



000012-15

**Companies House**

— for the record —

Company Name

**SWISS LIFE ASSET MANAGEMENT 363s Annual Return  
(UK) LIMITED**

Company Type

**Private Company Limited By  
Shares**

Company Number

**3870667**Information extracted from  
Companies House records on  
**12th October 2002**

- > Please check the details printed in blue on this statement.
- > If any details are wrong, strike them through and write the correct details in the "Amended details" column.
- > Please use black pen and write in capitals.

**Section 1: Company details**

Ref: 3870667/03/10

**Current details****Amended details**

- > Registered Office  
Address  
*If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.*

**Swiss Life House  
Sevenoaks  
Kent TN13 1BG**

Address

UK Postcode

- > Register of Members  
*If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.*

**Address where the Register is held****At Registered Office**

Address

UK Postcode

- > Register of Debenture  
Holders  
*If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.*

**Not Applicable**

Address

UK Postcode

- > Principal Business  
Activities  
*If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.*

**SIC Code****Description****6712****Security broking & fund  
management****SIC CODE****Description**

- > *Please enter additional  
principal activity code(s) in  
"Amended details" column.  
See notes for guidance for  
list of activity codes.*

## Section 2: Details of Officers of the Company

	Current details	Amended details
<b>&gt; Company Secretary</b> <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>    <i>Particulars of a new Company Secretary must be notified on form 288.</i>	<b>Name</b> <b>Barry Keith MORRIS</b>  <b>Address</b> <b>27 Sturmer Court</b> <b>Kings Hill</b> <b>West Malling</b> <b>Kent</b> <b>ME19 4ST</b>	<b>Name</b>  <input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. <b>Address</b>    <b>UK Postcode</b> _ _ _ _ _ <b>Date of change</b> _ _ / _ _ / _ _ _ _ <b>Date Barry Keith MORRIS</b> <b>ceased to be secretary (if applicable)</b> _ _ / _ _ / _ _ _ _
<b>&gt; Director</b> <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>    <i>Particulars of a new Director must be notified on form 288.</i>	<b>Name</b> <b>Thomas MCINTOSH</b>  <b>Address</b> <b>12 Siskin Gardens</b> <b>Paddock Wood</b> <b>Tonbridge</b> <b>Kent</b> <b>TN12 6XP</b>  <b>Date of birth</b> 03/05/1951  <b>Nationality</b> <b>British</b>  <b>Occupation</b> <b>Director Of Investment Service</b>	<b>Name</b>  <input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. <b>Address</b>    <b>UK Postcode</b> _ _ _ _ _ <b>Date of birth</b> _ _ / _ _ / _ _ _ _ <b>Nationality</b> _____ <b>Occupation</b> _____ <b>Date of change</b> _ _ / _ _ / _ _ _ _ <b>Date Thomas MCINTOSH ceased to be</b> <b>director (if applicable)</b> _ _ / _ _ / _ _ _ _

	Current details	Amended details
<b>&gt; Director</b> <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	<b>Name</b> <b>Barry Keith MORRIS</b>  <b>Address</b> <b>27 Sturmer Court</b> <b>Kings Hill</b> <b>West Malling</b> <b>Kent</b> <b>ME19 4ST</b>  <b>Date of birth</b> 07/05/1949  <b>Nationality</b> British  <b>Occupation</b> Chartered Secretary	<b>Name</b> <hr/> <input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985.  <b>Address</b> <hr/> <hr/> <hr/> <b>UK Postcode</b> _ _ _ _ _ <b>Date of birth</b> _ _ / _ _ / _ _ _ _ <b>Nationality</b> _____ <b>Occupation</b> _____ <b>Date of change</b> _ _ / _ _ / _ _ _ _ <b>Date</b> Barry Keith MORRIS ceased to be director (if applicable) _ _ / _ _ / _ _ _ _
<i>Particulars of a new Director must be notified on form 288.</i>		
<b>&gt; Director</b> <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	<b>Name</b> <b>Marek ONDRASCHEK</b>  <b>Address</b> <b>Rohistrasse 16</b> <b>Horgen 8810</b> <b>Switzerland</b>  <b>Date of birth</b> 08/07/1964  <b>Nationality</b> Swiss  <b>Occupation</b> Head Of Asset Management	<b>Name</b> <hr/> <input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985.  <b>Address</b> <hr/> <hr/> <hr/> <b>UK Postcode</b> _ _ _ _ _ <b>Date of birth</b> _ _ / _ _ / _ _ _ _ <b>Nationality</b> _____ <b>Occupation</b> _____ <b>Date of change</b> _ _ / _ _ / _ _ _ _ <b>Date</b> Marek ONDRASCHEK ceased to be director (if applicable) _ _ / _ _ / _ _ _ _
<i>Particulars of a new Director must be notified on form 288.</i>		

