

# AR01

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BLUEPRINT

OneWorld

## Annual Return

(For returns made up to a date on or after 1 October 2011)

A fee is payable with this form  
Please see 'How to pay' on the  
last page

You can use the WebFiling service to file this form online.  
Please go to [www.companieshouse.gov.uk](http://www.companieshouse.gov.uk)

☒ **What this form is for**  
You may use this form to confirm  
that the company information is  
correct as at the date of this return  
You must file an Annual Return at  
least once every year

☒ **What this form is NOT for**  
You cannot use this form to give  
notice of changes to the company  
officers, registered office address,  
company type or information  
relating to the company records

THURSDAY



\*A3419VEY\*  
A30 20/03/2014 #34  
COMPANIES HOUSE

## Part 1

### Company details

The section must be completed by all companies

→ **Filing in this form**  
Please complete in typescript or in  
bold black capitals  
  
All fields are mandatory unless  
specified or indicated by \*

#### A1 Company details

Company number 5 3 8 6 2 7 3  
Company name in full ① Oxford Nanopore Technologies Limited

① **Company name change**  
If your company has recently  
changed its name, please provide  
the company name as at the date of  
this return

#### A2 Return date

Please give the annual return made up date. The return date must not be a future  
date. The annual return must be delivered within 28 days of the date given below.

If you would like the company's made up date to be earlier than 1 October 2011,  
please complete the AR01 appropriate for earlier made up dates

Date of this return ② d 0 d 8 m 0 m 3 y 2 y 0 y 1 y 4

② **Date of this return**  
Your company's return date  
is usually the anniversary of  
incorporation or the anniversary  
of the last annual return filed at  
Companies House. You may choose  
an earlier return date but it must not  
be a later date.

#### A3 Principal business activity

Please show the trade classification code number(s) for the principal  
activity or activities ③

Classification code 1 7 2 1 9 0  
Classification code 2  
Classification code 3  
Classification code 4

If you cannot determine a code, please give a brief description of your  
business activity below

Principal activity  
description

③ **Principal business activity**  
You must provide a trade  
classification code (SIC code 2007)  
or a description of your company's  
main business in this section  
  
A full list of the trade classification  
codes are available on our website  
[www.companieshouse.gov.uk](http://www.companieshouse.gov.uk)

AR01

Annual Return

(For returns made up to a date on or after 1 October 2011)

**A4****Company type<sup>①</sup>**

Please confirm your company type by ticking the appropriate box below (only one box must be ticked)

- ☐ Public limited company  
☒ Private company limited by shares  
☐ Private company limited by guarantee  
☐ Private company limited by shares exempt under section 60  
☐ Private company limited by guarantee exempt under section 60  
☐ Private unlimited company with share capital  
☐ Private unlimited company without share capital

**① Company type**

If you are unsure of your company type, please check your latest certificate of incorporation or our website  
[www.companieshouse.gov.uk](http://www.companieshouse.gov.uk)

**A5****Registered office address<sup>②</sup>**

Building name/number Edmund Cartwright House

Street 4 Robert Robinson Avenue

Oxford Science Park

Post town Oxford

County/Region

Postcode O X 4 4 G A

**② Change of registered office**

This must agree with the address that is held on the Companies House record at the date of this return

If the registered office address has changed, you should complete form AD01 and submit it together with this annual return

**A6****Single alternative inspection location (SAIL) of the company records (if applicable)<sup>③</sup>**

Building name/number Manches LLP

Street 9400 Garsington Road

Oxford Business Park

Post town Oxford

County/Region

Postcode O X 4 2 H N

**③ SAIL address**

This must agree with the address that is held on the Companies House record at the date of this return

If the address has changed, you should complete form AD02 and submit it together with this annual return

**A7****Location of company records<sup>④</sup>**

Please tick the appropriate box to indicate which records are kept at the SAIL address in Section A6

- ☒ Register of members  
☒ Register of directors  
☐ Directors' service contracts  
☐ Directors' indemnities  
☒ Register of secretaries  
☐ Records of resolutions etc  
☐ Contracts relating to purchase of own shares  
☐ Documents relating to redemption or purchase of own share out of capital by private company  
☒ Register of debenture holders  
☐ Report to members of outcome of investigation by public company into interests in its shares  
☐ Register of interests in shares disclosed to public company  
☐ Instruments creating charges and register of charges England and Wales or Northern Ireland  
☐ Instruments creating charges and register of charges Scotland

**④ Location of company records**

If the company records are held at the registered office address, do not tick any of the boxes in this section

Certain records must be kept by every company while other records are only kept by certain company types where appropriate

If the records are not kept at the SAIL address, they must be available at the registered office

If any of the company records have moved from the registered office to the address in Section A6 since the last annual return, you must complete form AD03 and submit it together with this annual return

AR01

Annual Return

(For returns made up to a date on or after 1 October 2011)

**Part 2****Officers of the company**

This section should include details of the company at the date to which this annual return is made up

- For a **secretary** who is an individual, go to **Section B1**
- For a **corporate secretary**, go to **Section C1**
- For a **director** who is an individual, go to **Section D1**
- For a **corporate director**, go to **Section E1**

**Continuation pages**

Please use a continuation page if you need to enter more officer details

**Secretary****B1****Secretary's details <sup>①</sup>**

Please use this section to list all the secretaries of the company  
For a corporate secretary, complete Section C1-C4.

Title*	
Full forename(s)	
Surname	
Former name(s) <sup>②</sup>	

**① Secretary appointments**

You may not use this form to appoint a secretary. To do this, please complete form AP03 and submit it together with this annual return.

**Corporate details**

Please use Section C1-C4 to enter corporate secretary details.

**Secretary details**

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH03.

