



Companies House

— for the record —

Company Name

CANTOR INDEX LIMITED

363s Annual Return

- > Please check the details printed in blue on this statement.
- > If any details are wrong, strike them through and write the correct details in the "Amended details" column.
- > Please use black pen and write in capitals.

Company Type

Private Company Limited By Shares

Company Number

3489923

Information extracted from
Companies House records on
15th December 2000

Section 1: Company details



A15
COMPANIES HOUSE

0681
04/05/01

Ref: 3489923/05/19

	Current details	Amended details
> Registered Office Address <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	1 America Square London EC3N 2LS	Address UK Postcode _ _ _ _ _
> Register of Members <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	Address where the Register is held At Registered Office	Address UK Postcode _ _ _ _ _
> Register of Debenture Holders <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	Not Applicable	Address UK Postcode _ _ _ _ _
> Principal Business Activities <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	SIC Code Description 7499 Non-trading company	SIC CODE Description
> <i>Please enter additional principal activity code(s) in "Amended details" column. See notes for guidance for list of activity codes.</i>		

	Current details	Amended details
> Company Secretary If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column. Particulars of a new Company Secretary must be notified on form 288.	Name Robert Pearce FALKNER Address 6 Thornhill Grove London N1 1JG	Name Address UK Postcode _____ Date of change ____ / ____ / ____ Date Robert Pearce FALKNER ceased to be secretary (if applicable) ____ / ____ / ____
> Director If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column. Particulars of a new Director must be notified on form 288.	Name Lee Martin AMAITIS Address 56 Chelsea Tower West Chelsea Manor Gardens London SW3 5PN Date of birth 19/12/1949 Nationality American Occupation Senior Managing Director	Name <u>LEE MARTIN AMAITIS</u> Address <u>27 CHELSEA TOWERS</u> <u>CHELSEA MANOR GARDENS</u> <u>LONDON SW3 5PN</u> UK Postcode <u>SW3 5PN</u> Date of birth ____ / ____ / ____ Nationality _____ Occupation <u>PRESIDENT + C.E.O.</u> Date of change <u>22/03/2000</u> Date Lee Martin AMAITIS ceased to be director (if applicable) ____ / ____ / ____
> Director If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column. Particulars of a new Director must be notified on form 288.	Name Andrew ANDREOU <u>RESIGNED</u> Address 46 Meadway Hampstead Gardens Suberg London NW11 6PR Date of birth 20/03/1959 Nationality British Occupation Chief Administrative Officer	Name Address UK Postcode _____ Date of birth ____ / ____ / ____ Nationality _____ Occupation _____ Date of change ____ / ____ / ____ Date Andrew ANDREOU ceased to be director (if applicable) <u>29/12/2000</u>

	Current details	Amended details
> Director <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	Name Robert Pearce FALKNER Address 6 Thornhill Grove London N1 1JG Date of birth 11/08/1959 Nationality British Occupation General Counsel	Name Address UK Postcode _____ Date of birth ____ / ____ / ____ Nationality _____ Occupation _____ Date of change ____ / ____ / ____ Date Robert Pearce FALKNER ceased to be director (if applicable) ____ / ____ / ____
<i>Particulars of a new Director must be notified on form 288.</i>		
> Director <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	Name Douglas Benjamin GARDNER Address 75 Central Park West No 2B New York Ny U.S.A 10023 Date of birth 05/10/1961 Nationality American Occupation Chief Admin Officer	Name Address UK Postcode _____ Date of birth ____ / ____ / ____ Nationality _____ Occupation _____ Date of change ____ / ____ / ____ Date Douglas Benjamin GARDNER ceased to be director (if applicable) ____ / ____ / ____
<i>Particulars of a new Director must be notified on form 288.</i>		
> Director <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	Name Mark HANNEY Address 9 Kingsdown Road Epsom Surrey KT17 3PU Date of birth 27/09/1968 Nationality British Occupation Finance Director	Name Address UK Postcode _____ Date of birth ____ / ____ / ____ Nationality _____ Occupation _____ Date of change ____ / ____ / ____ Date Mark HANNEY ceased to be director (if applicable) ____ / ____ / ____
<i>Particulars of a new Director must be notified on form 288.</i>		

	Current details	Amended details
> Director If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column. Particulars of a new Director must be notified on form 288.	Name Howard LUTNICK Address 200 East 69TH Street Apartment 32A New York New York State Usa 10021 Date of birth 14/06/1961 Nationality American Occupation President/Chief Executive	Name Address UK Postcode _ _ _ _ _ Date of birth _ _ / _ _ / _ _ _ _ Nationality _ _ _ _ _ Occupation CHAIRMAN Date of change 3 / 0 8 / 1 9 9 9 Date Howard LUTNICK ceased to be director (if applicable) _ _ / _ _ / _ _ _ _
> Director If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column. Particulars of a new Director must be notified on form 288.	Name Stephen MERKEL Address 61 Jane Street Apartment 19K New York New York U.S.A. NY10014 Date of birth 22/06/1958 Nationality American Occupation General Counsel/Snrvice Presi	Name Address UK Postcode _ _ _ _ _ Date of birth _ _ / _ _ / _ _ _ _ Nationality _ _ _ _ _ Occupation _ _ _ _ _ Date of change _ _ / _ _ / _ _ _ _ Date Stephen MERKEL ceased to be director (if applicable) _ _ / _ _ / _ _ _ _
> Director If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column. Particulars of a new Director must be notified on form 288.	Name Clive TRIANCE Address 3 Pondmore Way Ashford Kent TN25 4LU Date of birth 19/01/1967 Nationality British Occupation Head Of Settlements	Name Address UK Postcode _ _ _ _ _ Date of birth _ _ / _ _ / _ _ _ _ Nationality _ _ _ _ _ Occupation _ _ _ _ _ Date of change _ _ / _ _ / _ _ _ _ Date Clive TRIANCE ceased to be director (if applicable) _ _ / _ _ / _ _ _ _

