



Companies House

for the record

Company Name

HARGREAVES LANSDOWN PLC

363s Annual Return

006979/150
15

Company Type

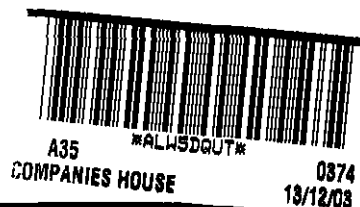
Public Limited Company

Company Number

2122142

Information extracted from
Companies House records on
2nd November 2003

Section 1: Company details



Ref: 2122142/09/28

	Current details	Amended details																																
<p>> Registered Office Address</p> <p><i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i></p>	<p>Kendal House 4 Brighton Mews Clifton Bristol BS8 2NX</p>	<p>Address</p> <p>_____</p> <p>_____</p> <p>_____</p> <p>UK Postcode _ _ _ _ _</p>																																
<p>> Register of Members</p> <p><i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i></p>	<p>Address where the Register is held</p> <p>At Registered Office</p>	<p>Address</p> <p>_____</p> <p>_____</p> <p>_____</p> <p>UK Postcode _ _ _ _ _</p>																																
<p>> Register of Debenture Holders</p> <p><i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i></p>	<p>Not Applicable</p>	<p>Address</p> <p>_____</p> <p>_____</p> <p>_____</p> <p>UK Postcode _ _ _ _ _</p>																																
<p>> Principal Business Activities</p> <p><i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i></p>	<table border="1"> <thead> <tr> <th>SIC Code</th> <th>Description</th> </tr> </thead> <tbody> <tr> <td>7415</td> <td>Holding companies incl head offices</td> </tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> </tbody> </table>	SIC Code	Description	7415	Holding companies incl head offices													<table border="1"> <thead> <tr> <th>SIC CODE</th> <th>Description</th> </tr> </thead> <tbody> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> </tbody> </table>	SIC CODE	Description														
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<p>> Please enter additional principal activity code(s) in "Amended details" column. See notes for guidance for list of activity codes.</p>																																		

Current details

Amended details

> Company Secretary

If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.

*Particulars of a new
Company Secretary must
be notified on form 288.*

Name

~~Tracey Patricia TAYLOR~~

Address

**136 Reedley Road
Stoke Bishop
Bristol
BS9 1BG**

Name

Tracey Patricia Taylor MSc FCCA

☐ Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985.

Address

UK Postcode _ _ _ _ _ _ _

Date of change / /

Date Tracey Patricia TAYLOR
ceased to be secretary (if applicable)

> Director

If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.

*Particulars of a new Director
must be notified on form
288.*

Name

Mary Theresa BARRY

Address

**Rhodyate House Woodhill
Congresbury
Bristol
BS49 5AF**

Date of birth 11/09/1958

Nationality British

Occupation Direct Mail Marketing
Director

Name

☐ Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985.

Address

UK Postcode _ _ _ _ _

Date of birth / /

Nationality _____

Occupation _____

Date of change / /

Date Mary Theresa BARRY ceased to be director (if applicable)

	Current details	Amended details
> Director <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i> <i>Particulars of a new Director must be notified on form 288.</i>	Name Nigel James BENCE B A HONOURS Address 118 Church Farm Road Emersons Green Bristol BS16 7BE Date of birth 14/07/1971 Nationality British Occupation Compliance Officer	Name <input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Address UK Postcode _ _ _ _ _ Date of birth _ _ / _ _ / _ _ _ _ Nationality _____ Occupation _____ Date of change _ _ / _ _ / _ _ _ _ Date Nigel James BENCE B A HONOURS ceased to be director (if applicable) _ _ / _ _ / _ _ _ _
> Director <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i> <i>Particulars of a new Director must be notified on form 288.</i>	Name Peter Kendal HARGREAVES Address The Rookery Battle Lane Chew Magna Bristol Avon BS18 8PS Date of birth 05/10/1946 Nationality British Occupation Broker	Name <input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Address UK Postcode _ _ _ _ _ Date of birth _ _ / _ _ / _ _ _ _ Nationality _____ Occupation _____ Date of change _ _ / _ _ / _ _ _ _ Date Peter Kendal HARGREAVES ceased to be director (if applicable) _ _ / _ _ / _ _ _ _

Current details

Amended details

> Director

If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.

Name
Stephen Philip LANSDOWN

Address
**Chapters
Stoke Park Road South
Sneyd Park
Bristol
BS9 1LS**

Date of birth **30/08/1952**

Nationality **British**

Occupation **Broker**

Particulars of a new Director must be notified on form 288.

Name

☐ Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985.

Address

UK Postcode

Date of birth

Nationality

Occupation

Date of change

Date Stephen Philip LANSDOWN ceased to be director (if applicable)

> Director

If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.

Name
Martin John MULLIGAN BSC ACA

Address
**1 Briarwood
Westbury On Trym
Bristol
Avon
BS9 3BS**

Date of birth **12/11/1970**

Nationality **British**

Occupation **Accountant**

Particulars of a new Director must be notified on form 288.

Name

☐ Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985.

Address

**THE OLD COACH HOUSE
POOL BRIDGE ROAD, BLACKFORD,
SOMERSET**

UK Postcode **BS28 4PA**

Date of birth

Nationality

Occupation

Date of change

Date Martin John MULLIGAN BSC ACA ceased to be director (if applicable)

> Director

If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.

