

AR01

Annual Return

BLUEPRINT

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A fee is payable with this form

Please see 'How to pay' on the last page.

You can use the WebFiling service to file this form online.

Please go to [www.companieshouse.gov.uk](http://www.companieshouse.gov.uk)

✓ What this form is for

You may use this form to confirm that the company information is correct as at the date of this return. You must file an Annual Return at least once every year.

✗ What this form is NOT for

You cannot use this form to give notice of changes to the company officers, registered office address, company type or information relating to the company records.

THURSDAY



\*LSCUOHMA\*

LD5

18/02/2010

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COMPANIES HOUSE

Part 1

Company details

The section must be completed by all companies

→ Filling in this form

Please complete in typescript or in bold black capitals

All fields are mandatory unless specified or indicated by \*

A1

Company details

Company number 2 1 8 4 8 5 9

Company name in full FIRST DEBENTURE FINANCE PLC

① Company name change

If your company has recently changed its name, please provide the company name as at the date of this return.

A2

Return date

Please give the annual return made up date. The return date must not be a future date. The annual return must be delivered within 28 days of the date given below.

Date of this return ② 0 1 0 2 2 0 1 0

② Date of this return

Your company's return date is usually the anniversary of incorporation or the anniversary of the last annual return filed at Companies House. You may choose an earlier return date but it must not be a later date.

A3

Principal business activity

Please show the trade classification code number(s) for the principal activity or activities ③

Classification code 1	6	5	2	3
Classification code 2				
Classification code 3				
Classification code 4				

If you cannot determine a code, please give a brief description of your business activity below

Principal activity description Vehicle to raise finance

③ Principal business activity

You must provide a trade classification code (SIC code) or a description of your company's main business in this section.

A full list of the trade classification codes are available on our website [www.companieshouse.gov.uk](http://www.companieshouse.gov.uk)

