

CS01

Confirmation statement



Companies House



Go online to file this information
www.gov.uk/companieshouse

A fee may be payable
Please see 'How to file' on the back of this form



A8WKEG7F

A05 18/01/2020 #106

COMPANIES HOUSE

A8KY874R

A05 23/12/2019 #516

COMPANIES HOUSE

✓ What this form is for

You may use this form to confirm that the company has filed up to date. You must file a confirmation statement at least once every year.

✗ What this form is not for

You cannot use this form to confirm changes to the company's details, such as the people with significant control (PSC), registered office or single alternative inspection address (SAIL) information.

Before you start

You can check your company details for free on our online service:

<https://beta.companieshouse.gov.uk>

Change to your company information

If you need to make any changes to:

- Part 1 Principal business activities or standard industrial classification (SIC)
- Part 2 Statement of capital
- Part 3 Trading status of shares and exemption from keeping a register of people with significant control (PSC)
- Part 4 Shareholder information

Use the additional parts of this form to do this.

Other changes

If you need to make any changes to:

- registered office address
- single alternative inspection address (SAIL) and company records
- officer appointments
- information about people with significant control

You must do this separately before or at the same time as this confirmation statement.

1 Company details

Company number 0 4 9 5 8 3 3 2

Company name in full PREFCAP LIMITED

→ Filing in this form

Please complete in typescript or in bold black capitals.

2 Confirmation date

Please give the confirmation statement date. You must deliver this form within 14 days of this date. Please check your company records for the date of your confirmation period.

Confirmation date 1 2 2 0 7 2 0 1 9

① Check when your confirmation statement is due

To check your confirmation statement date:

<https://beta.companieshouse.gov.uk>

You can make a statement at any time during the confirmation period. This will change your next confirmation date.

3 Confirmation statement

I confirm that all information required to be delivered by the company pursuant to section 853A(1)(a) of the Companies Act 2006 in relation to the confirmation period ending on the confirmation date above either has been delivered or is being delivered with this statement.

Signature

Signature

X

X

This form may be signed by:
Director^②, Secretary, Person authorised^③, Charity commission receiver and manager, CIC manager, Judicial factor.

② Societas Europaea

If the form is being filed on behalf of a Societas Europaea (SE) please delete 'director' and insert details of which organ of the SE the person signing has membership.

③ Person authorised

Under either section 270 or 274 of the Companies Act 2006.

CS01

Confirmation statement



Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name

Company name

HAGGARDS CROWTHER

Address

2ND FLOOR, HEATHMANS HOUSE

19 HEATHMANS ROAD

Post town

LONDON

County/Region

Postcode

S W 6 4 T J

Country

UK

DX

Telephone

020 7384 0920



Checklist

We may return forms completed incorrectly or with information missing.

Please make sure you have remembered the following:

- ☐ The company name and number match the information held on the public Register.
- ☐ You have checked the company information that we hold.
- ☐ You have shown any relevant changes made to your information on the additional parts to this form or filed the appropriate form before or at the same time as this confirmation statement.
- ☐ You have signed the form.
- ☐ You have enclosed the correct fee if appropriate.



How to pay

You must include a £40 fee with the first Confirmation Statement you file each year. Further Confirmation Statements made in the same year don't require a fee.

Make cheques or postal orders payable to 'Companies House.'



Important information

All information on this form will appear on the public record.



Where to send

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:

For companies registered in England and Wales:

The Registrar of Companies, Companies House,
Crown Way, Cardiff, Wales, CF14 3UZ.
DX 33050 Cardiff.

For companies registered in Scotland:

The Registrar of Companies, Companies House,
Fourth floor, Edinburgh Quay 2,
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF.
DX ED235 Edinburgh 1
or LP - 4 Edinburgh 2 (Legal Post).

For companies registered in Northern Ireland:

The Registrar of Companies, Companies House,
Second Floor, The Linenhall, 32-38 Linenhall Street,
Belfast, Northern Ireland, BT2 8BG.
DX 481 N.R. Belfast 1.



