



MB/106984/30.00 x2

**Companies House**

— for the record —

Company Name

**BARING BROTHERS LIMITED****363s Annual Return**

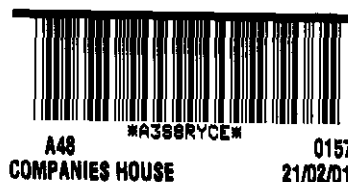
Company Type

**Private Company Limited By Shares**

Company Number

**3021535**Information extracted from  
Companies House records on  
30th January 2001

- > Please check the details printed in blue on this statement.
- > If any details are wrong, strike them through and write the correct details in the "Amended details" column.
- > Please use black pen and write in capitals.

**Section 1: Company details**

Ref: 3021535/09/28

|   | Current details  | Amended details   |             |             |                                       |   |          |             |  |  |  |  |  |  |  |  |  |  |
|---|--|---|-------------|-------------|---------------------------------------|---|----------|-------------|--|--|--|--|--|--|--|--|--|--|
| <b>&gt; Registered Office Address</b><br><i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>     | <b>60 London Wall</b><br><b>London</b><br><b>EC2M 5TQ</b>  | <b>Address</b><br><hr/> <hr/> <hr/><br><b>UK Postcode</b> _ _ _ _ _ |             |             |                                       |   |          |             |  |  |  |  |  |  |  |  |  |  |
| <b>&gt; Register of Members</b><br><i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>           | <b>Address where the Register is held</b><br><br><b>At Registered Office</b>   | <b>Address</b><br><hr/> <hr/> <hr/><br><b>UK Postcode</b> _ _ _ _ _ |             |             |                                       |   |          |             |  |  |  |  |  |  |  |  |  |  |
| <b>&gt; Register of Debenture Holders</b><br><i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i> | <b>Not Applicable</b>  | <b>Address</b><br><hr/> <hr/> <hr/><br><b>UK Postcode</b> _ _ _ _ _ |             |             |                                       |   |          |             |  |  |  |  |  |  |  |  |  |  |
| <b>&gt; Principal Business Activities</b><br><i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i> | <table border="1"> <thead> <tr> <th>SIC Code</th> <th>Description</th> </tr> </thead> <tbody> <tr> <td><b>6523</b></td> <td><b>Other financial intermediation</b></td> </tr> </tbody> </table> | SIC Code  | Description | <b>6523</b> | <b>Other financial intermediation</b> | <table border="1"> <thead> <tr> <th>SIC CODE</th> <th>Description</th> </tr> </thead> <tbody> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> </tbody> </table> | SIC CODE | Description |  |  |  |  |  |  |  |  |  |  |
| SIC Code  | Description  |   |             |             |                                       |   |          |             |  |  |  |  |  |  |  |  |  |  |
| <b>6523</b>   | <b>Other financial intermediation</b>  |   |             |             |                                       |   |          |             |  |  |  |  |  |  |  |  |  |  |
| SIC CODE  | Description  |   |             |             |                                       |   |          |             |  |  |  |  |  |  |  |  |  |  |
|   |  |   |             |             |                                       |   |          |             |  |  |  |  |  |  |  |  |  |  |
|   |  |   |             |             |                                       |   |          |             |  |  |  |  |  |  |  |  |  |  |
|   |  |   |             |             |                                       |   |          |             |  |  |  |  |  |  |  |  |  |  |
|   |  |   |             |             |                                       |   |          |             |  |  |  |  |  |  |  |  |  |  |
|   |  |   |             |             |                                       |   |          |             |  |  |  |  |  |  |  |  |  |  |
| <b>&gt;</b> Please enter additional principal activity code(s) in "Amended details" column. See notes for guidance for list of activity codes.                            |  |   |             |             |                                       |   |          |             |  |  |  |  |  |  |  |  |  |  |

