



BLUEPRINT
Company Secretary

Please complete in typescript,
or in bold black capitals.

CHFP055

363a

NW
EJ0x2
032415

Annual Return

Company Number | 2019774

Company Name in full | Salomon Brothers U.K. Equity Limited

Date of this return

The information in this return is made up to

Day		Month		Year			
2	8	0	3	2	0	0	1

Date of next return

If you wish to make your next return
to a date earlier than the anniversary
of this return please show the date here.
Companies House will then send a form
at the appropriate time.

Day		Month		Year			

Registered Office

Show here the address **at the date of
this return.**

VICTORIA PLAZA

111 BUCKINGHAM PALACE ROAD

Any change of
registered office
must be notified
on form 287.

Post town | LONDON

County / Region |

UK Postcode | SW1W 0SB

Principal business activities

Show trade classification code number(s)
for the principal activity or activities.

6523

If the code number cannot be determined,
give a brief description of principal activity.

When you have completed and signed the form please send it to the
Registrar of Companies at:

Companies House, Crown Way, Cardiff, CF14 3UZ DX 33050 Cardiff
for companies registered in England and Wales or

Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB
for companies registered in Scotland **DX 235 Edinburgh**



Register of members

If the register of members is not kept at the registered office, state here where it is kept.

VICTORIA PLAZA

111 BUCKINGHAM PALACE ROAD

Post town LONDON

County / Region

UK Postcode SW1W 0SB

Register of Debenture holders

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state here where it is kept.

Post town

County / Region

UK Postcode

Company type

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

<input type="checkbox"/>
<input checked="" type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>

Please tick the appropriate box

Company Secretary

Details of a new company secretary must be notified on form 288a.

(Please photocopy this area to provide details of joint secretaries).

Name

* Style / Title

Forename(s)

Andrew Martin

* Voluntary details.

Surname

Gaulter

If a partnership give the names and addresses of the partners or the name of the partnership and office address.

Address

Willows End

39 Linden Grove

Post town

Walton-on-Thames

County / Region

Surrey

UK Postcode

KT12 1EY

Country

Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a.

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Name * Style / Title _____

Day Month Year
Date of birth

2	2	0	4	1	9	4	2
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Forename(s) Edward Frank

Surname Miller

Address

27 Hyde Park Gate

Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Post town London

County / Region _____ UK Postcode SW7 5DJ

Country _____ Nationality Citizen of the USA

Business occupation Banker

* Voluntary details.

Name * Style / Title Mr

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Day Month Year
Date of birth

1	1	0	8	1	9	6	1
---	---	---	---	---	---	---	---

Forename(s) James

Surname O'Donnell

Address

Flat 61
Anchor Brew House
Shad Thames

Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Post town London

County / Region SE1 2LY UK Postcode _____

Country _____ Nationality American

Business occupation Investment Banker

Issued share capital

Enter details of all the shares in issue at the date of this return.

Class
(e.g. Ordinary/Preference)

Number of shares issued

Aggregate Nominal Value
(i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)

Ordinary £1 shares

65,000,000

£65,000,000.000

Totals

65,000,000

£65,000,000.000

List of past and present shareholders

(Use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

There were no changes in the period

☐

on paper in another format

A list of changes is enclosed

☐
☐

A full list of shareholders is enclosed

☒
☐
Certificate

I certify that the information given in this return is true to the best of my knowledge and belief.

Signed

AMG

Date

29 April 2001

† Please delete as appropriate.

† a director /secretary

When you have signed the return send it with the fee to the Registrar of Companies. Cheques should be made payable to **Companies House**.

This return includes

1

continuation sheets.

(enter number)

Please give the name, address, telephone number, and if available, a DX number and Exchange, for the person Companies House should contact if there is any query.

Jill Robson, Schroder Salomon Smith Barney, 120 Cheapside, London

EC2V 6DS

Tel 0207 500 7147

DX number DX exchange

Directors (Continued)

Details of new directors must be notified on form 288a.

Please list directors in alphabetical order.

Name	* Style / Title			
		Day	Month	Year
Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.	Date of birth	2	0	2 1 9 5 2
	Forename(s)	William Meredith		
	Surname	Samuel		
Address		23 Cross Street		
Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.	Post town	London		
	County / Reg.on		UK Postcode	N1 2BH
	Country		Nationality	British
	Business occupation	Banker		

* Voluntary details.

Name	* Style / Title			
		Day	Month	Year
Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.	Date of birth			
	Forename(s)			
	Surname			
Address				
Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.	Post town			
	County / Region		UK Postcode	
	Country		Nationality	
	Business occupation			

List of past and present shareholders **Schedule to form 363a**

CHFP055

Company Number | 2019774

Company Name in full | Salomon Brothers U.K. Equity Limited

- Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a "full list" of all the company shareholders on:
 - The company's first annual return following incorporation;
 - Every third annual return after a full list has been provided
- List the company shareholders in alphabetical order or provide an index
- List joint shareholders consecutively

Shareholders' details	Class and number of shares or amount of stock held	Shares or amount of stock transferred (if appropriate)	
		Class and number of shares or amount of stock transferred	Date of registration of transfer
Name Phibro-Salomon Nominees Limited (Voluntary Liquidation)	Ordinary £1 shares <div>1</div>	<div>1</div>	15-Feb-2001
Address VICTORIA PLAZA, 111 BUCKINGHAM PALACE ROAD, LONDON.			
UK Postcode SW1W 0SB			
Name Salomon Brothers Europe Limited	Ordinary £1 shares <div>64,999,999</div>		
Address VICTORIA PLAZA, 111 BUCKINGHAM PALACE ROAD, LONDON.			
UK Postcode SW1W 0SB			
Name <div></div>			
Address <div></div>			
UK Postcode			