



Please complete in typescript,  
or in bold black capitals.

CHFP029

703511 / 480  
Bulk List.  
**Annual Return**

**363a**

**Company Number** 3633621 ✓

**Company Name in full** Investec plc ✓

**Date of this return**

The information in this return is made up to

Day Month Year

1 7 / 0 9 / 2 0 0 3 ✓

**Date of next return**

If you wish to make your next return  
to a date earlier than the anniversary  
of this return please show the date here.  
Companies House will then send a form  
at the appropriate time.

Day Month Year

1 7 / 0 9 / 2 0 0 4

**Registered Office**

Show here the address at the date of  
this return.

2 GRESHAM STREET ✓

Any change of  
registered office  
**must** be notified  
on form 287.

Post town

LONDON

County / Region

UK Postcode

E C 2 V 7 Q P

**Principal business activities**

Show trade classification code number(s)  
for the principal activity or activities.

7499 ✓

If the code number cannot be determined,  
give a brief description of principal activity.



A46 0408  
COMPANIES HOUSE 08/10/03  
A46 0485  
COMPANIES HOUSE 04/10/03

When you have completed and signed the form please send it to the  
Registrar of Companies at:

**Companies House, Crown Way, Cardiff, CF14 3UZ DX 33050 Cardiff**  
for companies registered in England and Wales

or

**Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB**  
for companies registered in Scotland

**DX 235 Edinburgh**

## Register of members

If the register of members is not kept at the registered office, state here where it is kept.

COMPUTERSHARE SERVICES PLC  
PO BOX 82, THE PAVILIONS, BRIDGWATER ROAD  
BRISTOL  
County / Region \_\_\_\_\_ UK Postcode B S 9 9 7 N H

## Register of Debenture holders

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state here where it is kept.

Post town \_\_\_\_\_  
County / Region \_\_\_\_\_ UK Postcode \_\_\_\_\_

## Company type

Public limited company	<input checked="checked" type="checkbox"/>	} Please tick the appropriate box
Private company limited by shares	<input type="checkbox"/>	
Private company limited by guarantee without share capital	<input type="checkbox"/>	
Private company limited by shares exempt under section 30	<input type="checkbox"/>	
Private company limited by guarantee exempt under section 30	<input type="checkbox"/>	
Private unlimited company with share capital	<input type="checkbox"/>	
Private unlimited company without share capital	<input type="checkbox"/>	

## Company Secretary

(Please photocopy this area to provide details of joint secretaries).

\* Voluntary details.

If a partnership give the names and addresses of the partners or the name of the partnership and office address.

**Usual residential address** must be given. In the case of a corporation, or a Scottish firm, give the registered or principal office address.

Details of a new company secretary must be notified on form 288a.

Name \* Style / Title MR  
Forename(s) RICHARD JOHN  
Surname(s) VARDY  
Address COURT COTTAGE  
HEADLEY ROAD  
Post town GRAYSHOTT  
County / Region SURREY UK Postcode GU 2 6 6 D L  
Country ENGLAND

**Directors**

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

**Directors** In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

<b>Name</b>	* Style / Title	MR												
		Day	Month	Year										
	Date of birth	1	8	/	0	9	/	1	9	3	1	✓		
	Forename(s)	JOHN NORMAN												
	Surname	ABELL												
<b>Address</b>	WHITTONDITCH HOUSE, RAMSBURY													
	Post town	MARLBOROUGH												
	County / Region	WILTS					UK Postcode	S	N	8		2	P	Z
	Country	ENGLAND					Nationality	BRITISH						
<b>Business occupation</b>	CORPORATE DIRECTOR ✓													

\* Voluntary details.

**Directors** In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

<b>Name</b>	* Style / Title	MR											
		Day	Month	Year									
	Date of birth	3	0	/	0	8	/	1	9	3	8	✓	
	Forename(s)	SAMUEL ELLIS											
	Surname	ABRAHAMS											
<b>Address</b>	43 FIFTH AVENUE, ILLOVO												
	Post town	SANDTON 2196											
	County / Region						UK Postcode						
	Country	SOUTH AFRICA					Nationality	SOUTH AFRICAN ✓					
<b>Business occupation</b>	ACCOUNTANT ✓												

**Directors**

Details of new directors must be notified on form 288a

Please list directors in alphabetical order.

