

# SH01

## Return of allotment of shares



You can use the WebFiling service to file this form online.  
Please go to [www.companieshouse.gov.uk](http://www.companieshouse.gov.uk)

☒ **What this form is for**  
You may use this form to give  
notice of shares allotted following  
incorporation.

☐ **What this form is NOT for**  
You cannot use this form to give  
notice of shares taken by subscrip  
on formation of the company or  
for an allotment of a new class o  
shares by an unlimited company.

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COMPANIES HOUSE

### 1 Company details

Company number 0 3 9 4 8 1 4 9

Company name in full AWS Eco Plastics Limited

**→ Filling in this form**  
Please complete in typescript or in  
bold black capitals.  
  
All fields are mandatory unless  
specified or indicated by \*

### 2 Allotment dates <sup>1</sup>

From Date d 1 2 m 1 1 y 2 0 0 9  
To Date d d m m y y

**1 Allotment date**  
If all shares were allotted on the  
same day enter that date in the  
'from date' box. If shares were  
allotted over a period of time,  
complete both 'from date' and 'to  
date' boxes.

### 3 Shares allotted

Please give details of the shares allotted, including bonus shares.

**2 Currency**  
If currency details are not  
completed we will assume currency  
is in pound sterling.

Class of shares (E.g. Ordinary/Preference etc.)	Currency <sup>2</sup>	Number of shares allotted	Nominal value of each share	Amount paid (including share premium)	Amount (if any) unpaid (including share premium)
Ordinary	Pound Sterling	2270	0.10	249.7	

If the allotted shares are fully or partly paid up otherwise than in cash, please  
state the consideration for which the shares were allotted.

Details of non-cash  
consideration.  
  
If a PLC, please attach  
valuation report (if  
appropriate)

## SH01

## Return of allotment of shares

## Statement of capital

**Section 4** (also **Section 5** and **Section 6**, if appropriate) should reflect the company's issued capital at the date of this return.

**4 Statement of capital (Share capital in pound sterling (£))**

Please complete the table below to show each class of shares held in pound sterling. If all your issued capital is in sterling, only complete **Section 4** and then go to **Section 7**.

Class of shares (E.g. Ordinary/Preference etc.)	Amount paid up on each share ①	Amount (if any) unpaid on each share ①	Number of shares ②	Aggregate nominal value ③
Ordinary	£263.36		12658	£ 1,265.80
A Ordinary	£723.00		9011	£ 901.10
B Preferred	£972.50		14032	£ 1,403.20
B Ordinary	£972.50		3250	£ 325.00
<b>Totals</b>				£

**5 Statement of capital (Share capital in other currencies)**

Please complete the table below to show any class of shares held in other currencies.  
Please complete a separate table for each currency.

Currency				
Class of shares (E.g. Ordinary / Preference etc.)	Amount paid up on each share ①	Amount (if any) unpaid on each share ①	Number of shares ②	Aggregate nominal value ③
<b>Totals</b>				

Currency				
Class of shares (E.g. Ordinary/Preference etc.)	Amount paid up on each share ①	Amount (if any) unpaid on each share ①	Number of shares ②	Aggregate nominal value ③
<b>Totals</b>				

**6 Statement of capital (Totals)**

	Please give the total number of shares and total aggregate nominal value of issued share capital.		<b>④ Total aggregate nominal value</b> Please list total aggregate values in different currencies separately. For example: £100 + €100 + \$10 etc.
Total number of shares	81578		
Total aggregate nominal value ④	35157.80		

① Including both the nominal value and any share premium.

③ E.g. Number of shares issued multiplied by nominal value of each share.

② Total number of issued shares in this class.

**Continuation Pages**

Please use a Statement of Capital continuation page if necessary.


SH01

Return of allotment of shares

**7 Statement of capital (Prescribed particulars of rights attached to shares)**

Please give the prescribed particulars of rights attached to shares for each class of share shown in the statement of capital share tables in <b>Section 4</b> and <b>Section 5</b> .		<b>① Prescribed particulars of rights attached to shares</b>  The particulars are: a particulars of any voting rights, including rights that arise only in certain circumstances; b particulars of any rights, as respects dividends, to participate in a distribution; c particulars of any rights, as respects capital, to participate in a distribution (including on winding up); and d whether the shares are to be redeemed or are liable to be redeemed at the option of the company or the shareholder and any terms or conditions relating to redemption of these shares.  A separate table must be used for each class of share.  <b>Continuation page</b> Please use a Statement of Capital continuation page if necessary.
Class of share	Deferred Shares	
Prescribed particulars ①	(a) No voting rights (b) No right to participate in dividends (c) No rights to capital (d) N/a	
Class of share	See attached continuation sheets	
Prescribed particulars ①		
Class of share		
Prescribed particulars ①		