## Section 2: Details of Officers of the Company

|  | Current details  | Amended details   |
|--|--|---|
| <b>&gt; Company Secretary</b><br><i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i><br><br><i>Particulars of a new Company Secretary must be notified on form 288.</i> | <b>Name</b><br>Adrian Neil MARSH<br><br><b>Address</b><br>Erchless House Fair Oak Lane<br>Oxshott<br>Leatherhead<br>Surrey<br>KT22 0TP   | <b>Name</b><br>_____<br><b>Address</b><br>_____<br>_____<br>_____<br><b>UK Postcode</b> _ _ _ _ _<br><b>Date of change</b> _ _ / _ _ / _ _ _ _<br><b>Date Adrian Neil MARSH</b><br><b>ceased to be secretary (if applicable)</b><br>_ _ / _ _ / _ _ _ _   |
| <b>&gt; Director</b><br><i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i><br><br><i>Particulars of a new Director must be notified on form 288.</i>                   | <b>Name</b><br>Clairmonte Erlin BOURNE<br><br><b>Address</b><br>3 Cromwell Road<br>Wimbledon<br>London<br>SW19 8LE<br><br><b>Date of birth</b> 22/12/1968<br><br><b>Nationality</b> British<br><br><b>Occupation</b> Investment Banker | <b>Name</b><br>_____<br><b>Address</b><br>_____<br>_____<br>_____<br><b>UK Postcode</b> _ _ _ _ _<br><b>Date of birth</b> _ _ / _ _ / _ _ _ _<br><b>Nationality</b> _____<br><b>Occupation</b> _____<br><b>Date of change</b> _ _ / _ _ / _ _ _ _<br><b>Date Clairmonte Erlin BOURNE</b><br><b>ceased to be director (if applicable)</b><br>_ _ / _ _ / _ _ _ _ |
| <b>&gt; Director</b><br><i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i><br><br><i>Particulars of a new Director must be notified on form 288.</i>                   | <b>Name</b><br>Onno Van Den BROEK<br><br><b>Address</b><br>5A Observatory Gardens<br>Kensington<br>London<br>W8 7HY<br><br><b>Date of birth</b> 16/02/1940<br><br><b>Nationality</b> Dutch<br><br><b>Occupation</b> Company Director   | <b>Name</b><br>_____<br><b>Address</b><br>_____<br>_____<br>_____<br><b>UK Postcode</b> _ _ _ _ _<br><b>Date of birth</b> _ _ / _ _ / _ _ _ _<br><b>Nationality</b> _____<br><b>Occupation</b> _____<br><b>Date of change</b> _ _ / _ _ / _ _ _ _<br><b>Date Onno Van Den BROEK</b><br><b>ceased to be director (if applicable)</b><br>_ _ / _ _ / _ _ _ _      |

|  | Current details  | Amended details  |
|--|--|--|
| <b>&gt; Director</b><br><i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i><br><br><i>Particulars of a new Director must be notified on form 288.</i> | <b>Name</b><br>David Andrew HORTON<br><br><b>Address</b><br>35 The Drive<br>Sevenoaks<br>Kent<br>TN13 3AD<br><br><b>Date of birth</b> 14/03/1962<br><br><b>Nationality</b> British<br><br><b>Occupation</b> Head Of Finance-London Branch          | <b>Name</b><br>_____<br><br><b>Address</b><br>_____<br>_____<br>_____<br><br><b>UK Postcode</b> _ _ _ _ _<br><b>Date of birth</b> _ _ / _ _ / _ _ _ _<br><b>Nationality</b> _____<br><b>Occupation</b> _____<br><b>Date of change</b> _ _ / _ _ / _ _ _ _<br><b>Date</b> David Andrew HORTON ceased to be director (if applicable)<br>_ _ / _ _ / _ _ _ _  |
| <b>&gt; Director</b><br><i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i><br><br><i>Particulars of a new Director must be notified on form 288.</i> | <b>Name</b><br>Adrian Neil MARSH<br><br><b>Address</b><br>Erchless House Fair Oak Lane<br>Oxshott<br>Leatherhead<br>Surrey<br>KT22 0TP<br><br><b>Date of birth</b> 11/06/1959<br><br><b>Nationality</b> British<br><br><b>Occupation</b> Solicitor | <b>Name</b><br>_____<br><br><b>Address</b><br>_____<br>_____<br>_____<br><br><b>UK Postcode</b> _ _ _ _ _<br><b>Date of birth</b> _ _ / _ _ / _ _ _ _<br><b>Nationality</b> _____<br><b>Occupation</b> _____<br><b>Date of change</b> _ _ / _ _ / _ _ _ _<br><b>Date</b> Adrian Neil MARSH ceased to be director (if applicable)<br>_ _ / _ _ / _ _ _ _    |
| <b>&gt; Director</b><br><i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i><br><br><i>Particulars of a new Director must be notified on form 288.</i> | <b>Name</b><br>Tara Penelope ODGERS<br><br><b>Address</b><br>43 Gowan Avenue<br>London<br>SW6 6RF<br><br><b>Date of birth</b> 04/05/1968<br><br><b>Nationality</b> British<br><br><b>Occupation</b> Banker   | <b>Name</b><br>_____<br><br><b>Address</b><br>_____<br>_____<br>_____<br><br><b>UK Postcode</b> _ _ _ _ _<br><b>Date of birth</b> _ _ / _ _ / _ _ _ _<br><b>Nationality</b> _____<br><b>Occupation</b> _____<br><b>Date of change</b> _ _ / _ _ / _ _ _ _<br><b>Date</b> Tara Penelope ODGERS ceased to be director (if applicable)<br>_ _ / _ _ / _ _ _ _ |

