

AR01

Annual Return



Companies House

A fee is payable with this form
Please see 'How to pay' on the
last page.

You can use the WebFiling service to file this form online
Please go to www.companieshouse.gov.uk

☒ **What this form is for**
You may use this form to confirm
that the company information is
correct as at the date of this return
You must file an Annual Return at
least once every year

☐ **What this form is NOT for**
You cannot use this form to give
notice of changes to the company
officers, registered office address
company type or information
relating to the company records



A07 *A2A86Q9L* 10/06/2013 #80
COMPANIES HOUSE

Part 1 Company details

The section must be completed by all companies.

→ **Filling in this form**
Please complete in typescript or in
bold black capitals.

All fields are mandatory unless
specified or indicated by *

A1 Company details

Company number 0 5 7 9 6 7 6 6

Company name in full ¹ IMPERIAL INNOVATIONS GROUP PLC

1 Company name change
If your company has recently
changed its name, please provide
the company name as at the date of
this return

A2 Return date

Please give the annual return made up date. The return date must not be a future
date. The annual return must be delivered within 28 days of the date given below

Date of this return ² 2 6 0 4 2 0 1 3

2 Date of this return
Your company's return date
is usually the anniversary of
incorporation or the anniversary
of the last annual return filed at
Companies House. You may choose
an earlier return date but it must not
be a later date.

A3 Principal business activity

Please show the trade classification code number(s) for the principal
activity or activities. ³

Classification code 1	7	4	1	5	
Classification code 2					
Classification code 3					
Classification code 4					

If you cannot determine a code, please give a brief description of your
business activity below

Principal activity
description Holding company

3 Principal business activity
You must provide a trade
classification code (SIC code) or a
description of your company's main
business in this section

A full list of the trade classification
codes are available on our website
www.companieshouse.gov.uk

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A4

Company type^①

Please confirm your company type by ticking the appropriate box below (only one box must be ticked)

- ☒ Public limited company
- ☐ Private company limited by shares
- ☐ Private company limited by guarantee
- ☐ Private company limited by shares exempt under section 60
- ☐ Private company limited by guarantee exempt under section 60
- ☐ Private unlimited company with share capital
- ☐ Private unlimited company without share capital

① Company type

If you are unsure of your company type, please check your latest certificate of incorporation or our website
www.companieshouse.gov.uk

A5

Registered office address^②

Building name/number	52
Street	Princes Gate Exhibition Road
Post town	
County/Region	London
Postcode	S W 7 2 P G

② Change of registered office

This must agree with the address that is held on the Companies House record at the date of this return

If the registered office address has changed, you should complete form AD01 and submit it together with this annual return

A6

Single alternative inspection location (SAIL) of the company records (if applicable)^③

Building name/number	EQUINITI, HOLM OAK
Street	HOLM OAK BUSINESS PARK
Post town	GORING-BY-SEA, WORTHING
County/Region	WEST SUSSEX
Postcode	B N 1 2 4 F E

③ SAIL address

This must agree with the address that is held on the Companies House record at the date of this return

If the address has changed, you should complete form AD02 and submit it together with this annual return

A7

Location of company records^④

Please tick the appropriate box to indicate which records are kept at the SAIL address in Section A6

- ☒ Register of members
- ☐ Register of directors.
- ☐ Directors' service contracts
- ☐ Directors' indemnities.
- ☐ Register of secretaries.
- ☐ Records of resolutions etc
- ☐ Contracts relating to purchase of own shares
- ☐ Documents relating to redemption or purchase of own share out of capital by private company
- ☐ Register of debenture holders
- ☐ Report to members of outcome of investigation by public company into interests in its shares
- ☐ Register of interests in shares disclosed to public company
- ☐ Instruments creating charges and register of charges England and Wales or Northern Ireland
- ☐ Instruments creating charges and register of charges Scotland

④ Location of company records

If the company records are held at the registered office address, **do not** tick any of the boxes in this section

Certain records must be kept by every company while other records are only kept by certain company types where appropriate

If the records are not kept at the SAIL address, they must be available at the registered office

If any of the company records have moved from the registered office to the address in Section A6 since the last annual return, you must complete form AD03 and submit it together with this annual return

Part 2 Officers of the company

This section should include details of the company at the date to which this annual return is made up

- For a **secretary** who is an individual, go to **Section B1**
- For a **corporate secretary**, go to **Section C1**
- For a **director** who is an individual, go to **Section D1**
- For a **corporate director**, go to **Section E1**

Continuation pages

Please use a continuation page if you need to enter more officer details.

