



**Companies House**

— for the record —

Company Name

**UBS ASSET MANAGEMENT  
HOLDING LIMITED**

Company Type

**Private Company Limited By  
Shares**

Company Number

**2251850**

Information extracted from  
Companies House records on  
**27th April 2001**

## 363s Annual Return

- > Please check the details printed in blue on this statement.
- > If any details are wrong, strike them through and write the correct details in the "Amended details" column.
- > Please use black pen and write in capitals.



A12  
COMPANIES HOUSE

0230  
04/06/01

### Section 1: Company details

Ref: 2251850/03/10

	Current details	Amended details																																
<b>&gt; Registered Office Address</b> <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	<b>Triton Court</b> <b>14 Finsbury Square</b> <b>London</b> <b>EC2A 1PD</b>	<b>Address</b>     <b>UK Postcode</b> _ _ _ _ _																																
<b>&gt; Register of Members</b> <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	<b>Address where the Register is held</b>  <b>At Registered Office</b>	<b>Address</b>     <b>UK Postcode</b> _ _ _ _ _																																
<b>&gt; Register of Debenture Holders</b> <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	<b>Not Applicable</b>	<b>Address</b>     <b>UK Postcode</b> _ _ _ _ _																																
<b>&gt; Principal Business Activities</b> <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	<table border="1"> <thead> <tr> <th>SIC Code</th> <th>Description</th> </tr> </thead> <tbody> <tr> <td>7415</td> <td>Management activities holding comps</td> </tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> </tbody> </table>	SIC Code	Description	7415	Management activities holding comps													<table border="1"> <thead> <tr> <th>SIC CODE</th> <th>Description</th> </tr> </thead> <tbody> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> </tbody> </table>	SIC CODE	Description														
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<b>&gt;</b> Please enter additional principal activity code(s) in "Amended details" column. See notes for guidance for list of activity codes.																																		

## Section 2: Details of Officers of the Company

## Current details

## Amended details

> **Company Secretary**

If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.

## Name

Gillian Marjorie CLARKE

## Address

44 Stone Hall Road  
Winchmore Hill  
London  
N21 1LP

## Name

## Address

UK Postcode

Date of change

Date Gillian Marjorie CLARKE

ceased to be secretary (if applicable)

Particulars of a new

Company Secretary must

be notified on form 288.

> **Director**

If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.

## Name

Robin William Trevor APPS

## Address

Homefield Coach House  
Blueberry Lane Knockholt  
Sevenoaks  
Kent  
TN14 7LL

## Name

## Address

UK Postcode

Date of birth

Nationality

Occupation

Date of change

Date Robin William Trevor APPS  
ceased to be director (if applicable)

Particulars of a new Director  
must be notified on form  
288.

> **Director**

If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.

## Name

Mark Gerard BOYLAN

## Address

69 Woodsford Square  
London  
W14 8DS

## Name

## Address

UK Postcode

Date of birth

Nationality

Occupation

Date of change

Date Mark Gerard BOYLAN ceased to  
be director (if applicable)

Particulars of a new Director  
must be notified on form  
288.

Date of birth 03/01/1948

Nationality British

Occupation Banker

	Current details	Amended details
<b>&gt; Director</b> <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	<b>Name</b> <b>Crispian Hilary Vincent COLLINS</b>  <b>Address</b> <b>Bullfinches</b> <b>Eridge Green</b> <b>Tunbridge Wells</b> <b>Kent</b> <b>TN3 9LJ</b>  <b>Date of birth</b> 22/01/1948  <b>Nationality</b> British  <b>Occupation</b> Investment Manager	<b>Name</b>  <b>Address</b>    <b>UK Postcode</b> _____ <b>Date of birth</b> ____ / ____ / ____ <b>Nationality</b> _____ <b>Occupation</b> _____ <b>Date of change</b> ____ / ____ / ____ <b>Date</b> Crispian Hilary Vincent COLLINS ceased to be director (if applicable) ____ / ____ / ____
<i>Particulars of a new Director must be notified on form 288.</i>		
<b>&gt; Director</b> <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	<b>Name</b> <b>Mario John CUENI</b>  <b>Address</b> <b>Muehlebachweg 12</b> <b>Zwingen</b> <b>Baselland 4222</b> <b>Switzerland</b>  <b>Date of birth</b> 10/05/1952  <b>Nationality</b> Swiss  <b>Occupation</b> Lawyer	<b>Name</b>  <b>Address</b>    <b>UK Postcode</b> _____ <b>Date of birth</b> ____ / ____ / ____ <b>Nationality</b> _____ <b>Occupation</b> _____ <b>Date of change</b> ____ / ____ / ____ <b>Date</b> Mario John CUENI ceased to be director (if applicable) ____ / ____ / ____
<i>Particulars of a new Director must be notified on form 288.</i>		
<b>&gt; Director</b> <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	<b>Name</b> <b>Norman Duncan CUMMING</b>  <b>Address</b> <b>12 Steeles Road</b> <b>London</b> <b>NW3 4SE</b>  <b>Date of birth</b> 01/11/1956  <b>Nationality</b> British  <b>Occupation</b> Investment Manager	<b>Name</b>  <b>Address</b>    <b>UK Postcode</b> _____ <b>Date of birth</b> ____ / ____ / ____ <b>Nationality</b> _____ <b>Occupation</b> _____ <b>Date of change</b> ____ / ____ / ____ <b>Date</b> Norman Duncan CUMMING ceased to be director (if applicable) ____ / ____ / ____
<i>Particulars of a new Director must be notified on form 288.</i>		