## &gt; Director

If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.

Particulars of a new Director must be notified on form 288.

## Current details

Name  
Stephan THALER

Address  
Pilgerweg 90  
Kilchberg 8802  
Switzerland

Date of birth 10/08/1962

Nationality Swiss

Occupation Head Of Marketing And Clients

## Amended details

Name

☐ Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985.

Address

UK Postcode \_ \_ \_ \_ \_

Date of birth: \_ \_ / \_ \_ / \_ \_ \_ \_

Nationality \_ \_ \_ \_ \_

Occupation \_ \_ \_ \_ \_

Date of change \_ \_ / \_ \_ / \_ \_ \_ \_

Date Stephan THALER ceased to be director (if applicable)

\_ \_ / \_ \_ / \_ \_ \_ \_

## Section 3: Share Capital

	Current details	Amended details
<b>&gt; Issued Share Capital</b> <i>This table shows the total number of shares that have been issued by your company and their Nominal Value. If any of the details are wrong, please fill in the correct details.</i>	<b>Class of share</b> <b>Ordinary</b> <b>Nominal value of each share</b> <b>£1.00</b> <b>Number of shares issued</b> <b>1,500,000</b> <b>Aggregate Nominal Value of issued shares</b> <b>£1,500,000.00</b>	<b>Class of share</b>  <b>Nominal value of each share</b>  <b>Number of shares issued</b>  <b>Aggregate Nominal Value of issued shares</b>  
<b>&gt; Total shares issued and value</b> <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	<b>Total number of shares issued</b> <b>1,500,000</b> <b>Total Nominal value of shares issued</b> <b>£1,500,000.00</b>	<b>Total number of shares issued</b>  <b>Total Nominal value of shares issued</b>  
<b>&gt; At the date of this Annual Return, if the company has altered or changed its share capital in any way or allotted new shares, please refer to the guidance notes for details of the appropriate form that should be sent with this Annual Return. Annual return guidance notes are available on the Companies House web site at <a href="http://www.companieshouse.gov.uk">www.companieshouse.gov.uk</a> or by ringing 0870 3333636.</b>		

**Section 4: Details of Shareholders**

- > The details we hold on your company's shareholders and their shareholdings are printed below. These are based on your last Annual Return.
- > If any details have changed, or if any shares have been transferred, please fill in the details in the "Amended details" or "Shares transferred" column.
- > Please give details of any other shareholders in Section 5.