Secretary

B1 Secretary's details ^①

Please use this section to list all the secretaries of the company
For a **corporate secretary**, complete **Section C1-C4**

Title*	MR
Full forename(s)	JUSTIN
Surname	BOWEN
Former name(s) ^②	

① Secretary appointments

You may not use this form to appoint a secretary. To do this, please complete form AP03 and submit it together with this annual return.

Corporate details

Please use **Section C1-C4** to enter corporate secretary details.

Secretary details

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH03.

② Former name(s)

Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

B2 Secretary's service address ^③

Building name/number	IMPERIAL INNOVATIONS, IMPERIAL COLLEGE
Street	EXHIBITION ROAD
Post town	LONDON
County/Region	LONDON
Postcode	S W 7 2 A Z
Country	UK

③ Service address

If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

Corporate secretary

C1

Corporate secretary's details ^①

Please use this section to list all the corporate secretaries of the company

Corporate body/firm
name

Building name/number

Street

Post town

County/Region

Postcode

Country

① Corporate secretary appointments

You cannot use this form to appoint a corporate secretary. To do this, please complete form AP04 and submit it together with this annual return.

Corporate secretary details

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH04.

This information will appear on the public record.

C2

Location of the registry of the corporate body or firm

Is the corporate secretary registered within the European Economic Area (EEA)?

→ Yes Complete **Section C3 only**

→ No Complete **Section C4 only**

C3

EEA companies ^②

Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register.

Where the company/
firm is registered ^③

Registration number

② EEA

A full list of countries of the EEA can be found in our guidance www.companieshouse.gov.uk

③ This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC)

C4

Non-EEA companies

Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register.

Legal form of the
corporate body
or firm

Governing law

If applicable, where
the company/firm is
registered ^④

If applicable, the
registration number

④ Non-EEA

Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register.

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Director

D1

Director's details ^①

Please use this section to list all the directors of the company
For a corporate director, complete Section E1-E4

Title*	DR
Full forename(s)	MARTIN PETER
Surname	KNIGHT
Former name(s) ^②	
Country/State of residence	UK
Nationality	BRITISH
Date of birth	08 08 1949
Business occupation (if any)	

① Director appointments

You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

Corporate details

Please use Section E1-E4 to enter corporate director details.

Director details

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

② Former name(s)

Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

D2

Director's service address ^①

Building name/number	IMPERIAL INNOVATIONS, IMPERIAL COLLEGE
Street	EXHIBITION ROAD
Post town	LONDON
County/Region	
Postcode	SW7 2AZ
Country	UK

① Service address

If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

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Director

D1

Director's details ^①

Please use this section to list all the directors of the company
For a corporate director, complete Section E1-E4.

Title*	MRS
Full forename(s)	SUSAN JANE
Surname	SEARLE
Former name(s) ^②	
Country/State of residence	UK
Nationality	BRITISH
Date of birth	06 09 21 06 3
Business occupation (if any)	

① Director appointments

You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

Corporate details

Please use Section E1-E4 to enter corporate director details.

Director details

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

② Former name(s)

Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

D2

Director's service address ^③

Building name/number	IMPERIAL INNOVATIONS, IMPERIAL COLLEGE
Street	EXHIBITION ROAD
Post town	LONDON
County/Region	
Postcode	SW 7 2AZ
Country	UK

③ Service address

If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

Director

D1

Director's details ^①

Please use this section to list all the directors of the company
For a corporate director, complete Section E1-E4.

Title*	MR																
Full forename(s)	RUSSELL																
Surname	CUMMINGS																
Former name(s) ^②																	
Country/State of residence	UK																
Nationality	BRITISH																
Date of birth	<table><tr><td>d</td><td>1</td><td>d</td><td>6</td><td>m</td><td>0</td><td>m</td><td>6</td><td>y</td><td>1</td><td>y</td><td>9</td><td>y</td><td>6</td><td>y</td><td>4</td></tr></table>	d	1	d	6	m	0	m	6	y	1	y	9	y	6	y	4
d	1	d	6	m	0	m	6	y	1	y	9	y	6	y	4		
Business occupation (if any)	DIRECTOR																

① Director appointments

You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

Corporate details

Please use Section E1-E4 to enter corporate director details.

