



SECRETARIAT

Please complete in typescript,
or in bold black capitals.

CHFP029

002541

30

363a

Annual Return

Company Number 3133226

Company Name in full SMITH & WILLIAMSON PENSION CONSULTANCY LIMITED

Date of this return

The information in this return is made up to

Day Month Year

01 / 12 / 2003

Date of next return

If you wish to make your next return
to a date earlier than the anniversary
of this return please show the date here.
Companies House will then send a form
at the appropriate time.

Day Month Year

01 / 12 / 2004

Registered Office

Show here the address at the date of
this return.

NO 1 RIDING HOUSE STREET

Any change of
registered office
must be notified
on form 287.

Post town

LONDON

County / Region

UK Postcode

W1A 3AS

Principal business activities

Show trade classification code number(s)
for the principal activity or activities.

6523

If the code number cannot be determined,
give a brief description of principal activity.



A21
COMPANIES HOUSE

0557
19/12/03

When you have completed and signed the form please send it to the
Registrar of Companies at:

Companies House, Crown Way, Cardiff, CF14 3UZ DX 33050 Cardiff
companies registered in England and Wales

Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB
for companies registered in Scotland

DX 235 Edinburgh

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Register of members

If the register of members is not kept at the registered office, state here where it is kept.

Post town

County / Region

UK Postcode

Register of Debenture holders

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state here where it is kept.

Post town

County / Region

UK Postcode

Company type

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

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Please tick the appropriate box

Company Secretary

(Please photocopy this area to provide details of joint secretaries).

* Voluntary details.

If a partnership give the names and addresses of the partners or the name of the partnership and office address.

Usual residential address must be given. In the case of a corporation, or a Scottish firm, give the registered or principal office address.

Details of a new company secretary must be notified on form 288a.

Name

* Style / Title

MR

Forename(s)

RICHARD FREDERICK

Surname(s)

VALLANCE

Address

WESTERING

DUNNINGS ROAD

Post town

EAST GRINSTEAD

County / Region

WEST SUSSEX

UK Postcode

R H 1 9 4 A 13

Country

ENGLAND

Directors

Details of new directors must be notified on form 288a

Please list directors in alphabetical order.

Name * Style / Title MR

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Date of birth Day Month Year
1 4 / 0 5 / 1 9 5 6

Forename(s) JEREMY TRISTAN

Surname BOADLE

Address

317 BLUEWATER HOUSE, SMUGGLERS WAY

Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Post town LONDON

County / Region

UK Postcode S W 1 8 1 E Q

Country ENGLAND

Nationality BRITISH

Business occupation CHARTERED ACCOUNTANT

* Voluntary details.

Name * Style / Title MR

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Date of birth Day Month Year
2 1 / 0 5 / 1 9 5 0

Forename(s) ROBIN DAVID

Surname BOYCOTT

Address

YEW TREE FARMHOUSE, PENWOOD

Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Post town NEWBURY

County / Region BERKSHIRE

UK Postcode R G 2 0 9 E H

Country ENGLAND

Nationality BRITISH

Business occupation CHARTERED ACCOUNTANT

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Name * Style / Title MR

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Day Month Year
Date of birth 0 1 / 0 9 / 1 9 6 4

Forename(s) ANTHONY RICHARD

Surname CHAMPION

Address 11 GRANGE PARK PLACE, WIMBLEDON

Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Post town LONDON

County / Region UK Postcode S W 2 0 0 E E

Country ENGLAND Nationality BRITISH

Business occupation CHARTERED ACCOUNTANT

* Voluntary details.

Name * Style / Title MRS

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Day Month Year
Date of birth 2 0 / 1 0 / 1 9 5 7

Forename(s) JENNIFER ANN

Surname CHANDLER

Address 18 EAGLE LANE, WANSTEAD

Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Post town LONDON

County / Region UK Postcode E 1 1 1 P E

Country ENGLAND Nationality BRITISH

Business occupation GROUP PERSONNEL DIRECTOR

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Name * Style / Title MR

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Day Month Year
Date of birth 1 7 / 0 8 / 1 9 5 2

Forename(s) MICHAEL PATRICK

Surname FOSBERRY

Address CEDAR GRANGE, TILEHOUSE LANE

Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Post town DENHAM

County / Region BUCKINGHAMSHIRE UK Postcode U B 9 5 D 9

Country ENGLAND Nationality BRITISH

Business occupation COMPANY DIRECTOR

* Voluntary details.

