

Please complete in typescript, or in bold black capitals.

Annual Return

CHFP000

Company number

✓
4283484

Company name in full

Aberforth Geared Capital & Income Trust plc ✓

Date of this return

The information in this return is made up to

Day Month Year ✓
0 7 / 0 9 / 2 0 0 9

Date of next return

If you wish to make your next return to a date earlier than the anniversary of this return please show that date here. Companies House will then send a form at the appropriate time.

Day Month Year
/ /

Registered Office

Show here the address at the date of this return

Dickson Minto WS Floor Seven

Royal London House, 22-25 Finsbury Square

Any change of registered office must be notified on form 287

Post town

London ✓

County/Region

UK Postcode

E C 2 A 1 D X

Principal business activities

Show trade classification code number(s) for the principal activity or activities

✓
6523

If the code number cannot be determined, give a brief description of principal activity

WEDNESDAY



A33

23/09/2009

17

COMPANIES HOUSE

When you have completed and signed the form please send it to the Registrar of Companies at:

Companies House, Crown Way, Cardiff, CF14 3UZ

for companies registered in England or Wales or

Companies House, 139 Fountainbridge, Edinburgh, EH3 9FF

For companies registered in Scotland

DX 33050 Cardiff

DX ED235 Edinburgh 1
or LP-4 Edinburgh 2

Register of members

If the register of members is not kept at the registered office, state here where it is kept

Capita Registrars Limited

Northern House, Woodsome Park, Fenay Bridge

Post town

Huddersfield ✓

County/Region

West Yorkshire

UK Postcode

H D 8 0 L A

Register of Debenture holders

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state here where it is kept

Not Applicable

Post town

County/Region

UK Postcode

Company type

Public limited company

☒

Private company limited by shares

☐

Private company limited by guarantee without share capital

☐

Private company limited by shares exempt under section 30

☐

Private company limited by guarantee exempt under section 30

☐

Private unlimited company with share capital

☐

Private unlimited company without share capital

☐

Please tick the appropriate box

Company Secretary

Details of a new company secretary must be notified on form 288a

* Voluntary details
(Please photocopy this area to provide details of joint secretaries).

Name

*Style/Title

Forename(s)

Aberforth Partners LLP

Surname

Address ††

14 Melville Street ✓

Post town

Edinburgh

County/Region

UK Postcode

E H 3 7 N S

Country

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

☐

If a partnership, give the names and addresses of the partners or the name of the partnership and office address

Directors

Please list the directors in alphabetical order

* Voluntary details

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

Name *Style/Title

Day Month Year

Date of birth 2 2 / 0 6 / 1 9 4 0 ✓

Forename(s) Alastair Cox

Surname Dempster

Address ††

Dalshian ✓

8 Harelaw Road

Post town Edinburgh

County/Region

UK Postcode E H 1 3 0 P R

Country

Nationality BRITISH.

Business occupation Company Director ✓

Directors

Please list the directors in alphabetical order

* Voluntary details

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

Name *Style/Title

Day Month Year

Date of birth 1 9 / 1 0 / 1 9 4 0 ✓

Forename(s) Thomas Michael

Surname Foulkes

Address ††

Barons Lea, Parsonage Lane ✓

Little Baddow

Post town Chelmsford

County/Region Essex

UK Postcode C M 3 4 S U

Country

Nationality British

Business occupation Company Director ✓

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

Directors

Please list the directors in alphabetical order

* Voluntary details

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

Name *Style/Title

Day

Month

Year

Date of birth

2 9 / 0 9 / 1 9 5 7

Forename(s) John Steel

Surname Richards

Address †† Glendarvel

21 Lovedale Road, Balerno

Post town Edinburgh

County/Region

UK Postcode

E H 1 4 7 D W

Country

Nationality British

Business occupation

Finance Director ✓

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

☐**Directors**

Please list the directors in alphabetical order

* Voluntary details

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

Name *Style/Title

Day

Month

Year

Date of birth

1 4 / 0 9 / 1 9 4 7

Forename(s) Alastair James

Surname Ritchie

Address †† 34/5 Bampton Avenue West ✓

Post town Edinburgh

County/Region

UK Postcode

E H 4 6 D E

Country

Nationality British

Business occupation

Company Director ✓

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

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Ref: RS2801 V4.1m

Company Code: N05A

Annual Return

ABERFORTH GEARED CAPITAL AND INCOME TRUST PLC

R U N P A R A M E T E R S

Totals Report Filename MM01\$:N05A_TOT.REP

R e p o r t F o r m a t S e l e c t i o n

Format:

- 1 - Name, Address & Holdings, all accounts
- 2 - Name, Address & Holdings, accounts with 5% and over
- 3 - Name & Holdings, all accounts

