



Please complete in typescript,  
or in bold black capitals.

£105 x 7 B.B.  
433990

# 363a

## Annual Return

CHFP029

Company Number

2251850

Company Name in full

Phillips & Drew Limited

### Date of this return (See note 1)

The information in this return is made up to

Day Month Year

1	4	0	5	1	9	9	9
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### Date of next return (See note 2)

If you wish to make your next return to a date earlier than the anniversary of this return please show the date here. Companies House will then send a form at the appropriate time.

Day Month Year

1	4	0	5	2	0	0	0
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### Registered Office (See note 3)

Show here the address at the date of this return.

Any change of registered office must be notified on form 287.

Post town

County / Region

Postcode

TRITON COURT

14 FINSBURY SQUARE

LONDON

EC2A 1PD

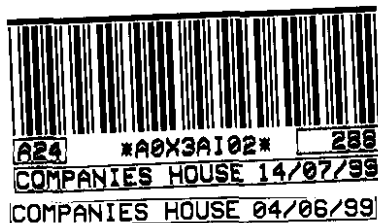
### Principal business activities

(See note 4)

Show trade classification code number(s) for the principal activity or activities.

7415

If the code number cannot be determined, give a brief description of principal activity.



When you have completed and signed the form please send it to the Registrar of Companies at:

**Companies House, Crown Way, Cardiff, CF4 3UZ** DX 33050 Cardiff  
for companies registered in England and Wales

or  
**Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB**

for companies registered in Scotland

DX 235 Edinburgh

**Register of members** *(See note 5)*

If the register of members is not kept at the registered office, state here where it is kept.

Post town

County / Region

Postcode

**Register of Debenture holders***(See note 6)*

If there is a register of debenture holders and it is not kept at the registered office, state here where it is kept.

Post town

County / Region

Postcode

**Company type** *(See note 7)*

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

X

Please mark the appropriate box

**Company Secretary** *(see notes 1-5)***Details of a new company secretary must be notified on form 288a.**

*(Please photocopy this area to provide details of joint secretaries).*

Name

\* Style / Title

MS

\*Honours etc

Forename(s)

GILLIAN MARJORIE

Surname

CLARKE

\* Voluntary details.

Previous forename(s)

Previous surname(s)

Address

44 STONE HALL ROAD

WINCHMORE HILL

**Usual residential address**

must be given. In the case of a corporation, give the registered or principal office address.

Post town

LONDON

County / Region

Postcode

N21 1LP

Country

**Directors** (see notes 1 to 5)  
Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

<b>Name</b>	* Style / Title	MR		Day	Month	Year
	* Honours etc			Date of birth	2	5
					0	7
					1	9
					6	0
Forename(s)		ROBIN WILLIAM TREVOR				
Surname		APPS				
Previous forename(s)						
Previous surname(s)						
<b>Address</b>		HOMEFIELD, COACH HOUSE, BLUEBERRY LANE				
Post town		KNOCKHOLT				
County / Region		KENT	Postcode	TN14 7LL		
Country			Nationality	BRITISH		
<b>Business occupation</b>		INVESTMENT MANAGER				
<b>Other directorships</b>		See attached list				
* Voluntary details.						

<b>Name</b>	* Style / Title	MR		Day	Month	Year
	* Honours etc			Date of birth	2	2
					0	1
					1	9
					4	8
Forename(s)		CRISPIAN HILARY VINCENT				
Surname		COLLINS				
Previous forename(s)						
Previous surname(s)						
<b>Address</b>		BULLFINCHES, ERIDGE GREEN				
Post town		TUNBRIDGE WELLS				
County / Region		KENT	Postcode	TN13 2JW		
Country			Nationality	BRITISH		
<b>Business occupation</b>		INVESTMENT MANAGER				
<b>Other directorships</b>		See attached list				

**Directors** (see notes 1-5)  
Please list directors in alphabetical order

Details of new directors must be notified on form 288a.