	Current details	Amended details
> Issued Share Capital <i>This table shows the total number of shares that have been issued by your company and their Nominal Value. If any of the details are wrong, please fill in the correct details.</i>	Class of share Ordinary Nominal value of each share £1.00 Number of shares issued 1 Aggregate Nominal Value of issued shares £1.00	Class of share <u>ORDINARY</u> Nominal value of each share <u>US \$1.00</u> Number of shares issued <u>10,000</u> Aggregate Nominal Value of issued shares <u>US \$10,000</u>
> Total shares issued and value <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	Total number of shares issued 1 Total Nominal value of shares issued £1.00	Total number of shares issued <u>10,000</u> Total Nominal value of shares issued <u>US \$10,000</u>

List of past and present members (Tick appropriate box)

- > Please complete the required information on the attached schedules or in another format agreed by Companies House.
- ☐ There were no changes during the period
☐ A list of changes is enclosed
☒ A full list of members is enclosed

The last full list of members was received on: 02/01/1999

> REMEMBER:

Changes to shareholder particulars or details of shares transferred to be **completed each year**
 A full list of shareholders is required with the first and every third Annual Return thereafter
 List shareholders in alphabetical order or provide an index
 List joint shareholders consecutively

- > At the date of this Annual Return, if the company has altered or changed its share capital in any way or allotted new shares, please refer to the guidance notes for details of the appropriate form that should be sent with this Annual Return. Annual return guidance notes are available on the Companies House web site at www.companieshouse.gov.uk or by ringing 029 2038 0801.

Section 4: Details of New Shareholders and Transfers (A) (ii)

- > Please fill in details of any persons or corporate bodies who have become shareholders since the last annual return.
- > Please fill in details of any persons or corporate bodies that have transferred shares since the last annual return.
- > Please use Section 4B to give details of any persons or corporate bodies who have ceased to be shareholders since the last annual return or, in the case of a first return, since the incorporation of the company.
- > Please copy this page if there is not enough space to enter all the company's current shareholders.

Shareholders details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred (If appropriate)	Date of registration of transfer (If appropriate)
Name <u>CANTOR FITZGERALD INTERNATIONAL HOLDINGS L.P.</u> Address <u>ONE WORLD TRADE CENTER</u> <u>NEW YORK</u> <u>10048</u> UK Postcode <u>-----</u>	ORDINARY 9,945		
Name <u>CFIHL, LLC</u> Address <u>ONE WORLD TRADE CENTER</u> <u>NEW YORK</u> <u>10048</u> UK Postcode <u>-----</u>	ORDINARY 55		
Name _____ Address _____ _____ _____ UK Postcode <u>-----</u>			
Name _____ Address _____ _____ _____ UK Postcode <u>-----</u>			

Section 4B: Details of Former Shareholders

- > Please fill in details of any persons or corporate bodies who have ceased to be shareholders at the date of this return. Also, please give the dates that their shares were transferred.
- > Please copy this page if there is not enough space to enter all the company's former shareholders.

Former shareholders details	Class and number of shares or amount of stock transferred	Date of registration of transfer
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _		
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _		
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _		
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _		
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _		



Companies House

— for the record —

363s Annual Return Declaration

- > When you have checked all the sections of this form, please complete this page and sign the declaration below.
- > If you want to change the made up date of this annual return, please complete 2 below.

1. Declaration

- ☐ I confirm that the details in this annual return are correct as at the made-up-date (shown at 2 below). I enclose the filing fee of £15.

Signature

[Signature]
(Director/Secretary)

Date

03 / 03 / 2001

This date must not be earlier than the
return date at 2 below

What to do now

Complete this page then send the whole of the Annual Return and the
declaration to the address shown at 4 below.

2. Date of this return

- ☒ This AR is made up to 2/1/2001 If you are making this return up to an earlier date,
please give the date here

— / — / —

Note: The form must be delivered to CH within 28 days of this date

3. Date of next return

- ☐ If you wish to change your next return to a date earlier than **2nd January 2002**
please give the new date here:

— / — / —

4. Where to send this form

- ☒ Please return this form to:

Registrar of Companies
Companies House
Crown Way
Cardiff CF14 3UZ

OR

For members of the Hays Document
Exchange service
DX 33050 Cardiff

**Have you enclosed the filing fee with the company number written on the
reverse of the cheque?**

Cheque ☒ Postal Order ☐ Cheque / Postal Order
Number _____

(Please complete as appropriate)

Contact Address

Please give the name and address of the person who should be contacted if
there are any queries about this form.

Contact Name

MARIA DOWNIE

Telephone number inc code

0207 8948557

Address

CANON FITZGERALD INTERNATIONAL

DX number if applicable

ONE AMERICA SQUARE

DX exchange

LONDON

Postcode

EC3N 2LS