Further information

For further information, please see the guidance notes on the website at www.gov.uk/companieshouse or email enquiries@companieshouse.gov.uk

This form is available in an alternative format. Please visit the forms page on the website at www.gov.uk/companieshouse

CS01- additional information page

Confirmation statement

Part 4

Shareholder information change

Only use this Part to tell us of a change to shareholder information since the company last delivered this information.

✓ If completed this Part must be sent at the same time as your confirmation statement.

✗ Not required for companies without share capital or DTR5 companies.

For further information, please refer to our guidance at www.gov.uk/companieshouse

D1

Shareholder information for a non-traded company

How is the list of shareholders enclosed. Please tick the appropriate box below:

☐ The list of shareholders is enclosed on paper.

☒ The list of shareholders is enclosed in another format.

➊ Further shareholders
Please use a Shareholder information (for a non-traded company) continuation page if necessary.

Show any information that has changed for each person.

Please list the company shareholders in alphabetical order. Joint shareholders should be listed consecutively.

Shareholder's Name (Address not required)	Class of share	Shares held at confirmation date	Shares transferred (if appropriate)	
		Number of shares	Number of shares	Date of registration of transfer
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /

CS01- additional information page
Confirmation statement

Shareholder information for certain traded companies (not DTR5)

Give details of any change to the information (since you last gave it) about people who held at least 5% of the issued shares of any class at the end of the confirmation period.

Please list the shareholders in alphabetical order. Joint shareholders should be listed consecutively.

Further shareholders
Please use a 'Shareholder
information – certain traded
companies (not a DTR5 company)' continuation page if necessary.

[illegible]

	Data Report for Prefcap Limited as at 22 Jul 2019				
Sorting No.	TITLE	11 - ORD SHS 30P	JointHolder 1	JointHolder 2	JointHolder 3
1	AIRBORNE INTERACTIVE LIMITED	19			
2	ALLIANCE TRUST SAVINGS NOMINEES LTD Des:GRO	387			
3	CHUMAH AMAEFULE ESQ	11			
4	DUNCAN JAMES AMPS ESQ	2			
5	JAMES ANDERSON ESQ	1			
6	MUHAMMAD SALIK ATHAR ESQ	2			
7	AURORA NOMINEES LIMITED Des:2234100	2,173,116			
8	ANTHONY BAGNALL ESQ	3			
9	LEROY BAILEY ESQ	15			
10	ALISTAIR BALLANTYNE ESQ	810			
11	BARCLAYS DIRECT INVESTING NOMINEES LIMITED Des:CLIENT1	37,415			
12	GERALD WILLIAM BRIDGES BARTON ESQ	142			
13	THE BANK OF NEW YORK (NOMINEES) LIMITED Des:SFTIF	737,333			
14	BARCLAYSHARE NOMINEES LIMITED	1			
15	MALCOLM BARROW ESQ	5	MRS TERESSA BARROW		
16	BEAUFORT NOMINEES LIMITED	61			
17	BENJAMIN ELLIS BENTHAM ESQ	4			
18	WAYNE ROBERT BERRY ESQ	1			
19	MALCOLM BEST ESQ	3			
20	BGL READS TRUST COMPANY LIMITED Des:2143	2			
21	Anjali Bhattacharjee Exor of Birendra Lal Bhattacharjee Deceased	5			
22	THOMAS BIRCHENOUGH ESQ	6			
23	ANDREW JOHN BOTTOMLEY ESQ	10			
24	NOEL PETER FRANK BRAMALL ESQ	1,000			
25	DARREN EDWARD BRENCH ESQ	500			
26	PETER BROOKS ESQ	1			
27	MRS MARLENE VERONICA BROWN	1			
28	Mr Paul Burke	237,805			
29	PATRICK CALLAGHAN ESQ	1			
30	MARTIN CANTY ESQ	3			
31	Clive Carver	20,000			
32	C A S INVESTMENTS LLC	1			
33	Mr Louis Castro	20,000			
34	CGWL NOMINEES LIMITED Des:GC1	656,870			
35	CLAIRE LOUISE CHAMBERS	2			
36	CHASE NOMINEES LIMITED	4			
37	CHASE NOMINEES LIMITED Des:CMBLUPPB	1,450			