**8 Signature**

I am signing this form on behalf of the company.		<b>② Societas Europaea</b> If the form is being filed on behalf of a Societas Europaea (SE) please delete 'director' and insert details of which organ of the SE the person signing has membership.  <b>③ Person authorised</b> Under either section 270 or 274 of the Companies Act 2006.
Signature	Signature 	
This form may be signed by: Director ②, Secretary, Person authorised ③, Administrator, Administrative receiver, Receiver, Receiver manager, CIC manager.		

SH01

## Return of allotment of shares

**Presenter information**

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name **Adrian Dye**

Company name **Sintons**

Address **The Cube**

**Barrack Road**

Post town **Newcastle upon Tyne**

County/Region

Postcode **N E 4 6 D B**

Country

DX **715139 Newcastle upon Tyne 19**

Telephone **0191 2262010**

**Checklist**

**We may return the forms completed incorrectly or with information missing.**

**Please make sure you have remembered the following:**

- ☐ The company name and number match the information held on the public Register.
- ☐ You have shown the date(s) of allotment in section 2.
- ☐ You have completed all appropriate share details in section 3.
- ☐ You have completed the appropriate sections of the Statement of Capital.
- ☐ You have signed the form.

**Important information**

**Please note that all information on this form will appear on the public record.**

**Where to send**

**You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:**

**For companies registered in England and Wales:**

The Registrar of Companies, Companies House,  
Crown Way, Cardiff, Wales, CF14 3UZ.  
DX 33050 Cardiff.

**For companies registered in Scotland:**

The Registrar of Companies, Companies House,  
Fourth floor, Edinburgh Quay 2,  
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF.  
DX ED235 Edinburgh 1  
or LP - 4 Edinburgh 2 (Legal Post).

**For companies registered in Northern Ireland:**

The Registrar of Companies, Companies House,  
First Floor, Waterfront Plaza, 8 Laganbank Road,  
Belfast, Northern Ireland, BT1 3BS.  
DX 481 N.R. Belfast 1.

**Further information**

For further information please see the guidance notes on the website at [www.companieshouse.gov.uk](http://www.companieshouse.gov.uk) or email [enquiries@companieshouse.gov.uk](mailto:enquiries@companieshouse.gov.uk)

**This form is available in an alternative format. Please visit the forms page on the website at [www.companieshouse.gov.uk](http://www.companieshouse.gov.uk)**

Please complete the table below to show any class of shares held in other currencies. Please complete a separate table for each currency.

- ❶ Including both the nominal value and any share premium.
- ❷ Total number of issued shares in this class.
- ❸ E.g. Number of shares issued multiplied by nominal value of each share.

# SH01 - continuation page

## Return of allotment of shares

### 7 Statement of capital (Prescribed particulars of rights attached to shares)

Class of share	A Ordinary	
Prescribed particulars	<p>(a) The voting and other rights attaching to the A Ordinary shares are as follows:</p> <p>(i) One vote for each A Ordinary share held.</p> <p>(ii) A right of pre-emption on the transfer of any existing shares in the capital of the Company and on allotment of new shares in the capital of the Company ("a Pre-Emption Right").</p> <p>(iii) A right (together with a minimum of 65% of the total shares excluding the Deferred Shares) to require all other holders of shares to transfer all the shares held by them to a third party ("a Drag Along Right").</p> <p>(iv) Right to participate in a sale of shares in the capital of the Company conferring in aggregate 20% or more of the total voting rights conferred by all the issued shares ("a Tag Along Right").</p> <p>(b) Right to participate in dividends after payment of preferential dividend to B Preferred shareholders.</p> <p>(c) On a return of capital following payment of the subscription price per share paid by any holders of C Ordinary shares, B Ordinary Shares and B Preferred Shares:</p> <p>(i) On a compulsory liquidation, the A Ordinary shareholders have the right to a preferential return of the subscription price paid per A Ordinary share.</p> <p>(ii) On any other form of return of capital, A Ordinary shareholders have the right to receive one and a half times the subscription price paid per A Ordinary share.</p> <p>(d) N/A</p>	