Name \* Style / Title MR

**Directors** In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Date of birth Day Month Year  
1 0 / 1 0 / 1 9 4 8

Forename(s) GEORGE ONSLOW

Surname ALFORD

Address

BREAGE HOUSE, 10 STONEYFIELDS

**Usual residential**

**address** must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Post town FARNHAM

County / Region SURREY

UK Postcode G U 9 8 D X

Country ENGLAND

Nationality BRITISH

Business occupation MERCHANT BANKER

\* Voluntary details.

Name \* Style / Title MR

**Directors** In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Date of birth Day Month Year  
1 0 / 0 8 / 1 9 5 6

Forename(s) GLYNN ROBERT

Surname BURGER

Address

52 A STEWART PLACE

**Usual residential**

**address** must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Post town SANDHURST 2196

County / Region

UK Postcode

Country SOUTH AFRICA

Nationality SOUTH AFRICAN

Business occupation BANKER

**Directors**

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Name \* Style / Title MISS

**Directors** In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Day Month Year  
Date of birth 2 1 / 0 7 / 1 9 4 6 ✓

Forename(s) HARUKO

Surname FUKUDA

Address 33 ENNISMORE GARDENS

**Usual residential address** must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Post town LONDON

County / Region UK Postcode S W 7 1 A E

Country ENGLAND Nationality BRITISH

Business occupation INVESTMENT BANKER ✓

\* Voluntary details.

Name \* Style / Title MR

**Directors** In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Day Month Year  
Date of birth 2 8 / 1 2 / 1 9 4 0

Forename(s) HUGH SIDNEY

Surname HERMAN

Address APARTMENT 7, 1 VICTORIA ROAD

**Usual residential address** must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

CLIFTON ✓

Post town CAPE TOWN

County / Region UK Postcode 8 0 0 1

Country SOUTH AFRICA Nationality SOUTH AFRICAN ✓

Business occupation BANKER ✓

**Directors .**

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

<b>Name</b>	* Style / Title	MR								
<b>Directors</b> In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.		Day	Month	Year						
	Date of birth	0	3	/ 0	9	/ 1	9	4	9	✓
	Forename(s)	GEOFFREY MICHAEL THOMAS								
	Surname	HOWE								
<b>Address</b>		11 Highbury Terrace ✓								
<b>Usual residential address</b> must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.										
	Post town	LONDON								
	County / Region		UK Postcode		N	5		1	U	P
	Country	ENGLAND		<b>Nationality</b>		BRITISH				
	<b>Business occupation</b>	DIRECTOR ✓								

\* Voluntary details.

<b>Name</b>	* Style / Title	MR								
<b>Directors</b> In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.		Day	Month	Year						
	Date of birth	2	8	/ 0	8	/ 1	9	4	1	
	Forename(s)	DONN EDWARD								
	Surname	JOWELL								
<b>Address</b>		100 DENNIS ROAD, ATHOLL ✓								
<b>Usual residential address</b> must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.										
	Post town	SANDTON 2199								
	County / Region		UK Postcode							
	Country	SOUTH AFRICA		<b>Nationality</b>		SOUTH AFRICAN ✓				
	<b>Business occupation</b>	ATTORNEY ✓								

**Directors .**

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

**Directors** In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

**Name** \* Style / Title MR

**Date of birth** Day Month Year  
2 7 / 0 9 / 1 9 4 9

**Forename(s)** BERNARD

**Surname** KANTOR

**Address** 3 WINNINGTON CLOSE

**Post town** LONDON

**County / Region**  **UK Postcode** N 2  0  U A

**Country** ENGLAND **Nationality** SOUTH AFRICAN

**Business occupation** BANKER

**Usual residential address** must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

\* Voluntary details.

