



BLUEPRINT
Company Secretary

NEW

363a

Annual Return

Company Number 3133226

Company Name in full SMITH & WILLIAMSON PENSION CONSULTANCY LIMITED



* F 3 6 3 A C 5 0 *

Date of this return (See note 1)

The information in this return is made up to

Day Month Year

01 12 98

Date of next return (See note 2)

If you wish to make your next return to a date earlier than the anniversary of this return please show the date here. Companies House will then send a form at the appropriate time.

Day Month Year

01 12 99

Registered Office (See note 3)

Show here the address at the date of this return.

Any change of registered office must be notified on form 287.

Post town

County / Region

Postcode

No 1 Riding House Street

London

W1A 3AS

Principal business activities

(See note 4)

Show trade classification code number(s) for the principal activity or activities.

If the code number cannot be determined, give a brief description of principal activity.

PENSION CONSULTANCY

C



When you have completed and signed the form please send it to
Companies House, Crown Way, Cardiff, CF4 3UZ DX 33050 Cardiff
for companies registered in England and Wales or
Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB
for companies registered in Scotland **DX 235 Edinburgh**

Register of members (See note 5)

If the register of members is not kept at the registered office, state here where it is kept.

Post town

County / Region

No 1 Riding House Street

London

Postcode W1A 3AS

Register of Debenture holders

(See note 6)

If there is a register of debenture holders and it is not kept at the registered office, state here where it is kept.

Post town

County / Region

Postcode

Company type (See note 7)

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

Please mark the appropriate box

Company Secretary (See notes 1-5)

(Please photocopy this area to provide details of joint secretaries).

Name

* Style / Title

Forename(s)

Surname

* Voluntary details.

Previous forename(s)

Previous surname(s)

Address

Details of a new company secretary must be notified on form 288a.

Mr

* Honours etc

Scott Edward

Massie

14 Drayton Road

Dorchester on Thames

County / Region

Country

Postcode

OX10 7PJ

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Directors ^(See notes 1-5)*Please list directors in alphabetical order.***Details of new directors must be notified on form 288a.**

| | | | | | | | | |
|----------------|---|--|--------------------|---------------------------------------|----------------------|---------------------------------|---------------------------------|---------------------------------|
| Name | * Style / Title | <input type="text" value="Mr"/> | | | Day | Month | Year | |
| | * Honours etc | <input type="text"/> | | | Date of birth | <input type="text" value="21"/> | <input type="text" value="05"/> | <input type="text" value="50"/> |
| | Forename(s) | <input type="text" value="Robin David"/> | | | | | | |
| | Surname | <input type="text" value="Boycott"/> | | | | | | |
| | Previous forename(s) | <input type="text"/> | | | | | | |
| | Previous surname(s) | <input type="text"/> | | | | | | |
| Address | <input type="text" value="Yew Tree Farmhouse"/> | | | | | | | |
| | <input type="text" value="Penwood"/> | | | | | | | |
| | <input type="text"/> | | | | | | | |
| | Post town | <input type="text" value="Newbury"/> | | | | | | |
| | County / Region | <input type="text" value="Berkshire"/> | Postcode | <input type="text" value="RG20 9EH"/> | | | | |
| | Country | <input type="text"/> | Nationality | <input type="text" value="British"/> | | | | |
| | Business occupation | <input type="text" value="Chartered Accountant"/> | | | | | | |
| | Other directorships | <input type="text" value="(See continuation sheet)."/> | | | | | | |

* Voluntary details.

| | | | | | | | | | |
|------------------|----------------|---|--|--------------------|--------------------------------------|----------------------|---------------------------------|---------------------------------|---------------------------------|
| Directors | Name | * Style / Title | <input type="text" value="Mr"/> | | | Day | Month | Year | |
| | | * Honours etc | <input type="text"/> | | | Date of birth | <input type="text" value="17"/> | <input type="text" value="08"/> | <input type="text" value="52"/> |
| | | Forename(s) | <input type="text" value="Michael Patrick"/> | | | | | | |
| | | Surname | <input type="text" value="Fosberry"/> | | | | | | |
| | | Previous forename(s) | <input type="text"/> | | | | | | |
| | | Previous surname(s) | <input type="text"/> | | | | | | |
| | Address | <input type="text" value="Cedar Grange"/> | | | | | | | |
| | | <input type="text" value="Tilehouse Lane"/> | | | | | | | |
| | | <input type="text"/> | | | | | | | |
| | | Post town | <input type="text" value="Denham"/> | | | | | | |
| | | County / Region | <input type="text" value="Bucks"/> | Postcode | <input type="text" value="UB9 5DG"/> | | | | |
| | | Country | <input type="text"/> | Nationality | <input type="text" value="British"/> | | | | |
| | | Business occupation | <input type="text" value="Company Director"/> | | | | | | |
| | | Other directorships | <input type="text" value="(See continuation sheet)."/> | | | | | | |

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Issued share capital (See notes 9)

Enter details of all the shares in issue at the date of this return.