Director details

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

② Former name(s)

Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

D2

Director's service address ^①

Building name/number	IMPERIAL INNOVATIONS, IMPERIAL COLLEGE								
Street	EXHIBITION ROAD								
Post town	LONDON								
County/Region									
Postcode	<table><tr><td>S</td><td>W</td><td>7</td><td></td><td>2</td><td>A</td><td>Z</td><td></td></tr></table>	S	W	7		2	A	Z	
S	W	7		2	A	Z			
Country	UK								

① Service address

If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

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Director

D1

Director's details ^①

Please use this section to list all the directors of the company
For a corporate director, complete Section E1-E4.

Title*	DR									
Full forename(s)	DAVID CHRISTOPHER									
Surname	ALLEN									
Former name(s) ^②										
Country/State of residence	UK									
Nationality	BRITISH									
Date of birth	d1	d6	m0	m7	y1	y9	y5	y4		
Business occupation (if any)	DIRECTOR									

① Director appointments

You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

Corporate details

Please use Section E1-E4 to enter corporate director details.

Director details

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

② Former name(s)

Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

D2

Director's service address ^③

Building name/number	IMPERIAL INNOVATIONS, IMPERIAL COLLEGE									
Street	EXHIBITION ROAD									
Post town	LONDON									
County/Region										
Postcode	S	W	7		2	A	Z			
Country	UK									

③ Service address

If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

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Director

D1	Director's details ^①	
	Please use this section to list all the directors of the company For a corporate director, complete Section E1-E4	
Title*	DR	
Full forename(s)	PAUL DAVID	
Surname	ATHERTON	
Former name(s) ^②		
Country/State of residence	UK	
Nationality	BRITISH	
Date of birth	<div> <div>d1</div> <div>d5</div> <div>m0</div> <div>m5</div> <div>y1</div> <div>y9</div> <div>y5</div> <div>y3</div> </div>	
Business occupation (if any)	DIRECTOR	

① Director appointments
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

Corporate details
Please use Section E1-E4 to enter corporate director details.

Director details
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

② Former name(s)
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

D2	Director's service address ^③	
Building name/number	IMPERIAL INNOVATIONS, IMPERIAL COLLEGE	
Street	EXHIBITION ROAD	
Post town	LONDON	
County/Region		
Postcode	<div> <div>S</div> <div>W</div> <div>7</div> <div></div> <div>2</div> <div>A</div> <div>Z</div> <div></div> </div>	
Country	UK	

③ Service address
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

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Director

D1	Director's details ^①	
	Please use this section to list all the directors of the company For a corporate director, complete Section E1-E4.	
Title*	DR	
Full forename(s)	STEPHEN MICHAEL	
Surname	RICHARDSON	
Former name(s) ^②		
Country/State of residence	UK	
Nationality	BRITISH	
Date of birth	<div> <div>d0</div> <div>d8</div> <div>m1</div> <div>m2</div> <div>y1</div> <div>y9</div> <div>y5</div> <div>y1</div> </div>	
Business occupation (if any)	DIRECTOR	

① Director appointments
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

Corporate details
Please use Section E1-E4 to enter corporate director details.

Director details
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

② Former name(s)
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

D2	Director's service address ^①	
Building name/number	IMPERIAL INNOVATIONS, IMPERIAL COLLEGE	
Street	EXHIBITION ROAD	
Post town	LONDON	
County/Region		
Postcode	<div> <div>S</div> <div>W</div> <div>7</div> <div></div> <div>2</div> <div>A</div> <div>Z</div> <div></div> </div>	
Country	UK	

① Service address
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

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Director

D1**Director's details ^①**

Please use this section to list all the directors of the company
For a corporate director, complete Section E1-E4.

Title*	MR								
Full forename(s)	MARK DERRICK RANULPH								
Surname	ROWAN								
Former name(s) ^②									
Country/State of residence	UK								
Nationality	BRITISH								
Date of birth	<table><tr><td>d1</td><td>d2</td><td>m0</td><td>m1</td><td>y1</td><td>y9</td><td>y5</td><td>y8</td></tr></table>	d1	d2	m0	m1	y1	y9	y5	y8
d1	d2	m0	m1	y1	y9	y5	y8		
Business occupation (if any)	DIRECTOR								

① Director appointments

You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

Corporate details

Please use Section E1-E4 to enter corporate director details.

Director details

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

② Former name(s)

Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

D2**Director's service address ^③**

Building name/number	IMPERIAL INNOVATIONS, IMPERIAL COLLEGE								
Street	EXHIBITION ROAD								
Post town	LONDON								
County/Region									
Postcode	<table><tr><td>S</td><td>W</td><td>7</td><td></td><td>2</td><td>A</td><td>Z</td><td></td></tr></table>	S	W	7		2	A	Z	
S	W	7		2	A	Z			
Country	UK								

③ Service address

If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

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Director

D1

Director's details ^①

Please use this section to list all the directors of the company
For a corporate director, complete Section E1-E4.

