



**BLUEPRINT**  
Company Secretary

Unwobank & Swetz / 004550 / £405 x27  
**363a**

## Annual Return

Company Number **2099086**

Company Name in full **UBS SERVICES LIMITED**



**\* F 3 6 3 A C 5 0 \***

**Date of this return** (See note 1)

The information in this return is made up to

Day Month Year

**08 04 97**

**Date of next return** (See note 2)

If you wish to make your next return to a date earlier than the anniversary of this return please show the date here. Companies House will then send a form at the appropriate time.

Day Month Year

**08 04 98**

**Registered Office** (See note 3)

Show here the address **at the date of this return.**

Any change of registered office **must** be notified on form 287.

Post town

County / Region

Postcode

**100 LIVERPOOL STREET**

**LONDON**

**EC2M 2RH**

### Principal business activities

(See note 4)

Show trade classification code number(s) for the principal activity or activities.

**7011**

If the code number cannot be determined, give a brief description of principal activity.



**A01 \*AGUGGVNY\* 494**  
**COMPANIES HOUSE 13/05/97**  
**COMPANIES HOUSE 25/04/97**

When you have completed and signed the form please send it to  
**Companies House, Crown Way, Cardiff, CF4 3UZ DX 33050 Cardiff**  
for companies registered in England and Wales or  
**Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB**  
for companies registered in Scotland **DX 235 Edinburgh**

**Register of members** (See note 5)

If the register of members is not kept at the registered office, state here where it is kept.

Post town  
County / Region

Postcode

**Register of Debenture holders**

(See note 6)

If the register of members is not kept at the registered office, state here where it is kept.

Post town  
County / Region

Postcode

**Company type** (See note 7)

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

Please mark the appropriate box

**Company Secretary** (See notes 1-5)

(Please photocopy this area to provide details of joint secretaries).

Name \* Style / Title

Forename(s)

Surname

\* Voluntary details.

Previous forename(s)

Previous surname(s)

Address

**Usual residential address** must be given. In the case of a corporation, give the registered or principal office address.

Post town  
County / Region  
Country

Details of a new company secretary must be notified on form 288a.

\* Honours etc

PAUL EDWARD

HARE

12A WESTSIDE

68 FORTIS GREEN

LONDON

Postcode N2 9ES

ENGLAND

**Directors** (See notes 1-5)

Please list directors in alphabetical order.

Details of a new directors must be notified on form 288a.

<b>Name</b>	* Style / Title		Day	Month	Year	
	* Honours etc		Date of birth	12	02	49
Forename(s)	JAMES					
Surname	McKECHNIE					
Previous forename(s)	286C					
Previous surname(s)						
<b>Address</b>	10 THE DROVEWAY					
Post town	HAYWARDS HEATH					
County / Region	WEST SUSSEX	Postcode	RH16 1LL			
Country	ENGLAND	Nationality	BRITISH			
<b>Business occupation</b>	UKSI: HEAD OF SUPPORT					
<b>Other directorships</b>	(See continuation sheet).					

\* Voluntary details.

<b>Directors</b>	<b>Name</b>	* Style / Title		Day	Month	Year	
		* Honours etc		Date of birth	02	09	49
	Forename(s)	DAVID ANTHONY					
	Surname	ROBINS					
	Previous forename(s)						
	Previous surname(s)						
<b>Address</b>	'FAIRACRE'						
	CAMDEN PARK ROAD						
Post town	CHISLEHURST						
County / Region	KENT	Postcode	BR7 5HL				
Country	ENGLAND	Nationality	BRITISH				
<b>Business occupation</b>	CHIEF EXECUTIVE OFFICER - EURM						
<b>Other directorships</b>	(See continuation sheet).						

**Usual residential address** must be given. In the case of a corporation, give the registered or principal office address.

**Issued share capital** (See notes 9)

Enter details of all the shares in issue at the date of this return.

Class (e.g. Ordinary/Preference)	Number of shares issued	Aggregate Nominal Value (i.e. Number of shares issued multiplied by nominal value per share)
Ordinary Shares	2	2.000
<b>Totals</b>	2	2.000

**List of past and present members**

(Use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

(see note 10)

There were no changes in the period

☐

on paper

in another format

A list of changes is enclosed

☒
☐

A full list of members is enclosed

☐
☐
**Elective resolutions**

(Private companies only)

(see note 11)

If at the date of this return an election is in force to dispense with annual general meetings, mark this box

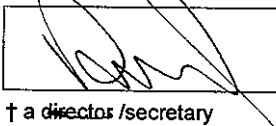
☐

If at the date of this return an election is in force to dispense with laying accounts in general meetings, mark this box

☐
**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief.

**Signed**



**Date**

23/4/97

† Please delete as appropriate.

† a director / secretary

When you have signed the return send it with the fee to the Registrar of Companies. Cheques should be made payable to **Companies House**.

This return includes

3

continuation sheets.

(enter number)

Please give the name, address, telephone number, and if available, a DX number and Exchange, for the person Companies House should contact if there is any query.