Class
(e.g. Ordinary/Preference)

**Number of
shares issued**

**Aggregate
Nominal Value**

(i.e. Number of shares issued multiplied by nominal value per share)

| | | |
|---------------|---------|--------------|
| | | |
| Ordinary | 400,002 | £400,002.000 |
| | | |
| | | |
| Totals | 400 002 | £ 400 002.00 |

List of past and present members

(Use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

(see note 10)

There were no changes in the period

☐

on paper

in another format

A list of changes is enclosed

☐
☐

A full list of members is enclosed

☒
☐
Elective resolutions

(Private companies only)

(see note 11)

If at the date of this return an election is in force to dispense with annual general meetings, mark this box

☐

If at the date of this return an election is in force to dispense with laying accounts in general meetings, mark this box

☐
Certificate

I certify that the information given in this return is true to the best of my knowledge and belief.

Signed

SE M...ie

Date

23.12.98

† Please delete as appropriate.

† a director /secretary

When you have signed the return send it with the fee to the Registrar of Companies. Cheques should be made payable to **Companies House**.

This return includes

15

continuation sheets.

(enter number)

Please give the name, address, telephone number, and if available, a DX number and Exchange, for the person Companies House should contact if there is any query.

<Insert Presenter Details Here>

Directors (Continued)

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a.

| | | | | | | |
|----------------|-----------------------------|---------------------------|--------------------|---------|------|----|
| Name | * Style / Title | Mr | Day | Month | Year | |
| | * Honours etc | | Date of birth | 30 | 09 | 48 |
| | Forename(s) | William Andrew | | | | |
| | Surname | Fullerton-Batten | | | | |
| | Previous forename(s) | | | | | |
| | Previous surname(s) | | | | | |
| Address | | | | | | |
| | | 44 Stanstead Road | | | | |
| | | | | | | |
| | Post town | Caterham | | | | |
| | County / Region | Surrey | Postcode | CR3 6AB | | |
| | Country | | Nationality | British | | |
| | Business occupation | Company Director | | | | |
| | Other directorships | (See continuation sheet). | | | | |

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

* Voluntary details.

| | | | | | | | |
|------------------|----------------------------|-------------------------------|----------------------------|--------------------|---------|------|----|
| Directors | Name | * Style / Title | Mr | Day | Month | Year | |
| | | * Honours etc | | Date of birth | 22 | 05 | 61 |
| | | Forename(s) | Nicholas Jonathon Ashleigh | | | | |
| | | Surname | Gresham-Thompson | | | | |
| | | Previous forename(s) | | | | | |
| | | Previous surname(s) | | | | | |
| | Address | | | | | | |
| | | Wickey Hollow | | | | | |
| | | 36 Fox Dene | | | | | |
| | | | | | | | |
| | | Post town | Godalming | | | | |
| | | County / Region | Surrey | Postcode | GU7 1YQ | | |
| | | Country | | Nationality | British | | |
| | Business occupation | Independent Financial Adviser | | | | | |
| | Other directorships | (See continuation sheet). | | | | | |

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Directors (Continued)

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a.

| | | | | | | |
|--|-----------------------------|---------------------------|----------------------|--------------|-------------|----|
| Name | * Style / Title | Mr | Day | Month | Year | |
| | * Honours etc | | Date of birth | 31 | 10 | 57 |
| | Forename(s) | Ian Patrick Dunstan | | | | |
| | Surname | Hartnell | | | | |
| | Previous forename(s) | | | | | |
| | Previous surname(s) | | | | | |
| Address | 5 Robert Close | | | | | |
| | | | | | | |
| | | | | | | |
| Usual residential address must be given. In the case of a corporation, give the registered or principal office address. | Post town | London | | | | |
| | County / Region | | Postcode | W9 1BY | | |
| | Country | | Nationality | English | | |
| | Business occupation | Company Director | | | | |
| | Other directorships | (See continuation sheet). | | | | |