Current details

Name
Adam NORRIS

Address
Cops Cottage
Sparrow Hill Way, Upper Wear
Axbridge
Somerset
~~BS26 2LE~~

Date of birth 25/05/1971

Nationality British

Occupation Financial Adviser

Particulars of a new Director must be notified on form 288.

Amended details

Name

☐ Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985.

Address

WEEKS GREEN FARM, SUTTON WICK,
BISHOPS SUTTON, BRISTOL

UK Postcode BS39 5XR.

Date of birth -- / -- / --

Nationality

Occupation

Date of change 01/08/2003

Date Adam NORRIS ceased to be director (if applicable)

-- / -- / --

Issued share capital details

> Please fill in the details of total share capital by class (eg. ordinary, preference etc) that has been issued to the company's shareholders.

Class of Share

Number of shares issued

ORDINARY 10P SHARES

1700939

Aggregate Nominal Value of issued shares

£170093.90

Class of Share

Number of shares issued

Aggregate Nominal Value of issued shares

Class of Share

Number of shares issued

Aggregate Nominal Value of issued shares

Class of Share

Number of shares issued

Aggregate Nominal Value of issued shares

> Please fill in the total number of issued shares and their total nominal value.

Number of shares issued

1700939

Aggregate Nominal Value of issued shares

£170093.90

List of past and present members (Tick appropriate box)

> Please complete the required information on the attached schedules or in another format agreed by Companies House.

- ☐ There were no changes during the period
☒ A list of changes is enclosed
☐ A full list of members is enclosed

The last full list of members was received on: 28/11/2001

2
28/11/2001
3

> REMEMBER:

Changes to shareholder particulars or details of shares transferred to be **completed each year**
 A full list of shareholders is required with the first and every third Annual Return thereafter
 List shareholders in alphabetical order or provide an index
 List joint shareholders consecutively

Section 4: Details of New Shareholders and Transfers (A) (ii)

- > Please fill in details of any persons or corporate bodies who have become shareholders since the last annual return.
- > Please fill in details of any persons or corporate bodies that have transferred shares since the last annual return.
- > Please use Section 4B to give details of any persons or corporate bodies who have ceased to be shareholders since the last annual return or, in the case of a first return, since the incorporation of the company.
- > Please copy this page if there is not enough space to enter all the company's current shareholders.

Shareholders details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred (If appropriate)	Date of registration of transfer (If appropriate)
Name <u>ADAM NORRIS</u> Address <u>WEENS GREEN FARM SUTTON</u> <u>WICK, BISHOPS SUTTON</u> <u>BRISTOL</u> UK Postcode <u>BS39 5XR</u>	51028 ordinary 10P SHARES	51028 ordinary SHARES OF 10P EACH ISSUED 16.12.2002	16.12.2002
Name <u>HARGREAVES LANSDOWN</u> <u>EMPLOYEE BENEFIT</u> <u>TRUST</u> Address <u>KENDAL HOUSE, 4 BRIGHTON</u> <u>MENS, CLIFTON, BRISTOL</u> UK Postcode <u>BS8 2NX</u>	NIL	2000 ordinary 10P SHARES TRANSFERRED	11.4.2003
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _			
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _			

Section 4B: Details of Former Shareholders

- > Please fill in details of any persons or corporate bodies who have ceased to be shareholders at the date of this return. Also, please give the dates that their shares were transferred.
- > Please copy this page if there is not enough space to enter all the company's former shareholders.

Former shareholders details	Class and number of shares or amount of stock transferred	Date of registration of transfer
Name <u>MS ROACH</u> Address <u>21 DAVID ROAD, STONE BISHOP, BRISTOL</u> UK Postcode <u>BS9 1LT</u>	<u>2000</u> <u>ORDINARY</u> <u>10P SHARES</u>	<u>11.4.03</u>
Name _____ Address _____ UK Postcode _ _ _ _ _		
Name _____ Address _____ UK Postcode _ _ _ _ _		
Name _____ Address _____ UK Postcode _ _ _ _ _		
Name _____ Address _____ UK Postcode _ _ _ _ _		



Companies House

— for the record —

363s Annual Return Declaration

- > When you have checked all the sections of this form, please complete this page and sign the declaration below.
- > If you want to change the made up date of this annual return, please complete 2 below.

1. Declaration

- ☐ I confirm that the details in this annual return are correct as at the made-up-date (shown at 2 below). I enclose the filing fee of £15.

Signature

(Director / Secretary)

Date

9 / 12 / 2003

This date must not be earlier than the return date at 2 below

What to do now

Complete this page then send the whole of the Annual Return and the declaration to the address shown at 4 below.

2. Date of this return

- ☐ This AR is made up to **28/11/2003** If you are making this return up to an earlier date, please give the date here

___ / ___ / ___

Note: The form must be delivered to CH within 28 days of this date

3. Date of next return

- ☐ If you wish to change your next return to a date earlier than **28th November 2004** please give the new date here:

___ / ___ / ___

4. Where to send this form

- ☐ Please return this form to:

Registrar of Companies
Companies House
Crown Way
Cardiff CF14 3UZ

OR

For members of the Hays Document
Exchange service
DX 33050 Cardiff

Have you enclosed the filing fee with the company number written on the reverse of the cheque?

Contact Address

You do not have to give any contact information below, but if you do, it will help Companies House to contact you if there is a query on the form. The contact information that you give will be visible to searchers of the public record.

Contact Name

Telephone number *inc code*

Address

DX number *if applicable*

DX exchange

Postcode