



Companies House

— for the record —

Company Name

ADVENT LIMITED

Company Type

Private Company Limited By Shares

Company Number

2191603

Information extracted from
Companies House records on
30th October 2001

363s Annual Return

- > Please check the details printed in blue on this statement.
- > If any details are wrong, strike them through and write the correct details in the "Amended details" column.
- > Please use black pen and write in capitals.



A21
COMPANIES HOUSE

APZY6520

0452
22/11/01

Section 1: Company detail

Ref: 2191603/03/10

	Current details	Amended details
> Registered Office Address <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	25 Buckingham Gate London SW1E 6LD	Address _____ _____ _____ UK Postcode _ _ _ _ _
> Register of Members <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	Address where the Register is held At Registered Office	Address _____ _____ _____ UK Postcode _ _ _ _ _
> Register of Debenture Holders <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	Not Applicable	Address _____ _____ _____ UK Postcode _ _ _ _ _
> Principal Business Activities <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	SIC Code Description 6712 Security broking & fund management	SIC CODE Description _____ _____ _____ _____ _____
> <i>Please enter additional principal activity code(s) in "Amended details" column. See notes for guidance for list of activity codes.</i>		_____ _____ _____

Section 2: Details of Officers of the Company

	Current details	Amended details
> Company Secretary If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column. Particulars of a new Company Secretary must be notified on form 288.	Name Leslie Ian GABB Address 24 Hill Road Theydon Bois Essex CM16 7LX	Name Address UK Postcode _ _ _ _ _ Date of change _ _ / _ _ / _ _ _ _ Date Leslie Ian GABB ceased to be secretary (if applicable) _ _ / _ _ / _ _ _ _
> Director If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column. Particulars of a new Director must be notified on form 288.	Name Peter Anthony BAINES Address 31 Chelwood Gardens Richmond Surrey TW9 4JG Date of birth 27/09/1962 Nationality British Occupation Venture Capital	Name Address UK Postcode _ _ _ _ _ Date of birth _ _ / _ _ / _ _ _ _ Nationality _____ Occupation _____ Date of change _ _ / _ _ / _ _ _ _ Date Peter Anthony BAINES ceased to be director (if applicable) _ _ / _ _ / _ _ _ _
> Director If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column. Particulars of a new Director must be notified on form 288.	Name Jeremy Christopher BENJAMIN Address 2 Walnut Drive Tadworth Surrey KT20 6QX Date of birth 22/12/1940 Nationality American Occupation Venture Capital	Name JERRY Address CARISBROOK MANOR COWOOD LANE, BOLNEY WEST SUSSEX UK Postcode RH17 5QQ Date of birth _ _ / _ _ / _ _ _ _ Nationality _____ Occupation _____ Date of change _ _ / _ _ / _ _ _ _ Date Jeremy Christopher BENJAMIN ceased to be director (if applicable) _ _ / _ _ / _ _ _ _

	Current details	Amended details
> Director If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column. Particulars of a new Director must be notified on form 288.	Name David CHEESMAN Address The Pines Plantation Road Leighton Buzzard Bedfordshire LU7 3HU Date of birth 31/12/1942 Nationality British Occupation Company Director	Name _____ Address _____ _____ _____ UK Postcode _____ Date of birth ____ / ____ / ____ Nationality _____ Occupation _____ Date of change ____ / ____ / ____ Date David CHEESMAN ceased to be director (if applicable) ____ / ____ / ____
> Director If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column. Particulars of a new Director must be notified on form 288.	Name Sir David James Scott COOKSEY Address 25 Buckingham Gate London SW1E 6LD Date of birth 14/05/1940 Nationality British Occupation Venture Capital	Name _____ Address _____ _____ _____ UK Postcode _____ Date of birth ____ / ____ / ____ Nationality _____ Occupation _____ Date of change ____ / ____ / ____ Date Sir David James Scott COOKSEY ceased to be director (if applicable) ____ / ____ / ____
> Director If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column. Particulars of a new Director must be notified on form 288.	Name Leslie Ian GABB Address 24 Hill Road Theydon Bois Essex CM16 7LX Date of birth 23/04/1961 Nationality British Occupation Finance Director	Name _____ Address _____ _____ _____ UK Postcode _____ Date of birth ____ / ____ / ____ Nationality _____ Occupation _____ Date of change ____ / ____ / ____ Date Leslie Ian GABB ceased to be director (if applicable) ____ / ____ / ____