**② Former name(s)**

Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

**B2****Secretary's service address <sup>③</sup>**

Building name/number	
Street	
Post town	
County/Region	
Postcode	
Country	

**③ Service address**

If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

AR01

Annual Return

(For returns made up to a date on or after 1 October 2011)

**Corporate secretary**

<b>C1</b>	<b>Corporate secretary's details <sup>①</sup></b>	
	Please use this section to list all the corporate secretaries of the company	
Corporate body/firm name	Aldwych Secretaries Limited	
Building name/number	Abacus House	
Street	33 Gutter Lane	
Post town	London	
County/Region	England	
Postcode	E C 2 V 8 A R	
Country	England	
	<b>① Corporate secretary appointments</b> You cannot use this form to appoint a corporate secretary. To do this, please complete form AP04 and submit it together with this annual return.  <b>Corporate secretary details</b> All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH04.  This information will appear on the public record.	
<b>C2</b>	<b>Location of the registry of the corporate body or firm</b>	
	Is the corporate secretary registered within the European Economic Area (EEA)? → Yes Complete <b>Section C3 only</b> → No Complete <b>Section C4 only</b>	
<b>C3</b>	<b>EEA companies <sup>②</sup></b>	
	Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register	
Where the company/firm is registered <sup>③</sup>	United Kingdom	
Registration number	02446728	
	<b>② EEA</b> A full list of countries of the EEA can be found in our guidance <a href="http://www.companieshouse.gov.uk">www.companieshouse.gov.uk</a>  <b>③</b> This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC)	
<b>C4</b>	<b>Non-EEA companies</b>	
	Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register	
Legal form of the corporate body or firm		
Governing law		
If applicable, where the company/firm is registered <sup>④</sup>		
If applicable, the registration number		
	<b>④ Non-EEA</b> Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register.	

AR01

## Annual Return

(For returns made up to a date on or after 1 October 2011)

## Director

**D1** Director's details <sup>①</sup>

Please use this section to list all the directors of the company  
For a corporate director, complete Section E1-E4

Title*	
Full forename(s)	Peter Vance
Surname	Allen
Former name(s) <sup>②</sup>	
Country/State of residence	United Kingdom
Nationality	British
Date of birth	<div>d0</div> <div>d3</div> <div>m0</div> <div>m3</div> <div>y1</div> <div>y9</div> <div>y5</div> <div>y6</div>
Business occupation (if any)	Non-executive Director

**① Director appointments**

You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

**Corporate details**

Please use Section E1-E4 to enter corporate director details.

**Director details**

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

**② Former name(s)**

Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

**D2** Director's service address <sup>③</sup>

Building name/number	The Company's Registered Office
Street	
Post town	
County/Region	
Postcode	
Country	

**③ Service address**

If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

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AR01

## Annual Return

(For returns made up to a date on or after 1 October 2011)

## Director

D1

Director's details <sup>①</sup>

Please use this section to list all the directors of the company  
For a corporate director, complete Section E1-E4.

Title*	
Full forename(s)	Alan John
Surname	Aubrey
Former name(s) <sup>②</sup>	
Country/State of residence	United Kingdom
Nationality	British
Date of birth	<div>d0</div> <div>d7</div> <div>m0</div> <div>m4</div> <div>y1</div> <div>y9</div> <div>y6</div> <div>y1</div>
Business occupation (if any)	Chief Executive Officer

<sup>①</sup> Director appointments

You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

## Corporate details

Please use Section E1-E4 to enter corporate director details.

## Director details

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

<sup>②</sup> Former name(s)

Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

D2

Director's service address <sup>①</sup>

Building name/number	The Cottage
Street	The Village
	Skelton
Post town	York
County/Region	
Postcode	<div>Y</div> <div>O</div> <div>3</div> <div>0</div> <div></div> <div>1</div> <div>X</div> <div>X</div>
Country	United Kingdom

<sup>①</sup> Service address

If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

# AR01 - continuation page

## Annual Return

(For returns made up to a date on or after 1 October 2011)

### Director

**D1**

#### Director's details <sup>①</sup>

Please use this section to list all the directors of the company  
For a corporate director, complete Section E1-E4

Title*	
Full forename(s)	John Hagan Pryce
Surname	Bayley
Former name(s) <sup>②</sup>	
Country/State of residence	United Kingdom
Nationality	US
Date of birth	<div>d</div> 1 <div>d</div> 3 <div>m</div> 0 <div>m</div> 2 <div>y</div> 1 <div>y</div> 9 <div>y</div> 5 <div>y</div> 1
Business occupation (if any)	University Professor

#### ① Director appointments

You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

#### Corporate details

Please use Section E1-E4 to enter corporate director details.

#### Director details

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

#### ② Former name(s)

Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

**D2**

#### Director's service address <sup>③</sup>

Building name/number	Hertford College
Street	Catte Street
Post town	Oxford
County/Region	
Postcode	<div>O</div> <div>X</div> <div>1</div> <div></div> <div>3</div> <div>B</div> <div>N</div> <div></div>
Country	United Kingdom

#### ③ Service address

If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

# AR01 - continuation page

## Annual Return

(For returns made up to a date on or after 1 October 2011)

### Director

<b>D1</b>	<b>Director's details <sup>①</sup></b>																	
	Please use this section to list all the directors of the company <b>For a corporate director, complete Section E1-E4</b>																	
Title*	Mr	<b>① Director appointments</b> You cannot use this form to appoint a director. To do this, please complete form <b>AP01</b> and submit it together with this annual return.  <b>Corporate details</b> Please use <b>Section E1-E4</b> to enter corporate director details.  <b>Director details</b> All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form <b>CH01</b> .  <b>② Former name(s)</b> Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.																
Full forename(s)	James Angus																	
Surname	McDonald																	
Former name(s) <sup>②</sup>																		
Country/State of residence	United Kingdom																	
Nationality	British																	
Date of birth	<table><tr><td>d</td><td>0</td><td>d</td><td>7</td><td>m</td><td>1</td><td>m</td><td>0</td><td>y</td><td>1</td><td>y</td><td>9</td><td>y</td><td>5</td><td>y</td><td>3</td></tr></table>	d	0	d	7	m	1	m	0	y	1	y	9	y	5	y	3	
d	0	d	7	m	1	m	0	y	1	y	9	y	5	y	3			
Business occupation (if any)	Finance Director																	

<b>D2</b>	<b>Director's service address <sup>③</sup></b>	
Building name/number	The Company's Registered Office	<b>③ Service address</b> If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.  This information will appear on the public record.
Street		
Post town		
County/Region		
Postcode		
Country		



# AR01 - continuation page

## Annual Return

(For returns made up to a date on or after 1 October 2011)

### Director

**D1**

#### Director's details <sup>①</sup>

Please use this section to list all the directors of the company  
For a corporate director, complete Section E1-E4

Title*	Dr
Full forename(s)	Gurdial Singh
Surname	Sanghera
Former name(s) <sup>②</sup>	
Country/State of residence	United Kingdom
Nationality	British
Date of birth	d <sup>0</sup> d <sup>7</sup> m <sup>0</sup> m <sup>1</sup> y <sup>1</sup> y <sup>9</sup> y <sup>6</sup> y <sup>1</sup>
Business occupation (if any)	Company Director

#### ① Director appointments

You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

#### Corporate details

Please use Section E1-E4 to enter corporate director details.