Title*	MR															
Full forename(s)	DAVID KNOX HOUSTON															
Surname	BEGG															
Former name(s) ^②																
Country/State of residence	UK															
Nationality	BRITISH															
Date of birth	d	2	d	6	m	0	m	6	y	1	y	9	y	5	y	0
Business occupation (if any)	DIRECTOR															

① Director appointments

You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

Corporate details

Please use Section E1-E4 to enter corporate director details.

Director details

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

② Former name(s)

Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

D2

Director's service address ^①

Building name/number	The Company's Registered Office									
Street										
Post town										
County/Region										
Postcode										
Country										

① Service address

If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

Corporate director

E1	Corporate director's details^① Please use this section to list all the corporate director's of the company.	① Corporate director appointments You cannot use this form to appoint a corporate director. To do this, please complete form AP02 and submit it together with this annual return. Corporate director details All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH02. This information will appear on the public record.
Corporate body/firm name		
Building name/number		
Street		
Post town		
County/Region		
Postcode	<div style="display: flex; justify-content: space-between; width: 100%;"> <div style="border: 1px solid black; width: 20px; height: 20px;"></div> <div style="border: 1px solid black; width: 20px; height: 20px;"></div> <div style="border: 1px solid black; width: 20px; height: 20px;"></div> <div style="border: 1px solid black; width: 20px; height: 20px;"></div> <div style="border: 1px solid black; width: 20px; height: 20px;"></div> <div style="border: 1px solid black; width: 20px; height: 20px;"></div> <div style="border: 1px solid black; width: 20px; height: 20px;"></div> <div style="border: 1px solid black; width: 20px; height: 20px;"></div> </div>	
Country		
E2	Location of the registry of the corporate body or firm Is the corporate director registered within the European Economic Area (EEA)? → Yes Complete Section E3 only → No Complete Section E4 only	
E3	EEA companies^② Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register.	② EEA A full list of countries of the EEA can be found in our guidance www.companieshouse.gov.uk ③ This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC)
Where the company/firm is registered ^③		
Registration number		
E4	Non-EEA companies Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register.	④ Non-EEA Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register.
Legal form of the corporate body or firm		
Governing law		
If applicable, where the company/firm is registered ^④		
If applicable, the registration number		

Part 3 Statement of capital ¹

Does your company have share capital?

→ Yes Complete the sections below and the following Part 4

→ No Go to Part 5 (Signature).

¹ This should reflect the company's capital status at the made up date of this annual return

F1 Share capital in pound sterling (£)

Please complete the table below to show each class of shares held in pound sterling
If all your issued capital is in sterling, only complete Section F1 and then go to Section F4

Class of shares (E g Ordinary/Preference etc)	Amount paid up on each share ²	Amount (if any) unpaid on each share ²	Number of shares ³	Aggregate nominal value ⁴
ORDINARY	0 87		59,790,621	£ 1,811,837 00
ORDINARY	3 50		39860414	£ 1,207,891 33
				£
				£
Totals			99,651,035	£ 3,019,728 33

F2 Share capital in other currencies

Please complete the table below to show any class of shares held in other currencies
Please complete a separate table for each currency

Currency	Class of shares (E g Ordinary/Preference etc)	Amount paid up on each share ²	Amount (if any) unpaid on each share ²	Number of shares ³	Aggregate nominal value ⁴
	Totals				

Currency	Class of shares (E g Ordinary/Preference etc)	Amount paid up on each share ²	Amount (if any) unpaid on each share ²	Number of shares ³	Aggregate nominal value ⁴
	Totals				

F3 Totals

Please give the total number of shares and total aggregate nominal value of issued share capital

Total number of shares	99,651,035
Total aggregate nominal value ⁵	£3,019,728 33

⁵ Total aggregate nominal value
Please list total aggregate values in different currencies separately For example £100 + €100 + \$10 etc

² Including both the nominal value and any share premium

³ Number of shares issued multiplied by nominal value of each share

⁴ Total number of issued shares in this class.

Continuation Pages
Please use a Statement of Capital continuation page if necessary

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(For returns made up to a date on or after 1 October 2011)

F4

Statement of capital (Voting rights)

Please give the prescribed particulars of rights attached to shares for each class of share shown in the statement of capital share tables in Sections F1 and F2.