	Current details	Amended details
> Director <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i> <i>Particulars of a new Director must be notified on form 288.</i>	Name Patrick Pak-Tin LEE Address 13 Sheen Park Richmond Surrey TW9 1UN Date of birth 12/09/1955 Nationality American Occupation Venture Capital	Name <hr/> Address <hr/> <hr/> <hr/> UK Postcode _ _ _ _ _ Date of birth _ _ / _ _ / _ _ _ _ Nationality _____ Occupation _____ Date of change _ _ / _ _ / _ _ _ _ Date Patrick Pak-Tin LEE ceased to be director (if applicable) _ _ / _ _ / _ _ _ _
> Director <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i> <i>Particulars of a new Director must be notified on form 288.</i>	Name Martin Alexander MCNAIR Address 12 St Saviours Court Alexandra Park Road London N22 7AZ Date of birth 19/08/1959 Nationality British Occupation Venture Capital	Name <hr/> Address <hr/> <hr/> <hr/> UK Postcode _ _ _ _ _ Date of birth _ _ / _ _ / _ _ _ _ Nationality _____ Occupation _____ Date of change _ _ / _ _ / _ _ _ _ Date Martin Alexander MCNAIR ceased to be director (if applicable) _ _ / _ _ / _ _ _ _
> Director <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i> <i>Particulars of a new Director must be notified on form 288.</i>	Name James Anthony MCNAUGHT-DAVIS Address 41 Wynnstay Gardens London W8 6UT Date of birth 06/10/1959 Nationality British Occupation Venture Capital	Name <hr/> Address <hr/> <hr/> <hr/> UK Postcode _ _ _ _ _ Date of birth _ _ / _ _ / _ _ _ _ Nationality _____ Occupation _____ Date of change _ _ / _ _ / _ _ _ _ Date James Anthony MCNAUGHT-DAVIS ceased to be director (if applicable) _ _ / _ _ / _ _ _ _

Amended details

Section 3: Share Capital

	Current details	Amended details
> Issued Share Capital <i>This table shows the total number of shares that have been issued by your company and their Nominal Value. If any of the details are wrong, please fill in the correct details.</i>	Class of share Ordinary Nominal value of each share £1.00 Number of shares issued 1,000 Aggregate Nominal Value of issued shares £1,000.00	Class of share _____ Nominal value of each share _____ Number of shares issued _____ Aggregate Nominal Value of issued shares _____
> Total shares issued and value <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	Total number of shares issued 1,000 Total Nominal value of shares issued £1,000.00	Total number of shares issued _____ Total Nominal value of shares issued _____
> At the date of this Annual Return, if the company has altered or changed its share capital in any way or allotted new shares, please refer to the guidance notes for details of the appropriate form that should be sent with this Annual Return. Annual return guidance notes are available on the Companies House web site at www.companieshouse.gov.uk or by ringing 0870 3333636.		

Section 4: Details of Shareholders

- > The details we hold on your company's shareholders and their shareholdings are printed below. These are based on your last Annual Return.
- > If any details have changed, or if any shares have been transferred, please fill in the details in the "Amended details" or "Shares transferred" column.
- > Please give details of any other shareholders in Section 5.

Current details		Amended details		Shares transferred		
> Shareholder Name ADVENT SERVICES LTD		Name 		Shares transferred by ADVENT SERVICES LTD		
Address La Motte Chambers La Motte Street St. Helier Jersey - Channel Islands		Address 				
UK Postcode		 				
Shares held Class Ordinary		Shares held Class 				
Number 74		Number 54		Class Number Date of transfer ORD 20 04/04/2001		
> Shareholder Name Peter A. BAINES		Name 		Shares transferred by Peter A. BAINES		
Address 39 Bushwood Road Richmond Surrey TW9 3BG		Address 				
UK Postcode		 				
Shares held Class Ordinary		Shares held Class 				
Number 70		Number 		Class Number Date of transfer 		
> Shareholder Name Jerry Christopher BENJAMIN		Name 		Shares transferred by Jerry Christopher BENJAMIN		
Address 2 Walnut Drive Kingswood Tadworth Surrey KT20 6QX		Address 				
UK Postcode		 				
Shares held Class Ordinary		Shares held Class 				
Number 150		Number 		Class Number Date of transfer 		

Current details		Amended details		Shares transferred		
> Shareholder Name David CHEFSMAN	Name CHEFSMAN	Shares transferred by David CHEFSMAN				
	Address					
Address The Pines Plantation Road Leighton Buzzard Beds LU7 7HW	UK Postcode _ _ _ _ _					
Shares held Class Ordinary	Number 92					
	Shares held Class Ordinary	Number	Class	Number	Date of transfer _ _ / _ _ / _ _ _ _	_ _ / _ _ / _ _ _ _
> Shareholder Name COOKSEY & CO. LTD	Name	Shares transferred by COOKSEY & CO. LTD				
	Address					
Address Po Box HM1022 Clarendon House Church Street West Bermuda	UK Postcode _ _ _ _ _					
Shares held Class Ordinary	Number 45					
	Shares held Class Ordinary	Number	Class	Number	Date of transfer _ _ / _ _ / _ _ _ _	_ _ / _ _ / _ _ _ _
> Shareholder Name Sir David James Scott COOKSEY	Name	Shares transferred by Sir David James Scott COOKSEY				
	Address					
Address 25 Buckingham Gate London SW1E 6LD	UK Postcode _ _ _ _ _					
Shares held Class Ordinary	Number 203					
	Shares held Class Ordinary	Number	Class	Number	Date of transfer _ _ / _ _ / _ _ _ _	_ _ / _ _ / _ _ _ _