* Voluntary details.

| | | | | | | | |
|--|-----------------------------|---------------------------|--------------------|----------------------|--------------|-------------|----|
| Directors | Name | * Style / Title | Mr | Day | Month | Year | |
| | | * Honours etc | MSIDIP | Date of birth | 06 | 09 | 64 |
| | Forename(s) | Christopher Richard | | | | | |
| | Surname | Lynas | | | | | |
| | Previous forename(s) | | | | | | |
| | Previous surname(s) | | | | | | |
| Address | 19A Askew Road | | | | | | |
| | | | | | | | |
| | | | | | | | |
| Usual residential address must be given. In the case of a corporation, give the registered or principal office address. | Post town | London | | | | | |
| | County / Region | | Postcode | W12 9AD | | | |
| | Country | | Nationality | British | | | |
| | Business occupation | Investment Manager | | | | | |
| | Other directorships | (See continuation sheet). | | | | | |

Directors (Continued)

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a.

| | | | | | | |
|--|---------------------------|--------|--------------------|---------|------|----|
| Name | * Style / Title | Mr | Day | Month | Year | |
| | | | | | | |
| * Honours etc | | | Date of birth | 29 | 09 | 52 |
| Forename(s) | Simon John | | | | | |
| Surname | Mabey | | | | | |
| Previous forename(s) | | | | | | |
| Previous surname(s) | | | | | | |
| Address | | | | | | |
| | 51 Sutherland Place | | | | | |
| | | | | | | |
| Usual residential address must be given. In the case of a corporation, give the registered or principal office address. | Post town | London | | | | |
| | County / Region | | Postcode | W2 5BY | | |
| | Country | | Nationality | British | | |
| Business occupation | Chartered Accountant | | | | | |
| Other directorships | (See continuation sheet). | | | | | |

* Voluntary details.

| | | | | | | |
|--|-----------------------------|------------------------|--------------------|----------|-------|------|
| Directors | Name | * Style / Title | Mr | Day | Month | Year |
| | | | | | | |
| | * Honours etc | | Date of birth | 13 | 08 | 53 |
| | Forename(s) | Gareth David | | | | |
| | Surname | Pearce | | | | |
| | Previous forename(s) | | | | | |
| | Previous surname(s) | | | | | |
| | Address | | | | | |
| | | 5 St. Simon's Avenue | | | | |
| | | | | | | |
| Usual residential address must be given. In the case of a corporation, give the registered or principal office address. | Post town | London | | | | |
| | County / Region | | Postcode | SW15 6DU | | |
| | Country | | Nationality | British | | |
| Business occupation | Chartered Accountant | | | | | |
| Other directorships | (See continuation sheet). | | | | | |

Directors (Continued)

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a.

| | | | | | | |
|--|-----------------------------|---------------------------|--------------------|----------|------|----|
| Name | * Style / Title | Mr | Day | Month | Year | |
| | * Honours etc | | Date of birth | 21 | 03 | 56 |
| | Forename(s) | Martin John | | | | |
| | Surname | Rose | | | | |
| | Previous forename(s) | | | | | |
| | Previous surname(s) | | | | | |
| Address | | Fairwinds | | | | |
| | | Hill Brow | | | | |
| | | | | | | |
| Usual residential address must be given. In the case of a corporation, give the registered or principal office address. | Post town | Liss | | | | |
| | County / Region | Hants | Postcode | GU33 7NW | | |
| | Country | | Nationality | British | | |
| | Business occupation | Barrister | | | | |
| | Other directorships | (See continuation sheet). | | | | |

* Voluntary details.

| | | | | | | | |
|--|-----------------------------|------------------------------|--------------------|---------------|-------|------|----|
| Directors | Name | * Style / Title | Mr | Day | Month | Year | |
| | | * Honours etc | | Date of birth | 24 | 01 | 54 |
| | Forename(s) | Christopher Charles St. John | | | | | |
| | Surname | Rushton | | | | | |
| | Previous forename(s) | | | | | | |
| | Previous surname(s) | | | | | | |
| Address | | | | | | | |
| | | 3 Hollycombe Close | | | | | |
| | | | | | | | |
| Usual residential address must be given. In the case of a corporation, give the registered or principal office address. | Post town | Liphook | | | | | |
| | County / Region | Hants | Postcode | GU30 7HR | | | |
| | Country | | Nationality | British | | | |
| | Business occupation | Financial Director | | | | | |
| | Other directorships | (See continuation sheet). | | | | | |

