



Companies House

AR01 (ef)

Annual Return



Received for filing in Electronic Format on the: **19/05/2016**

X57ATJ29

Company Name: **CIRCASSIA PHARMACEUTICALS PLC**

Company Number: **05822706**

Date of this return: **19/05/2016**

SIC codes: **72110**

Company Type: **Public limited company**

Situation of Registered Office: **THE MAGDALEN CENTRE ROBERT ROBINSON AVENUE
OXFORD SCIENCE PARK
OXFORD
OXFORDSHIRE
OX4 4GA**

Single Alternative Inspection Location (SAIL)

The address for an alternative location to the company's registered office for the inspection of registers is:

NORTHBROOK HOUSE ROBERT ROBINSON AVENUE
OXFORD
ENGLAND
OX4 4GA

The following records have moved to the single alternative inspection location:

Register of members (section 114)
Register of directors (section 162)
Directors' service contracts (section 228)
Directors' indemnities (section 237)
Register of secretaries (section 275)
Records of resolutions and meetings (section 358)
Register of debenture holders (section 743)
Contracts relating to purchase of own shares (section 702)
Report to members on outcome of investigation by public company into interests in its shares (section 805)
Register of interests in shares disclosed to public company (section 809)

Officers of the company

Company Secretary 1

Type: **Person**
Full forename(s): **MR JULIEN FABRICE**

Surname: **COTTA**

Former names:

Service Address recorded as Company's registered office

Company Director 1

Type: **Person**
Full forename(s): **DR TIMOTHY HENRY**

Surname: **CORN**

Former names:

Service Address: **THE MAGDALEN CENTRE
OXFORD SCIENCE PARK
OXFORD
OXFORDSHIRE
OX4 4GA**

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: ****/03/1951** Nationality: **BRITISH**
Occupation: **DOCTOR**

Company Director 2

Type: **Person**

Full forename(s): **MR JULIEN FABRICE**

Surname: **COTTA**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: ****/01/1964** *Nationality:* **BRITISH**

Occupation: **CHIEF FINANCIAL CONTROLLER**

Company Director **3**

Type: **Person**
Full forename(s): **RUSSELL**

Surname: **CUMMINGS**

Former names:

Service Address: **IMPERIAL INNOVATIONS
IMPERIAL COLLEGE EXHIBITION ROAD
LONDON
SW7 2AZ**

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: ****/06/1964** *Nationality:* **BRITISH**
Occupation: **DIRECTOR**

Company Director 4

Type: **Person**
Full forename(s): **MR PAUL RAYMOND**

Surname: **EDICK**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **USA**

Date of Birth: ****/07/1955** Nationality: **UNITED STATES**

Occupation: **BIOTECH EXECUTIVE**

Company Director 5

Type: **Person**
Full forename(s): **MR JEAN-JACQUES**

Surname: **GARAUD**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **SWITZERLAND**

Date of Birth: ****/06/1955** Nationality: **FRENCH/AMERICAN**

Occupation: **CONSULTANT IN LIFE-SCIENCE,
PRESIDENT OF ADV LIFE-**

Company Director **6**

Type: **Person**

Full forename(s): **MR FRANCESCO**

Surname: **GRANATA**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **ENGLAND**

Date of Birth: ****/10/1950** *Nationality:* **ITALIAN**

Occupation: **EXECUTIVE IN RESIDENCE**
 WARBURG PINCUS

Company Director 7

Type: **Person**

Full forename(s): **MR RODERICK PETER**

Surname: **HAFNER**

Former names:

Service Address: **MAGDALEN CENTRE OXFORD SCIENCE PARK
OXFORD
OXFORDSHIRE
OX4 4GA**

Country/State Usually Resident: **ENGLAND**

Date of Birth: ****/09/1965** *Nationality:* **BRITISH**

Occupation: **R&D BIOTECHNOLOGY**

Company Director 8

Type: **Person**
Full forename(s): **MR STEVEN CHARLES ANDREW**

Surname: **HARRIS**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **ENGLAND**

Date of Birth: ****/06/1966** Nationality: **UK**

Occupation: **CEO**

Company Director 9

Type: **Person**
Full forename(s): **MS. CATHRIN**

Surname: **PETTY**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: ****/05/1973** Nationality: **BRITISH**

Occupation: **DIRECTOR**

Company Director **10**

Type: **Person**
Full forename(s): **MR MARVIN**

Surname: **SAMSON**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **USA**

Date of Birth: ****/06/1941** *Nationality:* **UNITED STATES**

Occupation: **DIRECTOR**

Company Director 11

Type: **Person**

Full forename(s): **MR CHARLES STUART WEBB**

Surname: **SWINGLAND**

Former names:

