



Companies House
— for the record —

AR01 (ef)

Annual Return



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X68PVVRH

Company Name: **ALLIANCEBERNSTEIN LIMITED**

Company Number: **02551144**

Date of this return: **26/06/2011**

SIC codes: **6523**

Company Type: **Private company limited by shares**

Situation of Registered Office: **50 BERKELEY STREET
LONDON
UNITED KINGDOM
W1J 8HA**

Single Alternative Inspection Location (SAIL)

The address for an alternative location to the company's registered office for the inspection of registers is:

50 BERKELEY STREET
LONDON
UNITED KINGDOM
W1J 8HA

The following records have moved to the single alternative inspection location:

Register of members (section 114)
Register of directors (section 162)
Directors' service contracts (section 228)
Directors' indemnities (section 237)
Register of secretaries (section 275)
Records of resolutions and meetings (section 358)
Register of debenture holders (section 743)
Contracts relating to purchase of own shares (section 702)
Documents relating to redemption or purchase of own shares out of capital by private company (section 720)

Officers of the company

Company Secretary 1

Type: **Person**

Full forename(s): **MARK**

Surname: **MANLEY**

Former names:

Service Address: **3 WOODSTONE COURT
HUNTINGTON
SUFFOLK 11746
USA**

Company Secretary 2

Type: **Person**

Full forename(s): **DONNA MARIE**

Surname: **SAMUELS**

Former names:

Service Address: **APARTMENT 3 VIEWPOINT
20 NORTH COMMON ROAD
LONDON
W5 2QB**

Company Director **1**

Type: **Person**

Full forename(s): **MR ROBERT MICHAEL DAVID**

Surname: **ALSTER**

Former names:

Service Address: **62 GOLDHURST TERRACE
LONDON
NW6 3HT**

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: **09/01/1961** *Nationality:* **BRITISH**

Occupation: **PORTFOLIO MANAGER**

Company Director 2

Type: **Person**

Full forename(s): **MR CLAUDE ANDRE**

Surname: **CHENE**

Former names:

Service Address: **28 MAPESBURY ROAD
LONDON
UNITED KINGDOM
NW2 4JD**

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: **14/07/1960** *Nationality:* **AMERICAN**

Occupation: **SALES AND MARKETING**

Company Director **3**

Type: **Person**
Full forename(s): **MRS MARILYN**

Surname: **FEDAK**

Former names: **GOLDSTEIN**

Service Address: **655 PARK AVENUE, APT. 9E
NEW YORK
NEW YORK
USA
NY 10065**

Country/State Usually Resident: **USA**

Date of Birth: **03/01/1947** *Nationality:* **AMERICAN**
Occupation: **NONE**

Company Director 4

Type: **Person**

Full forename(s): **MR RICHARD HENRY**

Surname: **HAXE**

Former names:

Service Address: **9 MULLERSTRASSE
MUNICH
GERMANY
80469**

Country/State Usually Resident: **GERMANY**

Date of Birth: **06/03/1967** *Nationality:* **DUTCH**

Occupation: **SALES AND MARKETING
MANAGER**

Company Director **5**

Type: **Person**

Full forename(s): **MR ARIF**

Surname: **HUSAIN**

Former names:

Service Address: **60 MYSORE ROAD
LONDON
UNITED KINGDOM
SW11 5SB**

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: **24/09/1972** *Nationality:* **BRITISH**

Occupation: **PORTFOLIO MANAGER**

Company Director **6**

Type: **Person**

Full forename(s): **AVRAHAM**

Surname: **LAVI**

Former names:

Service Address: **3 ALVANLEY GARDENS
LONDON
NW6 1JD**

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: **24/05/1966** *Nationality:* **BRITISH**

Occupation: **INVESTMENT ADVISER**

Company Director 7

Type: **Person**

Full forename(s): **JAMES**

Surname: **ROSS**

Former names:

Service Address: **48 CLEVELAND SQUARE
LONDON
W2 6DB**

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: **29/09/1960**

Nationality: **BRITISH**

Occupation: **FUND MANAGER**

Company Director 8

Type: **Person**

Full forename(s): **MR PATRICK JOSEPH**

Surname: **RUDDEN**

Former names:

Service Address: **111 GLOUCESTER AVENUE
LONDON
UNITED KINGDOM
NW1 8LB**

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: **03/01/1963** *Nationality:* **BRITISH**

Occupation: **INVESTMENT ADVISOR**

Statement of Capital (Share Capital)

Class of shares	PREFERENCE	<i>Number allotted</i>	9651994
		<i>Aggregate nominal value</i>	9651994
<i>Currency</i>	GBP	<i>Amount paid</i>	1
		<i>Amount unpaid</i>	0