Directors (Continued)

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a.

| | | | | | | | |
|--|-----------------------------|----------------------------------|--------------------|----------------------|--------------|-------------|-----------|
| Name | * Style / Title | Mr | | Day | Month | Year | |
| | * Honours etc | | | Date of birth | 03 | 05 | 38 |
| | Forename(s) | Nicholas John Elliot | | | | | |
| | Surname | Sealy | | | | | |
| | Previous forename(s) | | | | | | |
| | Previous surname(s) | | | | | | |
| Address | | Timber Hill | | | | | |
| | | Chobham | | | | | |
| | | | | | | | |
| Usual residential address must be given. In the case of a corporation, give the registered or principal office address. | Post town | Woking | | | | | |
| | County / Region | Surrey | Postcode | GU24 8JF | | | |
| | Country | | Nationality | British | | | |
| | Business occupation | Chartered Accountant | | | | | |
| | Other directorships | (See continuation sheet). | | | | | |

* Voluntary details.

| | | | | | | | | |
|--|----------------------------|----------------------------------|--------------------|----------------|----------------------|--------------|-------------|-----------|
| Directors | Name | * Style / Title | Mr | | Day | Month | Year | |
| | | * Honours etc | | | Date of birth | 07 | 05 | 62 |
| | | Forename(s) | Peter James | | | | | |
| | | Surname | Yeldon | | | | | |
| | | Previous forename(s) | | | | | | |
| | | Previous surname(s) | | | | | | |
| Address | | The Tythings | | | | | | |
| | | The Plantation | | | | | | |
| | | | | | | | | |
| Usual residential address must be given. In the case of a corporation, give the registered or principal office address. | Post town | West Winterslow | | | | | | |
| | County / Region | Wiltshire | Postcode | | | | | |
| | Country | | Nationality | British | | | | |
| | Business occupation | Chartered Accountant | | | | | | |
| | Other directorships | (See continuation sheet). | | | | | | |

Form 363a continuation sheet

Company Number 3133226

Company Name in full SMITH & WILLIAMSON PENSION CONSULTANCY LIMITED

Current directorships for Mr Robin David Boycott

1 RIDING HOUSE STREET LIMITED
1 ST.GEORGE'S SQUARE LIMITED
2 RIDING HOUSE STREET LIMITED
3 RIDING HOUSE STREET LIMITED
44 CHIPPER LANE LIMITED
92 MICKLEGATE LIMITED
M & A INTERNATIONAL LIMITED
M & A PARTNERS LIMITED
SMITH & WILLIAMSON CONSULTANCY LIMITED
SMITH & WILLIAMSON CORPORATE SERVICES LIMITED
SMITH & WILLIAMSON GROUP HOLDINGS LIMITED
SMITH & WILLIAMSON GROUP MANAGEMENT SERVICES LIMITED
SMITH & WILLIAMSON INSURANCE CASH FUND LIMITED
SMITH & WILLIAMSON INVESTMENT MANAGEMENT LIMITED
SMITH & WILLIAMSON MANAGEMENT CONSULTANTS LIMITED
SMITH & WILLIAMSON NOMINEES LIMITED
SMITH & WILLIAMSON TRUST CORPORATION LIMITED
SMITH & WILLIAMSON UNIT TRUST MANAGERS LIMITED

Past directorships for Mr Robin David Boycott

SMITH & WILLIAMSON INSURANCE CONSULTANTS (DISSOLVED) (PAST) (28-Jun-1996)

