



Companies House

for the record

Please complete in typescript,  
or in bold black capitals.

CHFP000

363a

# Annual Return

Company Number 03127076

Company Name in full RAYMOND JAMES FINANCIAL INTERNATIONAL LIMITED

## Date of this return

The information in this return is made up to

Day Month Year  
1 6 / 1 1 / 1 9 9 8

## Date of next return

If you wish to make your next return  
to a date earlier than the anniversary  
of this return please show the date here.  
Companies House will then send a form  
at the appropriate time.

Day Month Year  
/ / /

## Registered Office

Show here the address at the date of  
this return.

NEPTUNE HOUSE - TRITON COURT

14 FINSBURY SQUARE

Any change of  
registered office  
must be notified  
on form 287.

Post town

LONDON

County / Region

UK Postcode

E C 2 A 1 B R

## Principal business activities

Show trade classification code number(s)  
for the principal activity or activities.

6712



A50

\*AASSEAKC\*

09/06/2009

304

COMPANIES HOUSE

PC3

04/06/2009

837

COMPANIES HOUSE

\*PFZDBA12\*

PC2

21/05/2009

366

COMPANIES HOUSE

When you have completed and signed the form please send it to the  
Registrar of Companies at:

Companies House, Crown Way, Cardiff, CF14 3UZ

DX 33050 Cardiff

for companies registered in England and Wales or

Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB

DX 235 Edinburgh

for companies registered in Scotland

or LP - 4 Edinburgh 2

### Register of members

If the register of members is not kept at the registered office, state here where it is kept.

AT REGISTERED OFFICE

Post town

County / Region

UK Postcode

### Register of Debenture holders

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state here where it is kept.

AT REGISTERED OFFICE

Post town

County / Region

UK Postcode

### Company type

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

<input type="checkbox"/>
<input checked="" type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>

Please tick the appropriate box

### Company Secretary

\* Voluntary details.

(Please photocopy this area to provide details of joint secretaries).

Name

\* Style / Title

MR

Forename(s)

IAIN CHARLES

Surname

STEWART HUNTER

Address \*\*

29 GORST ROAD

ST PETERSBURG, FLORIDA 33716 USA

Post town

LONDON

County / Region

UK Postcode

S W 1 1 6 J B

Country

UNITED KINGDOM

\*\* Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

If a partnership give the names and addresses of the partners or the name of the partnership and office address.

Details of a new company secretary must be notified on form 288a.

## Directors

Details of new directors must be notified on form 288a

Please list directors in alphabetical order.

<b>Directors</b> In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.  ** Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.  <input type="checkbox"/>	<b>Name</b>	* Style / Title		MR								
		Day	Month	Year								
	Date of birth	0	1	/	1	2	/	1	9	3	8	
	Forename(s)	TERENCE WILLIAM										
	Surname	BEDFORD										
	<b>Address</b> **	9767 SAGO POINT DRIVE										
	Post town	LARGO										
	County / Region	FLORIDA 33777					UK Postcode	L L L L L L L L				
	Country	USA					Nationality	BRITISH				
<b>Business occupation</b>	MANAGEMENT IN FINANCIAL SERVICES											

\* Voluntary details.

<b>Directors</b> In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.  ** Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.  <input type="checkbox"/>	<b>Name</b>	* Style / Title		MR								
		Day	Month	Year								
	Date of birth	2	7	/	0	7	/	1	9	4	1	
	Forename(s)	THOMAS STANLEY										
	Surname	FRANKE										
	<b>Address</b> **	4907 NEW PROVIDENCE AVENUE										
	Post town	TAMPA										
	County / Region	FLORIDA 33629					UK Postcode	L L L L L L L L				
	Country	USA					Nationality	AMERICAN				
<b>Business occupation</b>	MANAGEMENT IN FINANCIAL SERVICES											

**Issued share capital**

Enter details of all the shares in issue at the date of this return.

Class (e.g. Ordinary/Preference)	Number of shares issued	Aggregate Nominal Value (i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)
ORDINARY	1000	£50,000
Totals	1000	£50,000

**List of past and present shareholders**

(Use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

There were no changes in the period ☐

on paper in another format

A list of changes is enclosed

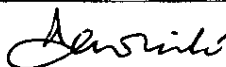


A full list of shareholders is enclosed

**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief.

Signed



Date

18/05/2009

† Please delete as appropriate.

† ~~a director~~ /secretary

When you have signed the return send it with the fee to the Registrar of Companies. Cheques should be made payable to **Companies House**.

This return includes  continuation sheets.  
(enter number)

You do not have to give any contact information in the box opposite but if you do, it will help Companies House to contact you if there is a query on the form. The contact information that you give will be visible to searchers of the public record.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
Tel \_\_\_\_\_  
DX number \_\_\_\_\_ DX exchange \_\_\_\_\_

## Directors

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Please list directors in alphabetical order.

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\*\* Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

Name	* Style / Title	MR		
		Day	Month	Year
	Date of birth	2 14 / 1 2 / 1 9 3 7		
	Forename(s)	ROBERT FLETCHER		
	Surname	SHUCK III		
Address **		7991 - 11TH AVENUE SOUTH		
<input type="checkbox"/>				
	Post town	ST PETERSBURG		
	County / Region	FLORIDA 33707	UK Postcode	
	Country	USA	Nationality	AMERICAN
Business occupation	MANAGEMENT IN FINANCIAL SERVICES			

\* Voluntary details.

Name	* Style / Title	MR		
		Day	Month	Year
	Date of birth	2 9 / 1 0 / 1 9 4 7		
	Forename(s)	IAIN CHARLES		
	Surname	STEWART HUNTER		
Address **		29 GORST ROAD		
<input type="checkbox"/>				
	Post town	LONDON		
	County / Region		UK Postcode	S W 1 1 6 J B
	Country	UNITED KINGDOM	Nationality	BRITISH
Business occupation	MANAGEMENT IN FINANCIAL SERVICES			

## List of past and present shareholders Schedule to form 363a

CHFP000

Company Number 03127076

Company Name in full RAYMOND JAMES FINANCIAL INTERNATIONAL LIMITED

- Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a "full list" of all the company shareholders on:
  - The company's first annual return following incorporation;
  - Every third annual return after a full list has been provided
- List the company shareholders in alphabetical order or provide an index
- List joint shareholders consecutively

Shareholders' details	Class and number of shares or amount of stock held	Shares or amount of stock transferred (if appropriate)	
		Class and number of shares or amount of stock transferred	Date of registration of transfer
Name <b>RAYMOND JAMES INTERNATIONAL HOLDINGS, INC.</b> Address 880 CARILLON PARKWAY ST PETERSBURG, FLORIDA 33716 USA UK Postcode	ORDINARY - 1000		
Name Address UK Postcode			
Name Address UK Postcode			