**Name** \* Style / Title MR

**Date of birth** Day Month Year  
1 9 / 0 9 / 1 9 4 6

**Forename(s)** IAN ROBERT

**Surname** KANTOR

**Address** VAN EEGHENSTRAAT 206, 1071 GM

**Post town** AMSTERDAM

**County / Region**  **UK Postcode**

**Country** NETHERLANDS **Nationality** NETHERLANDS

**Business occupation** BANKER

**Directors** In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

**Usual residential address** must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

**Directors**

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

<b>Name</b>	* Style / Title	SIR	
<b>Directors</b> In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.	Date of birth	Day 02 / Month 02 / Year 1940	✓
	Forename(s)	JOHN CHIPPENDALE LINDLEY	
	Surname	KESWICK	
<b>Address</b>	1A ILCHESTER PLACE		
<b>Usual residential address</b> must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.	Post town	LONDON	
	County / Region		UK Postcode W14 8AA
	Country		Nationality BRITISH
	Business occupation	COMPANY DIRECTOR	

\* Voluntary details.

<b>Name</b>	* Style / Title	MR	
<b>Directors</b> In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.	Date of birth	Day 23 / Month 07 / Year 1951	✓
	Forename(s)	STEPHEN	
	Surname	KOSOFF	
<b>Address</b>	5 DENNY-DALTON ROAD		
<b>Usual residential address</b> must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.	Post town	LINKSFIELD NORTH	
	County / Region	JOHANNESBURG	UK Postcode 2192
	Country	SOUTH AFRICA	Nationality SOUTH AFRICAN
	Business occupation	BANKER	

**Directors .**

Details of new directors must be notified on form 288a

Please list directors in alphabetical order.

Name \* Style / Title MR

**Directors** In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Date of birth Day Month Year  
2 8 / 0 3 / 1 9 5 8

Forename(s) PETER

Surname MALUNGANI

Address

18 THE VALLEY ROAD, WESTCLIFF

**Usual residential address** must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Post town PARKTOWN

County / Region JOHANNESBURG 2193

UK Postcode

Country SOUTH AFRICA

Nationality SOUTH AFRICAN

Business occupation BUSINESSMAN

\* Voluntary details.

Name \* Style / Title MR

**Directors** In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Date of birth Day Month Year  
0 1 / 0 1 / 1 9 4 7

Forename(s) ALAN

Surname TAPNACK

Address

36 MOWBRAY ROAD

**Usual residential address** must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Post town EDGWARE

County / Region MIDDLESEX

UK Postcode H A 8 8 J Q

Country ENGLAND

Nationality BRITISH

Business occupation ACCOUNTANT

**Directors .**

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Name \* Style / Title MR

**Directors** In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Day Month Year  
Date of birth 2 9 / 0 3 / 1 9 4 5 ✓

Forename(s) PETER RICHARD SUTER

Surname THOMAS

Address 22 4TH AVENUE ✓

**Usual residential address** must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Post town LOWER HOUGHTON

County / Region JOHANNESBURG 2198 UK Postcode

Country SOUTH AFRICA Nationality SOUTH AFRICAN ✓

Business occupation CHARTERED ACCOUNTANT ✓

\* Voluntary details.

Name \* Style / Title

**Directors** In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Day Month Year  
Date of birth

Forename(s)

Surname

Address

**Usual residential address** must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Post town

County / Region UK Postcode

Country Nationality

Business occupation

**Issued share capital**

Enter details of all the shares in issue at the date of this return.

**Class**  
(e.g. Ordinary/Preference)

**Number of  
shares issued**

**Aggregate  
Nominal Value**  
(i.e. Number of shares issued  
multiplied by nominal value per  
share, or total amount of stock)

PLC SPECIALVOTING	✓ 1	£ 0.001
PLC ORDS 0.001p	74,633,746	£ 74,633.746
PLC SPECIALCONV	38,399,527	£ 38,399.527
PLC UK DAN	1	£ 0.001

See continuation sheet.