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<b>A4</b>	<b>Company type</b>	<p>Please confirm your company type by ticking the appropriate box below (only one box must be ticked)</p> <div style="display: flex; flex-direction: column; gap: 5px;"> <div><input checked="" type="checkbox"/> Public limited company</div> <div><input type="checkbox"/> Private company limited by shares</div> <div><input type="checkbox"/> Private company limited by guarantee</div> <div><input type="checkbox"/> Private company limited by shares exempt under section 60</div> <div><input type="checkbox"/> Private company limited by guarantee exempt under section 60</div> <div><input type="checkbox"/> Private unlimited company with share capital</div> <div><input type="checkbox"/> Private unlimited company without share capital</div> </div>	<p><b>Company type</b></p> <p>If you are unsure of your company type, please check your latest certificate of incorporation or our website: <a href="http://www.companieshouse.gov.uk">www.companieshouse.gov.uk</a></p>												
<b>A5</b>	<b>Registered office address</b>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 20%;">Building name/number</td> <td>155</td> </tr> <tr> <td>Street</td> <td>Bishopsgate</td> </tr> <tr> <td>Post town</td> <td>London</td> </tr> <tr> <td>County/Region</td> <td></td> </tr> <tr> <td>Postcode</td> <td>E C 2 M 3 A D</td> </tr> </table>		Building name/number	155	Street	Bishopsgate	Post town	London	County/Region		Postcode	E C 2 M 3 A D		
Building name/number	155														
Street	Bishopsgate														
Post town	London														
County/Region															
Postcode	E C 2 M 3 A D														
		<p><b>Change of registered office</b></p> <p>This must agree with the address that is held on the Companies House record at the date of this return.</p> <p>If the registered office address has changed, you should complete form <b>AD01</b> and submit it together with this annual return</p>													
<b>A6</b>	<b>Single alternative inspection location (SAIL) of the company records</b> (if applicable)	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 20%;">Building name/number</td> <td>The Registry</td> </tr> <tr> <td>Street</td> <td>34 Beckenham Road</td> </tr> <tr> <td></td> <td>Beckenham</td> </tr> <tr> <td>Post town</td> <td>Kent</td> </tr> <tr> <td>County/Region</td> <td>England</td> </tr> <tr> <td>Postcode</td> <td>B R 3 4 T U</td> </tr> </table>		Building name/number	The Registry	Street	34 Beckenham Road		Beckenham	Post town	Kent	County/Region	England	Postcode	B R 3 4 T U
Building name/number	The Registry														
Street	34 Beckenham Road														
	Beckenham														
Post town	Kent														
County/Region	England														
Postcode	B R 3 4 T U														
		<p><b>SAIL address</b></p> <p>This must agree with the address that is held on the Companies House record at the date of this return.</p> <p>If the address has changed, you should complete form <b>AD02</b> and submit it together with this annual return.</p>													
<b>A7</b>	<b>Location of company records</b>	<p>Please tick the appropriate box to indicate which records are kept at the SAIL address in <b>Section A6</b></p> <div style="display: flex; flex-direction: column; gap: 5px;"> <div><input type="checkbox"/> Register of members</div> <div><input type="checkbox"/> Register of directors</div> <div><input type="checkbox"/> Directors' service contracts</div> <div><input type="checkbox"/> Directors' indemnities</div> <div><input type="checkbox"/> Register of secretaries</div> <div><input type="checkbox"/> Records of resolutions etc</div> <div><input type="checkbox"/> Contracts relating to purchase of own shares</div> <div><input type="checkbox"/> Documents relating to redemption or purchase of own share out of capital by private company</div> <div><input checked="" type="checkbox"/> Register of debenture holders</div> <div><input type="checkbox"/> Report to members of outcome of investigation by public company into interests in its shares</div> <div><input type="checkbox"/> Register of interests in shares disclosed to public company</div> <div><input type="checkbox"/> Instruments creating charges and register of charges England and Wales or Northern Ireland</div> <div><input type="checkbox"/> Instruments creating charges and register of charges Scotland</div> </div>													
		<p><b>Location of company records</b></p> <p>If the company records are held at the registered office address, <b>do not</b> tick any of the boxes in this section.</p> <p>Certain records must be kept by every company while other records are only kept by certain company types where appropriate</p> <p>If the records are not kept at the SAIL address, they must be available at the registered office.</p> <p>If any of the company records have moved from the registered office to the address in Section A6 since the last annual return, you must complete form <b>AD03</b> and submit it together with this annual return</p>													

## Part 2 Officers of the company

This section should include details of the company at the date to which this annual return is made up

- For a **secretary** who is an individual, go to **Section B1**
- For a **corporate secretary**, go to **Section C1**
- For a **director** who is an individual, go to **Section D1**
- For a **corporate director**, go to **Section E1**

### Continuation pages

Please use a continuation page if you need to enter more officer details.

### Secretary

#### B1

#### Secretary's details <sup>①</sup>

Please use this section to list all the secretaries of the company  
For a corporate secretary, complete Section C1-C4.

Title*	
Full forename(s)	Peter William Irving
Surname	Ingram
Former name(s) <sup>②</sup>	

#### ① Secretary appointments

You may not use this form to appoint a secretary. To do this, please complete form AP03 and submit it together with this annual return.

#### Corporate details

Please use Section C1-C4 to enter corporate secretary details.

#### Secretary details

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH03.

#### Former name(s)

- ② Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes

#### B2

#### Secretary's service address <sup>①</sup>

Building name/number	The Company's Registered Office
Street	
Post town	
County/Region	
Postcode	
Country	