Name	* Style / Title	MR	Day	Month	Year
	* Honours etc		Date of birth		
			1	0	0
			5	1	9
			5	2	
Forename(s)	MARIO JOHN				
Surname	CUENI				
Previous forename(s)					
Previous surname					
Address	MUEHLEBACHWEG 12				
Post town	ZWINGEN				
County / Region	BASELLAND		Postcode	4222	
Country	SWITZERLAND				
Nationality	BRITISH				
Business occupation	LAWYER				
Other directorships	PHILLIPS & DREW HOLDING LIMITED				

**Usual residential address** must be given. In the case of a corporation, give the registered or principal office address.

Name	* Style / Title	MR	Day	Month	Year
	* Honours etc		Date of birth		
			0	5	0
			2	1	9
			4	8	
Forename(s)	ANTHONY JOHN				
Surname	DYE				
Previous forename(s)					
Previous surname					
Address	63 ST.MARY'S AVENUE				
Post town	BROMLEY				
County / Region	KENT		Postcode	BR2 OPU	
Country					
Nationality	BRITISH				
Business occupation	INVESTMENT MANAGER				
Other directorships	See attached list				

\* Voluntary details.

**Directors** (see notes 1-5)  
Please list directors in alphabetical order

Details of new directors must be notified on form 288a.

<b>Name</b>	* Style / Title	MR		Day	Month	Year
	* Honours etc			Date of birth	0 9	0 5 1 9 5 8
	Forename(s)	MARKUS HARDY				
	Surname	RUETIMANN				
	Previous forename(s)					
	Previous surname					
<b>Address</b>	32 ST JOHN'S AVENUE, PUTNEY					
<b>Usual residential address</b> must be given. In the case of a corporation, give the registered or principal office address.	Post town	LONDON				
	County / Region		Postcode	SW15 1QL		
	Country					
<b>Nationality</b>	BRITISH					
<b>Business occupation</b>	INVESTMENT SERVICES DIR.					
<b>Other directorships</b>	See attached list					

<b>Name</b>	* Style / Title	MR		Day	Month	Year
	* Honours etc			Date of birth	2 1	0 6 1 9 5 7
	Forename(s)	PAUL TENNANT				
	Surname	YATES				
	Previous forename(s)					
	Previous surname					
<b>Address</b>	45 WARWICK GARDENS					
<b>Usual residential address</b> must be given. In the case of a corporation, give the registered or principal office address.	Post town	LONDON				
	County / Region		Postcode	W14 8PL		
	Country					
<b>Nationality</b>	BRITISH					
<b>Business occupation</b>	FUND MANAGER					
<b>Other directorships</b>	See attached list					

\* Voluntary details.

## Other relevant directorships

Company Number **2251850**

Company Name **Phillips & Drew Limited**

Directors Name **APPS ROBIN WILLIAM TREVOR**

† Directors only.

† Other directorships

PHILLIPS & DREW FUND MANAGEMENT LIMITED

PHILLIPS & DREW HOLDING LIMITED

PHILLIPS & DREW INTERNATIONAL INVESTMENT LIMITED

## NOTES

Show the full forenames, NOT INITIALS. If the director or secretary is a corporation or Scottish firm, show the name on surname line and registered or principal office on the usual residential line.

Give previous forenames or surname(s) except:  
- for a married woman, the name by which she was known before marriage need not be given.

- for names not used since the age of 18 or for at least 20 years

A peer or individual known by a title may state the title instead of or in addition to the forenames and surname and need not give the name by which that person was known before he or she adopted the title or preceded to it.

## Other directorships.

Give the name of every company incorporated in Great Britain of which the person concerned is a director or has been a director at any time in the past five years.

You may exclude a company which either is, or at all times during the past five years when the person concerned was a director, was

- dormant

- a parent company which wholly owned the company making the return, or

- another wholly owned subsidiary of the same parent company.

Other relevant directorships

Company Number 2251850

Company Name Phillips & Drew Limited

Directors Name COLLINS CRISPIAN HILARY VINCENT

† Directors only.

† Other directorships

PHILLIPS & DREW CLIENT SERVICES LIMITED

PHILLIPS & DREW FUND MANAGEMENT LIMITED

PHILLIPS & DREW HOLDING LIMITED

PHILLIPS & DREW INTERNATIONAL INVESTMENT LIMITED

PHILLIPS & DREW UNIT MANAGERS LIMITED

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## Other relevant directorships

Company Number **2251850**

Company Name **Phillips & Drew Limited**

Directors Name **DYE ANTHONY JOHN**

† Directors only.

