



Companies House

AR01 (ef)

Annual Return



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Company Name: **BNY MELLON INTERNATIONAL ASSET MANAGEMENT GROUP LIMITED**

Company Number: **03755033**

Date of this return: **20/04/2014**

SIC codes: **82990**

Company Type: **Private company limited by shares**

Situation of Registered Office: **BNY MELLON CENTRE 160 QUEEN VICTORIA STREET
LONDON
EC4V 4LA**

Officers of the company

Company Secretary 1

Type: **Corporate**
Name: **BNY SECRETARIES (UK) LIMITED**

*Registered or
principal address:* **160 QUEEN VICTORIA STREET
LONDON
UNITED KINGDOM
EC4V 4LA**

European Economic Area (EEA) Company

Register Location: **ENGLAND**
Registration Number: **04115131**

Company Director ***I***

Type: **Person**

Full forename(s): **MR GREGORY ALLAN**

Surname: **BRISK**

Former names:

Service Address: **CATCHBELLS 296 LONDON ROAD
STANWAY
COLCHESTER
CO3 8PB**

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: **11/10/1962** *Nationality:* **BRITISH**

Occupation: **COO**

Company Director 2

Type: **Person**

Full forename(s): **MR MITCHELL EVAN**

Surname: **HARRIS**

Former names:

Service Address: **160 QUEEN VICTORIA STREET
LONDON
EC4V 4LA**

Country/State Usually Resident: **UNITED STATES OF AMERICA**

Date of Birth: **31/01/1955**

Nationality: **US CITIZEN**

Occupation: **DIRECTOR**

Company Director **3**

Type: **Person**

Full forename(s): **STEVEN**

Surname: **LIPINER**

Former names:

Service Address: **4 CASTLE ROAD
NORFOLK
MASSACHUSETTS
USA
02056**

Country/State Usually Resident: **UNITED STATES OF AMERICA**

Date of Birth: **15/07/1960** *Nationality:* **UNITED STATES**

Occupation: **CFO MAM DIVISION MELLON
INTERN**

Company Director 4

Type: **Person**
Full forename(s): **HELENA LOUISE**

Surname: **MORRISSEY**

Former names:

Service Address: **BNY MELLON CENTRE 160 QUEEN VICTORIA STREET
LONDON
UNITED KINGDOM
EC4V 4LA**

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: **22/03/1966** *Nationality:* **BRITISH**
Occupation: **CHIEF EXEC OFFICER, NEWTON**

Company Director **5**

Type: **Person**

Full forename(s): **MR PETERPAUL**

Surname: **PARDI**

Former names:

Service Address: **BNY MELLON CENTRE 160 QUEEN VICTORIA STREET
LONDON
UNITED KINGDOM
EC4V 4LA**

Country/State Usually Resident: **ENGLAND**

Date of Birth: **10/10/1962** *Nationality:* **ITALIAN**

Occupation: **GLOBAL HEAD OF
DISTRIBUTION**

Statement of Capital (Share Capital)

Class of shares	ORDINARY	<i>Number allotted</i>	49998944
		<i>Aggregate nominal value</i>	49998944
<i>Currency</i>	EUR	<i>Amount paid per share</i>	1
		<i>Amount unpaid per share</i>	0

Prescribed particulars

EACH SHARE IS ENTITLED TO ONE VOTE. EACH SHARE IS ENTITLED PARIPASSU TO PARTICIPATE IN DISTRIBUTION ENSUING FROM A WINDING UP OF THE COMPANY.

Class of shares	ORDINARY	<i>Number allotted</i>	973151379
		<i>Aggregate nominal value</i>	973151379
<i>Currency</i>	GBP	<i>Amount paid per share</i>	1
		<i>Amount unpaid per share</i>	0

Prescribed particulars

EACH SHARE IS ENTITLED TO ONE VOTE. EACH SHARE IS ENTITLED PARIPASSU TO PARTICIPATE IN DISTRIBUTION ENSUING FROM A WINDING UP OF THE COMPANY.

Class of shares	ORDINARY	<i>Number allotted</i>	100
		<i>Aggregate nominal value</i>	100
<i>Currency</i>	GBP	<i>Amount paid per share</i>	1
		<i>Amount unpaid per share</i>	0

Prescribed particulars

EACH SHARE IS ENTITLED TO ONE VOTE. EACH SHARE IS ENTITLED PARIPASSU TO PARTICIPATE IN DISTRIBUTION ENSUING FROM A WINDING UP OF THE COMPANY.

Class of shares	ORDINARY	<i>Number allotted</i>	133173485
		<i>Aggregate nominal value</i>	133173485
<i>Currency</i>	USD	<i>Amount paid per share</i>	1
		<i>Amount unpaid per share</i>	0

Prescribed particulars

EACH SHARE IS ENTITLED TO ONE VOTE. EACH SHARE IS ENTITLED PARIPASSU TO PARTICIPATE IN DISTRIBUTION ENSUING FROM A WINDING UP OF THE COMPANY.

Statement of Capital (Totals)

<i>Currency</i>	EUR	<i>Total number of shares</i>	49998944
		<i>Total aggregate nominal value</i>	49998944
<i>Currency</i>	GBP	<i>Total number of shares</i>	973151479
		<i>Total aggregate nominal value</i>	973151479
<i>Currency</i>	USD	<i>Total number of shares</i>	133173485
		<i>Total aggregate nominal value</i>	133173485

Full Details of Shareholders

The details below relate to individuals / corporate bodies that were shareholders as at 20/04/2014 or that had ceased to be shareholders since the made up date of the previous Annual Return

A full list of shareholders for the company are shown below

<i>Shareholding 1</i>	: 973151479 ORDINARY shares held as at the date of this return
<i>Name:</i>	BNY MELLON INVESTMENT MANAGEMENT EUROPE HOLDINGS LIMITED
<i>Shareholding 2</i>	: 49998944 ORDINARY shares held as at the date of this return
<i>Name:</i>	BNY MELLON INVESTMENT MANAGEMENT EUROPE HOLDINGS LIMITED
<i>Shareholding 3</i>	: 133173485 ORDINARY shares held as at the date of this return
<i>Name:</i>	BNY MELLON INVESTMENT MANAGEMENT EUROPE HOLDINGS LIMITED

Authorisation

Authenticated

This form was authorised by one of the following:

Director, Secretary, Person Authorised, Charity Commission Receiver and Manager, CIC Manager, Judicial Factor.