Current details		Amended details		Shares transferred		
> Shareholder Name Martin A. MCNALE		Name MCNALE		Shares transferred by Martin A. MCNALE		
		Address _____ _____ _____				
Address 12 St. Saviours Court Alexandra Park Road London N22 7AZ		UK Postcode _ _ _ _ _				
Shares held Class Ordinary		Shares held Class _____				
Number 70 ✓		Number _____		Class Number Date of transfer _____ _____ __/__/____ _____ _____ __/__/____		
> Shareholder Name Patrick PAK-TIN LEE		Name _____		Shares transferred by Patrick PAK-TIN LEE		
		Address _____ _____ _____				
Address 13 Sheen Park Richmond Surrey TW9 1UN		UK Postcode _ _ _ _ _				
Shares held Class Ordinary		Shares held Class _____				
Number 70 ✓		Number _____		Class Number Date of transfer _____ _____ __/__/____ _____ _____ __/__/____		
> Shareholder Name William Harold Neil PEARCE		Name _____		Shares transferred by William Harold Neil PEARCE		
		Address _____ _____ _____				
Address Tickley, Burkhams Bentworth Alton Hampshire GU34 5RR		UK Postcode _ _ _ _ _				
Shares held Class Ordinary		Shares held Class _____				
Number 100 ✓		Number _____		Class Number Date of transfer _____ _____ __/__/____ _____ _____ __/__/____		

Current details		Amended details		Shares transferred		
> Shareholder Name SEFTA TRUSTEES LTD		Name SEFTA TRUSTEES LIMITE - REF 069		Shares transferred by SEFTA TRUSTEES LTD		
Address The Courtyard 12 Hill Street St. Helier Jersey - Channel Islands		Address 				
UK Postcode _ _ _ _ _		UK Postcode _ _ _ _ _				
Shares held Class Number Ordinary 34		Shares held Class Number 				
				Class Number Date of transfer 		
				Class Number Date of transfer 		
> Shareholder Name Nicholas J. TEASDALE		Name 		Shares transferred by Nicholas J. TEASDALE		
Address Lime Trees Church Road Cookham Dean Maidenhead Berkshire SL6 9AG		Address 				
UK Postcode _ _ _ _ _		UK Postcode _ _ _ _ _				
Shares held Class Number Ordinary ✓ 92		Shares held Class Number 				
				Class Number Date of transfer 		
				Class Number Date of transfer 		

Section 5: Details of Other Shareholders

- > Please fill in details of any persons or corporate bodies who are shareholders of the company at the date of this return, but whose details are not printed in Section 4.
- > Also, provide the details of any persons who became but have ceased to be shareholders of the company since the date of the last annual return.
- > For jointly held shares please list those joint shareholders consecutively on the form. If a joint shareholder also holds shares in their own right, list that holding separately.
- > Please copy this page if there is not enough space to enter all the company's other shareholders.

Shareholders details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred (if appropriate)	Date of registration of transfer (if appropriate)
Name <u>LESLIE IAN GABB</u> Address <u>24 HILL ROAD</u> <u>THEYDON BOIS</u> <u>ESSEX</u> UK Postcode <u>CM16 7LX</u>	ORDINARY 20		04/04/01
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _			
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _			
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _			



Companies House

— for the record —

363s Annual Return Declaration

- > When you have checked all the sections of this form, please complete this page and sign the declaration below.
- > If you want to change the made up date of this annual return, please complete 2 below.

1. Declaration

- ☐ I confirm that the details in this annual return are correct as at the made-up-date (shown at 2 below). I enclose the filing fee of £15.

Signature

[Signature]
(Director / Secretary)

Date

21 / 11 / 2001

*This date must not be earlier than the
return date at 2 below*

What to do now

Complete this page then send the whole of the Annual Return and the declaration to the address shown at 4 below.

2. Date of this return

- ☐ This AR is made up to **14/11/2001** If you are making this return up to an earlier date, please give the date here

__ / __ / ____

Note: The form must be delivered to CH within 28 days of this date

3. Date of next return

- ☐ If you wish to change your next return to a date earlier than **14th November 2002** please give the new date here:

__ / __ / ____

4. Where to send this form

- ☐ Please return this form to:

Registrar of Companies
Companies House
Crown Way
Cardiff CF14 3UZ

OR

For members of the Hays Document
Exchange service
DX 33050 Cardiff

Have you enclosed the filing fee with the company number written on the reverse of the cheque?

Cheque



Postal Order

Cheque / ~~Postal Order~~Number 102173

(Please complete as appropriate)

Contact Address

Please give the name and address of the person who should be contacted if there are any queries about this form.

Contact Name

L. GAEBTelephone number *inc code*0207-6309811

Address

ADVENT LIMITEDDX number *if applicable*

25 BUCKINGHAM GATE

DX exchange

LONDON

Postcode

SW1E 6LD