Current directorships for Mr Michael Patrick Fosberry

1 RIDING HOUSE STREET LIMITED
1 ST.GEORGE'S SQUARE LIMITED
2 RIDING HOUSE STREET LIMITED
3 RIDING HOUSE STREET LIMITED

Company Number 3133226

Company Name in full SMITH & WILLIAMSON PENSION CONSULTANCY LIMITED

44 CHIPPER LANE LIMITED

92 MICKLEGATE LIMITED

M & A INTERNATIONAL LIMITED

M & A PARTNERS LIMITED

SMITH & WILLIAMSON CONSULTANCY LIMITED

SMITH & WILLIAMSON CORPORATE SERVICES LIMITED

SMITH & WILLIAMSON GROUP HOLDINGS LIMITED

SMITH & WILLIAMSON GROUP MANAGEMENT SERVICES LIMITED

SMITH & WILLIAMSON INSURANCE CASH FUND LIMITED

SMITH & WILLIAMSON INVESTMENT MANAGEMENT LIMITED

SMITH & WILLIAMSON MANAGEMENT CONSULTANTS LIMITED

SMITH & WILLIAMSON NOMINEES LIMITED

SMITH & WILLIAMSON TRUST CORPORATION LIMITED

SMITH & WILLIAMSON UNIT TRUST MANAGERS LIMITED

Current directorships for Mr William Andrew Fullerton-Batten

1 RIDING HOUSE STREET LIMITED

2 RIDING HOUSE STREET LIMITED

3 RIDING HOUSE STREET LIMITED

44 CHIPPER LANE LIMITED

92 MICKLEGATE LIMITED

M & A INTERNATIONAL LIMITED

M & A PARTNERS LIMITED

SMITH & WILLIAMSON BUSINESS FUND MANAGEMENT LIMITED

SMITH & WILLIAMSON CONSULTANCY LIMITED

SMITH & WILLIAMSON CORPORATE SERVICES LIMITED

SMITH & WILLIAMSON FGP LIMITED

Company Number 3133226

Company Name in full SMITH & WILLIAMSON PENSION CONSULTANCY LIMITED

SMITH & WILLIAMSON FINANCIAL MANAGEMENT LIMITED

SMITH & WILLIAMSON GROUP HOLDINGS LIMITED

SMITH & WILLIAMSON GROUP MANAGEMENT SERVICES LIMITED

SMITH & WILLIAMSON INSURANCE CASH FUND LIMITED

SMITH & WILLIAMSON INVESTMENT MANAGEMENT LIMITED

SMITH & WILLIAMSON MANAGEMENT CONSULTANTS LIMITED

SMITH & WILLIAMSON NOMINEES LIMITED

SMITH & WILLIAMSON TRUST CORPORATION LIMITED

SMITH & WILLIAMSON UNIT TRUST MANAGERS LIMITED

Current directorships for Mr Nicholas Jonathon Ashleigh Gresham-Thompson

ROTHERWELL FINANCIAL MANAGEMENT LIMITED (PAST)

SMITH & WILLIAMSON INVESTMENT MANAGEMENT LIMITED

WINSLOW SHERRIFF LIMITED

Current directorships for Mr Ian Patrick Dunstan Hartnell

SMITH & WILLIAMSON INVESTMENT MANAGEMENT LIMITED

SMITH & WILLIAMSON TRUST CORPORATION LIMITED

Current directorships for Mr Christopher Richard Lynas

SMITH & WILLIAMSON INVESTMENT MANAGEMENT LIMITED

YOGAWING LIMITED

Current directorships for Mr Simon John Mabey

1 RIDING HOUSE STREET LIMITED

1 ST. GEORGE'S SQUARE LIMITED

2 RIDING HOUSE STREET LIMITED

Form 363a continuation sheet

Company Number 3133226

Company Name in full SMITH & WILLIAMSON PENSION CONSULTANCY LIMITED

3 RIDING HOUSE STREET LIMITED

44 CHIPPER LANE LIMITED

92 MICKLEGATE LIMITED

ASSOCIATION OF CONSERVATIVE CLUBS LIMITED

M & A INTERNATIONAL LIMITED

M & A PARTNERS LIMITED

SHERBORNE SCHOOL FOR GIRLS

SMITH & WILLIAMSON CONSULTANCY LIMITED

SMITH & WILLIAMSON CORPORATE SERVICES LIMITED

SMITH & WILLIAMSON GROUP HOLDINGS LIMITED

SMITH & WILLIAMSON GROUP MANAGEMENT SERVICES LIMITED

SMITH & WILLIAMSON INSURANCE CASH FUND LIMITED

SMITH & WILLIAMSON INVESTMENT MANAGEMENT LIMITED

SMITH & WILLIAMSON MANAGEMENT CONSULTANTS LIMITED

SMITH & WILLIAMSON NOMINEES LIMITED

SMITH & WILLIAMSON TRUST CORPORATION LIMITED

SMITH & WILLIAMSON UNIT TRUST MANAGERS LIMITED

Past directorships for Mr Simon John Mabey

CAVENDISH ASSURED LIMITED (DISSOLVED) (29-May-1996)