#### Director details

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

#### ② Former name(s)

Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

**D2**

#### Director's service address <sup>③</sup>

Building name/number	23
Street	Five Mile Drive
Post town	Oxford
County/Region	Oxfordshire
Postcode	O X 2 8 H T
Country	United Kingdom

#### ③ Service address

If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

# AR01 - continuation page

## Annual Return

(For returns made up to a date on or **after 1 October 2011**)

### Director

**D1****Director's details ①**

Please use this section to list all the directors of the company  
For a corporate director, complete Section E1-E4.

Title*	Dr																
Full forename(s)	James																
Surname	Willcocks																
Former name(s)②																	
Country/State of residence	United States																
Nationality	British																
Date of birth	<table><tr><td>d</td><td>0</td><td>d</td><td>6</td><td>m</td><td>0</td><td>m</td><td>8</td><td>y</td><td>1</td><td>y</td><td>9</td><td>y</td><td>7</td><td>y</td><td>6</td></tr></table>	d	0	d	6	m	0	m	8	y	1	y	9	y	7	y	6
d	0	d	6	m	0	m	8	y	1	y	9	y	7	y	6		
Business occupation (if any)	Company Director																

**① Director appointments**

You cannot use this form to appoint a director. To do this, please complete form **AP01** and submit it together with this annual return.

**Corporate details**

Please use **Section E1-E4** to enter corporate director details.

**Director details**

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form **CH01**.

**② Former name(s)**

Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

**D2****Director's service address ③**

Building name/number	The Company's Registered Office
Street	
Post town	
County/Region	
Postcode	
Country	

**③ Service address**

If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

AR01

Annual Return

(For returns made up to a date on or after 1 October 2011)

**Corporate director****E1 Corporate director's details<sup>1</sup>**

Please use this section to list all the corporate director's of the company

Corporate body/firm  
name

Building name/number

Street

Post town

County/Region

Postcode

Country

**1 Corporate director appointments**

You cannot use this form to appoint a corporate director. To do this, please complete form AP02 and submit it together with this annual return.

**Corporate director details**

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH02.

This information will appear on the public record.

**E2 Location of the registry of the corporate body or firm**

Is the corporate director registered within the European Economic Area (EEA)?

→ Yes Complete **Section E3 only**→ No Complete **Section E4 only****E3 EEA companies<sup>2</sup>**

Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register

Where the company/  
firm is registered<sup>3</sup>

Registration number

**2 EEA**

A full list of countries of the EEA can be found in our guidance [www.companieshouse.gov.uk](http://www.companieshouse.gov.uk)

**3** This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC)

**E4 Non-EEA companies**

Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register

Legal form of the  
corporate body  
or firm

Governing law

If applicable, where  
the company/firm is  
registered<sup>4</sup>If applicable, the  
registration number**4 Non-EEA**

Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register.

AR01

Annual Return

(For returns made up to a date on or after 1 October 2011)

**Part 3****Statement of capital <sup>o</sup>**

Does your company have share capital?

→ Yes Complete the sections below and the following Part 4

→ No Go to Part 5 (Signature).

**1** This should reflect the company's capital status at the made up date of this annual return**F1****Share capital in pound sterling (£)**

Please complete the table below to show each class of shares held in pound sterling

If all your issued capital is in sterling, only complete Section F1 and then go to Section F4

Class of shares (E g Ordinary/Preference etc )	Amount paid up on each share <sup>2</sup>	Amount (if any) unpaid on each share <sup>3</sup>	Number of shares <sup>4</sup>	Aggregate nominal value <sup>4</sup>
See attached schedule				£
				£
				£
				£
Totals				£

**F2****Share capital in other currencies**

Please complete the table below to show any class of shares held in other currencies

Please complete a separate table for each currency

Currency				
Class of shares (E g Ordinary/Preference etc )	Amount paid up on each share <sup>2</sup>	Amount (if any) unpaid on each share <sup>3</sup>	Number of shares <sup>4</sup>	Aggregate nominal value <sup>4</sup>
Totals				

Currency				
Class of shares (E g Ordinary/Preference etc )	Amount paid up on each share <sup>2</sup>	Amount (if any) unpaid on each share <sup>3</sup>	Number of shares <sup>4</sup>	Aggregate nominal value <sup>4</sup>
Totals				

**F3****Totals**

Please give the total number of shares and total aggregate nominal value of issued share capital

Total number of shares

Total aggregate  
nominal value <sup>5</sup>**5** Total aggregate nominal value  
Please list total aggregate values in  
different currencies separately For  
example £100 + €100 + \$10 etc<sup>2</sup> Including both the nominal value and any  
share premium<sup>3</sup> Total number of issued shares in this class<sup>4</sup> Number of shares issued multiplied by  
nominal value of each share**Continuation Pages**Please use a Statement of Capital continuation  
page if necessary

Please complete the table below to show each class of shares held in other currencies. Please complete a separate table for each currency.

Currency

Class of shares (E g Ordinary/Preference etc )	Amount paid up on each share ②	Amount (if any) unpaid on each share ③	Number of shares ①	Aggregate nominal value ④
A Shares	0 005	0 00	810,000	£4,050 00
Ordinary	0 001	0.00	5,721,000	£5,721 00
Ordinary	0 50	0 00	60,000	£60 00
Ordinary	0 70	0 00	235,720	£235 72
Ordinary	1 15	0 00	14,690	£14 69
Ordinary	1 335	0 00	157,380	£157.38
Ordinary	2 60	0 00	1,320	£1 32
Ordinary	3 50	0 00	2,152,740	£2,152 74
Ordinary	5 744	0 00	1,758,420	£1,758 42
Ordinary	6 676851	0 00	315,270	£315 27
Ordinary	6 676865	0 00	1,772,320	£1,772.32
Ordinary	7 314	0 00	2,383,990	£2,383 99
Ordinary	9 139	0 00	2,735,530	£2,735 53
Ordinary	16 385	0 00	1,926,460	£1,926 46
Ordinary	24 60	0 00	1,626,019	£1,626 019
Totals			21,670,859	£24,910 859

**③ Total number of issued shares in this class**

AR01

Annual Return

(For returns made up to a date on or after 1 October 2011)

F4

## Statement of capital (Voting rights)

Please give the prescribed particulars of rights attached to shares for each class of share shown in the statement of capital share tables in Sections F1 and F2