#### ① Service address

If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address

This information will appear on the public record

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### Corporate secretary

<b>C1</b>	<b>Corporate secretary's details</b> <sup>①</sup>	
	Please use this section to list all the corporate secretaries of the company	
Corporate body/firm name		<b>① Corporate secretary appointments</b> You cannot use this form to appoint a corporate secretary. To do this, please complete form <b>AP04</b> and submit it together with this annual return.  <b>Corporate secretary details</b> All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form <b>CH04</b> .  This information will appear on the public record.
Building name/number		
Street		
Post town		
County/Region		
Postcode		
Country		
<b>C2</b>	<b>Location of the registry of the corporate body or firm</b>	
	Is the corporate secretary registered within the European Economic Area (EEA)? → Yes Complete <b>Section C3 only</b> → No Complete <b>Section C4 only</b>	
<b>C3</b>	<b>EEA companies</b> <sup>②</sup>	
	Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register	<b>② EEA</b> A full list of countries of the EEA can be found in our guidance: <a href="http://www.companieshouse.gov.uk">www.companieshouse.gov.uk</a>  <b>③</b> This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC).
Where the company/firm is registered <sup>③</sup>		
Registration number		
<b>C4</b>	<b>Non-EEA companies</b>	
	Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register	<b>④ Non-EEA</b> Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register.
Legal form of the corporate body or firm		
Governing law		
If applicable, where the company/firm is registered <sup>④</sup>		
If applicable, the registration number		

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### Director

<b>D1</b>	<b>Director's details</b> <sup>①</sup>	
	Please use this section to list all the directors of the company <i>For a corporate director, complete Section E1-E4</i>	
Title*		
Full forename(s)	Beatrice Joyce	
Surname	Doran	
Former name(s) <sup>②</sup>		
Country/State of residence	United Kingdom	
Nationality	British	
Date of birth	<div> <div>d2</div> <div>d7</div> <div>m1</div> <div>m0</div> <div>y1</div> <div>y9</div> <div>y5</div> <div>y7</div> </div>	
Business occupation (if any)	Solicitor	

**① Director appointments**  
You cannot use this form to appoint a director. To do this, please complete form **AP01** and submit it together with this annual return.

**Corporate details**  
Please use **Section E1-E4** to enter corporate director details.

**Director details**  
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form **CH01**.

**② Former name(s)**  
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

<b>D2</b>	<b>Director's service address</b> <sup>③</sup>	
Building name/number	27	
Street	The Downs	
Post town	London	
County/Region		
Postcode	<div> <div>S</div> <div>W</div> <div>2</div> <div>0</div> <div></div> <div>8</div> <div>H</div> <div>Q</div> </div>	
Country		

**③ Service address**  
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

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### Director

<b>D1</b>	<b>Director's details</b> <sup>ⓐ</sup>	
	Please use this section to list all the directors of the company <i>For a corporate director, complete Section E1-E4</i>	
Title*		
Full forename(s)	Michael David	
Surname	Hooper	
Former name(s) <sup>ⓑ</sup>		
Country/State of residence	United Kingdom	
Nationality	British / Canadian	
Date of birth	<div> <div>d1</div> <div>d5</div> <div>m0</div> <div>m1</div> <div>y1</div> <div>y9</div> <div>y6</div> <div>y2</div> </div>	
Business occupation (if any)	Chartered Accountant	

**ⓐ Director appointments**  
You cannot use this form to appoint a director. To do this, please complete form **AP01** and submit it together with this annual return.

**Corporate details**  
Please use **Section E1-E4** to enter corporate director details.

**Director details**  
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form **CH01**.

**ⓑ Former name(s)**  
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

<b>D2</b>	<b>Director's service address</b> <sup>ⓐ</sup>	
Building name/number	Red Oaks	
Street	Outings Lane	
Post town	Doddingtonhurst	
County/Region	Essex	
Postcode	<div> <div>C</div> <div>M</div> <div>1</div> <div>5</div> <div></div> <div>0</div> <div>L</div> <div>S</div> </div>	
Country		

**ⓐ Service address**  
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

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## Annual Return

### Director

<b>D1</b>	<b>Director's details</b> <sup>①</sup>	
	Please use this section to list all the directors of the company <i>For a corporate director, complete Section E1-E4</i>	
Title*		
Full forename(s)	Benjamin Charles Reid	
Surname	Siddons	
Former name(s) <sup>②</sup>		
Country/State of residence	United Kingdom	
Nationality	British	
Date of birth	<div> <div>d</div> <div>1</div> <div>d</div> <div>5</div> <div>m</div> <div>0</div> <div>m</div> <div>5</div> <div>y</div> <div>1</div> <div>y</div> <div>9</div> <div>y</div> <div>4</div> <div>y</div> <div>5</div> </div>	
Business occupation (if any)	Chartered Accountant	

**① Director appointments**  
You cannot use this form to appoint a director. To do this, please complete form **AP01** and submit it together with this annual return.