Class of share	ORDINARY
Prescribed particulars	<p>The Ordinary shares have attached full voting and dividend rights.</p> <p>In the event of a return of capital of the company on a liquidation, reduction of capital or otherwise (including winding up), the assets of the company available for distribution must be distributed to the holders of Ordinary shares and Convertible B shares, pro rata to: (a) in the case of holders of Ordinary shares, the nominal value of the Ordinary shares and (b) in the case of holders of Convertible B shares, the nominal value of the Ordinary shares into which the Convertible B shares convert if all payments in respect of the aggregate amount (in respect of nominal value and any premium) payable by the terms of issue of that Convertible B share (the "Issue Price") of those Convertible B shares are received by the company, multiplied by a fraction the numerator of which is the amount of the aggregate Issue Price that has been paid in respect of that holder's Convertible B shares and the denominator of which is the aggregate Issue Price in respect that holder's Convertible B shares.</p> <p>The Ordinary shares do not confer any rights of redemption.</p>
Class of share	CONVERTIBLE B
Prescribed particulars	<p>The Convertible B shares have attached full voting rights but do not entitle the holder to payment of any dividend or otherwise participate in the profits of the company.</p> <p>In the event of a return of capital of the company on a liquidation, reduction of capital or otherwise (including winding up), the assets of the company available for distribution must be distributed to the holders of Ordinary shares and Convertible B shares, pro rata to: (a) in the case of holders of Ordinary shares, the nominal value of the Ordinary shares and (b) in the case of holders of Convertible B shares, the nominal value of the Ordinary shares into which the Convertible B shares convert if all payments in respect of the aggregate amount (in respect of nominal value and any premium) payable by the terms of issue of that Convertible B share (the "Issue Price") of those Convertible B shares are received by the company (see below), multiplied by a fraction the numerator of which is the amount of the aggregate Issue Price that has been paid in respect of that holder's Convertible B shares and the denominator of which is the aggregate Issue Price in respect that holder's Convertible B shares.</p> <p>The Convertible B shares do not confer any rights of redemption. On receipt by the company of all payments due in respect of the Issue Price of the Convertible B shares held by a member, that member's Convertible B shares will be converted into fully paid Ordinary shares in such manner as may be authorised by law and as the directors from time to time may determine.</p>

Part 4

Shareholders

Does your company have share capital?
→ **Yes** Complete the sections below
→ **No** Go to **Part 5 (Signature)**

① This should reflect the shareholder details at the made up date of this annual return

G1

Traded public companies ②

☒ Please tick the box if your company was a traded public company at any time during the period of this return

② **Traded company definition**
A traded company means a company any of whose shares are admitted to trading on a regulated market

G2

List of past and present shareholders

Private and non-traded public companies are required to provide a 'full list' if one was not included with either of the last two returns

Traded public companies are required to provide a list of shareholders who held at least 5% of the issued shares of any share class if a list was not provided with either of the last two returns

Please tick the appropriate box below

- ☐ A full list of shareholders for a private or non-traded public company is enclosed Please complete **Section G3**, or
- ☒ A list of shareholders holding at least 5% of the issued shares of any share class for a traded public company is enclosed Please complete **Section G4**
- ☐ A list of shareholder changes is enclosed
 - For private or non-traded public companies, please complete **Section G3**
 - For traded public companies, please complete **Section G4**
- ☐ There were no shareholder changes in this period
 - Go to **Part 5 (Signature)**

Please tick the appropriate box below to indicate the format of your shareholder details

- ☒ The list of shareholders is enclosed on paper
- ☐ The list of shareholders is enclosed in another format

G3

Private or non-traded public companies – list of past and present shareholders

This section should only be completed by companies that have not traded on a regulated market at any time during the period of this return

Changes during this period to shareholders' particulars or details of the amount of stock or shares transferred must be completed each year

You must provide a 'full list' of all company shareholders on

- The company's first annual return following incorporation,
- Every third annual return after a full list has been provided

Please list the company shareholders in alphabetical order

Joint shareholders should be listed consecutively

Further shareholders

Please use a 'Private or non-traded public companies – list of past and present shareholders' continuation page if necessary

Shareholder's Name (Address not required)	Class of share	Shares or stock currently held	Shares or stock transferred (if appropriate)	
		Number of shares or amount of stock	Number of shares or amount of stock	Date of registration of transfer
				/ /
				/ /
				/ /
				/ /
				/ /
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AR01