Name * Style / Title MR

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Day Month Year
Date of birth 3 0 / 0 9 / 1 9 4 8

Forename(s) WILLIAM ANDREW

Surname FULLERTON-BATTEN

Address 44 STANSTEAD ROAD

Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Post town CATERHAM

County / Region SURREY UK Postcode C R 3 6 A 8

Country ENGLAND Nationality BRITISH

Business occupation COMPANY DIRECTOR

Directors

Details of new directors must be notified on form 288a

Please list directors in alphabetical order.

Name	* Style / Title	MR	
Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.	Day	Month	Year
	Date of birth	2 9 / 0 5 / 1 9 5 5	
	Forename(s)	PAUL FREDERICK	
	Surname	GARWOOD	
Address	4A WELBECK MANSIONS, INGLEWOOD ROAD		
Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.			
	Post town	LONDON	
	County / Region		UK Postcode N W 6 1 Q X
	Country	ENGLAND	Nationality BRITISH
Business occupation	COMPANY DIRECTOR		

* Voluntary details.

Name	* Style / Title	MR	
Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.	Day	Month	Year
	Date of birth	1 9 / 0 4 / 1 9 5 7	
	Forename(s)	JONATHAN MAGNUS	
	Surname	GOODFELLOW	
Address	"HASSOCKS", THE OLD VICARAGE		
Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.			
	Post town	FINCHINGFIELD	
	County / Region	ESSEX	UK Postcode C M 7 4 L Q
	Country	ENGLAND	Nationality BRITISH
Business occupation	PENSIONS ADVISER		

Directors

Details of new directors must be notified on form 288a

Please list directors in alphabetical order.

Name	* Style / Title	MR	
Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.	Day	Month	Year
	Date of birth	1 9 / 0 7 / 1 9 5 2	
	Forename(s)	DAVID	
	Surname	HORNE	
Address	THE LAURELS, 18B ST. CATHARINES ROAD		
Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.			
	Post town	BROXBOURNE	
	County / Region	HERTFORDSHIRE	UK Postcode E N 1 0 7 L E
	Country	ENGLAND	Nationality BRITISH
Business occupation	DIRECTOR OF INFORMATION TECHNOLOGY		

* Voluntary details.

Name	* Style / Title		
Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.	Day	Month	Year
	Date of birth	0 6 / 1 1 / 1 9 6 3	
	Forename(s)	MARGARET MARY	
	Surname	LOWE	
Address	HEMPSTEAD COTTAGE, OAKS LANE		
Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.	MID HOLMWOOD		
	Post town	DORKING	
	County / Region	SURREY	UK Postcode R H 5 4 E S
	Country	ENGLAND	Nationality BRITISH
Business occupation	FINANCIAL ADVISER		

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Name * Style / Title MR

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Day Month Year
Date of birth 0 6 / 0 9 / 1 9 6 4

Forename(s) CHRISTOPHER RICHARD

Surname LYNAS

Address 26 MILL ROAD, BUCKDEN

Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Post town ST NEOTS

County / Region CAMBRIDGESHIRE

UK Postcode P E 1 9 5 S S

Country ENGLAND

Nationality BRITISH

Business occupation INVESTMENT MANAGER

* Voluntary details.

Name * Style / Title MR

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Day Month Year
Date of birth 2 9 / 0 9 / 1 9 5 2

Forename(s) SIMON JOHN

Surname MABEY

Address 9 KENT TERRACE

Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Post town LONDON

County / Region

UK Postcode N W 1 4 R P

Country ENGLAND

Nationality BRITISH

Business occupation CHARTERED ACCOUNTANT

Directors

Details of new directors must be notified on form 288a

Please list directors in alphabetical order.