Prescribed particulars

THE PREFERENTIAL CUMULATIVE IRREDEEMABLE PREFERENCE SHARES CARRY THE FOLLOWING RIGHTS: VOTING: NO RIGHTS TO VOTE AT A GENERAL MEETING OF THE COMPANY. HOWEVER, THE COMPANY SHALL HAVE TO OBTAIN THE CONSENT OF ALL THE HOLDERS OF PREFERENCE SHARES IN ORDER TO CREATE OR ISSUE ANY OTHER PREFERENCE SHARES, SHARES OF ANY OTHER CLASS, OR FURTHER SHARES IN THE SAME CLASS RANKING, AS REGARDS PARTICIPATION IN THE PROFITS OR ASSETS OF THE COMPANY, IN SOME, ALL OR ANY RESPECTS IN PRIORITY TO THE PREFERENCE SHARES. DIVIDEND: EACH PREFERENCE SHARE CONFERS ON THE HOLDER THE RIGHT TO A FIXED CUMULATIVE PREFERENTIAL DIVIDEND OUT OF THE PROFITS OF THE COMPANY AVAILABLE FOR DISTRIBUTION AT THE ANNUAL RATE EQUAL TO 73.5P PER PREFERENCE SHARE. THE RIGHT OF THE PREFERENCE DIVIDEND HAS PRIORITY OVER THE DIVIDEND RIGHTS OF THE HOLDERS OF ANY OTHER CLASS OF SHARES. THE DIRECTORS SHALL HAVE THE OPTION (WITHOUT GIVING NOTICE TO ANY HOLDER OF PREFERENCE SHARES) TO NOT DECLARE AND/OR PAY ANY PREFERENCE DIVIDEND UNTIL SUCH TIME AS DETERMINED BY THE DIRECTORS. IF THE DIRECTORS ELECT NOT TO PAY ANY PREFERENCE DIVIDEND, THEN AT THE NEXT INSTANCE AT WHICH THE DIRECTORS DECLARE DIVIDENDS OUT OF THE PROFITS OF THE COMPANY, THE COMPANY SHALL FIRST PAY ON ACCOUNT OF THE BALANCE OF ANY UNDECLARED AND/OR UNPAID PREFERENCE DIVIDEND OUTSTANDING AT THE TIME TO THE MAXIMUM EXTENT AS CAN BE PROPERLY PAID BY THE COMPANY. RETURN OF CAPITAL: ON A RETURN OF ASSETS ON A LIQUIDATION, REDUCTION OF CAPITAL OR OTHERWISE (OTHER THAN ON A REDEMPTION OR PURCHASE OF SHARES), THE ASSETS OF THE COMPANY AVAILABLE FOR DISTRIBUTION AMONG THE SHAREHOLDERS SHALL BE APPLIED TO PAYING TO THE PREFERENCE SHAREHOLDERS, IN PRIORITY TO ANY OTHER CLASS OF SHAREHOLDERS: A. THE SUBSCRIPTION PRICE IN RESPECT OF EACH SHARE; B. AN AMOUNT IN RESPECT OF EACH PREFERENCE SHARE SUCH THAT, IN AGGREGATE, THE PREFERENCE SHAREHOLDERS SHALL RECEIVE AN AMOUNT EQUAL TO £86,710,770; AND C. AN AMOUNT EQUAL TO ANY UNDECLARED AND/OR UNPAID PREFERENCE DIVIDEND CALCULATED TO THE DATE OF LIQUIDATION AND PAYABLE IRRESPECTIVE OF WHETHER OR NOT THE COMPANY HAS ENOUGH PROFITS AVAILABLE FOR DISTRIBUTION. THE PREFERENCE SHARES ARE NOT REDEEMABLE AND DO NOT CONFER ANY FURTHER RIGHT TO PARTICIPATION IN THE PROFITS OR ASSETS OF THE COMPANY.

Class of shares	ORDINARY B	<i>Number allotted</i>	9634530
		<i>Aggregate nominal value</i>	9634530
<i>Currency</i>	GBP	<i>Amount paid per share</i>	1
		<i>Amount unpaid per share</i>	0

Prescribed particulars

INCOME: THE PROFITS OF THE COMPANY AVAILABLE FOR DISTRIBUTION AND RESOLVED TO BE DISTRIBUTED SHALL, SUBJECT TO ANY SPECIAL RIGHTS WHICH MAY BE ATTACHED TO ANY OTHER CLASS OF SHARES AND THE PROVISIONS OF THE COMPANIES ACT 2006, BE DISTRIBUTED BY WAY OF DIVIDEND AMONG THE HOLDERS OF THE SHARES IN A MANNER DETERMINED BY THE DIRECTORS. VOTING: THE HOLDERS OF CLASS B SHARES SHALL BE ENTITLED TO RECEIVE NOTICE OF, ATTEND AND SPEAK AT AND VOTE AT GENERAL MEETINGS OF THE COMPANY. ON A SHOW OF HANDS EACH HOLDER OF CLASS B SHARES SHALL HAVE ONE VOTE FOR EACH SHARE HELD BY THEM. CAPITAL: ON A RETURN OF CAPITAL ON A LIQUIDATION, REDUCTION OF CAPITAL OR OTHERWISE (OTHER THAN ON A REDEMPTION OR PURCHASE OF SHARES), THE BALANCE OF ANY ASSETS AVAILABLE FOR DISTRIBUTION AMONG THE SHAREHOLDERS, SUBJECT TO ANY SPECIAL RIGHTS WHICH MAY BE ATTACHED TO ANY OTHER CLASS OF SHARES, SHALL BE DISTRIBUTED AMONG THE SHAREHOLDERS RATEABLY ACCORDING TO THE NUMBER OF SUCH SHARES HELD BY THEM RESPECTIVELY PRO RATA TO THEIR NOMINAL AMOUNT.

Statement of Capital (Totals)

<i>Currency</i>	GBP	<i>Total number of shares</i>	19286524
		<i>Total aggregate nominal value</i>	19286524

Full Details of Shareholders

The details below relate to individuals / corporate bodies that were shareholders as at 26/06/2011 or that had ceased to be shareholders since the made up date of the previous Annual Return

A full list of shareholders for a private or non-traded public company are shown below

Shareholding 1 : **9651994 PREFERENCE shares held as at 2011-06-26**
Name: **ALLIANCEBERNSTEIN PREFERRED LIMITED**

Shareholding 2 : **9634530 ORDINARY B shares held as at 2011-06-26**
Name: **ALLIANCEBERNSTEIN HOLDINGS LIMITED**

Authorisation

Authenticated

This form was authorised by one of the following:

Director, Secretary, Person Authorised, Charity Commission Receiver and Manager, CIC Manager, Judicial Factor.