Annual Return

G4

Traded public companies – list of past and present shareholders

This section should show the shareholders that hold at least 5% of any class of share(s) of the company at the date of this return. It should only be completed by public companies that have traded on a regulated market at any time during the period of this return

Changes during this period to shareholders' particulars or details of the amount of stock or shares transferred must be completed each year

You must provide a 'full list' of all company shareholders on

- The company's first annual return following incorporation,
- Every third annual return after a full list has been provided

Please list the company shareholders in alphabetical order


Joint shareholders should be listed consecutively

Further shareholders

Please use a 'Traded public companies – list of past and present shareholders' continuation page if necessary

		Shares or stock currently held	Shares or stock transferred (if appropriate)	
Shareholder's details	Class of share	Number of shares or amount of stock	Number of shares or amount of stock	Date of registration of transfer
Name				/ /
Address				/ /
				/ /
Name				/ /
Address				/ /
				/ /
Name				/ /
Address				/ /
				/ /
Name				/ /
Address				/ /
				/ /

Part 5 Signature

	This must be completed by all companies		
	I am signing this form on behalf of the company		
Signature	<div>Signature</div> <div>X  X</div>		<div>❶ Societas Europaea If the form is being filed on behalf of a Societas Europaea (SE) please delete 'director' and insert details of which organ of the SE the person signing has membership</div> <div>❷ Person authorised Under either section 270 or 274 of the Companies Act 2006</div>
	<div>This form may be signed by</div> <div>Director ❶, Secretary, Person authorised ❷, Charity commission receiver and manager, CIC manager, Judicial factor</div>		



Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name

Company name

Address

Post town

County/Region

Postcode

Country

DX

Telephone



Checklist

We may return forms completed incorrectly or with information missing

Please make sure you have remembered the following:

- ☐ The company name and number match the information held on the public Register
- ☐ You have completed your principal business activity
- ☐ You have not used this form to make changes to the registered office address
- ☐ You have not used this form to make changes to secretary and director details
- ☐ You have fully completed the Statement of capital (if applicable)
- ☐ You have signed the form
- ☐ You have enclosed the correct fee



Important information

Please note that all information on this form will appear on the public record



How to pay

A fee of £40 is payable to Companies House in respect of an Annual Return

Make cheques or postal orders payable to 'Companies House'



Where to send

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below.

For companies registered in England and Wales.
The Registrar of Companies, Companies House,
Crown Way, Cardiff, Wales, CF14 3UZ
DX 33050 Cardiff

For companies registered in Scotland
The Registrar of Companies, Companies House,
Fourth floor, Edinburgh Quay 2,
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF
DX ED235 Edinburgh 1
or LP - 4 Edinburgh 2 (Legal Post)

For companies registered in Northern Ireland
The Registrar of Companies, Companies House,
Second Floor, The Linenhall, 32-38 Linenhall Street,
Belfast, Northern Ireland, BT2 8BG
DX 481 N R Belfast 1



Further information

For further information, please see the guidance notes on the website at www.companieshouse.gov.uk or email enquiries@companieshouse.gov.uk

This form is available in an alternative format. Please visit the forms page on the website at www.companieshouse.gov.uk



Annual Return: 5%+ List of Holders & Holdings

Parameters		
Client:	Imperial Innovations	
Offering	Ordinary Shares of 3 1/33P	
Register As At Date	26-Apr-2013	
Percentage Of Share Capital	5%	

Client	Imperial Innovations	
Offering Full Name	Ordinary Shares of 3 1/33P	
Date Range	26-Apr-13	

Shareholder Reference	Holder Name	Designation	Registered Address	Joint Holders	Holding Balance (as at date)	Percentage of Share Capital (as at date)
10962303874	HSBC CLIENT HOLDINGS NOMINEE (UK) LIMITED	755582	8 CANADA SQUARE, LONDON, E14 5HQ, UNITED KINGDOM		13,662,610 00	13 71%
10077680710	IMPERIAL COLLEGE OF SCIENCE TECHNOLOGY AND MEDICINE		SHERFIELD BUILDING, IMPERIAL COLLEGE, LONDON, SW7 2AZ, UNITED KINGDOM		29,346,339 00	29 45%
10715097170	THE BANK OF NEW YORK (NOMINEES) LIMITED		ONE PICCADILLY GARDENS, MANCHESTER, M1 1RN, UNITED KINGDOM		42,941,761 00	43 09%

Total Issued Share capital on Register	
Offering	Total
Ordinary Shares of 3 1/33P	99,651,035.00