38	MISS MING-TZER CHUNG	-17		
39	TADEUSZ GABRIEL ANDREW CIELOCH ESQ	20		
40	TERRY CLEARY ESQ	1		
41	MRS CAROL ANNE COLMAN	5		
42	COMMERZBANK AKTIENGESELLSCHAFT Des:CLEARNOM	20		
43	RICHARD LOUIS COOPER ESQ	1	MISS SHARON SMITH	
44	DAVID GERARD COPELAND ESQ	1		
45	MICHAEL EDWARD COX ESQ	7		
46	PETER ALAN CREAK ESQ	4		
47	STEPHEN JOHN CRITCHLEY ESQ	2		
48	Maria Crouchley	507,804		
49	DARTINGTON PORTFOLIO NOMINEES LIMITED Des:DPN	30		
50	MISS AIMEE HAZEL FLORENCE DAVIES	4,500		
51	ALFRED DAVIES ESQ	3		
52	MS JANETTE PAULINE DAWSON	2		
53	GEOFFREY DAY ESQ	3		
54	Mrs Carole Dayan	1,265,244		
55	BRIAN DENNIS ESQ	1	MRS CHRISTINE DENNIS	
56	BRIAN JOHN DENNY ESQ	10	MS JEAN EDITH SAUNDERS	
57	DNB BANK ASA Des:DNBASAC	10		
58	RUSSELL HUBERTUS DONDEES ESQ	2		
59	ALBERT DUNNING ESQ	1		
60	TIMOTHY DUNNINGHAM ESQ	16		
61	MICHAEL GEORGE DYSON ESQ	1		
62	Edana Investments Limited	4,693,199		
63	JASON EIS ESQ	39		
64	ENERGETIX (EUROPE) LIMITED	35,585		
65	PAUL ENGLAND ESQ	1		
66	FERLIM NOMINEES LIMITED Des:POOLED	1		
67	FG EMPLOYEE TRUSTEE COMPANY LIMITED	17		
68	RICHARD FLETCHER ESQ	1		
69	DAVID JOHN FORMAN ESQ	5		
70	DAVID PETER FRIEND ESQ	1		
71	GRAHAM FROUD ESQ	1		
72	FUNDSDIRECT NOMINEES LIMITED Des:RLPS	5		
73	DAVID IAN GANT ESQ	21		
74	PETER GASCOYNE ESQ	1		
75	ALEXANDER DURRAND GEDDES ESQ	1	MRS JEANETTE ELIZABETH GEDDES	
76	JOSEPH GIBLIN ESQ	3		