† Other directorships

PDFM VENTURES LIMITED

PHILLIPS & DREW FUND MANAGEMENT LIMITED

PHILLIPS & DREW HOLDING LIMITED

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## Other relevant directorships

Company Number **2251850**

Company Name **Phillips & Drew Limited**

Directors Name **RUETIMANN MARKUS HARDY**

† Directors only.

† Other directorships

PHILDREW NOMINEES LIMITED

PHILLIPS & DREW CLIENT SERVICES LIMITED

PHILLIPS & DREW HOLDING LIMITED

PHILLIPS & DREW LIFE LIMITED

PHILLIPS & DREW UNIT MANAGERS LIMITED

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- another wholly owned subsidiary of the same parent company.

Other relevant directorships

Company Number 2251850

Company Name Phillips & Drew Limited

Directors Name YATES PAUL TENNANT

† Directors only.

† Other directorships

PHILLIPS & DREW CLIENT SERVICES LIMITED

PHILLIPS & DREW FUND MANAGEMENT LIMITED

PHILLIPS & DREW HOLDING LIMITED

PHILLIPS & DREW INTERNATIONAL INVESTMENT LIMITED

PHILLIPS & DREW LIFE LIMITED

PHILLIPS & DREW UNIT MANAGERS LIMITED

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- dormant
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- another wholly owned subsidiary of the same parent company.

**Issued share capital** (see note 9)  
Enter details of all the shares in issue at the date of this return.

Class (e.g. Ordinary/Preference)	Number of shares issued	Aggregate Nominal Value (i.e. Number of shares issued multiplied by nominal value per share)
£1 ORDINARY	7,980,168	£ 7,980,168.00
Totals	7,980,168	£ 7,980,168.00

**List of past and present members**

(Use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

(see note 10)

There were no changes in the period

☐

on paper in another format

A list of changes is enclosed

☒
☐

A full list of members is enclosed

☒
☐

**Elective resolutions**

(Private companies only)

(See note 11)

If at the date of this return an election is in force to dispense with annual general meetings, mark this box

☐

If at the date of this return an election is in force to dispense with laying accounts in general meetings, mark this box

☐

**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief.

Signed



Date

3/6/99

† Please delete as appropriate.

† a director/secretary

When you have signed the return send it with the fee to the Registrar of Companies. Cheques should be made payable to **Companies House**.

This return includes

2  
(enter number)

continuation sheets.

Please give the name, address, telephone number, and if available, a DX number and Exchange, for the person Companies House should contact if there is any query.

MS. GILL CLARKE, TRITON COURT, 14 FINSBURY SQUARE, LONDON., EC2A 1PD	
Tel 0171 901 5057	
DX number	DX exchange



Please complete in typescript,  
or in bold black capitals.

CHFP029

## List of past and present members Schedule to form 363a, 363b

Company Number

2251850

Company Name in full

Phillips & Drew Limited

Number of shares  
or amount of  
stock held by  
existing members  
at date of this  
return.

Particulars of shares or stock transferred since  
the date of the last return (or in the case of the  
first return, since the incorporation of the  
company) by  
(a) persons who are still members, and  
(b) persons who have ceased to be members.

Number or  
amount  
currently held

Number or  
amount  
Transferred

Date of  
registration  
of transfer

Name and address

Remarks

D ROBINS AND UBS UK HOLDING LIMITED 100 LIVERPOOL STREET, LONDON, EC2M 2RH	£1 Ordinary 0	1	29/06/1998	PAUL MEREDITH/UBS UK HOLDING LIMITED
PAUL MEREDITH/UBS UK HOLDING LIMITED UNKNOWN ADDRESS LINE1	£1 Ordinary 0	1	04/03/1999	PHILLIPS & DREW HOLDING LIMITED
UBS UK HOLDING LIMITED 100 LIVERPOOL STREET, LONDON EC2M 2RH	£1 Ordinary 0	7,980,167	04/03/1999	PHILLIPS & DREW HOLDING LIMITED
PHILLIPS & DREW HOLDING LIMITED TRITON COURT, 14, FINSBURY SQUARE, LONDON, EC2A 1PD	£1 Ordinary 7,980,168			