

BLUEPRINT

2000

200410/30  
**363a**

Please complete in typescript,  
or in bold black capitals.

CHFP010

Company Number

02855032

Company Name in full

FLEMING SMALLER COMPANIES SECURITIES LIMITED

**Date of this return**

The information in this return is made up  
to

Day		Month		Year			
2	0	0	9	2	0	0	9

**Date of next return**

If you wish to make your next return to a  
date earlier than the anniversary of this  
return please show the date here.

Companies House will then send a form at  
the appropriate time.

Day		Month		Year			

**Registered Office**

Show here the address at the date of  
this return.

Finsbury Dials, 20 Finsbury Street

Any change of  
registered office must  
be notified on form  
287.

Post town

London

County / Region

UK Postcode

EC2Y 9AQ

**Principal business activities**

Show trade classification code number(s)  
for the principal activity or activities.

6523

If the code number cannot be determined,  
give a brief description of principal activity.

6523

THURSDAY



A05 "ANND8E4N"

15/10/2009

58

COMPANIES HOUSE

When you have completed and signed the form please send it to the  
Registrar of Companies at:

**Companies House, Crown Way, Cardiff, CF14 3UZ****DX 33050 Cardiff**

for companies registered in England and Wales or

**Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB**

for companies registered in Scotland

**DX ED235 Edinburgh 1  
or LP-4 Edinburgh 2**

**Register of members**

If the register of members is not kept at the registered office, state here where it is kept.

FINSBURY DIALS, 20 FINSBURY STREET

Post town LONDON

County / Region UK Postcode EC2Y 9AY

**Register of Debenture holders**

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state here where it is kept.

Post town

County / Region UK Postcode

**Company type**

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

☐☒☐☐☐☐☐

Please tick the appropriate box

**Company Secretary**

\* Voluntary details.

(Please photocopy this area to provide details of joint secretaries).

Name

\* Style / Title

Forename(s)

Surname

Details of a new company secretary must be notified on form 288a.

JPMORGAN ASSET MANAGEMENT (UK) LIMITED

Address ††

125 LONDON WALL

Post town LONDON

County / Region UK Postcode EC2Y 5AJ

Country ENGLAND

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

☐

If a partnership give the names and addresses of the partners or the name of the partnership and office address.

**Directors**

Please list directors in alphabetical order.

\* Voluntary details.

**Name**

\* Style / Title

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Day Month Year  
Date of birth 1 5 0 7 1 9 5 3

Forename(s) RICHARD ANDREW

Surname FITZALAN HOWARD

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

☐

Address †† 8 TURNCHAPEL MEWS

Post town LONDON

County / Region SW4 0PX

UK Postcode

Country

Nationality BRITISH

Business occupation Director

Details of new directors must be notified on form 288a

**Directors**

Please list directors in alphabetical order.

\* Voluntary details.

**Name**

\* Style / Title

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Day Month Year  
Date of birth 2 1 0 7 1 9 4 8

Forename(s) PHILIP STRONE STEWART

Surname MACPHERSON

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

☐

Address †† ARMSWORTH PARK HOUSE, ARMSWORTH PARK, OLD ALRESFORD

Post town ALRESFORD

County / Region HAMPSHIRE

UK Postcode S024 9RH

Country

Nationality BRITISH

Business occupation COMPANY CHAIRMAN &amp; DIRECTOR

Details of new directors must be notified on form 288a

**Issue share capital**

Enter details of all the shares in issue at the date of this return.

**Class**  
(e.g. Ordinary/Preference)**Number of shares issued****Aggregate Nominal Value**

(i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)

Ordinary	1,000	£1,000.00
<b>Totals</b>	1,000	1,000.00

**Traded public companies**

A traded public company means a company any of whose shares are shares admitted to trading on a regulated market

Please tick this box if your company was a traded public company at any time during the period of this return

☐**List of past and present shareholders**

(use attached schedule where appropriate)

Please tick the appropriate box below:

A full list of shareholders for a private or non-traded public company is enclosed. **Please complete Schedule A.**

on paper in another format

☒☐

Private or non-traded public companies are required to provide a "full list" if one was not included with either of the last two returns.

A list of shareholders holding at least 5% of the issued shares of any share class for a traded public company is enclosed. **Please complete Schedule B.**☐☐

Traded public companies are required to provide a list of shareholders who held at least 5% of the issued shares of any share class if a list was not provided with either of the last two returns.

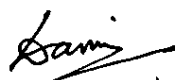
A list containing shareholder changes is enclosed

☐☐→ For private or non-traded public companies, **please complete Schedule A**→ For traded public companies, **please complete Schedule B**For and behalf of  
JPMorgan Asset Management (UK) Limited  
-Secretary

There were no shareholder changes in this period

☐**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief.

**Signed****Date**

23-9-09

† Please delete as appropriate.

† (director/secretary)

When you have signed the return send it with the fee to the Registrar of Companies. Make cheques payable to Companies House.

This return includes

1

continuation sheets.

(enter number)

You do not have to give any contact information in the box opposite but if you do, it will help Companies House to contact you if there is a query on the form. The contact information that you give will be visible to searchers of the public record.

FINSBURY DIALS, 20 FINSBURY STREET, LONDON, EC2Y 9AY

Tel

BLUEPRINT

2000

DX number

DX exchange

**Schedule A**  
**for private or non-traded public companies**  
**List of past and present shareholders**

(Please use a continuation sheet if required)

CHFP010

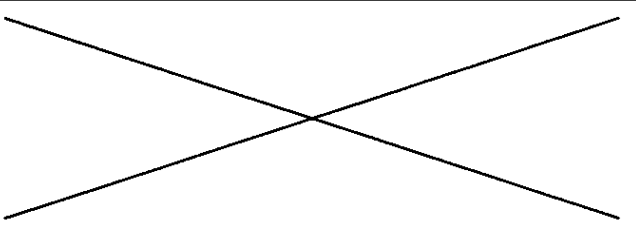
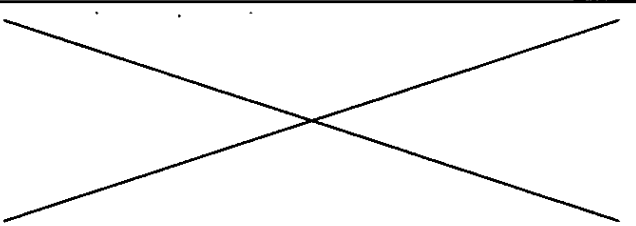
**Company Number** | 02855032

**Company Name in full** | FLEMING SMALLER COMPANIES SECURITIES LIMITED

This must only be completed by private and public limited companies that have not traded on a regulated market

- Changes to shareholders' particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a "full list" of all the company shareholders on:
  - The company's first annual return following incorporation
  - Every third annual return after a full list has been provided
- List the company shareholders in alphabetical order to provide an index
- List joint shareholders consecutively

**Do not give shareholder address information**

Shareholder's name only	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred	Shares or amount of stock transferred (if appropriate)	Date of registration of transfer
<b>Name</b> JPMORGAN SMALLER COMPANIES INVESTMENT TRUST PLC 	£1.00 Ordinary			
<b>Name</b> 				
<b>Name</b> 