

Please complete in typescript,  
or in bold black capitals.

## Annual Return

CHFP010

**Company Number**

2019774

**Company Name in full**

Citigroup Global Markets U.K. Equity Limited

### Date of this return

The information in this return is made up  
to

Day		Month		Year		
2	8	0	3	2	0	5

### Date of next return

If you wish to make your next return to a  
date earlier than the anniversary of this  
return please show the date here.  
Companies House will then send a form at  
the appropriate time.

Day		Month		Year		

### Registered Office

Show here the address **at the date of  
this return.**

Citigroup Centre, Canada Square, Canary Wharf

Any change of  
registered office **must**  
be notified on form  
287.

Post town

London

County / Region

UK Postcode

E14 5LB

### Principal business activities

Show trade classification code number(s)  
for the principal activity or activities.

6523

If the code number cannot be determined,  
give a brief description of principal  
activity.



When you have completed and signed the form please send it to the  
Registrar of Companies at:

**Companies House, Crown Way, Cardiff, CF14 3UZ**

**DX 33050 Cardiff**

for companies registered in England and Wales

or

**Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB**

for companies registered in Scotland

**DX 235 Edinburgh**

**Register of members**

If the register of members is not kept at the registered office, state here where it is kept.

Citigroup Centre, Canada Square, Canary Wharf

Post town London

County / Region UK Postcode E14 5LB

**Register of Debenture holders**

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state where it is kept.

Post town

County / Region UK Postcode

**Company type**

Public limited company

☐

Private company limited by shares

☒

Private company limited by guarantee without share capital

☐

Private company limited by shares exempt under section 30

☐

Private company limited by guarantee exempt under section 30

☐

Private unlimited company with share capital

☐

Private unlimited company without share capital

☐

Please tick the appropriate box

**Company Secretary**

Details of a new company secretary must be notified on form 288a.

(Please photocopy this area to provide details of joint secretaries).

Name

\* Style / Title

Forename(s)

Andrew Martin

Surname

Gaulter

Address

Willows End, 39 Linden Grove

If a partnership give the names and addresses of the partners or the name of the partnership and office address.

Post town

Walton-on-Thames

County / Region

Surrey

UK Postcode

KT12 1EY

Country

**Usual residential address** must be given. In the case of a corporation, give the registered or principal office address.

**Directors***Please list directors in alphabetical order.***Details of new directors must be notified on form 288a**

**Directors** In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

<b>Name</b>	* Style / Title	Sir		
		Day	Month	Year
	Date of birth	1	0	0
		5	1	9
		4	1	
	Forename(s)	Winfried Franz Wilhelm		
	Surname	Bischoff		
<b>Address</b>		28 Bloomfield Terrace		
	Post town	London		
	County / Region			UK Postcode   SW1W 8PQ
	Country			Nationality   German/British
<b>Business occupation</b>		Banker		

\* Voluntary details.

**Directors** In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

<b>Name</b>	* Style / Title	Mr		
		Day	Month	Year
	Date of birth	1	1	0
		3	1	9
		4	3	
	Forename(s)	David John		
	Surname	Challen		
<b>Address</b>		33 Canada Square, Canary Wharf		
	Post town	London		
	County / Region			UK Postcode   E14 5LB
	Country	UK		Nationality   British
<b>Business occupation</b>		Investment Banker		

**Directors**

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

**Directors** In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

<b>Name</b>	* Style / Title	Mr	
		Day	Month
		Year	
Date of birth		1	2
		1	1
		1	9
		6	3
Forename(s)	Michael Stuart		
Surname	Klein		
Address	21 Wilton Place		
Post town	London		
County / Region		UK Postcode	SW1 X8RL
Country	UK	Nationality	American
Business occupation	Banker		

\* Voluntary details.

<b>Name</b>	* Style / Title		
		Day	Month
		Year	
Date of birth		2	4
		0	9
		1	9
		5	5
Forename(s)	William Joseph		
Surname	Mills		
Address	Flat 37/38, 35-37 Grosvenor Square		
Post town	London		
County / Region		UK Postcode	W1K 2HN
Country		Nationality	American
Business occupation	Banker		

**Directors**

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

**Directors** In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Name \* Style / Title Mr

Day Month Year  
Date of birth 2 2 0 2 1 9 6 6

Forename(s) Andrew Neil

Surname Pitt

Address 17 Parkgate, Blackheath

**Usual residential address** must be given. In the case of a corporation, give the registered or principal office address.