# SH01 - continuation page

## Return of allotment of shares

7

### Statement of capital (Prescribed particulars of rights attached to shares)

Class of share	B Ordinary	
Prescribed particulars	<p>(a) The voting and other rights attaching to the B Ordinary shares are as follows:</p> <p>(i) One vote for each B Ordinary share held.</p> <p>(ii) A Pre-emption Right.</p> <p>(iii) A Drag Along Right.</p> <p>(iv) A Tag Along Right.</p> <p>(b) Right to participate in dividends after payment of a preferential dividend to B Preferred shareholders.</p> <p>(c) On a return of capital following payment of the subscription price per share paid by any holders of A Ordinary shares, C Ordinary Shares and B Preferred Shares:</p> <p>(i) On a compulsory liquidation the B Ordinary shareholders have the right to a preferential return of the subscription price per share.</p> <p>(ii) On any other form of return of capital, B Ordinary shareholders have the right to receive one and a half times the subscription price per B Ordinary share.</p> <p>(d) N/A</p>	

# SH01 - continuation page

## Return of allotment of shares

### 7 Statement of capital (Prescribed particulars of rights attached to shares)

Class of share	B Preferred
Prescribed particulars	<p>(a) The voting and other rights attaching to the B Preferred shares are as follows:</p> <p>(i) One vote for each B Preferred share held.</p> <p>(ii) A Pre-emption Right.</p> <p>(iii) A Drag Along Right.</p> <p>(iv) A Tag Along Right.</p> <p>(b) A right to a preferential dividend before other shareholders in the Company. The holders of B Preferred shares have the right to a cumulative dividend of 8% of the original subscription price paid for such shares which is to be accrued from the date of adoption of the articles.</p> <p>(c) On a return of capital following payment of the subscription price per share paid by any holders of A Ordinary Shares, B Ordinary shares, C Ordinary Shares:</p> <p>(i) On a compulsory liquidation, the B Preferred shareholders have the right to a preferential return of the subscription price per share.</p> <p>(ii) On any other form of return of capital, B Preferred shareholders have the right to receive one and a half times the subscription price per share.</p> <p>(d) The Company may redeem the B Preferred shares, prior to 2 July 2011, by paying the holders of the B Preferred shares a 20% internal rate of return on their original subscription price for the B Preferred shares.</p>



# SH01 - continuation page

## Return of allotment of shares

### 7 Statement of capital (Prescribed particulars of rights attached to shares)

Class of share	C Ordinary
Prescribed particulars	<p>(a) The voting and other rights attaching to the C Ordinary shares are as follows:</p> <p>(i) One vote for each C Ordinary share held.</p> <p>(ii) A Pre-emption Right.</p> <p>(iii) A Drag Along Right.</p> <p>(iv) A Tag Along Right.</p> <p>(b) Right to participate in dividends after payment of a preferential dividend to B Preferred shareholders.</p> <p>(c) On a return of capital following payment of the subscription price per share paid by any holders of A Ordinary shares, B Ordinary Shares and B Preferred Shares</p> <p>(i) On a compulsory liquidation the C Ordinary shareholders have the right to a preferential return of the subscription price per share.</p> <p>(ii) On any other form of return of capital, C Ordinary shareholders have the right to receive one and a half times the subscription price per C Ordinary share.</p> <p>(d) N/A</p>

# SH01 - continuation page

## Return of allotment of shares

7

### Statement of capital (Prescribed particulars of rights attached to shares)

Class of share	Ordinary	
Prescribed particulars	<p>(a) The voting and other rights attaching to the Ordinary shares are as follows:</p> <p>(i) One vote for each Ordinary share held.</p> <p>(ii) A Pre-emption Right.</p> <p>(iii) A Drag Along Right.</p> <p>(iv) A Tag Along Right.</p> <p>(b) Right to participate in dividends after payment of a preferential dividend to B Preferred shareholders.</p> <p>(c) On a return of capital the ordinary shareholders have a right to receive the balance of the Company's assets in proportion to the shares held by all shareholders (except holders of Deferred Shares) following:</p> <p>(i) on a compulsory liquidation, the payment of the subscription price paid by the A Ordinary shareholders, B Ordinary Shareholders, B Preferred shareholders and C Ordinary shareholders; and</p> <p>(ii) on any other return of capital 1 and a half times the subscription price paid by the A Ordinary shareholders, B Ordinary Shareholders, B Preferred shareholders and C Ordinary shareholders.</p> <p>(d) N/A</p>	