CAVENDISH THIRD ASSURED LIMITED (IN MEMBERS' VOLUNTARY LIQUIDATION) (29-May-1996)

CLEVES MANAGEMENT LIMITED (DISSOLVED) (PAST) (18-Sep-1996)

S&W INTERNATIONAL (25-Sep-1998)

SMITH & WILLIAMSON INSURANCE CONSULTANTS (DISSOLVED) (PAST) (28-Jun-1996)

Current directorships for Mr Gareth David Pearce

1 RIDING HOUSE STREET LIMITED

1 ST.GEORGE'S SQUARE LIMITED

Form 363a continuation sheet

Company Number 3133226

Company Name in full SMITH & WILLIAMSON PENSION CONSULTANCY LIMITED

2 RIDING HOUSE STREET LIMITED

3 RIDING HOUSE STREET LIMITED

44 CHIPPER LANE LIMITED

92 MICKLEGATE LIMITED

CAVENDISH ANTIQUES LIMITED (IN MEMBERS' VOLUNTARY LIQUIDATION)

CAVENDISH CONSTRUCTORS PLC (IN MEMBERS' VOLUNTARY LIQUIDATION)

CAVENDISH GLEESON CASH BACKED PLC

CAVENDISH RETAIL PLC (IN MEMBERS' VOLUNTARY LIQUIDATION)

CAVENDISH WATES PLC

CAVENDISH WATES PROPERTIES LIMITED

CAVENDISH WATES THIRD ASSURED PLC (IN MEMBERS' VOLUNTARY LIQUIDATION)

CHG HOMES PLC

ELDERSTREET DOWNING VCT PLC

FORESTGIFT LIMITED

HATCH MANAGEMENT LIMITED

HEDINGHAM DEVELOPMENTS LIMITED

M & A INTERNATIONAL LIMITED

M & A PARTNERS LIMITED

NATIONAL MUTUAL LIFE ASSURANCE SOCIETY

RETIREMENT CAPITAL RELEASE LIMITED

SHIRE HOUSING LIMITED

SMITH & WILLIAMSON BUSINESS FUND MANAGEMENT LIMITED

SMITH & WILLIAMSON CONSULTANCY LIMITED

SMITH & WILLIAMSON CORPORATE SERVICES LIMITED

SMITH & WILLIAMSON FGP LIMITED

SMITH & WILLIAMSON GROUP HOLDINGS LIMITED

SMITH & WILLIAMSON GROUP MANAGEMENT SERVICES LIMITED

Company Number 3133226

Company Name in full SMITH & WILLIAMSON PENSION CONSULTANCY LIMITED

SMITH & WILLIAMSON INSURANCE CASH FUND LIMITED

SMITH & WILLIAMSON INVESTMENT MANAGEMENT LIMITED

SMITH & WILLIAMSON MANAGEMENT CONSULTANTS LIMITED

SMITH & WILLIAMSON NOMINEES LIMITED

SMITH & WILLIAMSON TRUST CORPORATION LIMITED

SMITH & WILLIAMSON UNIT TRUST MANAGERS LIMITED

THE ANGEAR CONSULTANCY LIMITED

THE McCARTHY FOUNDATION

Past directorships for Mr Gareth David Pearce

CAVENDISH FAST FOODS LIMITED (01-Mar-1994)

CAVENDISH FOURTH ASSURED PLC (IN MVL) (PAST) (27-Oct-1997)

CAVENDISH GLEESON GUARANTEED PLC (PAST) (19-Feb-1998)

CAVENDISH GLEESON SECOND PLC (PAST) (01-Apr-1998)

CAVENDISH GROWTH NO.1 PLC (20-Dec-1995)

CAVENDISH GROWTH NO.2 PLC (20-Dec-1995)

CAVENDISH GROWTH NO.3 PLC (20-Dec-1995)

CAVENDISH HOME INVESTMENTS NO 2 PLC (27-May-1997)

CAVENDISH HOME INVESTMENTS NO.1 PLC (27-May-1997)

CAVENDISH HOME INVESTMENTS NO.3 PLC (27-May-1997)

CAVENDISH SECOND ASSURED LIMITED (DISSOLVED) (18-Dec-1995)

CAVENDISH WATES FOURTH PLC (PAST) (07-Apr-1998)

CAVENDISH WATES GUARANTEED PLC (03-Oct-1997)

