



500255 Q3D
BULK CD-ROM
3 PAGES TO PAPER

363a

Please complete in typescript, or in bold black capitals.

Annual Return

CHFP000

Company number

5038854

Company name in full

Oxford Technology & Venture Capital
Trust PLC ✓

Date of this return

The information in this return is made up to

Day Month Year
0 9 / 0 2 / 2 0 0 9 ✓

Date of next return

If you wish to make your next return to a date earlier than the anniversary of this return please show that date here. Companies House will then send a form at the appropriate time.

Day Month Year
/ / /

Registered Office

Show here the address at the date of this return

The Magdalen Centre, Oxford
Science Park

Any change of registered office must be notified on form 287

Post town

OXFORD

County/Region

Oxfordshire ✓

UK Postcode

OX 4 4 9 1A

Principal business activities

Show trade classification code number(s) for the principal activity or activities

~~6712~~ 6712

If the code number cannot be determined.

Venture Capital Investment
Fund Management



ALGD2820

A26 11/03/2009 232

COMPANIES HOUSE

A14 04/03/2009 107

COMPANIES HOUSE

When you have completed and signed the form please send it to the

Registrar of Companies at:

Companies House, Crown Way, Cardiff, CF14 3UZ

DX 33050 Cardiff

for companies registered in England or Wales or

Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB

DX ED235 Edinburgh 1

For companies registered in Scotland

or LP-4 Edinburgh 2

Register of members

If the register of members is not kept at the registered office, state here where it is kept

Post town

County/Region

UK Postcode

Register of Debenture holders

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state here where it is kept

Post town

County/Region

UK Postcode

Company type

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

☒☐☐☐☐☐☐

Please tick the appropriate box

Company Secretary

Details of a new company secretary must be notified on form 288a

* Voluntary details
(Please photocopy this area to provide details of joint secretaries).

Name

*Style/Title

Mr

Forename(s)

James

Surname

Gordon

Address ††

22 Great James Street

Post town

LONDON

County/Region

UK Postcode

W C I N 3 E S

Country

England

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

☐

If a partnership, give the names and addresses of the partners or the name of the partnership and office address

Directors

Please list the directors in alphabetical order

* Voluntary details

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

Details of new directors must be notified on form 288a

Name *Style/Title

Mr

Date of birth

Day

Month

Year

1 5 0 2 1 1 9 4 7

Forename(s) John Lucius Arthur

Surname

Cary

Address ††

Huntswood House, Harpsden,
Henley-on-Thames

Post town

County/Region

Oxfordshire

UK Postcode

R 9 9 14 H Y

Country

England

Nationality

British

Business occupation

Director - Investment Management

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

☐**Directors**

Please list the directors in alphabetical order

* Voluntary details

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

Details of new directors must be notified on form 288a

Name *Style/Title

Mr

Date of birth

Day

Month

Year

2 6 0 5 1 9 2 9

Forename(s) John Bernard Haysom

Surname

Jackson

Address ††

Summit House
12 Red Lion Square

Post town

LONDON

County/Region

UK Postcode

W 1C 1 R 14 Q D

Country

England

Nationality

British

Business occupation

Director - Investment Management

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

☐

Directors

Please list the directors in alphabetical order

* Voluntary details

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

Details of new directors must be notified on form 288a

Name *Style/Title

Mr

Date of birth

Day

Month

Year

2 1 10 8 11 9 4 7

Forename(s) Michael Rowan Hamilton John

Surname

O'Regan

Address ††

6 Northmoor Road

Post town

OXFORD

County/Region

UK Postcode

OX 2 1 6 4 1

Country

England

Nationality

British

Business occupation

Director - Investment Management

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

☐**Directors**

Please list the directors in alphabetical order

* Voluntary details

Details of new directors must be notified on form 288a

Name *Style/Title

Mr

Date of birth

Day

Month

Year

2 5 10 1 1 9 6 1

Forename(s) David

Surname

Livesley

Address ††

Rosedene

Clifford Road

Post town

Boston Spa

County/Region

West Yorkshire

UK Postcode

LS 2 3 6 1 1

Country

England

Nationality

British

Business occupation

Director - Investment Management

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

☐

Directors*

Please list the directors in alphabetical order

* Voluntary details

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

Details of new directors must be notified on form 288a

Name *Style/Title

Sir

Date of birth

Day

Month

Year

1 9 10 4 1 9 2 7

Forename(s)

Martin Frances

Surname

Wood

Address ††

The Manor House

Little Wittenham

Post town

ABINGDON

County/Region

Oxfordshire

UK Postcode

OX 1 4 4 R A

Country

England

Nationality

British

Business occupation

Director - Investment Management

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

☐**Directors**

Please list the directors in alphabetical order

* Voluntary details

Details of new directors must be notified on form 288a

Name *Style/Title

Day

Month

Year

Date of birth

/ / / / / /

Forename(s)

Surname

Address ††

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

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Post town

County/Region

UK Postcode

/ / / / / /

Country

Nationality

Business occupation

Issue share capital

Enter details of all the shares in issue at the date of this return

Class (e.g.
Ordinary/Preference)

Number of shares
issued

Aggregate Nominal
Value

(i.e. Number of shares
issued multiplied by
nominal value per share, or
total amount of stock)

Ordinary^{10P}

10,339,702

£1,033,970.20

Totals

10,339,702

£1,033,970.20

Traded public companies

A traded public company means a company any of whose shares are shares admitted to trading on a regulated market

Please tick this box if your company was a traded public company at any time during the period of this return



List of past and present shareholders

(use attached schedule where appropriate)

Private or non-traded public companies are required to provide a "full list" if one was not included with either of the last two returns.

