



Companies House

**AR01** (ef)

**Annual Return**



Received for filing in Electronic Format on the: **23/05/2014**

**X38I79UX**

*Company Name:* **IMPERIAL INNOVATIONS GROUP PLC**

*Company Number:* **05796766**

*Date of this return:* **26/04/2014**

*SIC codes:* **70100**

*Company Type:* **Public limited company**

*Situation of Registered Office:* **52 PRINCES GATE  
EXHIBITION ROAD  
LONDON  
SW7 2PG**

## Single Alternative Inspection Location (SAIL)

*The address for an alternative location to the company's registered office for the inspection of registers is:*

EQUINITI HOLM OAK HOLM OAK BUSINESS CENTRE  
GORING-BY-SEA  
WORTHING  
WEST SUSSEX  
BN12 4FE

*There are no records kept at the above address*

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### Officers of the company

#### *Company Secretary 1*

*Type:* **Person**  
*Full forename(s):* **MR WILLIAM NICHOLAS**

*Surname:* **RAYNER**

*Former names:*

*Service Address recorded as Company's registered office*

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*Company Director*    ***1***

*Type:*                      **Person**

*Full forename(s):*        **DR PAUL DAVID**

*Surname:*                **ATHERTON**

*Former names:*

*Service Address:*        **IMPERIAL INNOVATIONS  
IMPERIAL COLLEGE EXHIBITION ROAD  
LONDON  
SW7 2AZ**

*Country/State Usually Resident:*    **UNITED KINGDOM**

*Date of Birth:*    **15/05/1953**                      *Nationality:*    **BRITISH**

*Occupation:*    **DIRECTOR**

*Company Director* 2

*Type:* **Person**

*Full forename(s):* **MR DAVID KNOX HOUSTON**

*Surname:* **BEGG**

*Former names:*

*Service Address recorded as Company's registered office*

*Country/State Usually Resident:* **UNITED KINGDOM**

*Date of Birth:* **25/06/1950**

*Nationality:* **BRITISH**

*Occupation:* **NON EXECUTIVE DIRECTOR**

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*Company Director*    **3**

*Type:*                            **Person**  
*Full forename(s):*            **RUSSELL**

*Surname:*                      **CUMMINGS**

*Former names:*

*Service Address:*            **IMPERIAL INNOVATIONS  
IMPERIAL COLLEGE EXHIBITION ROAD  
LONDON  
SW7 2AZ**

*Country/State Usually Resident:*    **UNITED KINGDOM**

*Date of Birth:*    **06/06/1964**                            *Nationality:*    **BRITISH**  
*Occupation:*    **DIRECTOR**

*Company Director* 4

*Type:* **Person**

*Full forename(s):* **MR ANTHONY CHARLES**

*Surname:* **HICKSON**

*Former names:*

*Service Address recorded as Company's registered office*

*Country/State Usually Resident:* **ENGLAND**

*Date of Birth:* **18/11/1968**

*Nationality:* **BRITISH**

*Occupation:* **COMPANY DIRECTOR**

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*Company Director*    **5**

*Type:*                            **Person**

*Full forename(s):*            **DR MARTIN PETER**

*Surname:*                      **KNIGHT**

*Former names:*

*Service Address:*            **IMPERIAL INNOVATIONS  
IMPERIAL COLLEGE EXHIBITION ROAD  
LONDON  
SW7 2AZ**

*Country/State Usually Resident:*    **ENGLAND**

*Date of Birth:*    **08/04/1947**

*Nationality:*    **BRITISH**

*Occupation:*    **DIRECTOR**

*Company Director*    **6**

Type:                                **Person**  
Full forename(s):                **MR NIGEL AARON**

Surname:                           **PITCHFORD**

Former names:

*Service Address recorded as Company's registered office*

*Country/State Usually Resident:*   **ENGLAND**

*Date of Birth:*   **17/10/1969**                                *Nationality:*   **BRITISH**

*Occupation:*     **COMPANY DIRECTOR**

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*Company Director*    **7**

Type:                                **Person**  
Full forename(s):                **DR STEPHEN MICHAEL**

Surname:                           **RICHARDSON**

Former names:

*Service Address recorded as Company's registered office*

*Country/State Usually Resident:*   **ENGLAND**

*Date of Birth:*   **08/12/1951**                                *Nationality:*   **BRITISH**

*Occupation:*     **NONE**

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*Company Director* 8

*Type:* **Person**

*Full forename(s):* **MARK DERRICK RANULPH**

*Surname:* **ROWAN**

*Former names:*

*Service Address:* **4 DOMINUS WAY  
LEICESTER  
LEICESTERSHIRE  
LE19 1RP**

*Country/State Usually Resident:* **ENGLAND**

*Date of Birth:* **12/07/1958**

*Nationality:* **BRITISH**

*Occupation:* **DIRECTOR**

## Statement of Capital (Share Capital)

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<b>Class of shares</b>	<b>ORDINARY</b>	<i>Number allotted</i>	<b>59790621</b>
		<i>Aggregate nominal value</i>	<b>1811837</b>
<i>Currency</i>	<b>GBP</b>	<i>Amount paid per share</i>	<b>0.87</b>
		<i>Amount unpaid per share</i>	<b>0</b>