NOTZ STUCKI (LONDON) LIMITED (01-May-1997)

SMITH & WILLIAMSON INSURANCE CONSULTANTS (DISSOLVED) (PAST) (28-Jun-1996)

Current directorships for Mr Martin John Rose

1 RIDING HOUSE STREET LIMITED

Form 363a continuation sheet

Company Number 3133226

Company Name in full SMITH & WILLIAMSON PENSION CONSULTANCY LIMITED

1 ST.GEORGE'S SQUARE LIMITED

2 RIDING HOUSE STREET LIMITED

3 RIDING HOUSE STREET LIMITED

44 CHIPPER LANE LIMITED

65 KENSINGTON GARDENS SQUARE LIMITED (PAST)

92 MICKLEGATE LIMITED

M & A INTERNATIONAL LIMITED

M & A PARTNERS LIMITED

SMITH & WILLIAMSON BUSINESS FUND MANAGEMENT LIMITED

SMITH & WILLIAMSON CONSULTANCY LIMITED

SMITH & WILLIAMSON CORPORATE SERVICES LIMITED

SMITH & WILLIAMSON FGP LIMITED

SMITH & WILLIAMSON GROUP HOLDINGS LIMITED

SMITH & WILLIAMSON GROUP MANAGEMENT SERVICES LIMITED

SMITH & WILLIAMSON INSURANCE CASH FUND LIMITED

SMITH & WILLIAMSON INVESTMENT MANAGEMENT LIMITED

SMITH & WILLIAMSON MANAGEMENT CONSULTANTS LIMITED

SMITH & WILLIAMSON NOMINEES LIMITED

SMITH & WILLIAMSON TRUST CORPORATION LIMITED

SMITH & WILLIAMSON UNIT TRUST MANAGERS LIMITED

Past directorships for Mr Martin John Rose

SMITH & WILLIAMSON FINANCIAL MANAGEMENT LIMITED (12-Feb-1997)

SMITH & WILLIAMSON INSURANCE CONSULTANTS (DISSOLVED) (PAST) (17-Jul-1996)

Current directorships for Mr Christopher Charles St. John Rushton

1 RIDING HOUSE STREET LIMITED

1 ST.GEORGE'S SQUARE LIMITED

Form 363a continuation sheet

Company Number 3133226

Company Name in full SMITH & WILLIAMSON PENSION CONSULTANCY LIMITED

2 RIDING HOUSE STREET LIMITED

3 RIDING HOUSE STREET LIMITED

44 CHIPPER LANE LIMITED

92 MICKLEGATE LIMITED

M & A INTERNATIONAL LIMITED

M & A PARTNERS LIMITED

SMITH & WILLIAMSON CONSULTANCY LIMITED

SMITH & WILLIAMSON CORPORATE SERVICES LIMITED

SMITH & WILLIAMSON GROUP HOLDINGS LIMITED

SMITH & WILLIAMSON GROUP MANAGEMENT SERVICES LIMITED

SMITH & WILLIAMSON INSURANCE CASH FUND LIMITED

SMITH & WILLIAMSON INVESTMENT MANAGEMENT LIMITED

SMITH & WILLIAMSON MANAGEMENT CONSULTANTS LIMITED

SMITH & WILLIAMSON NOMINEES LIMITED

SMITH & WILLIAMSON TRUST CORPORATION LIMITED

SMITH & WILLIAMSON UNIT TRUST MANAGERS LIMITED

ST.IVES (HASLEMERE) LIMITED

Past directorships for Mr Christopher Charles St. John Rushton

SMITH & WILLIAMSON FINANCIAL MANAGEMENT LIMITED (25-Sep-1997)

SMITH & WILLIAMSON INSURANCE CONSULTANTS (DISSOLVED) (PAST) (28-Jun-1996)

Current directorships for Mr Nicholas John Elliot Sealy

1 RIDING HOUSE STREET LIMITED

1 ST.GEORGE'S SQUARE LIMITED

2 RIDING HOUSE STREET LIMITED

3 RIDING HOUSE STREET LIMITED

44 CHIPPER LANE LIMITED

Form 363a continuation sheet

Company Number 3133226

Company Name in full SMITH & WILLIAMSON PENSION CONSULTANCY LIMITED

92 MICKLEGATE LIMITED

ASSOCIATION OF PRIVATE CLIENTS INVESTMENT MANAGERS & STOCKBROKERS (PAST)