**List of past and present shareholders**

(Use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

There were no changes in the period ☐

on paper in another format

A list of changes is enclosed ☐

A full list of shareholders is enclosed ☒

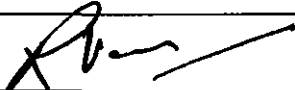
X

X

**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief.

Signed



Date

2.10.03

† Please delete as appropriate.

† a director/secretary

When you have signed the return send it with the fee to the Registrar of Companies. Cheques should be made payable to **Companies House**.

This return includes

8  
(enter number)

continuation sheets.

Please give the name, address, telephone number, and if available, a DX number and Exchange, for the person Companies House should contact if there is any query.

THE SECRETARY, 2 GRESHAM STREET, LONDON, EC2V 7QP

Tel 020 7597 4000

DX number

DX exchange

CHFP029

Company Number | 3633621

Company Name in full | Investec plc

**Issued share capital**

Enter details of all the shares in issue at the date of this return.

**Class**  
 (e.g. Ordinary/Preference)

**Number of  
shares issued**
**Aggregate  
Nominal Value**

 (i.e. Number of shares issued  
 multiplied by nominal value per  
 share, or total amount of stock)

PLC UK DAS

✓

1

£

0.001

Totals

113,033,276.00

£ 113,033,276.00



# List of past and present shareholders Schedule to form 363a

CHFP029

Company Number 3633621

Company Name in full Investec plc

- Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a "full list" of all the company shareholders on:
  - The company's first annual return following incorporation;
  - Every third annual return after a full list has been provided
- List the company shareholders in alphabetical order or provide an index
- List joint shareholders consecutively

Shareholders' details	Class and number of shares or amount of stock held	Shares or amount of stock transferred (if appropriate)	
		Class and number of shares or amount of stock transferred	Date of registration of transfer
Name <u>INVESTEC SSC (UK) LIMITED</u> Address <u>4TH FLOOR, 35 NEW BRIDGE STREET, LONDON, ENGLAND</u>  UK Postcode <u>E C 4 V 6 B W</u>	PLC SpecialVoting  1		
Name <u>INVESTEC SSC (UK) LIMITED</u> Address <u>4TH FLOOR, 35 NEW BRIDGE STREET, LONDON, ENGLAND</u>  UK Postcode <u>E C 4 V 6 B W</u>	PLC SpecialConv  38,399,527		
Name <u>INVESTEC SSC (UK) LIMITED</u> Address <u>4TH FLOOR, 35 NEW BRIDGE STREET, LONDON, ENGLAND</u>  UK Postcode <u>E C 4 V 6 B W</u>	PLC UK DAN  1		

# List of past and present shareholders (Continued)

CHFP029

Company Number 3633621

Shareholders' details	Class and number of shares or amount of stock held	Shares or amount of stock transferred (if appropriate)	
		Class and number of shares or amount of stock transferred	Date of registration of transfer
Name INVESTEC SSC (UK) LIMITED Address 4TH FLOOR, 35 NEW BRIDGE STREET, LONDON, ENGLAND  UK Postcode EC 4 V 6 B W	PLC UK DAS 1		
Name  Address    UK Postcode L L L L L L L L			
Name  Address    UK Postcode L L L L L L L L			
Name  Address    UK Postcode L L L L L L L L			
Name  Address    UK Postcode L L L L L L L L			
Name  Address    UK Postcode L L L L L L L L			

CDROM/FICHE

FORM ML8

**A**

**BULK LIST OF SHAREHOLDERS OR MEMBERS**

**A BULK LIST OF SHAREHOLDERS OR MEMBERS FOR THIS COMPANY HAS BEEN LODGED BUT DOES NOT APPEAR ON THIS ANNUAL RETURN MICROFICHE.**

**IF YOU WISH TO SEARCH THE LIST, PLEASE ENQUIRE AT THE SEARCH CONTROL COUNTER.**

**COMPANY NUMBER 3633621**