Class of share

£0 005 A Shares

Voting rights

See attached schedule

Class of share

£0 001 Ordinary

Voting rights

See attached schedule

Class of share

Voting rights

Class of share

Voting rights

# AR01 - continuation page

## Annual Return

(For returns made up to a date on or after 1 October 2011)

### F4 Statement of capital (Voting rights)

Class of share	£0 005 A Shares
Voting rights	<p>The A Shares are not liable to be redeemed. The holders of the A Shares are entitled to vote at general meetings. The holders of the A Shares are not entitled to participate in dividends paid by the Company unless such participation is approved by the directors in their absolute discretion. As regards capital, on and with effect from (1) the transfer, in a single transaction or in a series of related transactions, of all or substantially all of the trade and assets of the Company and its subsidiaries ("the Group") to any person in circumstances where all or a majority of the proceeds of such transfer are subsequently distributed to members (a "Disposal"), or (2) any return of capital of the Company resulting from any liquidation, dissolution or winding up of the Company, whether voluntary or involuntary or any other return of capital of the Company not resulting from a Disposal (a "Liquidation Event"), then, provided that (a) the Disposal or Liquidation Event occurs by 29 January 2015, and (b) in the case of a Disposal, the aggregate amount available for payment to members as a result of that Disposal by way of dividend, dividend on liquidation or other distribution of the Company exceeds £160m (or any equivalent value in a currency other than pound sterling), the holders of the A Shares will be entitled to receive a proportion of the aggregate amount available for payment to members (the "A Share Proceeds") calculated in accordance with the formula set out below and the holders of the Ordinary Shares will be entitled to the balance of such amount. The total amount of the A Share Proceeds shall be calculated by the directors or any other person appointed by the directors by applying the formula below <math>Y = [(X - 125m) / (A + B)] \times B</math> but "<math>Y \leq D</math>". Where "<math>Y</math>" = the total amount of the A Share Proceeds "<math>X</math>" = the value of the Company as determined by the directors in their reasonable expectation as at the date on which the Disposal or Liquidation Event becomes or is to become effective based on the aggregate amount available for payment to members as a result of that Disposal or Liquidation Event by way of dividend, dividend on liquidation or other distribution of the Company "<math>A</math>" = the aggregate of (1) the number of Ordinary Shares in issue at the Disposal or Liquidation Event, and (11) the number of Ordinary Shares which would be issued if all options remaining under any share option scheme established by the Company which is an "employees' share scheme" within the meaning of Section 1166 of the Companies Act 2006 or would be but for the ability of consultants and/or non executive directors to participate therein which are capable of being exercised at such Disposal or Liquidation Event were exercised in full "<math>B</math>" = the aggregate number of A Shares in issue at the Disposal or Liquidation Event "<math>D</math>" = <math>(E - 1.5) \times B \times 0.5</math> "<math>E</math>" = <math>Z / (A + 0.5B)</math> "<math>Z</math>" = <math>X + (0.5B \times 1.5)</math> The A Shares as a class shall not be entitled to receive any A Share Proceeds if the amount of "<math>X</math>" does not exceed £125m. The total amount of the A Share Proceeds (shown as "<math>Y</math>") shall be capped at the amount of "<math>D</math>". If a Disposal or Liquidation Event does not occur by 29th January 2015 the directors of the Company are obliged, by written notice to the holders of the A Shares, to convert all the A Shares in issue to Deferred Shares and without any further action required, all such A Shares shall be automatically converted into Deferred Shares. The entitlement of holders of any Deferred Shares to participation on a return of capital on a winding up of the Company shall be limited to the repayment of the amount paid up on such Deferred Shares to a max of 5 pence per Deferred Share and such entitlement shall take place only after each of the members has received his entitled sum calculated pursuant to Article 5.3.4(b) plus the payment in cash of £1m. Holders of the Deferred Shares shall not be entitled to any other return of capital or right of participation in the assets of the Company.</p>

AR01 - continuation page  
Annual Return  
(For returns made up to a date on or after 1 October 2011)

F4	Statement of capital (Voting rights)
Class of share	£0 001 Ordinary
Voting rights	<p>The Ordinary Shares are not liable to be redeemed. The holders of the Ordinary Shares are entitled to vote at general meetings. The holders of the Ordinary Shares are entitled to participate in dividends paid by the Company. As regards capital on and with effect from (1) the transfer, in a single transaction or in a series of related transactions, of all or substantially all of the trade and assets of the Company and its subsidiaries ("the Group") to any person in circumstances where all or a majority of the proceeds of such transfer are subsequently distributed to members (a Disposal'), or (2) any return of capital of the Company resulting from any liquidation, dissolution or winding up of the Company, whether voluntary or involuntary or any other return of capital of the Company not resulting from a Disposal (a "Liquidation Event") then, provided that (a) the Disposal or Liquidation Event occurs by 29 January 2015, and (b) in the case of a Disposal, the aggregate amount available for payment to members as a result of that Disposal by way of dividend, dividend on liquidation or other distribution of the Company exceeds £160 million (or any equivalent value in a currency other than pound sterling) the holders of the A Shares will be entitled to receive a proportion of the aggregate amount available for payment to members (the 'A Share Proceeds') calculated in accordance with the formula set out below and the holders of the Ordinary Shares will be entitled to the balance of such amount. The total amount of the A Share Proceeds shall be calculated by the directors or any other person appointed by the directors by applying the formula below: <math>Y = [(X - 125,000,000) / (A + B)] \times B</math> but "Y" = D where "Y" = the total amount of the A Share Proceeds "X" = the value of the Company as determined by the directors in their reasonable expectation as at the date on which the Disposal or Liquidation Event becomes or is to become effective based on the aggregate amount available for payment to members as a result of that Disposal or Liquidation Event by way of dividend, dividend on liquidation or other distribution of the Company "A" = the aggregate of (i) the number of Ordinary Shares in issue at the Disposal or Liquidation Event, and (ii) the number of Ordinary Shares which would be issued if all options remaining under any share option scheme established by the Company which is an "employees' share scheme" within the meaning of Section 1166 of the Companies Act 2006 or would be but for the ability of consultants and/or non executive directors to participate therein which are capable of being exercised at such Disposal or Liquidation Event were exercised in full "B" = the aggregate number of A Shares in issue at the Disposal or Liquidation Event "D" = <math>(E - 1.5) \times B \times 0.5</math> "E" = <math>Z / (A + 0.5B)</math> "Z" = <math>X + (0.5B \times 1.5)</math> The A Shares as a class shall not be entitled to receive any A Share Proceeds if the amount of "X" does not exceed £125,000,000. The total amount of the A Share Proceeds (shown as "Y") shall be capped at the amount of "B". If a Disposal or Liquidation Event does not occur by 29th January 2015 the directors of the Company are obliged, by written notice to the holders of the A Shares, to convert all the A Shares in issue to Deferred Shares and without any further action required, all such A Shares shall be automatically converted into Deferred Shares. The entitlement of holders of any Deferred Shares to participation on a return of capital on a winding up of the Company shall be limited to the repayment of the amount paid up on such Deferred Shares to a maximum of 5 pence per Deferred Share and such entitlement shall take place only after each of the members has received his entitled sum calculated pursuant to Article 5.3.4(b) plus the payment in cash of £1 million. Holders of the Deferred Shares shall not be entitled to any other return of capital or right of participation in the assets of the Company.</p>