**Corporate details**  
Please use **Section E1-E4** to enter corporate director details.

**Director details**  
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form **CH01**.

**② Former name(s)**  
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

<b>D2</b>	<b>Director's service address</b> <sup>③</sup>	
Building name/number	Hillbrook	
Street	Golf Club Road	
	St Georges Hill	
Post town	Weybridge	
County/Region	Surrey	
Postcode	<div> <div>K</div> <div>T</div> <div>1</div> <div>3</div> <div></div> <div>0</div> <div>N</div> <div>J</div> </div>	
Country	United Kingdom	

**③ Service address**  
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

# AR01 - continuation page

## Annual Return

### Director

<b>D1</b>	<b>Director's details</b> <sup>①</sup>	
	Please use this section to list all the directors of the company <i>For a corporate director, complete Section E1-E4</i>	
Title*		
Full forename(s)	Simon Richard Tore	
Surname	White	
Former name(s) <sup>②</sup>		
Country/State of residence	United Kingdom	
Nationality	British	
Date of birth	<div> <div>d</div> <div>0</div> <div>d</div> <div>8</div> <div>m</div> <div>0</div> <div>m</div> <div>8</div> <div>y</div> <div>1</div> <div>y</div> <div>9</div> <div>y</div> <div>6</div> <div>y</div> <div>3</div> </div>	
Business occupation (if any)	Fund Manager	

**① Director appointments**  
You cannot use this form to appoint a director. To do this, please complete form **AP01** and submit it together with this annual return.

**Corporate details**  
Please use **Section E1-E4** to enter corporate director details.

**Director details**  
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form **CH01**.

**② Former name(s)**  
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

<b>D2</b>	<b>Director's service address</b> <sup>③</sup>	
Building name/number	9	
Street	Herondale Avenue	
Post town	London	
County/Region		
Postcode	<div> <div>S</div> <div>W</div> <div>1</div> <div>8</div> <div>3</div> <div>J</div> <div>N</div> </div>	
Country	United Kingdom	

**③ Service address**  
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.



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### Corporate director

<b>E1</b>	<b>Corporate director's details</b> <sup>①</sup>	
	Please use this section to list all the corporate director's of the company	
Corporate body/firm name		
Building name/number		
Street		
Post town		
County/Region		
Postcode		
Country		
		<p><b>① Corporate director appointments</b> You cannot use this form to appoint a corporate director. To do this, please complete form <b>AP02</b> and submit it together with this annual return.</p> <p><b>Corporate director details</b> All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form <b>CH02</b>.</p> <p>This information will appear on the public record</p>
<b>E2</b>	<b>Location of the registry of the corporate body or firm</b>	
	Is the corporate director registered within the European Economic Area (EEA)?	
	→ Yes Complete <b>Section E3 only</b>	
	→ No Complete <b>Section E4 only</b>	
<b>E3</b>	<b>EEA companies</b> <sup>②</sup>	
	Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register	
Where the company/firm is registered <sup>③</sup>		
Registration number		
		<p><b>② EEA</b> A full list of countries of the EEA can be found in our guidance <a href="http://www.companieshouse.gov.uk">www.companieshouse.gov.uk</a></p> <p><b>③</b> This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC).</p>
<b>E4</b>	<b>Non-EEA companies</b>	
	Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register	
Legal form of the corporate body or firm		
Governing law		
If applicable, where the company/firm is registered <sup>④</sup>		
If applicable, the registration number		
		<p><b>④ Non-EEA</b> Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register</p>

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**Part 3** **Statement of capital** <sup>①</sup>

Does your company have share capital?

- **Yes** Complete the sections below and the following **Part 4**  
→ **No** Go to **Part 5 (Signature)**

<sup>①</sup> This should reflect the company's capital status at the made up date of this annual return.

**F1** **Share capital in pound sterling (£)**

Please complete the table below to show each class of shares held in pound sterling.