Option 2

D a t a S e l e c t i o n

Sortkey Start

End

List individual transactions Y

Earliest Transaction Date 08-SEP-2008

Latest Transaction Date 07-SEP-2009

Class

01 INCOME 1P

02 CAPITAL 1P

Class

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Company Code: N05A

Annual Return

ABERFORTH GEARED CAPITAL AND INCOME TRUST PLC

Registered Number 4283484

Annual return for Period : 08-SEP-2008 TO 07-SEP-2009

Movement	Date	Name and Address of Shareholder	Class	Holding
BNY (OCS) NOMINEES LIMITED		ONE PICCADILLY GARDENS	INCOME 1P	1,333,282
-67,500	13-OCT-08	MANCHESTER M1 1RN		
5,000	21-OCT-08			
-70,000	10-NOV-08			
-150,000	08-DEC-08			
-5,000	08-DEC-08			
-9,500	21-JAN-09			
-10,000	04-FEB-09			
-10,000	09-FEB-09			
-83,250	22-APR-09			
25,000	11-MAY-09			
-38,000	12-JUN-09			
58,952	02-JUL-09			
16,048	03-JUL-09			
-7,000	20-AUG-09			
HSBC GLOBAL CUSTODY NOMINEE (UK)		8 CANADA SQUARE	CAPITAL 1P	NIL
4,000	08-SEP-08			

-5,000	19-SEP-08	LIMITED 813259 ACCT	LONDON E14 5HQ		
2,500	21-OCT-08				
-796,000	20-NOV-08				
HSBC GLOBAL CUSTODY NOMINEE (UK)			8 CANADA SQUARE		
-575,000	06-MAR-09		LONDON E14 5HQ	INCOME 1P	2,925,000
LIMITED 981648 ACCT					
LYNCHWOOD NOMINEES LIMITED 2006420			55 MOORGATE		
796,000	20-NOV-08		4TH FLOOR	CAPITAL 1P	813,000
7,000	02-APR-09	ACCT	LONDON EC2R 6PA		
10,000	22-JUN-09				
NORTRUST NOMINEES LIMITED SLEND			50 BANK STREET		
ACCT			CANARY WHARF	INCOME 1P	1,610,000
			LONDON E14 5NT		
NORTRUST NOMINEES LIMITED NTGSLEND			50 BANK STREET		
-2,000	30-SEP-08		CANARY WHARF	CAPITAL 1P	1,222,837
2,000	08-OCT-08	ACCT	LONDON E14 5NT		
-27,163	20-OCT-08				
OMX SECURITIES NOMINEES LIMITED			CANTERBURY HOUSE		
425,000	11-NOV-08	KKISA ACCT	85 NEWHALL STREET	INCOME 1P	1,993,374
			BIRMINGHAM B3 1LH		
STATE STREET NOMINEES LIMITED WJB9			525 FERRY ROAD		
55,000	09-OCT-08		EDINBURGH	INCOME 1P	1,750,000
45,000	30-OCT-08	ACCT	SCOTLAND EH5 2AW		
VIDACOS NOMINEES LIMITED BRITUT			CITIGROUP CENTRE		
-100,000	02-JUL-09			INCOME 1P	3,068,940

ACCT CANADA SQUARE
CANARY WHARF
LONDON E14 5LB

-100,000 03-JUL-09
-464,327 29-JUL-09

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Company Code: N05A

Annual Return

ABERFORTH GEARED CAPITAL AND INCOME TRUST PLC

Registered Number 4283484

Annual Return for Period : 08-SEP-2008 to 07-SEP-2009

Total Number of Shareholder Accounts printed: 9

Summary By Class:

Class	Name	Holding	Holders
01	INCOME 1P	12,680,596	6
02	CAPITAL 1P	2,035,837	2

Issue share capital

Enter details of all the shares in issue at the date of this return

Class (e.g. Ordinary/Preference)

Number of shares issued

Aggregate Nominal Value

(i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)

Income Shares of 1p	24,500,000	£245,000
Capital Shares of 1p	10,500,000	£105,000
Totals	35,000,000	£350,000

Traded public companies

A traded public company means a company any of whose shares are shares admitted to trading on a regulated market

Please tick this box if your company was a traded public company at any time during the period of this return

**List of past and present shareholders**

(use attached schedule where appropriate)

Please tick the appropriate box below:

On paper

In another format

Private or non-traded public companies are required to provide a "full list" if one was not included with either of the last two returns.

A full list of shareholders for a private or non-traded public company is enclosed. **Please complete Schedule A.**

☐☐

Traded public companies are required to provide a list of shareholders who held at least 5% of the issued shares of any share class if a list was not provided with either of the last two returns.

A list of shareholders holding at least 5% of the issued shares of any share class for a traded public company is enclosed. **Please complete Schedule B.**

☐☒

A list containing shareholder changes is enclosed

☐☐

→ For private or non-traded public companies, please complete **Schedule A**

→ For traded public companies, please complete **Schedule B**

There were no shareholder changes in this period

☐**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief

Signed

G. Tait

Date

21/9/09

* Please delete as appropriate

*(director / secretary)

When you have signed the return, send it with the fee to the Registrar of Companies. Make cheques payable to Companies House.

This return includes



continuation sheets

(enter number)

You do not have to give any contact information in the box opposite but if you do, it will help Companies House to contact you if there is a query on the form. The contact information that you give will be visible to searchers of the public record.

Gary Tait, Aberforth Partners LLP

14 Melville Street

Edinburgh EH3 7NS

DX number

DX exchange