AR01

Annual Return

(For returns made up to a date on or after 1 October 2011)

**Part 4****Shareholders**

Does your company have share capital?

→ Yes go to **Section G1** 'Companies with share capital'→ No Go to **Part 5** (Signature)**G1****Companies with share capital**

Question 1

Were any of the company's shares admitted to trading on a market at any time during this return period? Please tick the appropriate box below ①

☒ No go to **Section G2** 'Past and present shareholders'☐ Yes go to **Question 2**

Question 2

Please only refer to Question 2 below if you have answered 'Yes' to Question 1. If you answered 'No', please go to **Section G2** 'Past and present shareholders'

Did the company, throughout the return period, have any shares admitted to trading on a relevant market and was it, throughout the return period, an issuer to which DTR5 applies? (3) Please tick the appropriate box below ②

☐ No go to **Section G4** 'Shareholders who hold at least 5% of any class of shares of the company as at the made up date of the return'☐ Yes go to **Part 5** 'Signature'① A market is one established under the rules of a UK recognised investment exchange or any other regulated markets in or outside of the UK, or any other market outside of the UK. The current UK recognized investment exchanges and regulated markets can be found at [www.fsa.gov.uk/register/exchanges.do](http://www.fsa.gov.uk/register/exchanges.do)

② DTR5 refers to the Vote Holder and Issuer Notification Rules contained in Chapter 5 of the Disclosure and Transparency Rules source book issued by the Financial Services Authority. Notification is required when the percentage acquisition of a shareholder in the company has reached a certain threshold (starting at 3%)

**G2****List of past and present shareholders ③**

The company is required to provide a full list of past and present shareholders if one was not included with either of the last two returns. Please tick the appropriate box below

☐ There were no shareholder changes in this period. Go to **Part 5** (Signature)☒ A full list of shareholders is enclosed☐ A list of shareholder changes is enclosed

How is the list of shareholders enclosed? Please tick the appropriate box below

☒ The list of shareholders is enclosed on paper. Go to **Section G3** 'List of past and present shareholders'☐ The list of shareholders is enclosed in another format. Go to **Part 5** (Signature).③ This section only applies to companies answering 'No' in **Section G1**

## AR01

## Annual Return

(For returns made up to a date on or after 1 October 2011)

**G3****List of past and present shareholders<sup>1</sup>**

Changes during this period to shareholders' particulars or details of the amount of stock or shares transferred must be completed each year

You must provide a 'full list' of all company shareholders on

- The company's first annual return following incorporation,
- Every third annual return after a full list has been provided

<sup>1</sup> Please list the company shareholders in alphabetical order

Joint shareholders should be listed consecutively

**Further shareholders**

Please use a 'List of past and present shareholders' continuation page if necessary

This section only applies to companies answering 'No' to Question 1 in Section G1

Shareholder's Name (Address not required)	Class of share	Shares or stock currently held	Shares or stock transferred (if appropriate)	
		Number of shares or amount of stock	Number of shares or amount of stock	Date of registration of transfer
Clive Brown	£0 005 A Shares	120,000		/ /
James Angus McDonald	£0 005 A Shares	150,000		/ /
Zoe McDougall	£0 005 A Shares	70,000		/ /
John Milton	£0 005 A Shares	120,000		/ /
Gurdial Singh Sanghera	£0 005 A Shares	200,000		/ /
James Willcocks	£0 005 A Shares	150,000		/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /

AR01

Annual Return

(For returns made up to a date on or after 1 October 2011)

G3

**List of past and present shareholders<sup>1</sup>**

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Joint shareholders should be listed consecutively

**Further shareholders**

Please use a 'List of past and present shareholders' continuation page if necessary

This section only applies to companies answering 'No' to Question 1 in Section G1

Shareholder's Name (Address not required)	Class of share	Shares or stock currently held	Shares or stock transferred (if appropriate)	
		Number of shares or amount of stock	Number of shares or amount of stock	Date of registration of transfer
14159, L P	£0 001 Ordinary	882		/ /
667, L P (Account #1)	£0 001 Ordinary	2,663		/ /
667, L P (Account #2)	£0 001 Ordinary	1,858		/ /
Adage Capital Partners, L P	£0 001 Ordinary	436,660		/ /
Michael Albin	£0 001 Ordinary	5,000		/ /
Gaelle Anne Léonie Andreatta	£0 001 Ordinary	5,000		/ /
Martyn Peter Andrews	£0 001 Ordinary	16,660		/ /
Peter Ariowitsch	£0 001 Ordinary	12,300		/ /
Atika Capital Partners, LP	£0 001 Ordinary	22,830		/ /
Atika Offshore Master Fund, Ltd	£0 001 Ordinary	2,170		/ /
Baker Brothers Life Science, LP	£0 001 Ordinary	35,247		/ /
Kunal Bandekar	£0 001 Ordinary	3,238		/ /

# AR01 - continuation page

## Annual Return

(For returns made up to a date on or after 1 October 2011)

**G3**

### List of past and present shareholders<sup>1</sup>

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<sup>1</sup> Please list the company shareholders in alphabetical order

Joint shareholders should be listed consecutively

This section only applies to companies answering 'No' to Question 1 in Section G1