If all your issued capital is in sterling, only complete **Section F1** and then go to **Section F4**

Class of shares (Eg. Ordinary/Preference etc.)	Amount paid up on each share <sup>②</sup>	Amount (if any) unpaid on each share <sup>②</sup>	Number of shares <sup>③</sup>	Aggregate nominal value <sup>④</sup>
See attached schedule				£
				£
				£
				£
<b>Totals</b>				£

**F2** **Share capital in other currencies**

Please complete the table below to show any class of shares held in other currencies

Please complete a separate table for each currency

Currency				
Class of shares (Eg. Ordinary/Preference etc.)	Amount paid up on each share ②	Amount (if any) unpaid on each share ②	Number of shares ③	Aggregate nominal value ④
Totals				

Currency				
Class of shares (Eg. Ordinary/Preference etc.)	Amount paid up on each share ②	Amount (if any) unpaid on each share ②	Number of shares ③	Aggregate nominal value ④
Totals				

**F3** **Totals**

Please give the total number of shares and total aggregate nominal value of issued share capital

Total number of shares  
Total aggregate nominal value <sup>⑤</sup>

<sup>⑤</sup> **Total aggregate nominal value**  
Please list total aggregate values in different currencies separately For example: £100 + €100 + \$10 etc.

<sup>②</sup> Including both the nominal value and any share premium.

<sup>③</sup> Number of shares issued multiplied by nominal value of each share.

<sup>④</sup> Total number of issued shares in this class.

**Continuation Pages**

Please use a Statement of Capital continuation page if necessary

Please complete the table below to show each class of shares held in other currencies. Please complete a separate table for each currency.

Currency	Pounds Sterling			
Class of shares (Eg Ordinary/Preference etc.)	Amount paid up on each share ②	Amount (if any) unpaid on each share ②	Number of shares ③	Aggregate nominal value ④
A	1 00	0 00	120	£120 00
B	1 00	0 00	120	£120 00
C	1 00	0 00	49,760	£49,760 00
D shares	1 50	0 00	4,088	£40 88
D shares	150 00	0 00	26,700	£267 00
Totals			80,788	£50,307 88

③ Total number of issued shares in this class.

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F4

Statement of capital (Voting rights)

Please give the prescribed particulars of rights attached to shares for each class of share shown in the statement of capital share tables in **Sections F1 and F2**

Class of share

£1 00 A

Voting rights

1 VOTE PER SHARE

Class of share

£1 00 B

Voting rights

1 VOTE PER SHARE

Class of share

£1 00 C

Voting rights

NO VOTING RIGHTS

Class of share

£0 01 D shares

Voting rights

The 5% non- cumulative preference shares of 1p each (D shares) shares have the rights and restrictions as set out in the amended Articles of Association of the Company

NO VOTING RIGHTS

## Part 4

## Shareholders

Does your company have share capital?  
→ Yes Complete the sections below.  
→ No Go to **Part 5 (Signature)**

① This should reflect the shareholder details at the made up date of this annual return

**G1**

### Traded public companies ②

☐ Please tick the box if your company was a traded public company at any time during the period of this return

② **Traded company definition**  
A traded company means a company any of whose shares are admitted to trading on a regulated market

**G2**

### List of past and present shareholders

Private and non-traded public companies are required to provide a 'full list' if one was not included with either of the last two returns

Traded public companies are required to provide a list of shareholders who held at least 5% of the issued shares of any share class if a list was not provided with either of the last two returns.

Please tick the appropriate box below

- ☒ A full list of shareholders for a private or non-traded public company is enclosed. Please complete **Section G3**, or
- ☐ A list of shareholders holding at least 5% of the issued shares of any share class for a traded public company is enclosed. Please complete **Section G4**
- ☐ A list of shareholder changes is enclosed  
→ For private or non-traded public companies, please complete **Section G3**.  
→ For traded public companies, please complete **Section G4**
- ☐ There were no shareholder changes in this period.  
→ Go to **Part 5 (Signature)**

Please tick the appropriate box below to indicate the format of your shareholder details.

