

SH10

Notice of particulars of variation of rights attached to shares



☒ **What this form is for**
You may use this form to give notice of particulars of variation of rights attached to shares

☐ **What this form is NOT for**
You cannot use this form to notice of particulars of variation of class rights of members. To please use form SH12

TUESDAY



LD3 04/01/2011 73
COMPANIES HOUSE

1 Company details

Company number 0 2 5 5 1 1 4 4

Company name in full AllianceBernstein Limited

→ **Filling in this form**
Please complete in typescript or in bold black capitals
All fields are mandatory unless specified or indicated by *

2 Date of variation of rights

Date of variation of rights d 0 2 m 1 2 y 2 0 y 1 0

3 Details of variation of rights

Please give details of the variation of rights attached to shares

Variation Please see continuation page

Continuation pages
Please use a continuation page if you need to enter more details

4 Signature

I am signing this form on behalf of the company

Signature

Signature

X

X

This form may be signed by
Director ❶, Secretary, Person authorised ❷, Administrator, Administrative receiver, Receiver, Receiver manager, Charity commission receiver and manager, CIC manager

- ❶ **Societas Europaea**
If the form is being filed on behalf of a Societas Europaea (SE), please delete 'director' and insert details of which organ of the SE the person signing has membership
- ❷ **Person authorised**
Under either Section 270 or 274 of the Companies Act 2006

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**Presenter information**

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name

Company name

Hackwood Secretaries Limited

Address

One Silk Street

Post town

London

County/Region

Postcode

E C 2 Y 8 H Q

Country

England

DX

10 Chancery Lane

Telephone

**Checklist**

We may return forms completed incorrectly or with information missing

Please make sure you have remembered the following

- ☐ The company name and number match the information held on the public Register
- ☐ You have entered the date of variation of rights in section 2
- ☐ You have provided details of the variation of rights in section 3
- ☐ You have signed the form

**Important information**

Please note that all information on this form will appear on the public record

**Where to send**

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below

For companies registered in England and Wales
The Registrar of Companies, Companies House,
Crown Way, Cardiff, Wales, CF14 3UZ
DX 33050 Cardiff

For companies registered in Scotland
The Registrar of Companies, Companies House,
Fourth floor, Edinburgh Quay 2,
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF
DX ED235 Edinburgh 1
or LP - 4 Edinburgh 2 (Legal Post)

For companies registered in Northern Ireland
The Registrar of Companies, Companies House,
Second Floor, The Linenhall, 32-38 Linenhall Street,
Belfast, Northern Ireland, BT2 8BG
DX 481 N R Belfast 1

**Further information**

For further information, please see the guidance notes on the website at www.companieshouse.gov.uk or email enquiries@companieshouse.gov.uk

This form is available in an alternative format. Please visit the forms page on the website at www.companieshouse.gov.uk

SH10 – continuation page

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3 Details of variation of rights

	Please give details of the variation of rights attached to shares	
Variation	<p>The Class A Ordinary Shares of £1 each were redesignated as Preferential cumulative irredeemable preference shares of £1 each Following such redesignation, the rights attached to the Preferential cumulative irredeemable preference shares were varied such that they carried the following rights following the variation</p> <p>Voting no rights to vote at a general meeting of the company However, the Company shall have to obtain the consent of all the holders of preference shares in order to create or issue any other preference shares, shares of any other class or further shares in the same class ranking, as regards participation in the profits or assets of the company, in some, all or any respects in priority to the preference shares,</p> <p>Dividend each preference share confers on the holder the right to a fixed cumulative preferential dividend out of the profits of the company available for distribution at the annual rate equal to 73 5p per preference share The right of the preference dividend has priority over the dividend rights of the holders of any other class of shares The directors shall have the option (without giving notice to any holder of preference shares) to not declare and/or pay any preference dividend until such time as determined by the directors If the directors elect not to pay any preference dividend, then at the next instance at which the directors declare dividends out of the profits of the company, the company shall first pay on account of the balance of any undeclared and/or unpaid preference dividend outstanding at the time to the maximum extent as can be properly paid by the company</p> <p>Return of capital on a return of assets on a liquidation, reduction of capital or otherwise (other than on a redemption or purchase of shares), the assets of the company available for distribution among the shareholders shall be applied to paying to the preference shareholders, in priority to any other class of shareholders</p> <ul style="list-style-type: none"> a the subscription price in respect of each share b an amount in respect of each preference share such that, in aggregate, the preference shareholders shall receive an amount equal to £86,710,770, and c an amount equal to any undeclared and/or unpaid preference dividend calculated to the date of liquidation and payable irrespective of whether or not the company has enough profits available for distribution <p>The preference shares are not redeemable and do not confer any further right to the participation in the profits or assets of the company</p>	