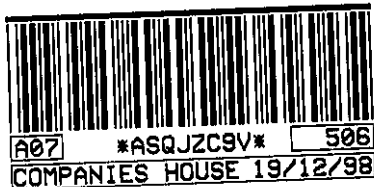




COMPANIES HOUSE

THE REGISTRAR OF COMPANIES
COMPANIES HOUSE
CROWN WAY
CARDIFF
CF4 3UZ



212 9
x1351
029294
363s

Annual Return

of company number 02122142

B

company name
HARGREAVES LANSDOWN PLC

company type
PUBLIC LIMITED COMPANY

This form should be completed in black.

The information printed below is taken from Companies House records as at 07/11/98

If this information requires amendment use the spaces opposite.

Date of this return (See note 1)

The information in this return should be made up to a date not later than

| Day | Month | Year |
|-------|-------|-------|
| 2 8 | 1 1 | 9 8 |

If you are making the return up to an earlier date, show the date here. Please note that the form must be delivered to Companies House within 28 days of this earlier date.

| Day | Month | Year |
|-----|-------|------|
| | | |

Date of next return (See note 2)

If you wish to make your next return to a date earlier than the anniversary of this return please show the date here. Companies House will then send a form at the appropriate time.

| Day | Month | Year |
|-----|-------|------|
| | | |

Registered Office (See note 3)

This is the address registered by Companies House.

KENDAL HOUSE
4 BRIGHTON MEWS
CLIFTON
BRISTOL BS8 2NX

.....
.....
.....
.....

Principal business activities (See note 4)

Trade classification is
7415 MANAGEMENT ACTIVITIES HOLDING COMPS

| | | | |
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If the code cannot be determined from the notes, give a brief description of principal activity.

02122142

Register of members (See note 5)

If the information shown needs amendment, give details below and, for secretary and director particulars, the date of any change.

The register is kept at

REGISTERED OFFICE

| | | |
|--|--|--|
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Register of debenture holders (See note 6)

Any register of debenture holders (or duplicate) is kept at

RO

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|--|--|--|
| | | |
| | | |
| | | |
| | | |

Company Secretary (See note 7)

Particulars of a new secretary **must** be notified on form 288.

| Day | Month | Year |
|-----|-------|------|
| | | |

 Date of any change.

DAVID ANTHONY
OSBORNE
35 VENUS STREET
CONGRESBURY
BRISTOL
BS19 5HA

| | | |
|--|--|--|
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |

If this person has ceased to be secretary, please state when.

| Day | Month | Year |
|-----|-------|------|
| | | |

 Date of resignation.

Directors (See note 7)

Particulars of a new director **must** be notified on form 288.

| Day | Month | Year |
|-----|-------|------|
| | | |

 Date of any change.

MARY THERESA
BARRY
RHODYATE HOUSE WOODHILL
CONGRESBURY
BRISTOL
BS49 5AF

| | | |
|--|--|--|
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |

Date of Birth:- 11/09/58

Nat:BRITISH

Occ:DIRECT MAIL MARKETING DIRECTOR

If this person has ceased to be director, please state when.

| Day | Month | Year |
|-----|-------|------|
| | | |

 Date of resignation.

Show any relevant current and previous directorships.

SEE LIST ATTACHED

02122142

Directors - continued

Particulars.

PETER KENDAL
HARGREAVES
THE ROOKERY
BATTLE LANE CHEW MAGNA
BRISTOL
AVON BS18 8PS

Date of Birth:- 05/10/46

Nat:BRITISH

Occ:BROKER

If this person has ceased to be director, please
state when.

Show any relevant current and previous directorships.

If the information shown needs amendment,
give details below and the date of any change.

| Day | Month | Year |
|-----|-------|------|
| | | |

Date of any change.

| Day | Month | Year |
|-----|-------|------|
| | | |

Date of resignation.

SEE LIST ATTACHED

Particulars.

STEPHEN PHILIP
LANSDOWN
CHAPTERS STOKE PARK ROAD SOUTH
SNEYD PARK
BRISTOL
AVON BS9 1LS

Date of Birth:- 30/08/52

Nat:BRITISH

Occ:BROKER

If this person has ceased to be director, please
state when.

Show any relevant current and previous directorships.

| Day | Month | Year |
|-----|-------|------|
| | | |

Date of any change.

CHAPTERS
STOKE PARK ROAD SOUTH.

| Day | Month | Year |
|-----|-------|------|
| | | |

Date of resignation.

SEE LIST ATTACHED

Particulars.

NO MORE DIRECTORS - ADDITIONAL SECRETARIES
OR DIRECTORS MUST BE NOTIFIED ON FORM 288a.

| Day | Month | Year |
|-----|-------|------|
| | | |

Date of any change.

If this person has ceased to be director, please
state when.

| Day | Month | Year |
|-----|-------|------|
| | | |

Date of resignation.

Show any relevant current and previous directorships.

Issued Share Capital (See note 8)

Class
(eg Ordinary/
Preference etc)

Number of
shares issued

**Aggregate
nominal value**
(ie Number of shares
issued multiplied by
nominal value per share)

| | | |
|-----------------------------------|-----------|----------------------|
| ORDINARY SHARES OF 10p each | 1,574,410 | £ 157,441 |
| Totals | 1,574,410 | £ 157,441 |

List of past and present members

(Use attached schedule where appropriate)

Please mark the appropriate box.