- ☒ The list of shareholders is enclosed on paper
- ☐ The list of shareholders is enclosed in another format

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### Private or non-traded public companies – list of past and present shareholders

This section should only be completed by companies that have not traded on a regulated market at any time during the period of this return.

Changes during this period to shareholders' particulars or details of the amount of stock or shares transferred must be completed each year

You must provide a 'full list' of all company shareholders on:

- The company's first annual return following incorporation,
- Every third annual return after a full list has been provided

Please list the company shareholders in alphabetical order

Joint shareholders should be listed consecutively

#### Further shareholders

Please use a 'Private or non-traded public companies – list of past and present shareholders' continuation page if necessary

Shareholder's Name (Address not required)	Class of share	Shares or stock currently held		Shares or stock transferred (if appropriate)	
		Number of shares or amount of stock		Number of shares or amount of stock	Date of registration of transfer
THE BRUNNER INVESTMENT TRUST PLC	£1 00 A	47			/ /
ALLIANZ DRESDNER SMALLER COMPANIES INVESTMENT TRUST PLC (In Members' Voluntary Liquidation)	£1 00 A	26			/ /
THE MERCHANTS TRUST PLC	£1 00 A	47			/ /
					/ /
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**G3**

**Private or non-traded public companies – list of past and present shareholders**

This section should only be completed by companies that have not traded on a regulated market at any time during the period of this return.

Changes during this period to shareholders' particulars or details of the amount of stock or shares transferred must be completed each year

You must provide a 'full list' of all company shareholders on:

- The company's first annual return following incorporation,
- Every third annual return after a full list has been provided

Please list the company shareholders in alphabetical order

Joint shareholders should be listed consecutively

**Further shareholders**

Please use a 'Private or non-traded public companies – list of past and present shareholders' continuation page if necessary

Shareholder's Name (Address not required)	Class of share	Shares or stock currently held		Shares or stock transferred (if appropriate)	
		Number of shares or amount of stock		Number of shares or amount of stock	Date of registration of transfer
THE BRUNNER INVESTMENT TRUST PLC	£1 00 B	41			/ /
ALLIANZ DRESDNER SMALLER COMPANIES INVESTMENT TRUST PLC (In Members' Voluntary Liquidation)	£1 00 B	8			/ /
THE MERCHANTS TRUST PLC	£1 00 B	71			/ /
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## AR01

## Annual Return

G3

**Private or non-traded public companies – list of past and present shareholders**

This section should only be completed by companies that have not traded on a regulated market at any time during the period of this return.

Changes during this period to shareholders' particulars or details of the amount of stock or shares transferred must be completed each year

You must provide a 'full list' of all company shareholders on:

- The company's first annual return following incorporation,
- Every third annual return after a full list has been provided

Please list the company shareholders in alphabetical order

Joint shareholders should be listed consecutively

**Further shareholders**

Please use a 'Private or non-traded public companies – list of past and present shareholders' continuation page if necessary

Shareholder's Name (Address not required)	Class of share	Shares or stock currently held	Shares or stock transferred (if appropriate)	
		Number of shares or amount of stock	Number of shares or amount of stock	Date of registration of transfer
THE BRUNNER INVESTMENT TRUST PLC	£1 00 C	23,740		/ /
ALLIANZ DRESDNER SMALLER COMPANIES INVESTMENT TRUST PLC (In Members' Voluntary Liquidation)	£1 00 C	3,320		/ /
THE MERCHANTS TRUST PLC	£1 00 C	22,700		/ /
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AR01  
Annual Return

G3

**Private or non-traded public companies – list of past and present shareholders**

This section should only be completed by companies that have not traded on a regulated market at any time during the period of this return.