Service Address: **THE MAGDALEN CENTRE
OXFORD SCIENCE PARK
OXFORD
OXFORDSHIRE
OX4 4GA**

Country/State Usually Resident: **ENGLAND**

Date of Birth: ****/08/1952**

Nationality: **BRITISH**

Occupation: **SOLICITOR**

Company Director 12

Type: **Person**
Full forename(s): MS LOTA

Surname: ZOTH

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: USA

Date of Birth: **/11/1959 Nationality: AMERICAN

Occupation: COMPANY DIRECTOR

Statement of Capital (Share Capital)

Class of shares	ORDINARY	<i>Number allotted</i>	284889171
		<i>Aggregate nominal value</i>	227911.3368
<i>Currency</i>	GBP	<i>Amount paid</i>	2.01
		<i>Amount unpaid</i>	0

Prescribed particulars

VOTING RIGHTS: (A) SUBJECT TO THE ARTICLES AND TO ANY SPECIAL RIGHTS OR RESTRICTIONS AS TO VOTING FOR THE TIME BEING ATTACHED TO ANY CLASS OF SHARES IN THE COMPANY, THE PROVISIONS OF THE COMPANIES ACT 2006 SHALL APPLY IN RELATION TO VOTING RIGHTS. (B) SUBJECT TO PARAGRAPH (C) BELOW, ON A VOTE ON A RESOLUTION ON A SHOW OF HANDS AT A GENERAL MEETING, EVERY PROXY PRESENT WHO HAS BEEN DULY APPOINTED BY ONE OR MORE MEMBERS ENTITLED TO VOTE ON THE RESOLUTION HAS ONE VOTE. (C) ON A VOTE ON A RESOLUTION ON A SHOW OF HANDS AT A GENERAL MEETING, A PROXY HAS ONE VOTE FOR AND ONE VOTE AGAINST THE RESOLUTION IF: (I) THE PROXY HAS BEEN DULY APPOINTED BY MORE THAN ONE MEMBER ENTITLED TO VOTE ON THE RESOLUTION; AND (II) THE PROXY HAS BEEN INSTRUCTED BY, OR EXERCISES HIS DISCRETION GIVEN BY, ONE OR MORE OF THOSE MEMBERS TO VOTE FOR THE RESOLUTION AND HAS BEEN INSTRUCTED BY, OR EXERCISES HIS DISCRETION GIVEN BY, ONE OR MORE OF THOSE MEMBERS TO VOTE AGAINST IT.

DIVIDENDS: THE COMPANY MAY, BY ORDINARY RESOLUTION, DECLARE A DIVIDEND TO BE PAID TO THE MEMBERS, ACCORDING TO THEIR RESPECTIVE RIGHTS AND INTERESTS IN THE PROFITS, AND MAY FIX THE TIME FOR PAYMENT OF SUCH DIVIDEND, BUT NO DIVIDEND SHALL EXCEED THE AMOUNT RECOMMENDED BY THE BOARD.

POWER TO ISSUE REDEEMABLE SHARES: SUBJECT TO THE STATUTES, ANY SHARE MAY BE ISSUED ON TERMS THAT IT IS TO BE REDEEMED OR IS LIABLE TO BE REDEEMED AT THE OPTION OF THE COMPANY OR THE HOLDER. THE TERMS, CONDITIONS AND MANNER OF REDEMPTION OF SUCH SHARES MAY BE DETERMINED BY THE BOARD BEFORE THE SHARES ARE ALLOTTED.

WINDING UP: IF THE COMPANY IS IN LIQUIDATION, THE LIQUIDATOR MAY, WITH THE AUTHORITY OF A SPECIAL RESOLUTION OF THE COMPANY AND ANY OTHER AUTHORITY REQUIRED BY THE STATUTES: (I) DIVIDE AMONG THE MEMBERS IN SPECIE THE WHOLE OR ANY PART OF THE ASSETS OF THE COMPANY AND, FOR THAT PURPOSE, VALUE ANY ASSETS AND DETERMINE HOW THE DIVISION SHALL BE CARRIED OUT AS BETWEEN THE MEMBERS OR DIFFERENT CLASSES OF MEMBERS; OR (II) VEST THE WHOLE OR ANY PART OF THE ASSETS IN TRUSTEES UPON SUCH TRUSTS FOR THE BENEFIT OF MEMBERS AS THE LIQUIDATOR, WITH THE LIKE SANCTION, SHALL THINK FIT BUT NO MEMBER SHALL BE COMPELLED TO ACCEPT ANY ASSETS UPON WHICH THERE ANY LIABILITY.

Statement of Capital (Totals)

<i>Currency</i>	GBP	<i>Total number of shares</i>	284889171
		<i>Total aggregate nominal value</i>	227911.3368

The company's shares were admitted to trading on a market during the period of this return and it was an issuer to which the shareholders notification requirements of Chapter 5 of the FSA's Disclosure and Transparency Rules source book applied throughout the period of the return

The Company was not required to provide details of any shareholders

Authorisation

Authenticated

This form was authorised by one of the following:

Director, Secretary, Person Authorised, Charity Commission Receiver and Manager, CIC Manager, Judicial Factor.