|  | Current details   | Amended details  |
|--|---|--|
| <b>&gt; Director</b><br><i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i><br><br><br><br><br><br><br><br><br><br><br><i>Particulars of a new Director must be notified on form 288.</i> | <b>Name</b><br>James Roger PEERS<br><br><br><br><br><br><br><br><br><br><br><b>Address</b><br>42A Caithness Road<br>London<br>W14 0JD<br><br><br><br><br><br><br><br><br><br><br><b>Date of birth</b> 13/11/1938<br><br><b>Nationality</b> British<br><br><b>Occupation</b> Merchant Banker       | <b>Name</b><br>_____<br><br><b>Address</b><br>_____<br>_____<br>_____<br>_____<br><br><b>UK Postcode</b> _ _ _ _ _<br><b>Date of birth</b> _ _ / _ _ / _ _ _ _<br><b>Nationality</b> _____<br><b>Occupation</b> _____<br><b>Date of change</b> _ _ / _ _ / _ _ _ _<br><b>Date</b> James Roger PEERS ceased to be director (if applicable)<br>_ _ / _ _ / _ _ _ _       |
| <b>&gt; Director</b><br><i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i><br><br><br><br><br><br><br><br><br><br><br><i>Particulars of a new Director must be notified on form 288.</i> | <b>Name</b><br>Christopher John STEANE<br><br><br><br><br><br><br><br><br><br><br><b>Address</b><br>48 Portobello Road<br>London<br>W11 3DL<br><br><br><br><br><br><br><br><br><br><br><b>Date of birth</b> 23/12/1956<br><br><b>Nationality</b> British<br><br><b>Occupation</b> Merchant Banker | <b>Name</b><br>_____<br><br><b>Address</b><br>_____<br>_____<br>_____<br>_____<br><br><b>UK Postcode</b> _ _ _ _ _<br><b>Date of birth</b> _ _ / _ _ / _ _ _ _<br><b>Nationality</b> _____<br><b>Occupation</b> _____<br><b>Date of change</b> _ _ / _ _ / _ _ _ _<br><b>Date</b> Christopher John STEANE ceased to be director (if applicable)<br>_ _ / _ _ / _ _ _ _ |

## Issued share capital details

- > Please fill in the details of total share capital by class (eg. ordinary, preference etc) that has been issued to the company's shareholders.

Class of Share

ORDINARY

Number of shares issued

52,962,852

Aggregate Nominal Value of issued shares

52,962,852.00

Class of Share

Number of shares issued

Aggregate Nominal Value of issued shares

Class of Share

Number of shares issued

Aggregate Nominal Value of issued shares

Class of Share

Number of shares issued

Aggregate Nominal Value of issued shares

- > Please fill in the total number of issued shares and their total nominal value.

Number of shares issued

52,962,852

Aggregate Nominal Value of issued shares

52,962,852.00

## List of past and present members (Tick appropriate box)

- > Please complete the required information on the attached schedules or in another format agreed by Companies House.