CAPINUE SECURITIES (1997) LIMITED (IN MVL) formerly F. HAYTER LTD

CAVENDISH ANTIQUES LIMITED (IN MEMBERS' VOLUNTARY LIQUIDATION)

M & A INTERNATIONAL LIMITED

M & A PARTNERS LIMITED

SMITH & WILLIAMSON CONSULTANCY LIMITED

SMITH & WILLIAMSON CORPORATE SERVICES LIMITED

SMITH & WILLIAMSON GROUP HOLDINGS LIMITED

SMITH & WILLIAMSON GROUP MANAGEMENT SERVICES LIMITED

SMITH & WILLIAMSON INSURANCE CASH FUND LIMITED

SMITH & WILLIAMSON INVESTMENT MANAGEMENT LIMITED

SMITH & WILLIAMSON MANAGEMENT CONSULTANTS LIMITED

SMITH & WILLIAMSON NOMINEES LIMITED

SMITH & WILLIAMSON TRUST CORPORATION LIMITED

SMITH & WILLIAMSON UNIT TRUST MANAGERS LIMITED

Past directorships for Mr Nicholas John Elliot Sealy

HAYTER INVESTMENTS LIMITED (12-Dec-1997)

NOTZ STUCKI (LONDON) LIMITED (01-May-1997)

SMITH & WILLIAMSON INSURANCE CONSULTANTS (DISSOLVED) (PAST) (28-Jun-1996)

Current directorships for Mr Peter James Yeldon

1 RIDING HOUSE STREET LIMITED

1 ST. GEORGE'S SQUARE LIMITED

2 RIDING HOUSE STREET LIMITED

3 RIDING HOUSE STREET LIMITED

44 CHIPPER LANE LIMITED

Company Number 3133226

Company Name in full SMITH & WILLIAMSON PENSION CONSULTANCY LIMITED

92 MICKLEGATE LIMITED

APPLYFAX LIMITED

BROOMCO (627) LIMITED (IN MVL)

LONDON LINK ASSOCIATION LIMITED

M & A INTERNATIONAL LIMITED

M & A PARTNERS LIMITED

SMITH & WILLIAMSON BUSINESS FUND MANAGEMENT LIMITED

SMITH & WILLIAMSON CONSULTANCY LIMITED

SMITH & WILLIAMSON CORPORATE SERVICES LIMITED

SMITH & WILLIAMSON FGP LIMITED

SMITH & WILLIAMSON FINANCIAL MANAGEMENT LIMITED

SMITH & WILLIAMSON GROUP HOLDINGS LIMITED

SMITH & WILLIAMSON GROUP MANAGEMENT SERVICES LIMITED

SMITH & WILLIAMSON INSURANCE CASH FUND LIMITED

SMITH & WILLIAMSON INVESTMENT MANAGEMENT LIMITED

SMITH & WILLIAMSON MANAGEMENT CONSULTANTS LIMITED

SMITH & WILLIAMSON NOMINEES LIMITED

SMITH & WILLIAMSON TRUST CORPORATION LIMITED

SMITH & WILLIAMSON UNIT TRUST MANAGERS LIMITED

Past directorships for Mr Peter James Yeldon

APEX PARK M.C. LIMITED (PAST) (31-Dec-1994)

CPP INVESTMENTS LIMITED (PAST) (13-Feb-1998)

SMITH & WILLIAMSON INSURANCE CONSULTANTS (DISSOLVED) (PAST) (28-Jun-1996)

WEST END BUSINESS CLUB LIMITED (22-May-1997)

YELDON PROPERTY LIMITED (PAST) (30-Dec-1995)

**List of past and present members
Schedule to form 363a and 363b**

Company Number 3133226

Company Name in full SMITH & WILLIAMSON PENSION CONSULTANCY LIMITED

Number of shares
or amount of
stock held by
existing members
at date of this
return.

Particulars of shares or stock transferred since
the date of the last return (or in the case of the
first return, since the incorporation of the
company) by

(a) persons who are still members, and
(b) persons who have ceased to be members.

| Name and address | Number or amount currently held | Number or amount Transferred | Date of registration of transfer | Remarks |
|---|---------------------------------------|------------------------------------|--|---------|
| SMITH & WILLIAMSON INVESTMENT MANAGEMENT LIMITED | | | | |
| No 1 Riding House Street, London, W1A 3AS | | | | |
| | | | | |
| Ordinary | 400,002 | | | |
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