

BLUEPRINT

OneWorld

000300/120

BARCLAYS BANK PLC-000300

363a

Please complete in typescript,  
or in bold black capitals.

**Annual Return**

CHFP010

**Company Number**

2958400

**Company Name in full**

Barclays Capital Principal Investments Limited

**Date of this return**

The information in this return is made up  
to

Day		Month		Year		
0	1	0	8	2	0	5

**Date of next return**

If you wish to make your next return to a  
date earlier than the anniversary of this  
return please show the date here.  
Companies House will then send a form  
at the appropriate time.

Day		Month		Year		

**Registered Office**

Show here the address at the date of  
this return.

1 Churchill Place

Any change of  
registered office **must**  
be notified on form  
287.

**Post town**

London

**County / Region****UK Postcode**

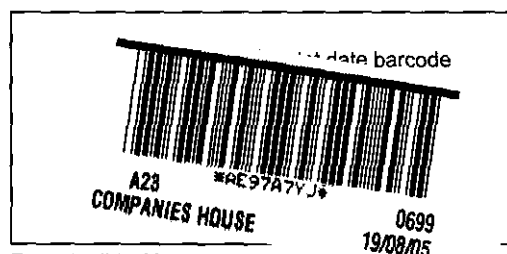
E14 5HP

**Principal business activities**

Show trade classification code number(s)  
for the principal activity or activities.

6523

If the code number cannot be determined,  
give a brief description of principal  
activity.



Form April 2002

When you have completed and signed the form please send it to the  
Registrar of Companies at:  
**Companies House, Crown Way, Cardiff, CF14 3UZ** DX 33050 Cardiff  
for companies registered in England and Wales  
or  
**Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB**  
for companies registered in Scotland DX 235 Edinburgh

**Register of members**

If the register of members is not kept at the registered office, state here where it is kept.

Post town

County / Region

UK Postcode

**Register of Debenture holders**

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state where it is kept.

Post town

County / Region

UK Postcode

**Company type**

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

☐☒☐☐☐☐☐

Please tick the appropriate box

**Company Secretary**

(Please photocopy this area to provide details of joint secretaries).

\* Voluntary details.

Name

\* Style / Title

Forename(s)

Surname

Barcosec Limited

Address ††

1 Churchill Place

Post town

London

County / Region

UK Postcode

E14 5HP

Country

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

If a partnership give the names and addresses of the partners or the name of the partnership and office address.

Details of a new company secretary must be notified on form 288a.

**Directors***Please list directors in alphabetical order.***Details of new directors must be notified on form 288a**

**Directors** In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

**Name****\* Style / Title**

**Date of birth**

Day		Month		Year	
1	3	1	2	1	9

**Forename(s)** Christopher James**Surname** Coles☒ X**Address ††** 1 Churchill Place**Post town** London**County / Region****UK Postcode** E14 5HP**Country****Nationality** British**Business occupation** Investment Banker

\* Voluntary details.

**Directors** In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

**Name****\* Style / Title**

**Date of birth**

Day		Month		Year	
2	6	0	4	1	9

**Forename(s)** Michael Joseph**Surname** Keegan**Address ††** 128 Mosle Road, Far Hills**Post town** New Jersey**County / Region****UK Postcode** 07931**Country** USA**Nationality** American**Business occupation** Banker

**Directors***Please list directors in alphabetical order.***Details of new directors must be notified on form 288a**

**Directors** In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

**Name****\* Style / Title**

Mrs

Day Month Year

Date of birth

2 9 1 2 1 9 5 5

Forename(s)

Linda Margaret

Surname

King

X

**Address ††**

1 Churchill Place

Post town

London

County / Region

UK Postcode

E14 5HP

Country

Nationality

British

**Business occupation**

Banker

\* Voluntary details.

**Name****\* Style / Title**

Day Month Year

Date of birth

1 4 0 2 1 9 4 8

Forename(s)

Simon William de Mussenden

Surname

Leathes

X

**Address ††**

1 Churchill Place

Post town

London

County / Region

UK Postcode

E14 5HP

Country

Nationality

British

**Business occupation**

Banking

**Directors**

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

**Directors** In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

Name

\* Style / Title

Day Month Year

Date of birth

0 6 0 3 1 9 6 6

Forename(s)

Andrew Thomas Karl

Surname

Westenberger

☒ X

Address ††

1 Churchill Place

Post town

London

County / Region

UK Postcode

E14 5HP

Country

Nationality

British

Business occupation

Chartered Accountant

\* Voluntary details.

Name

\* Style / Title

Day Month Year

Date of birth

Forename(s)

Surname

Address ††

Post town

County / Region

UK Postcode

Country

Nationality

Business occupation

**Directors** In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

**Issued share capital**

Enter details of all the shares in issue at the date of this return.

Class (e.g. Ordinary/Preference)	Number of shares issued	Aggregate Nominal Value (i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)
ORDINARY	810,002	£810,002.00
<b>Totals</b>	810,002	810,002.00

**List of past and present shareholders**

(use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

There were no changes in the period

☒

on paper

in another format

A list of changes is enclosed

☐☐

A full list of shareholders is enclosed

☒☐**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief.

Signed

Date

12/8/05

† Please delete as appropriate.

† a director / secretary

For and on behalf of  
BARCROFT LIMITEDWhen you have signed the return send it with the fee to the Registrar of Companies. Cheques should be made payable to **Companies House**.

You do not have to give any contact information in the box opposite but if you do, it will help Companies House to contact you if there is a query on the form. The contact information that you give will be visible to searchers of the public record.

This return includes

1

continuation sheets.

(enter number)

Angela Lawson, Barclays Bank PLC, Barclays Corporate

Secretariat, Level 29, 1 Churchill Place, London,

E14 5HP

Tel

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OneWorld

DX number

DX exchange

# List of past and present shareholders

## Schedule to form 363a

CHFP010

Company Number | 2958400

Company Name in full | Barclays Capital Principal Investments Limited

- > Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- > You must provide a "full list" of all the company shareholders on:
  - The company's first annual return following the incorporation;
  - Every third annual return after a full list has been provided
- > List the company shareholders in alphabetical order or provide an index
- > List joint shareholders consecutively

Shareholders' details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred (if appropriate)	Date of registration of transfer
Name Barclays Group Holdings Limited  Address 1 Churchill Place, London  UK postcode   E14 5HP	£1.00 ORDINARY          Shares Held 810,002		
Name   Address   UK postcode			
Name   Address   UK postcode			