

*Please complete in typescript,
or in bold black capitals.*

Annual Return

CHFP010

Company Number

3017060

Company Name in full

CAZENOVE FUND MANAGEMENT LIMITED

Date of this return

The information in this return is made up
to

Day		Month		Year			
0	1	0	2	2	0	0	4

Date of next return

If you wish to make your next return to a
date earlier than the anniversary of this
return please show the date here.

Companies House will then send a form at
the appropriate time.

Day		Month		Year			

Registered Office

Show here the address at the date of
this return.

20 Moorgate

Any change of
registered office **must**
be notified on form
287.

Post town

London

County / Region

UK Postcode

EC2R 6DA

Principal business activities

Show trade classification code number(s)
for the principal activity or activities.

6712

If the code number cannot be determined,
give a brief description of principal
activity.



LD2
COMPANIES HOUSE

0141
10/03/04

When you have completed and signed the form please send it to the
Registrar of Companies at:

Companies House, Crown Way, Cardiff, CF14 3UZ
for companies registered in England and Wales

DX 33050 Cardiff

or

Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB
for companies registered in Scotland

DX 235 Edinburgh

Register of members

If the register of members is not kept at the registered office, state here where it is kept.

Post town

County / Region

UK Postcode

Register of Debenture holders

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state where it is kept.

Post town

County / Region

UK Postcode

Company type

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

<input type="checkbox"/>
<input checked="" type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>

Please tick the appropriate box

Company Secretary

(Please photocopy this area to provide details of joint secretaries).

* Voluntary details.

If a partnership give the names and addresses of the partners or the name of the partnership and office address.

Name

* Style / Title

Miss

Forename(s)

JANE

Surname

EARL

Address

8 PARSONAGE FARM, TOWNFIELD

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town

RICKMANSWORTH

County / Region

HERTS

UK Postcode

WD3 7FN

Country

Directors*Please list directors in alphabetical order.***Details of new directors must be notified on form 288a**

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Name * Style / Title _____

Day Month Year
Date of birth 1 9 0 3 1 9 5 3

Forename(s) MICHAEL RICHARD PARKES

Surname POWER

Address OAKWOOD FARM, SHIPLEY

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town NR HORSHAM

County / Region WEST SUSSEX UK Postcode RH13 8PY

Country Nationality BRITISH

Business occupation FINANCE DIRECTOR

* Voluntary details.

Name * Style / Title _____

Day Month Year
Date of birth 2 7 0 8 1 9 5 9

Forename(s) Michael Burns

Surname Neilson

Address Windy Ridge, Primrose Ridge

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town Godalming

County / Region Surrey UK Postcode GU7 2ND

Country Nationality British

Business occupation Company Director

Directors*Please list directors in alphabetical order.***Details of new directors must be notified on form 288a**

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Name * Style / Title _____

Day Month Year
 Date of birth

3	0	1	1	1	9	5	9
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Forename(s) ROBERT MARKSurname PICKERINGAddress 31 ARGYLL ROAD

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town LONDONCounty / Region _____ UK Postcode W8 7DACountry _____ Nationality BRITISHBusiness occupation INVESTMENT BANKER

* Voluntary details.

Name * Style / Title Mr

Day Month Year
 Date of birth

2	0	0	9	1	9	5	9
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Forename(s) ANDREW JOHN SHIRLEYSurname ROSSAddress OCKLEY, OCKLEY LANE

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town HAWKHURSTCounty / Region KENT UK Postcode TN18 5EXCountry _____ Nationality BritishBusiness occupation CHIEF EXECUTIVE

Directors*Please list directors in alphabetical order.***Details of new directors must be notified on form 288a**

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Name * Style / Title _____

Day		Month		Year	
0	3	0	4	1	9

Date of birth

Forename(s) | TIMOTHY MICHAEL

Surname | STEEL

Address

| NORTON COURT, NORTON ROAD, TEYNHAM

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town | SITTINGBOURNE

County / Region | KENT

UK Postcode | ME9 9JU

Country | Nationality | BRITISH

Business occupation | INVESTMENT BANKER

* Voluntary details.

Name * Style / Title _____

Day		Month		Year	

Date of birth

Forename(s) |

Surname |

Address

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town |

County / Region | UK Postcode |

Country | Nationality |

Business occupation |

Issued share capital

Enter details of all the shares in issue at the date of this return.

Class
(e.g. Ordinary/Preference)**Number of shares issued****Aggregate Nominal Value**
(i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)

ORDINARY	12,050,000	£12,050,000.00
Totals	12,050,000	12,050,000.00

List of past and present shareholders

(use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

There were no changes in the period

☐

on paper

in another format

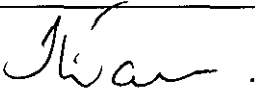
A list of changes is enclosed

☐☐

A full list of shareholders is enclosed

☒☐**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief.

Signed**Date**

8/3/4.

† Please delete as appropriate.

† a director / secretary

When you have signed the return send it with the fee to the Registrar of Companies.

Cheques should be made payable to **Companies House.**

This return includes

1

continuation sheets.

(enter number)

Please give the name, address, telephone number and, if available, a DX number and Exchange of the person Companies House should contact if there is any query.

MISS KIRSTY BAKER, 20 MOORGATE, LONDON, EC2R 6DA,

ENGLAND

Tel

DX number DX exchange

List of past and present shareholders Schedule to form 363a

CHFP010

Company Number | 3017060

Company Name in full | CAZENOVE FUND MANAGEMENT LIMITED

- Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a "full list" of all the company shareholders on:
 - The company's first annual return following the incorporation;
 - Every third annual return after a full list has been provided
- List the company shareholders in alphabetical order or provide an index
- List joint shareholders consecutively

Shareholders' details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred	Shares or amount of stock transferred (if appropriate) Date of registration of transfer
Name CAZENOVE SERVICE COMPANY Address 20 Moorgate, London UK postcode EC2R 6DA	£1.00 ORDINARY Shares Held 0	9,050,000	24/04/2003
Name CAZENOVE GROUP PLC Address 20 Moorgate, London UK postcode EC2R 6DA	£1.00 ORDINARY Shares Held 12,050,000		
Name Address UK postcode			