Paul Hare  
Assistant Vice President  
Union Bank of Switzerland  
100 Liverpool Street  
London EC2M 2RH

**Directors** (Continued)

Please list directors in alphabetical order.

Details of a new directors must be notified on form 288a.

<b>Name</b>	* Style / Title	MR			Day	Month	Year	
	* Honours etc				Date of birth	05	04	54
	Forename(s)	PHILIP						
	Surname	SMITH						
	Previous forename(s)							
	Previous surname(s)							
<b>Address</b>		THE HURST						
		ASHWOOD ROAD						
	Post town	WOKING						
	County / Region	SURREY			Postcode	GU22 7JW		
	Country	ENGLAND			Nationality	BRITISH		
	<b>Business occupation</b>	BANKER						
	<b>Other directorships</b>	None						

**Usual residential address** must be given. In the case of a corporation, give the registered or principal office address.

\* Voluntary details.

<b>Directors</b>	<b>Name</b>	* Style / Title				Day	Month	Year	
		* Honours etc				Date of birth	19	08	45
	Forename(s)	ROBERT LIONEL							
	Surname	TIMMS							
	Previous forename(s)								
	Previous surname(s)								
<b>Address</b>		73 GARNET STREET							
	Post town	LONDON							
	County / Region				Postcode	E1 9QT			
	Country	ENGLAND			Nationality	BRITISH			
	<b>Business occupation</b>	EXECUTIVE DIRECTOR: HEAD OF PERSONNEL							
	<b>Other directorships</b>	(See continuation sheet).							

**Usual residential address** must be given. In the case of a corporation, give the registered or principal office address.

**Directors** (Continued)

Please list directors in alphabetical order.

Details of a new directors must be notified on form 288a.

<b>Name</b>	* Style / Title				Day	Month	Year	
	* Honours etc				Date of birth	06	05	56
	Forename(s)	MICHAEL JEFFREY						
	Surname	WILLEY						
	Previous forename(s)							
	Previous surname(s)							
<b>Address</b>	9 PROSPECT CLOSE							
	Post town	DRAYTON PARSLOW						
	County / Region	BUCKS	Postcode	MK17 0JB				
	Country	ENGLAND	Nationality	BRITISH				
	Business occupation	MANAGING DIRECTOR UKFA						
	Other directorships	(See continuation sheet).						

\* Voluntary details.

<b>Directors</b>	<b>Name</b>	* Style / Title				Day	Month	Year	
		* Honours etc				Date of birth	08	01	47
		Forename(s)	HANSRUEDI						
		Surname	WOLFENSBERGER						
		Previous forename(s)							
		Previous surname(s)							
	<b>Address</b>	FLAT 43							
		50 KENSINGTON GARDENS							
		Post town	LONDON						
		County / Region		Postcode	W2 4BA				
		Country	ENGLAND	Nationality	SWISS				
		Business occupation	SVP: CHIEF INFORMATION OFFICER						
		Other directorships	None						

Company Number 2099086

Company Name in full UBS SERVICES LIMITED

Current directorships for JAMES McKECHNIE

BROADGATE CIRCLE MANAGEMENT PUBLIC LIMITED COMPANY

WATERFIELDS LIMITED

Past directorships for JAMES McKECHNIE

HILL SAMUEL BANK LIMITED (11-Feb-1994)

WATERFIELDS LIMITED (29-Apr-1994)

Current directorships for DAVID ANTHONY ROBINS

CEDEL BANK

CEDEL INTERNATIONAL

CROWE PRIVATE INVESTORS PLC

SBG (DEUTSCHLAND) AG

UBS FINANCE NV

Past directorships for DAVID ANTHONY ROBINS

UBS SECURITIES LIMITED (31-Dec-1993)

Current directorships for ROBERT LIONEL TIMMS

DOLLARPOST LIMITED

Current directorships for MICHAEL JEFFREY WILLEY

BROADGATE CIRCLE MANAGEMENT PUBLIC LIMITED COMPANY

**List of past and present members  
Schedule to form 363a and 363b**

**Company Number** 2099086

**Company Name in full** UBS SERVICES LIMITED

Number of shares  
or amount of  
stock held by  
existing members  
at date of this  
return.

Particulars of shares or stock transferred since  
the date of the last return (or in the case of the  
first return, since the incorporation of the  
company) by  
(a) persons who are still members, and  
(b) persons who have ceased to be members.

Name and address	Number or amount currently held	Number or amount Transferred	Date of registration of transfer	Remarks
RUDOLF GOTTFRIED MUELLER				
8 CUMBERLAND TERRACE, REGENTS PARK, LONDON, NW1 4HS, ENGLAND				
Ordinary Shares	0			
		1	09-Sep-1996	NOMINEE (UBS UK LTD)
DAVID ANTHONY ROBINS				
'FAIRACRE', CAMDEN PARK ROAD, CHISLEHURST, KENT, BR7 5HL, ENGLAND				
Ordinary Shares	1			