Name	* Style / Title	MR										
		Day	Month	Year								
Directors	Date of birth	2	0	0	2	1	9	6	0			
In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.	Forename(s)	PETER DAMIAN										
	Surname	MAHER										
Address	ASH HOUSE, THE ORCHARD											
	TYTHERINGTON											
Usual residential address	Post town	WOTTON-UNDER-EDGE										
must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.	County / Region	GLOUCESTERSHIRE			UK Postcode	G	L	1	2	8	U	X
	Country	ENGLAND			Nationality	IRISH						
Business occupation	COMPANY DIRECTOR											

* Voluntary details.

Name	* Style / Title	MR										
		Day	Month	Year								
Directors	Date of birth	1	3	0	8	1	9	5	3			
In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.	Forename(s)	GARETH DAVID										
	Surname	PEARCE										
Address	5 ST. SIMON'S AVENUE											
Usual residential address	Post town	LONDON										
must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.	County / Region				UK Postcode	S	W	1	5	6	D	U
	Country	ENGLAND			Nationality	BRITISH						
Business occupation	CHARTERED ACCOUNTANT											

Directors

Details of new directors must be notified on form 288a

Please list directors in alphabetical order.

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Name * Style / Title MR

Day Month Year

Date of birth 2 1 / 0 3 / 1 9 5 6

Forename(s) MARTIN JOHN

Surname ROSE

Address FAIRWINDS, HILL BROW

Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Post town LISS

County / Region HAMPSHIRE UK Postcode GU 3 3 7 N W

Country ENGLAND Nationality BRITISH

Business occupation BARRISTER

* Voluntary details.

Name * Style / Title MS

Day Month Year

Date of birth 2 8 / 0 9 / 1 9 5 7

Forename(s) ANNE ELIZABETH

Surname THOMAS

Address 82 NORTH ROAD, THREE BRIDGES

Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Post town CRAWLEY

County / Region SUSSEX UK Postcode RH 1 0 1 S W

Country ENGLAND Nationality BRITISH

Business occupation COMPLIANCE DIRECTOR

Issued share capital
Enter details of all the shares in issue
as at the date of this return.

Class (e.g. Ordinary/Preference)	Number of shares issued	Aggregate Nominal Value (i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)
£1 ORD	400,000	£ 400,000.00
Totals	400,000	£ 400,000.00

List of past and present shareholders

(Use attached schedule where appropriate)

A full list is required if one was not
included with either of the last two
returns.

There were no changes in the period

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on paper in another format

A list of changes is enclosed

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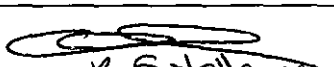
A full list of shareholders is enclosed

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Certificate

I certify that the information given in this return is true to the best of my
knowledge and belief.

Signed


R.F. Vallance

Date

16th December 2003

† Please delete as appropriate.

† a director/secretary

When you have signed the return send it
with the fee to the Registrar of Companies.
Cheques should be made payable to
Companies House.

This return includes

(enter number)

continuation sheets.

Please give the name, address,
telephone number, and if available,
a DX number and Exchange, for
the person Companies House should
contact if there is any query.

R.F. VALLANCE, 1 RIDING HOUSE STREET, LONDON, W1A 3AS

Tel 020 7637 5377

DX number DX exchange

List of past and present shareholders

Schedule to form 363a

CHFP029

Company Number | 3133226

Company Name in full | SMITH & WILLIAMSON PENSION CONSULTANCY LIMITED

- Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a "full list" of all the company shareholders on:
 - The company's first annual return following incorporation;
 - Every third annual return after a full list has been provided
- List the company shareholders in alphabetical order or provide an index
- List joint shareholders consecutively

Shareholders' details	Class and number of shares or amount of stock held	Shares or amount of stock transferred (if appropriate)	
		Class and number of shares or amount of stock transferred	Date of registration of transfer
Name SMITH & WILLIAMSON INVESTMENT MANAGEMENT Address NO 1 RIDING HOUSE STREET, LONDON, ENGLAND _____ _____ UK Postcode W1 1 A L 3 A S	£1 Ord 400,000		
Name _____ Address _____ _____ _____ UK Postcode L L L L L L L			
Name _____ Address _____ _____ _____ UK Postcode L L L L L L L			