77	JAMES ALEXANDER GLANCY ESQ	1			
78	HENRY EDWARD GLENISTER ESQ	1			
79	DR MIN GONG	1			
80	MRS JACQUELINE MERRELL GOODALL	1			
81	TIMOTHY GRATTAN ESQ	5,333			
82	MRS BEVERLEY JANE GREENOUGH	10			
83	STEPHEN JOHN GRUNDY ESQ	10,000			
84	DAVID HANDLEY ESQ	5			
85	HARGREAVES LANSDOWN (NOMINEES) LIMITED Des:HLNOM	21,756			
86	HARGREAVES LANSDOWN (NOMINEES) LIMITED Des:VRA	39,673			
87	HARGREAVES LANSDOWN (NOMINEES) LIMITED Des:VRADDOWN	2			
88	JOHN PAUL HAYWOOD ESQ	11			
89	DARREN CRAIG HILLIER ESQ	2			
90	JAMES EDWIN HECTOR STEWART HINE ESQ	5			
91	PHILIP ANDREW HOWELL ESQ	20			
92	HSBC GLOBAL CUSTODY NOMINEE (UK) LIMITED Des:740468	6			
93	HSBC GLOBAL CUSTODY NOMINEE (UK) LIMITED Des:800757	222,387			
94	HSBC GLOBAL CUSTODY NOMINEE (UK) LIMITED Des:941346	53			
95	HSDL NOMINEES LIMITED	39,038			
96	HSDL NOMINEES LIMITED Des:IWEB	58			
97	HSDL NOMINEES LIMITED Des:SBUILD	28			
98	MILES HUDSON ESQ	5			
99	Mr Adrian Hutchings	1,280			
100	IDEALING NOMINEES LIMITED Des:IDLNOM	1			
101	DEREK JEWSON ESQ	6			
102	JIM NOMINEES LIMITED Des:JARVIS	216			
103	J M FINN NOMINEES LIMITED	4,058,888			
104	MRS AMANDA JOHNSON	878			
105	PETER JOSEPH JOHNSON ESQ	3			
106	ADRIAN RICHARD JONES ESQ	3			
107	MRS ANN JONES	5			
108	PAUL JONES ESQ	100			
109	HAROLD ANTHONY KENNEDY ESQ	2			
110	MISS WIOLETTA REGINA KEPKE	7,500			
111	MAHBOOB KHAN ESQ	1			
112	LAWSHARE NOMINEES LIMITED Des:DEALING	36,935			
113	LAWSHARE NOMINEES LIMITED Des:SIPP	10,640			
114	Mr Stephen Lord	728,659			
115	MISS JEANNE ROSE LOUISY	2			

116	PAUL MASON ESQ	1			
117	WILLIAM MAYES	4			
118	MRS JANET RUTH MCBRIEN	2			
119	GARY MCDAID ESQ	20			
120	RODERICK MCKINNON ESQ	1			
121	ANDREW JAMES MELVIN ESQ	4			
122	MOBEUS INCOME & GROWTH 2 VCT PLC	12			
123	MOL OFFSHORE HOLDING S.A.R.L.	400			
124	GRAHAM W COOPER & MC EXORS OF RONALD TERENCE MOOGAN DECEASED	1			
125	TIM MORGAN	20	ELAINE MORGAN		
126	MORGAN STANLEY CLIENT SECURITIES NOMINEES LIMITED Des:SEG	225			
127	NICHOLAS MOSS ESQ	138			
128	MS LORRAINE MURPHY	9,334			
129	ALEXANDER NOBLE ESQ	2			
130	Nomura Custody Nominees Limited Des:CUSTNOMS	25			
131	MRS SANDRA JOY NUTT	2			
132	RAYMOND PATRICK O'DONNELL ESQ	66			
133	1798 Volantis Fund Ltd	2,726,483			
134	MRS HIRAL PARIKH	58			
135	MICHAEL WILLIAM PARKER ESQ	12			
136	JOHN DAVID PEACE ESQ	7			
137	PEEL HUNT HOLDINGS LIMITED Des:PMPRINC	111,911			
138	PERSHING NOMINEES LIMITED Des:CBCLT	1			
139	TOBY BENJAMIN PETERS ESQ	1			
140	LEONARD PINCHER ESQ	4			
141	PIPEGUARD LIMITED	23			
142	PLATFORM SECURITIES NOMINEES LIMITED Des:HEDNOM	12			
143	PLATFORM SECURITIES NOMINEES LIMITED Des:KKCHAR	16			
144	AIDAN MARK POWERS ESQ	1			
145	MRS KAREN FLORENCE POWERS	1			
146	MANNAN HASAN RAMAY ESQ	132			
147	REDMAYNE (NOMINEES) LIMITED Des:FH16111Y	3			
148	REDMAYNE (NOMINEES) LIMITED Des:FH23792Y	17			
149	REDMAYNE (NOMINEES) LIMITED Des:FH25378Y	11			
150	REDMAYNE (NOMINEES) LIMITED Des:FLJ2006V	1			
151	REDMAYNE (NOMINEES) LIMITED Des:FLP2003T	2			
152	REDMAYNE (NOMINEES) LIMITED Des:H28733V	35			
153	REDMAYNE (NOMINEES) LIMITED Des:LON0060R	2			
154	REDMAYNE (NOMINEES) LIMITED Des:M2695J	3			