Shareholder's Name (Address not required)	Class of share	Shares or stock currently held	Shares or stock transferred (if appropriate)	
		Number of shares or amount of stock	Number of shares or amount of stock	Date of registration of transfer
Esther Bartlett	£0 001 Ordinary	990		/ /
John Hagan Pryce Bayley	£0 001 Ordinary	623,400		/ /
BBHISL Nominees Ltd A/C 121564	£0 001 Ordinary	0	14,938	20 / 01 / 2014
			62	20 / 01 / 2014
Jeremy Benjamin	£0 001 Ordinary	11,420		/ /
Richard Bryan Blaxland	£0 001 Ordinary	3,760		/ /
Joseph Samuel Brennan	£0 001 Ordinary	3,610		/ /
Christopher Roger Brooke	£0 001 Ordinary	17,400		/ /
Clive Brown	£0 001 Ordinary	11,250		/ /
Casdin Partners Master Fund, L P	£0 001 Ordinary	27,070		/ /
CF Absolute Return Fund	£0 001 Ordinary	0	198,171	05 / 02 / 2014
			93,558	05 / 02 / 2014

# AR01 - continuation page

## Annual Return

(For returns made up to a date on or after 1 October 2011)

**G3**

### List of past and present shareholders<sup>①</sup>

Changes during this period to shareholders' particulars or details of the amount of stock or shares transferred must be completed each year

You must provide a 'full list' of all company shareholders on

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- Every third annual return after a full list has been provided

<sup>①</sup> Please list the company shareholders in alphabetical order

Joint shareholders should be listed consecutively

This section only applies to companies answering 'No' to Question 1 in Section G1

Shareholder's Name (Address not required)	Class of share	Shares or stock currently held	Shares or stock transferred (if appropriate)	
		Number of shares or amount of stock	Number of shares or amount of stock	Date of registration of transfer
CF Odey Opus Fund	£0 001 Ordinary	0	151,711	05 / 02 / 2014
Chase Nominees Limited A/C Artemis	£0 001 Ordinary	182,410		/ /
Chase Nominees Limited A/C FISL	£0 001 Ordinary	245,668	200,216	08 / 01 / 2014
			23,906	08 / 01 / 2014
CIBC Cayman	£0 001 Ordinary	9,150		/ /
CIBC Cayman Bank and Trust Company (Cayman) Limited	£0 001 Ordinary	15,000		/ /
Anthony Clake	£0 001 Ordinary	53,250		/ /
John Edwin Dodd	£0 001 Ordinary	7,740		/ /
Nicholas Jolyon Haldane Edwards	£0 001 Ordinary	1,830		/ /
Brad Farber	£0 001 Ordinary	13,422		/ /
Forest Nominees Limited A/C GC1	£0 001 Ordinary	53,570		/ /
Roger C Gill	£0 001 Ordinary	7,500		/ /

# AR01 - continuation page

## Annual Return

(For returns made up to a date on or after 1 October 2011)

**G3**

### List of past and present shareholders<sup>1</sup>

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<sup>1</sup> Please list the company shareholders in alphabetical order

Joint shareholders should be listed consecutively

This section only applies to companies answering 'No' to Question 1 in Section G1

Shareholder's Name (Address not required)	Class of share	Shares or stock currently held	Shares or stock transferred (if appropriate)	
		Number of shares or amount of stock	Number of shares or amount of stock	Date of registration of transfer
Elisabeta Harkabus	£0 001 Ordinary	330		/ /
Gilad Hayeem	£0 001 Ordinary	19,360		/ /
Jennifer Haythe	£0 001 Ordinary	57,000	2	28 / 11 / 2013
			6,387	28 / 11 / 2013
			4,000	30 / 01 / 2014
			1,611	30 / 01 / 2014
			1,000	30 / 01 / 2014
Rachel Hossack	£0 001 Ordinary	670		/ /
William H Latimer III	£0 001 Ordinary	492,430		/ /
Illumina Cambridge Limited	£0 001 Ordinary	0	1,422,419	28 / 11 / 2013
			93,558	28 / 11 / 2013
			151,711	28 / 11 / 2013

# AR01 - continuation page

## Annual Return

(For returns made up to a date on or after 1 October 2011)

G3

### List of past and present shareholders<sup>1</sup>

Changes during this period to shareholders' particulars or details of the amount of stock or shares transferred must be completed each year

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- The company's first annual return following incorporation,
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<sup>1</sup> Please list the company shareholders in alphabetical order

Joint shareholders should be listed consecutively

This section only applies to companies answering 'No' to Question 1 in Section G1

Shareholder's Name (Address not required)	Class of share	Shares or stock currently held	Shares or stock transferred (if appropriate)	
		Number of shares or amount of stock	Number of shares or amount of stock	Date of registration of transfer
			10,000	28 / 11 / 2013
			15,000	28 / 11 / 2013
			406	28 / 11 / 2013
			164,500	28 / 11 / 2013
			35,500	28 / 11 / 2013
			601,816	28 / 11 / 2013
			157,579	28 / 11 / 2013
			84,657	28 / 11 / 2013
			81,304	28 / 11 / 2013
IP Venture Fund	£0 001 Ordinary	261,126		/ /
IP2IPO Limited	£0 001 Ordinary	4,240,747		/ /
IP2IPO Nominees Limited	£0 001 Ordinary	296,850		/ /

# AR01 - continuation page

## Annual Return

(For returns made up to a date on or after 1 October 2011)

**G3**

### List of past and present shareholders<sup>1</sup>

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<sup>1</sup> Please list the company shareholders in alphabetical order

Joint shareholders should be listed consecutively

This section only applies to companies answering 'No' to Question 1 in Section G1

Shareholder's Name (Address not required)	Class of share	Shares or stock currently held	Shares or stock transferred (if appropriate)	
		Number of shares or amount of stock	Number of shares or amount of stock	Date of registration of transfer
Michael Alexander Clifton Jennison	£0 001 Ordinary	3,330		/ /
JP SPC 3 obo FGP Capital Private Equity, SP	£0 001 Ordinary	78,085		/ /
Lansdowne Developed Markets Master Fund Limited	£0 001 Ordinary	3,285,637		/ /
Lansdowne Developed Markets Strategic Investment Master Fund Limited	£0 001 Ordinary	708,773		/ /
Legacy Asset Portfolio LP	£0 001 Ordinary	339,760		/ /
Judith Licht	£0 001 Ordinary	0	107,400	16 / 12 / 2013
Manning & Napier Fund, Inc	£0 001 Ordinary	224,662	224,131	28 / 11 / 2013
			58	28 / 11 / 2013
			1,500	20 / 01 / 2014
			889	20 / 01 / 2014
			2,000	20 / 01 / 2014
			1,400	04 / 02 / 2014



# AR01 - continuation page

## Annual Return

(For returns made up to a date on or after 1 October 2011)

G3

### List of past and present shareholders<sup>1</sup>

Changes during this period to shareholders' particulars or details of the amount of stock or shares transferred must be completed each year