Traded public companies are required to provide a list of shareholders who held at least 5% of the issued shares of any share class if a list was not provided with either of the last two returns.

Please tick the appropriate box below:

On paper

In another
format

A full list of shareholders for a private or non-traded public company is enclosed. **Please complete Schedule A.**

☐☐

A list of shareholders holding at least 5% of the issued shares of any share class for a traded public company is enclosed. **Please complete Schedule B.**

☐☒

A list containing shareholder changes is enclosed

☐☐

→ For private or non-traded public companies, **please complete Schedule A**

→ For traded public companies, **please complete Schedule B**

There were no shareholder changes in this period

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Certificate

I certify that the information given in this return is true to the best of my knowledge and belief

Signed

Ant

*(director / secretary)

Date

24/02/09

* Please delete as appropriate

When you have signed the return, send it with the fee to the Registrar of Companies. Make cheques payable to Companies House.

This return includes

☐

continuation sheets

(enter number)

You do not have to give any contact information in the box opposite but if you do, it will help Companies House to contact you if there is a query on the form. The contact information that you give will be visible to searchers of the public record.

Joanne Hoareau

Oxford Technology Management

Tel: 01865 784466

DX number

DX exchange

This must only be completed by companies that have traded on a regulated market and must show the details of shareholders who held at least 5% of the issued shares of any class of the company at any time during the period

Schedule B
for traded public companies
List of past and present shareholders

Company number 5038854
Company name in full Oxford Technology 4 Venture Capital Trust PLC

- Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a list of all company shareholders who held at least 5% of the issued shares of any class of the company on:
 - The company's first annual return following incorporation
 - Every third annual return after a full list has been provided
- List the company shareholders in alphabetical order or provide an index
- List joint shareholders consecutively

Shareholder's name and address	Class and number of shares or amount of stock held	Shares or amount of stock transferred (if appropriate)	
		Class and number of shares or amount of stock transferred	Date of registration of transfer
Name ----- Address ----- ----- ----- UK Postcode L L L L L L L L	DISK enclosed from Capita Registrars with details of all shareholders who have held in excess of 5% of the total shares in issue at any time during the 12 months to 9th February 2009.		
Name ----- Address ----- ----- ----- UK Postcode L L L L L L L L			
Name ----- Address ----- ----- ----- UK Postcode L L L L L L L L			
Name ----- Address ----- ----- ----- UK Postcode L L L L L L L L			

Run Date: 11-FEB-2009 12:01PM
Page 1
Ref: RS2801 V4.11
Company Code: OXFD
TRUST PLC

Annual Return

OXFORD TECHNOLOGY 4 VENTURE CAPITAL

R U N P A R A M E T E R S

Totals Report Filename

[|OXFD2801_TOT.REP

R e p o r t F o r m a t S e l e c

t i o n

Format:

accounts

1 - Name, Address & Holdings, all

with 5% and over

2 - Name, Address & Holdings, accounts

3 - Name & Holdings, all accounts

Option 2

D a t a S e l e c t i o n

Sortkey Start

End

List individual transactions Y

Earliest Transaction Date 10-FEB-2008

Latest Transaction Date 09-FEB-2009

Class

Class

O ORDINARY 10P

Run Date: 11-FEB-2009 12:01PM

Annual Return

Page 2

Ref: RS2801 V4.11

Company Code: OXFD
TRUST PLC

OXFORD TECHNOLOGY 4 VENTURE CAPITAL

Registered Number 5038854
FEB-2009

Annual return for Period : 10-FEB-2008 TO 09-

Class	Name and Address of Shareholder	Holding	Movement	Date
BNY MELLON NOMINEES LIMITED	BSDTAGG	THE BANK OF NEW YORK MELLON		
ORDINARY 10P		1,021,820	1,021,820	26-MAR-08
	ACCT	ONE PICCADILLY GARDENS		
		MANCHESTER M1 1RN		
BNY MELLON NOMINEES LIMITED		THE BANK OF NEW YORK MELLON		
ORDINARY 10P	NIL		-1,021,820	26-MAR-08
	BSDTGABN ACCT	ONE PICCADILLY GARDENS		
		MANCHESTER M1 1RN		

Run Date: 11-FEB-2009 12:01PM

Annual Return

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Ref: RS2801 V4.11

Company Code: OXFD

OXFORD TECHNOLOGY 4 VENTURE CAPITAL

TRUST PLC

Registered Number 5038854
FEB-2009

Annual Return for Period : 10-FEB-2008 to 09-

Total Number of Shareholder Accounts printed: 2

Summary By Class:

Class	Name	Holding
O	ORDINARY 10P	1,021,820

Holders

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