	Current details	Amended details
<b>&gt; Director</b> <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	<b>Name</b> <b>Robin Charles HINDLE FISHER</b>  <b>Address</b> <b>15 Redcliffe Road</b> <b>London</b> <b>SW10 9NR</b>  <b>Date of birth</b> 29/09/1959  <b>Nationality</b> British  <b>Occupation</b> Investment Manager	<b>Name</b>  <b>Address</b>    <b>UK Postcode</b> _ _ _ _ _ <b>Date of birth</b> _ _ / _ _ / _ _ _ _ <b>Nationality</b> _____ <b>Occupation</b> _____ <b>Date of change</b> _ _ / _ _ / _ _ _ _ <b>Date</b> Robin Charles HINDLE FISHER ceased to be director (if applicable) _ _ / _ _ / _ _ _ _
<i>Particulars of a new Director must be notified on form 288.</i>		
<b>&gt; Director</b> <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	<b>Name</b> <b>Thomas Paul MADSEN</b>  <b>Address</b> <b>11 Broomfield Ride</b> <b>Oxshott</b> <b>Leatherhead</b> <b>Surrey</b> <b>KT22 0LR</b>  <b>Date of birth</b> 02/05/1956  <b>Nationality</b> American  <b>Occupation</b> Investment Manager	<b>Name</b>  <b>Address</b>    <b>UK Postcode</b> _ _ _ _ _ <b>Date of birth</b> _ _ / _ _ / _ _ _ _ <b>Nationality</b> _____ <b>Occupation</b> <u>AMERICAN / BRITISH</u> <b>Date of change</b> _ _ / _ _ / _ _ _ _ <b>Date</b> Thomas Paul MADSEN ceased to be director (if applicable) _ _ / _ _ / _ _ _ _
<i>Particulars of a new Director must be notified on form 288.</i>		
<b>&gt; Director</b> <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	<b>Name</b> <b>Markus Hardy REUTIMANN</b>  <b>Address</b> <b>32 St Johns Avenue</b> <b>Putney</b> <b>London</b> <b>SW15 6AN</b>  <b>Date of birth</b> 09/05/1958  <b>Nationality</b> Swiss  <b>Occupation</b> Investment Services	<b>Name</b>  <b>Address</b>    <b>UK Postcode</b> _ _ _ _ _ <b>Date of birth</b> _ _ / _ _ / _ _ _ _ <b>Nationality</b> _____ <b>Occupation</b> <u>SWISS / BRITISH</u> <b>Date of change</b> _ _ / _ _ / _ _ _ _ <b>Date</b> Markus Hardy REUTIMANN ceased to be director (if applicable) _ _ / _ _ / _ _ _ _
<i>Particulars of a new Director must be notified on form 288.</i>		

## Section 3: Share Capital

	Current details	Amended details
<b>&gt; Issued Share Capital</b> <i>This table shows the total number of shares that have been issued by your company and their Nominal Value. If any of the details are wrong, please fill in the correct details.</i>	<b>Class of share</b> <b>Ordinary</b>  <b>Nominal value of each share</b> <b>£1.00</b>  <b>Number of shares issued</b> <b>7,980,168</b>  <b>Aggregate Nominal Value of issued shares</b> <b>£7,980,168.00</b>	<b>Class of share</b>  <b>Nominal value of each share</b>  <b>Number of shares issued</b>  <b>Aggregate Nominal Value of issued shares</b>
<b>&gt; Total shares issued and value</b> <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	<b>Total number of shares issued</b> <b>7,980,168</b>  <b>Total Nominal value of shares issued</b> <b>£7,980,168.00</b>	<b>Total number of shares issued</b>  <b>Total Nominal value of shares issued</b>
<b>&gt; At the date of this Annual Return, if the company has altered or changed its share capital in any way or allotted new shares, please refer to the guidance notes for details of the appropriate form that should be sent with this Annual Return. Annual return guidance notes are available on the Companies House web site at <a href="http://www.companieshouse.gov.uk">www.companieshouse.gov.uk</a> or by ringing 0870 3333636.</b>		