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Joint shareholders should be listed consecutively

This section only applies to companies answering 'No' to Question 1 in Section G1

Shareholder's Name (Address not required)	Class of share	Shares or stock currently held	Shares or stock transferred (if appropriate)	
		Number of shares or amount of stock	Number of shares or amount of stock	Date of registration of transfer
James Angus McDonald	£0 001 Ordinary	80,000		/ /
Zoe McDougall	£0 001 Ordinary	6,530		/ /
John Milton	£0 001 Ordinary	8,690		/ /
Trevor John Nicholls	£0 001 Ordinary	40,000		/ /
Nordea Bank SA	£0 001 Ordinary	5,220		/ /
David Robert Norwood	£0 001 Ordinary	80,020		/ /
Numis Securities Limited	£0 001 Ordinary	49,990		/ /
Odey Allegra International Fund	£0 001 Ordinary	601,816		/ /
Odey European Inc	£0 001 Ordinary	487,867		/ /
Odey Pan European Fund	£0 001 Ordinary	84,657		/ /
Odey Swan Fund	£0 001 Ordinary	81,304		/ /
Simon James Orange	£0 001 Ordinary	1,320		/ /

# AR01 - continuation page

## Annual Return

(For returns made up to a date on or after 1 October 2011)

**G3**

### List of past and present shareholders<sup>1</sup>

Changes during this period to shareholders' particulars or details of the amount of stock or shares transferred must be completed each year

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Joint shareholders should be listed consecutively

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Shareholder's Name (Address not required)	Class of share	Shares or stock currently held	Shares or stock transferred (if appropriate)	
		Number of shares or amount of stock	Number of shares or amount of stock	Date of registration of transfer
Nathalie Ormond	£0 001 Ordinary	2,500		/ /
Peel Hunt Holdings Limited	£0 001 Ordinary	71,420		/ /
Henry Thomas Pelham	£0 001 Ordinary	7,515		/ /
Picabia Ltd	£0 001 Ordinary	107,400		/ /
Riccardo Pigliucci	£0 001 Ordinary	70,000		/ /
Piguet Galland & Cie SA	£0 001 Ordinary	4,064		/ /
Redmile Capital Fund, LP	£0 001 Ordinary	110,650		/ /
Redmile Capital Offshore Fund II, Ltd	£0 001 Ordinary	426,750		/ /
Redmile Capital Offshore Fund, Ltd	£0 001 Ordinary	69,450		/ /
Redmile Ventures, LLC	£0 001 Ordinary	39,920		/ /
Redmile Ventures, Ltd	£0 001 Ordinary	299,550		/ /
Terence Reid	£0 001 Ordinary	83,000		/ /

# AR01 - continuation page

## Annual Return

(For returns made up to a date on or after 1 October 2011)

G3

### List of past and present shareholders<sup>1</sup>

Changes during this period to shareholders' particulars or details of the amount of stock or shares transferred must be completed each year

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Shareholder's Name (Address not required)	Class of share	Shares or stock currently held	Shares or stock transferred (if appropriate)	
		Number of shares or amount of stock	Number of shares or amount of stock	Date of registration of transfer
Lisa Michelle Ridings	£0 001 Ordinary	3,000		/ /
Katarzyna Iwona Rybarczyk	£0 001 Ordinary	500		/ /
Robert John Salmon	£0 001 Ordinary	360		/ /
Geoffrey Thomas Sandham	£0 001 Ordinary	2,250		/ /
Gurdial Singh Sanghera	£0 001 Ordinary	509,790		/ /
Satter/ONT Investors, LLC	£0 001 Ordinary	303,620		/ /
Christian Schmidt	£0 001 Ordinary	158,400		/ /
Serge Schoen	£0 001 Ordinary	4,000		/ /
Bernhard Seitz	£0 001 Ordinary	133,200		/ /
Cora Sheibani	£0 001 Ordinary	13,670		/ /
Kaveh Sheibani	£0 001 Ordinary	6,300		/ /
Charles Speke	£0 001 Ordinary	42,040		/ /

# AR01 - continuation page

## Annual Return

(For returns made up to a date on or after 1 October 2011)

**G3**

### List of past and present shareholders<sup>1</sup>

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Shareholder's Name (Address not required)	Class of share	Shares or stock currently held	Shares or stock transferred (if appropriate)	
		Number of shares or amount of stock	Number of shares or amount of stock	Date of registration of transfer
Patrick Stalder	£0 001 Ordinary	2,000		/ /
Nicholas David Edward Stockman	£0 001 Ordinary	2,060		/ /
Derek Colin Stuart	£0 001 Ordinary	7,140		/ /
Ze Stuart	£0 001 Ordinary	750		/ /
Lukasz Szajkowski	£0 001 Ordinary	15,830		/ /
Amir Tabatabai	£0 001 Ordinary	1,660		/ /
Tali Capital Advisors, LLC	£0 001 Ordinary	10,240		/ /
Jonathan Teutenberg	£0 001 Ordinary	3,000		/ /
The Bank of New York (Nominees) Limited	£0 001 Ordinary	3,723,278	98,480	06 / 01 / 2014
			10,980	06 / 01 / 2014
			17,729	20 / 01 / 2014
			5,325	20 / 01 / 2014

# AR01 - continuation page

## Annual Return

(For returns made up to a date on or after 1 October 2011)

G3

### List of past and present shareholders<sup>1</sup>

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This section only applies to companies answering 'No' to Question 1 in Section G1

Shareholder's Name (Address not required)	Class of share	Shares or stock currently held	Shares or stock transferred (if appropriate)	
		Number of shares or amount of stock	Number of shares or amount of stock	Date of registration of transfer
The Bank of New York (Nominees) Limited A/C 212145	£0 001 Ordinary	0	198,171	04 / 03 / 2014
			93,558	04 / 03 / 2014
The Bank of New York (Nominees) Limited A/C 392591	£0 001 Ordinary	0	151,711	04 / 03 / 2014
The Bank of New York (Nominees) Limited CFOOF	£0 001 Ordinary	151,711		/ /
The Bank of New York (Nominees) Limited UKREITS	£0 001 Ordinary	433,179		/ /
The Board of Trustees of the Leland Stanford Junior University	£0 001 Ordinary	20,680		/ /
The Chancellor, Masters and Scholars of the University of Oxford	£0 001 Ordinary	262,500		/ /
Adam James Trevitt	£0 001 Ordinary	2,000		/ /
Mark Robert John Tyndall	£0 001 Ordinary	7,140		/ /
Anastasia Vlasova	£0 001 Ordinary	2,500		/ /
Peter Vogel	£0 001 Ordinary	1,400		/ /
Steven White	£0 001 Ordinary	48,000		/ /