155	REDMAYNE (NOMINEES) LIMITED Des:N22586L	3			
156	MICHAEL PHILLIP RIDYARD ESQ	39			
157	PHILIP MARK RILEY ESQ	8			
158	ROCK (NOMINEES) LIMITED Des:FASTRIDE	4			
159	ROCK (NOMINEES) LIMITED Des:FASGROSS	46			
160	ROCK (NOMINEES) LIMITED Des:CSHNET	6			
161	ESAT SARIGUL ESQ	4,535			
162	DAVID SEBIRE ESQ	5			
163	DAVID JOHN SEBIRE ESQ	22			
164	SECURITIES SERVICES NOMINEES LIMITED Des:1701129	305,210			
165	DUNCAN ROY SEDGWICK ESQ	244			
166	JOHN SHANNON ESQ	900			
167	SHARE NOMINEES LTD	2,155			
168	THOMAS MARK SIMPSON ESQ	24			
169	RICHARD SMITH	1,290			
170	RICHARD STEPHEN SMITH ESQ	3			
171	DIPAK KUMAR RAMOBHAI SONI ESQ	100			
172	SPREDEX LIMITED	1,755			
173	KENNETH DAVID STAPLETON ESQ	6			
174	STATE STREET NOMINEES LIMITED Des:OM01	9,111			
175	JOHN STEWART ESQ	7			
176	SVS SECURITIES (NOMINEES) LTD Des:ONL	427			
177	JOHN SYKES ESQ	2			
178	MRS JANE TAYLOR	2			
179	INTERACTIVE INVESTOR SERVICES NOMINEES LIMITED Des:SMKTNOMS	2,276			
180	GORDON TENNANT ESQ	300			
181	THE BANK OF NEW YORK (NOMINEES) LIMITED Des:456411	532			
182	TRANSACT NOMINEES LIMITED Des:INTEGRA1	1			
183	UBS PRIVATE BANKING NOMINEES LTD Des:MAINPOOL	80			
184	DR RAGHAVA REDDY VANGA	24			
185	VIDACOS NOMINEES LIMITED Des:BFCM	150			
186	VIDACOS NOMINEES LIMITED Des:IGUKCLT	65,900			
187	Vidacos Nominees Limited Des:GIMLCCCL	1			
188	MAURICE JOHN WAINWRIGHT ESQ	5			
189	IAN WALBERG ESQ	19			
190	GEORGE NORMAN WALKER ESQ	1			
191	JOHN WALTON ESQ	9			
192	MARK WATERS ESQ	4			
193	W B NOMINEES LIMITED	34,543			

194	WEALTH NOMINEES LIMITED Des:NOMINEE	302			
195	WEALTH NOMINEES LIMITED Des:WRAP	58			
196	SIMON WEAVER ESQ	1			
197	MRS UNA WEBSTER	1			
198	JIANLIN WEI ESQ	30			
199	MRS GILLIAN MARY WHITE	9			
200	MICHAEL JOHN WHITE ESQ	5			
201	BRIAN WILLS ESQ	2,407			
202	WINTERFLOOD CLIENT NOMINEES LIMITED Des:NET	9			
203	WINTERFLOOD SECURITIES LIMITED Des:WINSREP	55,193			
204	GRAHAM ALBERT WRIGHT ESQ	1			
205	STANIMIR ZEKAVICA ESQ	130			
206	ZZFRACTIONS	92			
		18,914,073			