Post town London

County / Region  UK Postcode SE3 9XF

Country  Nationality British

Business occupation Head of European Equity Research

\* Voluntary details.

Name \* Style / Title

Day Month Year  
Date of birth 2 8 1 1 1 9 3 5

Forename(s) John Joseph

Surname Roche

Address 969 Fifth Avenue Apt 14

**Usual residential address** must be given. In the case of a corporation, give the registered or principal office address.

Post town New York

County / Region NY 10021 UK Postcode

Country USA Nationality American

Business occupation Attorney

**Directors***Please list directors in alphabetical order.***Details of new directors must be notified on form 288a**

**Directors** In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

<b>Name</b>	* Style / Title			
	Day	Month	Year	
Date of birth	1	4	0	1
	1	9	6	0
Forename(s)	Francesco Paolo			
Surname	Vanni d'Archirafi			
<b>Address</b>	Flat 11, 25 Lowndes Square			
Post town	London			
County / Region			UK Postcode	SW1
Country	United Kingdom		Nationality	Italian
<b>Business occupation</b>	Banker			

\* Voluntary details.

<b>Name</b>	* Style / Title			
	Day	Month	Year	
Date of birth				
Forename(s)				
Surname				
<b>Address</b>				
Post town				
County / Region			UK Postcode	
Country			Nationality	
<b>Business occupation</b>				

**Issued share capital**

Enter details of all the shares in issue at the date of this return.

**Class**  
(e.g. Ordinary/Preference)**Number of shares issued****Aggregate Nominal Value**

(i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)

See attached schedule

**Totals****List of past and present shareholders**

(use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

There were no changes in the period

X

on paper

in another format

A list of changes is enclosed

A full list of shareholders is enclosed

X

**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief.

**Signed****Date**

8 April 2005

† Please delete as appropriate.

† a director / secretary

When you have signed the return send it with the fee to the Registrar of Companies.  
Cheques should be made payable to **Companies House.**

This return includes

3

(enter number)

continuation sheets.

Please give the name, address, telephone number and, if available, a DX number and Exchange of the person Companies House should contact if there is any query.

Nikki Menditta, Citigroup Centre (17th Floor), Canada

Square, Canary Wharf, London, E14 5LB

Tel

DX number

DX exchange

**Issued share capital  
Schedule to form 363a**

CHFP010      **Company Number** | 2019774

**Company Name in full** | Citigroup Global Markets U.K. Equity Limited

**Currency** | Pounds Sterling

**Issued share capital**

Enter details of all the shares in issue  
at the date of this return.

**Class**  
(e.g. Ordinary/Preference)

**Number of shares  
issued**

**Aggregate Nominal  
Value**  
(i.e. Number of shares issued  
multiplied by nominal value per  
share, or total amount of stock)

ORDINARY £1	65,000,000	£65,000,000.00
<b>Totals</b>	65,000,000	65,000,000.00



## Issued share capital Schedule to form 363a

CHFP010      **Company Number** | 2019774

**Company Name in full** | Citigroup Global Markets U.K. Equity Limited

**Currency** | US Dollar

### Issued share capital

Enter details of all the shares in issue  
at the date of this return.

**Class**  
(e.g. Ordinary/Preference)

**Number of shares  
issued**

**Aggregate Nominal  
Value**  
(i.e. Number of shares issued  
multiplied by nominal value per  
share, or total amount of stock)

ORDINARY US\$1	0	US\$0.00
<b>Totals</b>	0	0.00

## List of past and present shareholders Schedule to form 363a

CHFP010

**Company Number** | 2019774

**Company Name in full** | Citigroup Global Markets U.K. Equity Limited

- Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a "full list" of all the company shareholders on:
  - The company's first annual return following the incorporation;
  - Every third annual return after a full list has been provided
- List the company shareholders in alphabetical order or provide an index
- List joint shareholders consecutively

Shareholders' details	Shares or amount of stock transferred <i>(if appropriate)</i>		
	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred	Date of registration of transfer
Name Citigroup Global Markets Europe Limited <hr/> Address Citigroup Centre, Canada Square, Canary Wharf, London <hr/> UK postcode   E14 5LB	£1.00 ORDINARY £1     Shares Held 65,000,000		
Name <hr/> Address <hr/> UK postcode			
Name <hr/> Address <hr/> UK postcode			