There were no changes in the period

The last full members list was at 28/11/96

| | |
|----------|-----------------|
| on paper | not on paper |
|----------|-----------------|

A list of changes is enclosed

A full list of members is enclosed

Certificate

I certify that the information given in this return is true to the best of my knowledge and belief.

I enclose the fee of **£15.**

Cheques should be made payable to **Companies House**.

Signed

Secretary/~~Director~~
*(delete as appropriate)

Date 27.11.98

This return includes NIL continuation sheets.
(enter number)

Please ensure that you have completed all sections on this page.

To whom should Companies House direct any enquiries about the information shown in this return?----->

DAOSBORNE

REGISTERED OFFICE

Postcode

Telephone 0117 9889880 Ext

LIST OF PAST AND PRESENT MEMBERS

SCHEDULE TO FORM 363

| Company Number: 02122142 | | Account of Shares | | |
|--|--|--|----------------------------------|---------|
| Company Name: HARGREAVES LANSDOWN PLC | Number of shares or amount of stock held by existing members at date of this return. | Particulars of shares transferred since the date of the last return, or, in the case of the first return, since the incorporation of the company, by (a) persons who are still members, and (b) persons who have ceased to be members. | | Remarks |
| Name and address | Number currently held | Number Transferred ISSUED | Date of Registration of Transfer | |
| PETER KENDAL HARGREAVES | | | | |
| THE ROOKERY, BATTLE LANE | 690295 | | | |
| CHEW MAGNA, BRISTOL, BS18 | | | | |
| | | | | |
| PETER K. HARGREAVES 1997 SETTLEMENT | | | | |
| AS ABOVE | 50000 | | | |
| | | | | |
| STEPHEN PHILIP LANSDOWN | | | | |
| CHAPLERS, STOKES PARK ROAD SOUTH, | 715295 | | | |
| SNEYD PARK, BRISTOL, BS9 1LS | | | | |
| | | | | |
| S.P. LANSDOWN SETTLEMENT | | | | |
| AS ABOVE | 25000 | | | |
| | | | | |
| MARY THERESA BARRY | | | | |
| RHODYATE HOUSE, WOODHILL, | 70571 | 29150 | 26.11.98 | |
| CONGRESBURY, BRISTOL BS49 5AF | | | | |
| | | | | |
| HARGREAVES LANSDOWN E.B.T. TRUSTEES | | | | |
| KENDAL HOUSE, BRIGHTON MEWS | 23250 | 23250 | 30.6.98 | |
| CLIFTON, BRISTOL, BS8 2NX | | | | |
| | | | | |
| | | | | |
| | 1574411 | | | |

Continued overleaf

LIST OF PAST AND PRESENT MEMBERS (continued)

SCHEDULE TO FORM 363[illegible]

SCHEDULE OF DIRECTORSHIPS

| | N J Bence | M T Barry | SP Lansdown | P K Hargreaves |
|--|-----------|-----------|-------------|----------------|
| Hargreaves Lansdown Plc | | ✓ | ✓ | ✓ |
| Hargreaves Lansdown Asset Management | ✓ | ✓ | ✓ | ✓ |
| Hargreaves Lansdown Insurance Brokers Ltd | | | ✓ | ✓ |
| Hargreaves Lansdown Stockbrokers Ltd | ✓ | ✓ | ✓ | ✓ |
| Hargreaves Lansdown Pensions Direct Ltd | ✓ | ✓ | ✓ | ✓ |
| Saturn Investment Management Ltd | | | ✓ | ✓ |
| Hargreaves Lansdown EBT Trustees Ltd | | | ✓ | ✓ |
| Hargreaves Lansdown (Nominees) Ltd | | | ✓ | ✓ |
| Professional Indemnity Insurance Consultants Ltd | | | ✓ | ✓ |
| Natwest Smaller Companies Investment Trust Plc | | | | ✓ |
| Natwest Smaller Companies Ltd | | | | ✓ |
| Hargreaves Lansdown Fund Managers Ltd | ✓ | ✓ | ✓ | ✓ |
| Bristol City Holdings Plc | | | ✓ | |
| Bristol City Football Club Ltd | | | ✓ | |
| Pro Ven Holdings Ltd | | | ✓ | |

SCHEDULE OF DIRECTORSHIPS

| | N J Bence | M T Barry | S P Lansdown | P K Hargreaves |
|--|-----------|-----------|--------------|----------------|
| Hargreaves Lansdown Plc | | ✓ | ✓ | ✓ |
| Hargreaves Lansdown Asset Management | ✓ | ✓ | ✓ | ✓ |
| Hargreaves Lansdown Insurance Brokers Ltd | | | ✓ | ✓ |
| Hargreaves Lansdown Stockbrokers Ltd | ✓ | ✓ | ✓ | ✓ |
| Hargreaves Lansdown Pensions Direct Ltd | ✓ | ✓ | ✓ | ✓ |
| Saturn Investment Management Ltd | | | ✓ | ✓ |
| Hargreaves Lansdown EBT Trustees Ltd | | | ✓ | ✓ |
| Hargreaves Lansdown (Nominees) Ltd | | | ✓ | ✓ |
| Professional Indemnity Insurance Consultants Ltd | | | ✓ | ✓ |
| Natwest Smaller Companies Investment Trust Plc | | | | ✓ |
| Natwest Smaller Companies Ltd | | | | ✓ |
| Hargreaves Lansdown Fund Managers Ltd | ✓ | ✓ | ✓ | ✓ |
| Bristol City Holdings Plc | | | ✓ | |
| Bristol City Football Club Ltd | | | ✓ | |
| Pro Ven Holdings Ltd | | | ✓ | |