company Secretary 2

Type: **Person**
Full forename(s): **WAI SHING PETER**

Surname: **LIU**

Former names:

Service Address: **STIRLING SQUARE 7 CARLTON GARDENS
LONDON
SW1Y 5AD**

Company Director ***1***

Type: **Person**

Full forename(s): **JOHN HENRY**

Surname: **EMPSON**

Former names:

Service Address: **STIRLING SQUARE 7 CARLTON GARDENS
LONDON
SW1Y 5AD**

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: **10/05/1964** *Nationality:* **BRITISH**

Occupation: **MANAGING DIRECTOR**

Company Director 2

Type: **Person**

Full forename(s): **ALEXANDER WILLIAM FREDERICK**

Surname: **FLETCHER**

Former names:

Service Address: **STIRLING SQUARE 7 CARLTON GARDENS
LONDON
SW1Y 5AD**

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: **19/12/1961** *Nationality:* **BRITISH**

Occupation: **MANAGING DIRECTOR**

Company Director 3

Type: **Person**

Full forename(s): **EDWARD HENRY TOWRY**

Surname: **LAW**

Former names:

Service Address: **STIRLING SQUARE 7 CARLTON GARDENS
LONDON
SW1Y 5AD**

Country/State Usually Resident: **ENGLAND**

Date of Birth: **29/05/1971** *Nationality:* **BRITISH**

Occupation: **MANAGING DIRECTOR**

Statement of Capital (Share Capital)

Class of shares	ORDINARY	<i>Number allotted</i>	4050000
		<i>Aggregate nominal value</i>	4050000
<i>Currency</i>	GBP	<i>Amount paid per share</i>	4050000
		<i>Amount unpaid per share</i>	0

Prescribed particulars

EACH SHARE IS ENTITLED TO ONE VOTE IN ANY CIRCUMSTANCES

Statement of Capital (Totals)

<i>Currency</i>	GBP	<i>Total number of shares</i>	4050000
		<i>Total aggregate nominal value</i>	4050000

Full Details of Shareholders

The details below relate to individuals / corporate bodies that were shareholders as at 17/05/2011 or that had ceased to be shareholders since the made up date of the previous Annual Return

A full list of shareholders for a private or non-traded public company are shown below

Shareholding 1 : **4050000 ORDINARY shares held as at 2011-05-17**

Name: **KKR CAPITAL MARKETS HOLDINGS L.P. KKR CAPITAL MARKETS HOLDINGS L.P.**

Authorisation

Authenticated

This form was authorised by one of the following:

Director, Secretary, Person Authorised, Charity Commission Receiver and Manager, CIC Manager, Judicial Factor.