Changes during this period to shareholders' particulars or details of the amount of stock or shares transferred must be completed each year

You must provide a 'full list' of all company shareholders on:

- The company's first annual return following incorporation,
- Every third annual return after a full list has been provided

Please list the company shareholders in alphabetical order

Joint shareholders should be listed consecutively

**Further shareholders**

Please use a 'Private or non-traded public companies – list of past and present shareholders' continuation page if necessary

Shareholder's Name (Address not required)	Class of share	Shares or stock currently held	Shares or stock transferred (if appropriate)	
		Number of shares or amount of stock	Number of shares or amount of stock	Date of registration of transfer
THE BRUNNER INVESTMENT TRUST PLC	£0 01 D shares	8,775		/ /
ALLIANZ DRESDNER SMALLER COMPANIES INVESTMENT TRUST PLC (In Members' Voluntary Liquidation)	£0 01 D shares	5,608		/ /
THE MERCHANTS TRUST PLC	£0 01 D shares	16,405		/ /
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# AR01

## Annual Return

G4

### Traded public companies – list of past and present shareholders

*This section should show the shareholders that hold at least 5% of any class of share(s) of the company at the date of this return. It should only be completed by public companies that have traded on a regulated market at any time during the period of this return.*

Changes during this period to shareholders' particulars or details of the amount of stock or shares transferred must be completed each year

You must provide a 'full list' of all company shareholders on:

- The company's first annual return following incorporation,
- Every third annual return after a full list has been provided

Please list the company shareholders in alphabetical order

Joint shareholders should be listed consecutively

#### Further shareholders

Please use a 'Traded public companies – list of past and present shareholders' continuation page if necessary

		Shares or stock currently held	Shares or stock transferred (if appropriate)	
Shareholder's details	Class of share	Number of shares or amount of stock	Number of shares or amount of stock	Date of registration of transfer
Name				/ /
Address				/ /
				/ /
Name				/ /
Address				/ /
				/ /
Name				/ /
Address				/ /
				/ /
Name				/ /
Address				/ /
				/ /

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Annual Return

**Part 5**

**Signature**

*This must be completed by all companies.*

I am signing this form on behalf of the company

Signature

Signature

X



X

This form may be signed by

Director ☒ Secretary ☐ Person authorised ☒ Charity commission receiver and  
manager, CIC manager, Judicial factor

**1 Societas Europaea**

If the form is being filed on behalf of a Societas Europaea (SE) please delete 'director' and insert details of which organ of the SE the person signing has membership

**2 Person authorised**

Under either section 270 or 274 of the Companies Act 2006

# AR01

## Annual Return



### Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name

Company name

RCM (UK) Limited

Address

155 Bishopsgate

Post town

London

County/Region

Postcode

E C 2 M 3 A D

Country

DX

Telephone

020 7859 9000



### Checklist

*We may return forms completed incorrectly or with information missing.*

*Please make sure you have remembered the following:*

- ☐ The company name and number match the information held on the public Register
- ☐ You have completed your principal business activity
- ☐ You have not used this form to make changes to the registered office address.
- ☐ You have not used this form to make changes to secretary and director details.
- ☐ You have fully completed the Statement of capital (if applicable)
- ☐ You have signed the form
- ☐ You have enclosed the correct fee



### Important information

*Please note that all information on this form will appear on the public record.*



### How to pay

*A fee of £30 is payable to Companies House in respect of an Annual Return*

Make cheques or postal orders payable to 'Companies House'



### Where to send

*You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below*

#### *For companies registered in England and Wales:*

The Registrar of Companies, Companies House,  
Crown Way, Cardiff, Wales, CF14 3UZ  
DX 33050 Cardiff

#### *For companies registered in Scotland*

The Registrar of Companies, Companies House,  
Fourth floor, Edinburgh Quay 2,  
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF  
DX ED235 Edinburgh 1  
or LP - 4 Edinburgh 2 (Legal Post)

#### *For companies registered in Northern Ireland:*

The Registrar of Companies, Companies House,  
First Floor, Waterfront Plaza, 8 Laganbank Road,  
Belfast, Northern Ireland, BT1 3BS  
DX 481 N.R. Belfast 1



### Further information

For further information, please see the guidance notes on the website at [www.companieshouse.gov.uk](http://www.companieshouse.gov.uk) or email [enquiries@companieshouse.gov.uk](mailto:enquiries@companieshouse.gov.uk)

This form is available in an alternative format. Please visit the forms page on the website at [www.companieshouse.gov.uk](http://www.companieshouse.gov.uk)