



Companies House
— for the record —

AR01 (ef)

Annual Return



Received for filing in Electronic Format on the: **17/10/2011**

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Company Name: **BLUEBAY ASSET MANAGEMENT LTD**

Company Number: **03262598**

Date of this return: **11/10/2011**

SIC codes: **66300**

Company Type: **Private company limited by shares**

Situation of Registered Office: **77 GROSVENOR STREET
LONDON
W1K 3JR**

Single Alternative Inspection Location (SAIL)

The address for an alternative location to the company's registered office for the inspection of registers is:

77 GROSVENOR STREET
LONDON
UNITED KINGDOM
W1K 3JR

The following records have moved to the single alternative inspection location:

Register of members (section 114)
Register of directors (section 162)
Directors' service contracts (section 228)
Directors' indemnities (section 237)
Register of secretaries (section 275)
Records of resolutions and meetings (section 358)
Register of debenture holders (section 743)
Contracts relating to purchase of own shares (section 702)
Documents relating to redemption or purchase of own shares out of capital by private company (section 720)

Officers of the company

Company Secretary 1

Type: **Person**
Full forename(s): **MR JAMES JACKSON**

Surname: **BRACE**

Former names:

Service Address recorded as Company's registered office

Company Director 1

Type: **Person**
Full forename(s): **MR DANIEL EDWARD**

Surname: **CHORNOUS**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **CANADA**

Date of Birth: **11/11/1958** Nationality: **CANADIAN**
Occupation: **DIRECTOR**

Company Director 2

Type: **Person**
Full forename(s): **MR ALAN BERTRAM**

Surname: **GIBBINS**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: **16/11/1949** *Nationality:* **BRITISH**

Occupation: **ACCOUNTANT**

Company Director 3

Type: **Person**
Full forename(s): **MS KATHERINE LYNNE**

Surname: **GIBSON**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **CANADA**

Date of Birth: **04/09/1972** *Nationality:* **CANADIAN**

Occupation: **DIRECTOR**

Company Director **4**

Type: **Person**
Full forename(s): **MR GRAEME**

Surname: **HEPWORTH**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: **26/12/1972** *Nationality:* **CANADIAN**

Occupation: **DIRECTOR**

Company Director **5**

Type: **Person**
Full forename(s): **MR ALEXANDER**

Surname: **KHEIN**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: **17/09/1969** *Nationality:* **UNITED STATES**

Occupation: **CHIEF OPERATING OFFICER**

Company Director **6**

Type: **Person**
Full forename(s): **MR FRANK**

Surname: **LIPPA**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **CANADA**

Date of Birth: **02/06/1965** *Nationality:* **CANADIAN**

Occupation: **DIRECTOR**

Company Director **7**

Type: **Person**
Full forename(s): **MR JOHN SALVATORE**

Surname: **MONTALBANO**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **CANADA**

Date of Birth: **02/02/1965** *Nationality:* **CANADIAN**

Occupation: **DIRECTOR**

Company Director 8

Type: **Person**
Full forename(s): **MARK NICHOLAS JOHN**

Surname: **POOLE**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: **19/07/1961** Nationality: **BRITISH**

Occupation: **FUND MANAGER**

Company Director 9

Type: **Person**
Full forename(s): **MR JOHN EDWARD**

Surname: **ROBERTS**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **ENGLAND**

Date of Birth: **02/03/1946** Nationality: **BRITISH**

Occupation: **DIRECTOR**

Company Director 10

Type: **Person**
Full forename(s): **NICHOLAS MARK**

Surname: **WILLIAMS**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: **21/03/1956** *Nationality:* **BRITISH**

Occupation: **CHIEF FINANCIAL OFFICER**

Company Director 11

Type: **Person**
Full forename(s): **HUGH**

Surname: **WILLIS**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: **11/03/1960** *Nationality:* **BRITISH**

Occupation: **FUND MANAGER**

Statement of Capital (Share Capital)

Class of shares	ORDINARY	<i>Number allotted</i>	198635001
		<i>Aggregate nominal value</i>	198635.001
<i>Currency</i>	GBP	<i>Amount paid per share</i>	0.17
		<i>Amount unpaid per share</i>	0

Prescribed particulars

EACH SHARE CARRIES THE RIGHT TO ONE VOTE PER SHARE AND TO RECEIVE ANY DIVIDENDS DECLARED.

Statement of Capital (Totals)

<i>Currency</i>	GBP	<i>Total number of shares</i>	198635001
		<i>Total aggregate nominal value</i>	198635.001

Full Details of Shareholders

The details below relate to individuals / corporate bodies that were shareholders as at 11/10/2011 or that had ceased to be shareholders since the made up date of the previous Annual Return

A full list of shareholders for the company are shown below

Shareholding 1 : **0 ORDINARY shares held as at the date of this return**
10049063 shares transferred on 2010-12-17

Name: **THE BANK OF NEW YORK (NOMINEES) LIMITED**

Shareholding 2 : **0 ORDINARY shares held as at the date of this return**
56573825 shares transferred on 2010-12-17

Name: **PERSHING NOMINEES LIMITED**

Shareholding 3 : **0 ORDINARY shares held as at the date of this return**
27001540 shares transferred on 2010-12-17

Name: **STRAND NOMINEES LIMITED**

Shareholding 4 : **198635001 ORDINARY shares held as at the date of this return**
Name: **ROYAL BANK OF CANADA**

Authorisation

Authenticated

This form was authorised by one of the following:

Director, Secretary, Person Authorised, Charity Commission Receiver and Manager, CIC Manager, Judicial Factor.