



Companies House

for the record

Please complete in typescript,
or in bold black capitals.

CHFP000

N/M
AMENDED

363a

Annual Return

Company Number 3127076

Company Name in full RAYMOND JAMES FINANCIAL INTERNATIONAL LIMITED

Date of this return

The information in this return is made up to

Day Month Year

1 6 / 1 1 / 2 0 0 6

Date of next return

If you wish to make your next return to a date earlier than the anniversary of this return please show the date here. Companies House will then send a form at the appropriate time.

Day Month Year

/ /

Registered Office

Show here the address at the date of this return.

BISHOPSGATE COURT

4-12 NORTON FOLGATE

Any change of registered office must be notified on form 287.

Post town

LONDON

County / Region

UK Postcode

E 1 6 D B

Principal business activities

Show trade classification code number(s) for the principal activity or activities.

6712

If the code number cannot be determined, give a brief description of principal activity.



A23

AP1209SR

12/05/2009

COMPANIES HOUSE

78

When you have completed and signed the form please send it to the Registrar of Companies at:

Companies House, Crown Way, Cardiff, CF14 3UZ

DX 33050 Cardiff

for companies registered in England and Wales

or

Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB

DX 235 Edinburgh

for companies registered in Scotland

or LP - 4 Edinburgh 2

TUESDAY

Register of members

If the register of members is not kept at the registered office, state here where it is kept.

AT REGISTERED OFFICE

Post town

County / Region

UK Postcode

Register of Debenture holders

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state here where it is kept.

AT REGISTERED OFFICE

Post town

County / Region

UK Postcode

Company type

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

☐☒☐☐☐☐☐

Please tick the appropriate box

Company Secretary

* Voluntary details.

(Please photocopy this area to provide details of joint secretaries).

Name

* Style / Title

MR

Forename(s)

JOHN

Surname

CRITCHLOW

Address **

1501 75TH CIRCLE NE

Post town

ST. PETERSBURG, FLORIDA 33702

County / Region

UK Postcode

Country

USA

** Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

If a partnership give the names and addresses of the partners or the name of the partnership and office address.

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

****** Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

| | | | | |
|----------------------------|----------------------------------|----------------------------|--------------------|----------|
| Name | * Style / Title | MR | | |
| | | Day | Month | Year |
| | Date of birth | 2 | 7 | 07/1941 |
| | Forename(s) | THOMAS STANLEY | | |
| | Surname | FRANKE | | |
| Address ** | | 4907 NEW PROVIDENCE AVENUE | | |
| | Post town | TAMPA | | |
| | County / Region | FLORIDA 33629 | UK Postcode | |
| | Country | USA | Nationality | AMERICAN |
| Business occupation | MANAGEMENT IN FINANCIAL SERVICES | | | |

* Voluntary details.

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

****** Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

| | | | | |
|----------------------------|----------------------------------|-------------------------|--------------------|----------|
| Name | * Style / Title | MR | | |
| | | Day | Month | Year |
| | Date of birth | 2 | 4 | 12/1937 |
| | Forename(s) | ROBERT FLETCHER | | |
| | Surname | SHUCK III | | |
| Address ** | | 13606 EAGLES WALK DRIVE | | |
| | Post town | CLEARWATER | | |
| | County / Region | FLORIDA 33762 | UK Postcode | |
| | Country | USA | Nationality | AMERICAN |
| Business occupation | MANAGEMENT IN FINANCIAL SERVICES | | | |

Issued share capital

Enter details of all the shares in issue at the date of this return.

| Class <i>(e.g. Ordinary/Preference)</i> | Number of shares issued | Aggregate Nominal Value <i>(i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)</i> |
|---|------------------------------------|---|
| ORDINARY | 12247 | £612,350 |
| | | |
| | | |
| | | |
| Totals | 12247 | £612,350 |

List of past and present shareholders

(Use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

There were no changes in the period



on paper in another format

A list of changes is enclosed


☐☐

A full list of shareholders is enclosed

☐**Certificate.**

I certify that the information given in this return is true to the best of my knowledge and belief.

Signed



Date

30/04/2009

† Please delete as appropriate.

† a director /secretary

When you have signed the return send it with the fee to the Registrar of Companies. Cheques should be made payable to **Companies House**.

This return includes

2

(enter number)

continuation sheets.

You do not have to give any contact information in the box opposite but if you do, it will help Companies House to contact you if there is a query on the form. The contact information that you give will be visible to searchers of the public record.

_____ Tel _____

DX number _____ DX exchange _____

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

**** Tick this box** If the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

| | | | | |
|--------------------------|------------------------|-----------------------|-------------|--------------|
| Name | * Style / Title | MR | | |
| | | Day | Month | Year |
| | Date of birth | 1 | 2 | 04 / 19 / 61 |
| | Forename(s) | PAUL | | |
| | Surname | STEINHAUSER | | |
| Address ** | | 1948 HAWAII AVENUE NE | | |
| <input type="checkbox"/> | | | | |
| | Post town | ST PETERSBURG | | |
| | County / Region | FLORIDA 33703 | UK Postcode | |
| | Country | USA | Nationality | AMERICAN |
| | Business occupation | MANAGING DIRECTOR | | |

* Voluntary details.

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

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| | | | | |
|--------------------------|------------------------|--------------------------|-------------|--------------|
| Name | * Style / Title | MR | | |
| | | Day | Month | Year |
| | Date of birth | 1 | 2 | 06 / 19 / 59 |
| | Forename(s) | JEFFREY | | |
| | Surname | TROCIN | | |
| Address ** | | 1439 WETHERINGTON WAY | | |
| <input type="checkbox"/> | | | | |
| | Post town | PALM HARBOR | | |
| | County / Region | FLORIDA 34683 | UK Postcode | |
| | Country | USA | Nationality | AMERICAN |
| | Business occupation | EXECUTIVE VICE PRESIDENT | | |



List of past and present shareholders Schedule to form 363a

CHFP000

Company Number 03127076

Company Name in full RAYMOND JAMES FINANCIAL INTERNATIONAL LIMITED

- Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a "full list" of all the company shareholders on:
 - The company's first annual return following incorporation;
 - Every third annual return after a full list has been provided
- List the company shareholders in alphabetical order or provide an index
- List joint shareholders consecutively

| Shareholders' details | Class and number of shares or amount of stock held | Shares or amount of stock transferred (if appropriate) | |
|--|--|---|----------------------------------|
| | | Class and number of shares or amount of stock transferred | Date of registration of transfer |
| Name RAYMOND JAMES INTERNATIONAL HOLDINGS, INC Address 880 CARILLON PARKWAY ST. PETERSBURG, FLORIDA 33716 USA UK Postcode | ORDINARY - 12247 | | |
| Name Address UK Postcode | | | |
| Name Address UK Postcode | | | |