# AR01 - continuation page

## Annual Return

(For returns made up to a date on or after 1 October 2011)

**G3**

### List of past and present shareholders<sup>1</sup>

Changes during this period to shareholders' particulars or details of the amount of stock or shares transferred must be completed each year

You must provide a 'full list' of all company shareholders on

- The company's first annual return following incorporation,
- Every third annual return after a full list has been provided

<sup>1</sup> Please list the company shareholders in alphabetical order

Joint shareholders should be listed consecutively

This section only applies to companies answering 'No' to Question 1 in Section G1

Shareholder's Name (Address not required)	Class of share	Shares or stock currently held	Shares or stock transferred (if appropriate)	
		Number of shares or amount of stock	Number of shares or amount of stock	Date of registration of transfer
Nava Whiteford	£0 001 Ordinary	2,500		/ /
Lindsay James Whitelaw	£0 001 Ordinary	7,140		/ /
Sarah Gordon Wild	£0 001 Ordinary	1,840		/ /
James Willcocks	£0 001 Ordinary	282,210		/ /
Lisa Willcocks	£0 001 Ordinary	1,060		/ /
Terence Willcocks	£0 001 Ordinary	1,060		/ /
Yvonne Willcocks	£0 001 Ordinary	1,060		/ /
Julian Harvey Wood	£0 001 Ordinary	30,450		/ /
				/ /
				/ /
				/ /
				/ /

AR01

## Annual Return

(For returns made up to a date on or after 1 October 2011)

G3

List of past and present shareholders<sup>1</sup>

Changes during this period to shareholders' particulars or details of the amount of stock or shares transferred must be completed each year

You must provide a 'full list' of all company shareholders on

- The company's first annual return following incorporation,
- Every third annual return after a full list has been provided

<sup>1</sup> Please list the company shareholders in alphabetical order

Joint shareholders should be listed consecutively

## Further shareholders

Please use a 'List of past and present shareholders' continuation page if necessary

This section only applies to companies answering 'No' to Question 1 in Section G1

Shareholder's Name (Address not required)	Class of share	Shares or stock currently held	Shares or stock transferred (if appropriate)	
		Number of shares or amount of stock	Number of shares or amount of stock	Date of registration of transfer
Pershing Keen Nominees Limited	£0 01 Ordinary (Cancelled)	0	5,357	10 / 04 / 2013
				/ /
				/ /
				/ /
				/ /
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				/ /
				/ /

# AR01

## Annual Return

(For returns made up to a date on or after 1 October 2011)

**G4**

### Shareholders who hold at least 5% of any class of share(s) of the company as at the made up date of this return

This section should show only the shareholders that hold at least 5% of any class of share(s) of the company at the date of this return

It should only be completed by companies that have answered 'Yes' to Question 1 in Section G1, and 'No' to Question 2 in Section G1

If there were no shareholders holding at least 5% of any class of share(s) at the date of this return, this section may be left blank

→ Go to Part 5 (Signature)

This section only applies to companies answering 'No' to Question 2 in Section G1

Please list the company shareholders in alphabetical order

Joint shareholders should be listed consecutively

**Further shareholders**  
Please use a 'Shareholders who hold at least 5% of any class of share(s) of the company as at the made up date of this return' continuation page if necessary

		Shares or stock currently held	
Shareholder's name	Shareholder's address	Class of share	Number of shares or amount of stock



AR01

Annual Return

(For returns made up to a date on or after 1 October 2011)

**Part 5**

**Signature**

This must be completed by all companies.

I am signing this form on behalf of the company

Signature

Signature

X

*PCM Fiddale*  
for OXFORD NANOPORE TECHNOLOGIES LIMITED

X

This form may be signed by

Director<sup>1</sup>, Secretary, Person authorised<sup>2</sup>, Charity commission receiver and manager, CIC manager, Judicial factor

**1 Societas Europaea**

If the form is being filed on behalf of a Societas Europaea (SE) please delete 'director' and insert details of which organ of the SE the person signing has membership

**2 Person authorised**

Under either section 270 or 274 of the Companies Act 2006

# AR01

## Annual Return

(For returns made up to a date on or after 1 October 2011)



### Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name

Ref: PMB

Company name

PENNINGTONS MANCHES LLP

Address

9400 GARSINGTON ROAD,  
OXFORD BUSINESS PARK,

Post town

OXFORD

County/Region

Postcode

OX4 2HN

Country

DX

155710 OXFORD 13

Telephone

01865 722106



### Checklist

We may return forms completed incorrectly or with information missing.

Please make sure you have remembered the following

- ☐ That if the made up date of the return is any earlier than 1 October 2011, you must complete the appropriate form AR01
- ☐ The company name and number match the information held on the public Register
- ☐ You have completed your principal business activity
- ☐ You have not used this form to make changes to the registered office address
- ☐ You have not used this form to make changes to secretary and director details
- ☐ You have fully completed the Statement of capital (if applicable)
- ☐ You have signed the form
- ☐ You have enclosed the correct fee



### Important information

Please note that all information on this form will appear on the public record



### How to pay

A fee of £40 is payable to Companies House in respect of an Annual Return

Make cheques or postal orders payable to 'Companies House'



### Where to send

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below

For companies registered in England and Wales  
The Registrar of Companies, Companies House,  
Crown Way, Cardiff, Wales, CF14 3UZ  
DX 33050 Cardiff

For companies registered in Scotland  
The Registrar of Companies, Companies House,  
Fourth floor, Edinburgh Quay 2,  
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF  
DX ED235 Edinburgh 1  
or LP - 4 Edinburgh 2 (Legal Post)

For companies registered in Northern Ireland  
The Registrar of Companies, Companies House,  
Second Floor, The Linenhall, 32-38 Linenhall Street,  
Belfast, Northern Ireland, BT2 8BG  
DX 481 NR Belfast 1



### Further information

For further information, please see the guidance notes on the website at [www.companieshouse.gov.uk](http://www.companieshouse.gov.uk) or email [enquiries@companieshouse.gov.uk](mailto:enquiries@companieshouse.gov.uk)

This form is available in an alternative format. Please visit the forms page on the website at [www.companieshouse.gov.uk](http://www.companieshouse.gov.uk)