Current details		Amended details		Shares transferred	
<b>&gt; Shareholder Name</b> <b>Thomas MCINTOSH</b>		<b>Name</b> <hr/>		<b>Shares transferred by</b> <b>Thomas MCINTOSH</b>	
<b>Address</b> <b>12 Siskin Gardens</b> <b>Paddock Wood</b> <b>Tonbridge</b> <b>Kent</b> <b>TN12 6XP</b>		<b>Address</b> <hr/> <hr/>			
<b>Shares held</b> <b>Class</b> <b>Ordinary</b>		<b>UK Postcode</b> _ _ _ _ <b>Shares held</b> <b>Class</b> <b>Number</b>			
<b>Number</b> <b>1</b>		<hr/> <hr/>			
		<b>Class</b> <b>Number</b> <b>Class</b> <b>Number</b> <b>Date of transfer</b> <hr/> <hr/>			
<b>&gt; Shareholder Name</b> <b>SWISS LIFE ASSET</b> <b>MANAGEMENT HOLDING SA</b>		<b>Name</b> <hr/>		<b>Shares transferred by</b> <b>SWISS LIFE ASSET</b> <b>MANAGEMENT HOLDING SA</b>	
<b>Address</b> <b>1 Rue De Potager</b> <b>6-2367</b> <b>Luxembourg</b>		<b>Address</b> <hr/> <hr/>			
<b>Shares held</b> <b>Class</b> <b>Ordinary</b>		<b>UK Postcode</b> _ _ _ _ <b>Shares held</b> <b>Class</b> <b>Number</b>			
<b>Number</b> <b>1499999</b>		<hr/> <hr/>			
		<b>Class</b> <b>Number</b> <b>Class</b> <b>Number</b> <b>Date of transfer</b> <hr/> <hr/>			

**Section 5: Details of Other Shareholders**

- > Please fill in details of any persons or corporate bodies who are shareholders of the company at the date of this return, but whose details are not printed in Section 4.
- > Also, provide the details of any persons who became but have ceased to be shareholders of the company since the date of the last annual return.
- > For jointly held shares please list those joint shareholders consecutively on the form. If a joint shareholder also holds shares in their own right, list that holding separately.
- > Please copy this page if there is not enough space to enter all the company's other shareholders.

Shareholders details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred (If appropriate)	Date of registration of transfer (If appropriate)
Name _____ Address _____ _____ _____ UK Postcode    _ _ _ _ _			
Name _____ Address _____ _____ _____ UK Postcode    _ _ _ _ _			
Name _____ Address _____ _____ _____ UK Postcode    _ _ _ _ _			
Name _____ Address _____ _____ _____ UK Postcode    _ _ _ _ _			



Companies House

— for the record —

## 363s Annual Return Declaration

- > When you have checked all the sections of this form, please complete this page and sign the declaration below.
- > If you want to change the made up date of this annual return, please complete 2 below.

## 1. Declaration

- ☒ I confirm that the details in this annual return are correct as at the made-up-date (shown at 2 below). I enclose the filing fee of £15.

Signature

B. K. Morris  
(Director / Secretary)

Date

03 / 11 / 2002

*This date must not be earlier than the  
return date at 2 below*

**What to do now**

*Complete this page then send the whole of the Annual Return and the  
declaration to the address shown at 4 below.*

## 2. Date of this return

- ☒ This AR is made up to **3/11/2002** If you are making this return up to an earlier date,  
please give the date here

— / — / —

*Note: The form must be delivered to CH within 28 days of this date*

## 3. Date of next return

- ☐ If you wish to change your next return to a date earlier than **3rd November 2003**  
please give the new date here:

— / — / —

## 4. Where to send this form

- ☐ Please return this form to:

Registrar of Companies  
Companies House  
Crown Way  
Cardiff CF14 3UZ

OR

For members of the Hays Document  
Exchange service  
DX 33050 Cardiff

***Have you enclosed the filing fee with the company number written on the  
reverse of the cheque?***

## Contact Address

You do not have to give any contact information below, but if you do, it will help  
Companies House to contact you if there is a query on the form. The contact  
information that you give will be visible to searchers of the public record.

Contact Name

B. K. Morris

Telephone number inc code

02074265957

Address

6TH FLOOR, CARPENTERS HOUSE,  
120 Cannon Street,  
London EC4A 3DF

DX number if applicable

— — — — —

DX exchange

Postcode

EC4A 6AS