**Section 4: Details of Shareholders**

- > The details we hold on your company's shareholders and their shareholdings are printed below. These are based on your last Annual Return.
- > If any details have changed, or if any shares have been transferred, please fill in the details in the "Amended details" or "Shares transferred" column.
- > Please give details of any other shareholders in Section 5.

Current details		Amended details		Shares transferred		
<b>&gt; Shareholder</b> <b>Name</b> PHILLIPS & DREW HOLDING LTD		<b>Name</b> UBS ASSET MANAGEMENT HOLDING (No2) LIMITED				
<b>Address</b> Triton Court 14 Finsbury Square London EC2A 1PD		<b>Address</b> _____ _____ _____ <b>UK Postcode</b> _ _ _ _ _		<b>Shares transferred by</b> PHILLIPS & DREW HOLDING LTD		
<b>Shares held</b> <b>Class</b> Ordinary	<b>Number</b> 7980168	<b>Shares held</b> <b>Class</b>	<b>Number</b>	<b>Class</b>	<b>Number</b>	<b>Date of transfer</b> _ _ / _ _ / _ _ _ _ _ _ / _ _ / _ _ _ _

**Section 5: Details of Other Shareholders**

- > Please fill in details of any persons or corporate bodies who are shareholders of the company at the date of this return, but whose details are not printed in Section 4.
- > Also, provide the details of any persons who became but have ceased to be shareholders of the company since the date of the last annual return.
- > For jointly held shares please list those joint shareholders consecutively on the form. If a joint shareholder also holds shares in their own right, list that holding separately.
- > Please copy this page if there is not enough space to enter all the company's other shareholders.

Shareholders details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred (If appropriate)	Date of registration of transfer (If appropriate)
Name _____ Address _____ _____ _____ UK Postcode    _ _ _ _ _			
Name _____ Address _____ _____ _____ UK Postcode    _ _ _ _ _			
Name _____ Address _____ _____ _____ UK Postcode    _ _ _ _ _			
Name _____ Address _____ _____ _____ UK Postcode    _ _ _ _ _			



Companies House

— for the record —

**363s Annual Return Declaration**

- > When you have checked all the sections of this form, please complete this page and sign the declaration below.
- > If you want to change the made up date of this annual return, please complete 2 below.

**1. Declaration**

- ☒ I confirm that the details in this annual return are correct as at the made-up-date (shown at 2 below). I enclose the filing fee of £15.

Signature

G. M. Clarke  
(Director/Secretary)

Date

24 / 05 / 2001

*This date must not be earlier than the  
return date at 2 below*

**What to do now**

Complete this page then send the whole of the Annual Return and the declaration to the address shown at 4 below.

**2. Date of this return**

- ☒ This AR is made up to 14/5/2001 If you are making this return up to an earlier date, please give the date here

\_ \_ / \_ \_ / \_ \_ \_ \_

*Note: The form must be delivered to CH within 28 days of this date*

**3. Date of next return**

- ☐ If you wish to change your next return to a date earlier than **14th May 2002** please give the new date here:

\_ \_ / \_ \_ / \_ \_ \_ \_

**4. Where to send this form**

- ☐ Please return this form to:  
Registrar of Companies  
Companies House  
Crown Way  
Cardiff CF14 3UZ
- OR
- For members of the Hays Document  
Exchange service  
DX 33050 Cardiff

**Have you enclosed the filing fee with the company number written on the reverse of the cheque?**

Cheque ☐ Postal Order ☐ Cheque / Postal Order  
Number \_\_\_\_\_

*(Please complete as appropriate)*

**Contact Address**

Please give the name and address of the person who should be contacted if there are any queries about this form.

Contact Name

HARSH CHAUHAN

Telephone number inc code

020 7 9015045

Address

UBS ASSET MANAGEMENT LIMITED  
TRITON COURT, 19 FINSBURY SQUARE  
LONDON

DX number if applicable

\_ \_ \_ \_ \_

DX exchange

Postcode

EC2A 1PD