



297480/30

363a

Please complete in typescript,
or in bold black capitals.

Annual Return

CHFP010.

Company Number

2019774

Company Name in full

Citigroup Global Markets U.K. Equity Limited

Date of this return

The information in this return is made up
to

Day	Month	Year
28	03	2004

Date of next return

If you wish to make your next return to a
date earlier than the anniversary of this
return please show the date here.
Companies House will then send a form at
the appropriate time.

Day	Month	Year

Registered Office

Show here the address at the date of
this return.

Citigroup Centre, Canada Square, Canary Wharf

Any change of
registered office **must**
be notified on form
287.

Post town

London

County / Region

UK Postcode

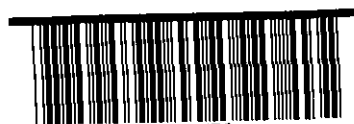
E14 5LB

Principal business activities

Show trade classification code number(s)
for the principal activity or activities.

6523

If the code number cannot be determined,
give a brief description of principal
activity.

ED6
COMPANIES HOUSE0023
20/04/04

When you have completed and signed the form please send it to the
Registrar of Companies at:

Companies House, Crown Way, Cardiff, CF14 3UZ
for companies registered in England and Wales

DX 33050 Cardiff

or

Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB
for companies registered in Scotland

DX 235 Edinburgh

Register of members

If the register of members is not kept at the registered office, state here where it is kept.

Post town

County / Region

UK Postcode

Register of Debenture holders

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state where it is kept.

Post town

County / Region

UK Postcode

Company type

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

<input type="checkbox"/>
<input checked="" type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>

Please tick the appropriate box

Company Secretary

(Please photocopy this area to provide details of joint secretaries).

* Voluntary details.

If a partnership give the names and addresses of the partners or the name of the partnership and office address.

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Name

* Style / Title

Mr

Forename(s)

Andrew Martin

Surname

Gaulter

Address

Willows End, 39 Linden Grove

Post town

Walton-on-Thames

County / Region

Surrey

UK Postcode

KT12 1EY

Country

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Name	* Style / Title	Mr		
		Day	Month	Year
	Date of birth	1	1	0 2 1 9 3 7
	Forename(s)	John Walter		
	Surname	Anderson		
Address		Flat 65, Westminster Square, 126 Westmintser Bridge		
		Road		
	Post town	London		
	County / Region		UK Postcode	SE1 7UN
	Country		Nationality	British
Business occupation		Banker		

* Voluntary details.

Name	* Style / Title	Sir		
		Day	Month	Year
	Date of birth	1	0	0 5 1 9 4 1
	Forename(s)	Winfried Franz Wilhelm		
	Surname	Bischoff		
Address		28 Bloomfield Terrace		
	Post town	London		
	County / Region		UK Postcode	SW1W 8PQ
	Country		Nationality	German/British
Business occupation		Banker		

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Name

* Style / Title

Mr

Date of birth

Day Month Year
1 2 1 1 1 9 6 3

Forename(s) Michael Stuart

Surname Klein

Address

21 Wilton Place

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town London

County / Region

UK Postcode SW1 X8RL

Country UK

Nationality American

Business occupation Banker

* Voluntary details.

Name

* Style / Title

Mr

Date of birth

Day Month Year
2 4 0 9 1 9 5 5

Forename(s) William Joseph

Surname Mills

Address

Flat 37/38, 35-37 Grosvenor Square

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town London

County / Region

UK Postcode W1K 2HN

Country

Nationality American

Business occupation Banker

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Name	* Style / Title	Mr							
		Day	Month	Year					
Date of birth		2	2	0	2	1	9	6	6
Forename(s)	Andrew Neil								
Surname	Pitt								
Address	17 Parkgate, Blackheath								
Post town	London								
County / Region					UK Postcode	SE3 9XF			
Country					Nationality	British			
Business occupation	Head of European Equity Research								

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

* Voluntary details.

Name	* Style / Title	Mr							
		Day	Month	Year					
Date of birth		2	8	1	1	1	9	3	5
Forename(s)	John Joseph								
Surname	Roche								
Address	969 Fifth Avenue, Apt 14								
Post town	New York								
County / Region	NY 10021				UK Postcode				
Country	USA				Nationality	American			
Business occupation	Attorney								

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Name

* Style / Title Mr

Date of birth

Day		Month		Year			
1	4	0	1	1	9	6	0

Forename(s) Francesco Paolo

Surname Vanni d'Archirafi

Address

Flat 11, 25 Lowndes Square

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town London

County / Region UK Postcode SW1

Country United Kingdom Nationality Italian

Business occupation Banker

* Voluntary details.

Name

* Style / Title Mr

Date of birth

Day		Month		Year			
2	8	1	1	1	9	3	5

Forename(s) John Joseph

Surname Roche

Address

969 Fifth Avenue, Apt 14

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town New York

County / Region NY 10021

UK Postcode

Country USA

Nationality American

Business occupation Attorney

Issued share capital

Enter details of all the shares in issue at the date of this return.

	Class (e.g. Ordinary/Preference)	Number of shares issued	Aggregate Nominal Value (i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)
See attached schedule			
Totals			

List of past and present shareholders

(use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

There were no changes in the period

☒

on paper

in another format

A list of changes is enclosed

☐☐

A full list of shareholders is enclosed

☒☐**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief.

Signed

AM Galt

Date

19 April 2004

† Please delete as appropriate.

† a director / secretary

When you have signed the return send it with the fee to the Registrar of Companies.

Cheques should be made payable to **Companies House**.

This return includes

3

continuation sheets.

(enter number)

Please give the name, address, telephone number and, if available, a DX number and Exchange of the person Companies House should contact if there is any query.

Nikki Menditta, Citigroup Centre (17th Floor), Canada

Square, Canary Wharf, London, E14 5LB

Tel

DX number

DX exchange

**Issued share capital
Schedule to form 363a**

CHFP010 **Company Number** | 2019774

Company Name in full | Citigroup Global Markets U.K. Equity Limited

Currency | Pounds Sterling

Issued share capital

Enter details of all the shares in issue
at the date of this return.

Class
(e.g. Ordinary/Preference)

**Number of shares
issued**

**Aggregate Nominal
Value**
(i.e. Number of shares issued
multiplied by nominal value per
share, or total amount of stock)

ORDINARY £1	65,000,000	£65,000,000.00
Totals	65,000,000	65,000,000.00

Issued share capital Schedule to form 363a

CHFP010

Company Number | 2019774

Company Name in full | Citigroup Global Markets U.K. Equity Limited

Currency | US Dollar

Issued share capital

Enter details of all the shares in issue
at the date of this return.

Class
(e.g. Ordinary/Preference)

**Number of shares
issued**

**Aggregate Nominal
Value**
(i.e. Number of shares issued
multiplied by nominal value per
share, or total amount of stock)

ORDINARY US\$1	0	US\$0.00
Totals	0	0.00

List of past and present shareholders Schedule to form 363a

CHFP010

Company Number | 2019774

Company Name in full | Citigroup Global Markets U.K. Equity Limited

- Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a "full list" of all the company shareholders on:
 - The company's first annual return following the incorporation;
 - Every third annual return after a full list has been provided
- List the company shareholders in alphabetical order or provide an index
- List joint shareholders consecutively

Shareholders' details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred	Shares or amount of stock transferred (if appropriate) Date of registration of transfer
Name Citigroup Global Markets Europe Limited Address Citigroup Centre, Canada Square, Canary Wharf, London UK postcode E14 5LB	£1.00 ORDINARY £1 Shares Held 65,000,000		
Name Address UK postcode			
Name Address UK postcode			