### *Prescribed particulars*

THE ORDINARY SHARES HAVE ATTACHED FULL VOTING AND DIVIDEND RIGHTS. IN THE EVENT OF A RETURN OF CAPITAL OF THE COMPANY ON A LIQUIDATION, REDUCTION OF CAPITAL OR OTHERWISE (INCLUDING WINDING UP), THE ASSETS OF THE COMPANY AVAILABLE FOR DISTRIBUTION MUST BE DISTRIBUTED TO THE HOLDERS OF ORDINARY SHARES PRO RATA TO THE NOMINAL VALUE OF THE ORDINARY SHARES. THE ORDINARY SHARES DO NOT CONFER ANY RIGHTS OF REDEMPTION.

<b>Class of shares</b>	<b>ORDINARY</b>	<i>Number allotted</i>	<b>39860414</b>
		<i>Aggregate nominal value</i>	<b>120789133</b>
<i>Currency</i>	<b>GBP</b>	<i>Amount paid per share</i>	<b>3.5</b>
		<i>Amount unpaid per share</i>	<b>0</b>

### *Prescribed particulars*

THE ORDINARY SHARES HAVE ATTACHED FULL VOTING AND DIVIDEND RIGHTS. IN THE EVENT OF A RETURN OF CAPITAL OF THE COMPANY ON A LIQUIDATION, REDUCTION OF CAPITAL OR OTHERWISE (INCLUDING WINDING UP), THE ASSETS OF THE COMPANY AVAILABLE FOR DISTRIBUTION MUST BE DISTRIBUTED TO THE HOLDERS OF ORDINARY SHARES PRO RATA TO THE NOMINAL VALUE OF THE ORDINARY SHARES. THE ORDINARY SHARES DO NOT CONFER ANY RIGHTS OF REDEMPTION.

<b>Class of shares</b>	<b>DEFERRED</b>	<i>Number allotted</i>	<b>36990086</b>
		<i>Aggregate nominal value</i>	<b>128344389.2</b>
<i>Currency</i>	<b>GBP</b>	<i>Amount paid per share</i>	<b>346.96</b>
		<i>Amount unpaid per share</i>	<b>0</b>

*Prescribed particulars*

THE DEFERRED SHARES ARE NOT LIABLE TO BE REDEEMED BUT MAY BE REPURCHASED FOR NOT MORE THAN THE SUM OF £0.01 IN AGGREGATE FOR ALL THE DEFERRED SHARES. THE DEFERRED SHARES DO NOT ENTITLE THE HOLDER TO VOTE AT ANY GENERAL MEETING. THE DEFERRED SHARES ARE NOT ENTITLED TO THE PAYMENT OF ANY DIVIDEND OR OTHERWISE PARTICIPATE IN PROFITS. ON ANY PAYMENT OR RETURN OF CAPITAL ON A WINDING UP OR OTHER RETURN OF ASSETS THE HOLDERS OF DEFERRED SHARES ARE ENTITLED TO BE PAID PRO RATA OUT OF THE ASSETS AVAILABLE FOR DISTRIBUTION THE NOMINAL CAPITAL PAID UP OR CREDITED AS PAID UP ON THE DEFERRED SHARES BUT ONLY AFTER THE HOLDERS OF ORDINARY SHARES HAVE BEEN PAID THE NOMINAL CAPITAL PAID UP OR CREDITED AS PAID UP ON THE ORDINARY SHARES TOGETHER WITH THE SUM OF £10,000,000 ON EACH ORDINARY SHARE.

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## Statement of Capital (Totals)

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<i>Currency</i>	<b>GBP</b>	<i>Total number of shares</i>	<b>136641121</b>
		<i>Total aggregate nominal value</i>	<b>250945359.2</b>

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*The company's shares were admitted to trading on a market during the period of this return and a list of shareholders holding at least 5% of the issued shares of any share class of the company as at the date of the return are shown below*

*Shareholding 1* : **13662610 ORDINARY shares held as at the date of this return**

*Name & Address* : **HSBC CLIENT HOLDINGS NOMINEE (UK) LIMITED**

*Shareholding 2* : **29346339 ORDINARY shares held as at the date of this return**

*Name &  
Address*

IMPERIAL COLLEGE OF SCIENCE TECHNOLOGY AND MEDICINE

*Shareholding 3* : 41084970 ORDINARY shares held as at the date of this return

*Name &  
Address* THE BANK OF NEW YORK (NOMINEES) LIMITED

*Shareholding 4* : 28615849 DEFERRED shares held as at the date of this return

*Name &  
Address* THE BANK OF NEW YORK (NOMINEES) LIMITED

*Shareholding 5* : 5465044 DEFERRED shares held as at the date of this return

*Name &  
Address* LANSDOWNE DEVELOPED MARKETS MASTER FUND LIMITED

*Shareholding 6* : 2333293 DEFERRED shares held as at the date of this return

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*Authorisation*

*Authenticated*

*This form was authorised by one of the following:*

Director, Secretary, Person Authorised, Charity Commission Receiver and Manager, CIC Manager, Judicial Factor.