- ☒ There were no changes during the period  
☐ A list of changes is enclosed  
☒ A full list of members is enclosed

The last full list of members was received on: 14/02/2000

## &gt; REMEMBER:

**Changes** to shareholder particulars or details of shares transferred to be **completed each year**  
 A full list of shareholders is required with the first and every third Annual Return thereafter  
 List shareholders in alphabetical order or provide an index  
 List joint shareholders consecutively

**Section 4: Details of New Shareholders and Transfers (A) (ii)**

- > Please fill in details of any persons or corporate bodies who have become shareholders since the last annual return.
- > Please fill in details of any persons or corporate bodies that have transferred shares since the last annual return.
- > Please use Section 4B to give details of any persons or corporate bodies who have ceased to be shareholders since the last annual return or, in the case of a first return, since the incorporation of the company.
- > Please copy this page if there is not enough space to enter all the company's current shareholders.

| Shareholders details  | Class and number of shares or amount of stock held                | Class and number of shares or amount of stock transferred (If appropriate) | Date of registration of transfer (If appropriate) |
|---|---|--|---|
| Name<br><u>ING BARING HOLDINGS LIMITED</u><br>Address<br><u>60, LONDON WALL</u><br><u>LONDON</u><br>UK Postcode <u>EC2M 5TQ</u> | <u>£1 Ordinary</u><br><br><u>Shares held</u><br><u>52,962,852</u> |  |   |
| Name<br>_____<br>Address<br>_____<br>_____<br>_____<br>UK Postcode    _ _ _ _ _   |   |  |   |
| Name<br>_____<br>Address<br>_____<br>_____<br>_____<br>UK Postcode    _ _ _ _ _   |   |  |   |
| Name<br>_____<br>Address<br>_____<br>_____<br>_____<br>UK Postcode    _ _ _ _ _   |   |  |   |

**Section 4B: Details of Former Shareholders**

- > Please fill in details of any persons or corporate bodies who have ceased to be shareholders at the date of this return. Also, please give the dates that their shares were transferred.
- > Please copy this page if there is not enough space to enter all the company's former shareholders.

| Former shareholders details   | Class and number of shares or amount of stock transferred | Date of registration of transfer |
|---|---|----------------------------------|
| Name<br>_____<br>Address<br>_____<br>_____<br>_____<br>UK Postcode    _ _ _ _ _ |   |                                  |
| Name<br>_____<br>Address<br>_____<br>_____<br>_____<br>UK Postcode    _ _ _ _ _ |   |                                  |
| Name<br>_____<br>Address<br>_____<br>_____<br>_____<br>UK Postcode    _ _ _ _ _ |   |                                  |
| Name<br>_____<br>Address<br>_____<br>_____<br>_____<br>UK Postcode    _ _ _ _ _ |   |                                  |
| Name<br>_____<br>Address<br>_____<br>_____<br>_____<br>UK Postcode    _ _ _ _ _ |   |                                  |

— for the record —

- > When you have checked all the sections of this form, please complete this page and sign the declaration below.
- > If you want to change the made up date of this annual return, please complete 2 below.

☐ I confirm that the details in this annual return are correct as at the made-up-date (shown at 2 below). I enclose the filing fee of £15.

W. H. L.

14 / 02 / 2001

*This date must not be earlier than the  
return date at 2 below*

*Complete this page then send the whole of the Annual Return and the declaration to the address shown at 4 below.*

☐ This AR is made up to **14/2/2001** If you are making this return up to an earlier date, please give the date here

1 1 1 / 1 1 1 / 1 1 1 1 1

**Note: The form must be delivered to CH within 28 days of this date**

☐ If you wish to change your next return to a date earlier than **14th February 2002** please give the new date here:

1 1 1 / 1 1 1 / 1 1 1 1 1

☐ Please return this form to:  
Registrar of Companies  
Companies House  
Crown Way  
Cardiff CF14 3UZ

OR

For members of the Hays Document  
Exchange service  
DX 33050 Cardiff

**Have you enclosed the filing fee with the company number written on the reverse of the cheque?**

*Cheque* ☐ *Postal Order* ☐ *Cheque / Postal Order*  
*Number*

*(Please complete as appropriate)*

Please give the name and address of the person who should be contacted if there are any queries about this form.

Telephone number *inc* codeDX number *if applicable*

\_\_\_\_\_ DX exchange

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