

SH09

Return of allotment by an unlimited company allotting a new class of shares



Companies House

☒ **What this form is for**

You may use this form to give notice
of an allotment of a new share class
by an unlimited company.

☐ **What this form is NOT for**

You cannot use this form to give
notice of an allotment of shares by
a limited company. To do this use form SH01.

TUESDAY



A93FKVKX

A05

21/04/2020

#133

COMPANIES HOUSE

1 Company details

Company number 0 4 2 4 5 7 4 4

Company name in full Diageo US Holdings

→ **Filling in this form**

Please complete in typescript or in
bold black capitals.

All fields are mandatory unless
specified or indicated by *

2 Date of allotment

Date of allotment 0 9 0 4 2 0 2 0

3 Prescribed particulars of rights attached to shares

Please give the class(es) of shares and the prescribed particulars of rights
attached to shares for each class of share shown.

Class of share

Class A Ordinary

Prescribed particulars
①

The shares have attached to them dividend rights as set out in the
articles of association. They have full voting and capital distribution
(including on winding up) rights.

① **Prescribed particulars of rights
attached to shares**

The particulars are:

- a. particulars of any voting rights,
including rights that arise only in
certain circumstances;
- b. particulars of any rights, as
respects dividends, to participate
in a distribution;
- c. particulars of any rights, as
respects capital, to participate in a
distribution (including on winding
up); and
- d. whether the shares are to be
redeemed or are liable to be
redeemed at the option of the
company or the shareholder and
any terms or conditions relating
to redemption of these shares.

A separate table must be used for
each class of share.

Continuation pages

Please use the next page or a
'Statement of Capital (Prescribed
particulars of rights attached to
shares)' continuation page if
necessary.

Class of share

Class B Ordinary

Prescribed particulars
①

The shares have attached to them dividend rights as set out in the
articles of association. They have full voting and capital distribution
(including on winding up) rights.


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Class of share	Class C Ordinary	① Prescribed particulars of rights attached to shares The particulars are: a. particulars of any voting rights, including rights that arise only in certain circumstances; b. particulars of any rights, as respects dividends, to participate in a distribution; c. particulars of any rights, as respects capital, to participate in a distribution (including on winding up); and d. whether the shares are to be redeemed or are liable to be redeemed at the option of the company or the shareholder and any terms or conditions relating to redemption of these shares. A separate table must be used for each class of share. Continuation pages Please use the next page or a 'Statement of Capital (Prescribed particulars of rights attached to shares)' continuation page if necessary.
Prescribed particulars ①	The shares have attached to them dividend rights as set out in the articles of association. They have full voting and capital distribution (including on winding up) rights.	
Class of share		
Prescribed particulars ①		
Class of share		
Prescribed particulars ①		

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Signature

Signature	I am signing this form on behalf of the company.	② Societas Europaea If the form is being filed on behalf of a Societas Europaea (SE), please delete 'director' and insert details of which organ of the SE the person signing has membership. ③ Person authorised Under either Section 270 or 274 of the Companies Act 2006.
	Signature 	
	This form may be signed by: Director ②, Secretary, Person authorised ③. DIRECTOR	

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**Presenter information**

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name **Emily Hunter**

Company name **Slaughter and May**

Address **One Bunhill Row**

Post town **London**

County/Region **UK**

Postcode

E C 1 Y 8 Y Y

Country

DX

Telephone **020 7090 3098**

**Checklist**

We may return forms completed incorrectly or with information missing.

Please make sure you have remembered the following:

- ☐ The company name and number match the information held on the public Register.
- ☐ You have entered the date of allotment in section 2.
- ☐ You have completed the prescribed particulars of rights attached to shares in section 3.
- ☐ You have signed the form.

**Important information**

Please note that all information on this form will appear on the public record.

**Where to send**

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:

For companies registered in England and Wales:

The Registrar of Companies, Companies House,
Crown Way, Cardiff, Wales, CF14 3UZ.
DX 33050 Cardiff.

For companies registered in Scotland:

The Registrar of Companies, Companies House,
Fourth floor, Edinburgh Quay 2,
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF.
DX ED235 Edinburgh 1
or LP - 4 Edinburgh 2 (Legal Post).

For companies registered in Northern Ireland:

The Registrar of Companies, Companies House,
Second Floor, The Linenhall, 32-38 Linenhall Street,
Belfast, Northern Ireland, BT2 8BG.
DX 481 N.R. Belfast 1.

**Further information**

For further information, please see the guidance notes on the website at www.companieshouse.gov.uk or email enquiries@companieshouse.gov.uk

This form is available in an alternative format. Please visit the forms page on the website at www.companieshouse.gov.uk