

Please complete in typescript,
or in bold black capitals.

Annual Return

013609/15

CHEP010

Company Number

1931397

Company Name in full

UBS UK HOLDING LIMITED

Date of this return

The information in this return is made up
to

Day		Month		Year			
0	8	0	4	2	0	0	2

Date of next return

If you wish to make your next return to a
date earlier than the anniversary of this
return please show the date here.
Companies House will then send a form at
the appropriate time.

Day		Month		Year			

Registered Office

Show here the address at the date of
this return.

1 Finsbury Avenue

Any change of
registered office **must**
be notified on form
287.

Post town

London

County / Region

UK Postcode

EC2M 2PP

Principal business activities

Show trade classification code number(s)
for the principal activity or activities.

7415

If the code number cannot be determined,
give a brief description of principal
activity.



When you have completed and signed the form please send it to the
Registrar of Companies at:

Companies House, Crown Way, Cardiff, CF14 3UZ

DX 33050 Cardiff

for companies registered in England and Wales
or

Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB

for companies registered in Scotland

DX 235 Edinburgh

Register of members

If the register of members is not kept at the registered office, state here where it is kept.

Post town

County / Region

UK Postcode

Register of Debenture holders

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state where it is kept.

Post town

County / Region

UK Postcode

Company type

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

<input type="checkbox"/>
<input checked="" type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>

Please tick the appropriate box

Company Secretary

(Please photocopy this area to provide details of joint secretaries).

* Voluntary details.

If a partnership give the names and addresses of the partners or the name of the partnership and office address.

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Name

* Style / Title

Forename(s)

Surname

Address

Post town

County / Region

Country

Details of a new company secretary must be notified on form 288a.

PAUL EDWARD

HARE

39 CLOUDESLEY ROAD, BARNSBURY, ISLINGTON

LONDON

UK Postcode

N1 0EL

UNITED KINGDOM

Directors*Please list directors in alphabetical order.***Details of new directors must be notified on form 288a**

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Name * Style / Title

Day Month Year
Date of birth 2 9 0 6 1 9 6 3

Forename(s) NICHOLAS JONATHAN BRUCE

Surname ANDERSON

Address

106 Grove End Gardens, Grove End Road

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town London

County / Region UK Postcode NW8 9LP

Country ENGLAND Nationality BRITISH

Business occupation CHARTERED ACCOUNTANT

* Voluntary details.

Name * Style / Title MR

Day Month Year
Date of birth 0 6 0 7 1 9 6 2

Forename(s) MICHAEL ARTHUR

Surname KERRISON

Address

BEECHBANK, MOUNT PARK AVENUE

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town HARROW-ON-THE-HILL

County / Region MIDDLESEX UK Postcode HA1 3JN

Country ENGLAND Nationality BRITISH

Business occupation DIRECTOR

Directors*Please list directors in alphabetical order.***Details of new directors must be notified on form 288a**

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Name * Style / Title _____

Day Month Year
Date of birth 2 2 1 0 1 9 6 5

Forename(s) PHILIP IAN

Surname PRICE

Address 22 VANBURGH HOUSE, 40 FOLGATE STREET

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town LONDON

County / Region UK Postcode E1 6UL

Country ENGLAND Nationality BRITISH

Business occupation LEGAL COUNSEL

* Voluntary details.

Name * Style / Title MR

Day Month Year
Date of birth 0 6 0 2 1 9 6 4

Forename(s) SEAN ENDA

Surname RYAN

Address MELROSE, ST GEORGES AVENUE

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town WEYBRIDGE

County / Region SURREY UK Postcode KT13 0DG

Country ENGLAND Nationality IRISH

Business occupation DIRECTOR

Directors*Please list directors in alphabetical order.***Details of new directors must be notified on form 288a**

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Name	* Style / Title	Mrs.		
		Day	Month	Year
Date of birth		1	1	0 2 1 9 4 9
Forename(s)	SALLY ANN			
Surname	JAMES			
Address	1 SUMMERFIELD			
Post town	CAMBRIDGE			
County / Region	CAMBRIDGESHIRE	UK Postcode	CB3 9HE	
Country	ENGLAND	Nationality	British	
Business occupation	EUROPEAN GENERAL COUNSEL			

* Voluntary details.

Name	* Style / Title			
		Day	Month	Year
Date of birth				
Forename(s)				
Surname				
Address				
Post town				
County / Region		UK Postcode		
Country		Nationality		
Business occupation				

Issued share capital

Enter details of all the shares in issue at the date of this return.

Class (e.g. Ordinary/Preference)	Number of shares issued	Aggregate Nominal Value (i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)
ORDINARY	5,000,000	£5,000,000.00
Totals	5,000,000	5,000,000.00

List of past and present shareholders

(use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

There were no changes in the period

☒

on paper

in another format

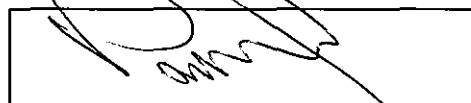
A list of changes is enclosed

☐☐

A full list of shareholders is enclosed

☒☐**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief.

Signed**Date**

20 APR 2002

† Please delete as appropriate.

† a director / secretary

When you have signed the return send it with the fee to the Registrar of Companies.

Cheques should be made payable to Companies House.

This return includes

1

continuation sheets.

(enter number)

Please give the name, address, telephone number and, if available, a DX number and Exchange of the person Companies House should contact if there is any query.

PAUL HARE, UBS WARBURG COMPANY SECRETARY,

100 LIVERPOOL STREET, LONDON, EC2M 2RH, ENGLAND

Tel

DX number

DX exchange

List of past and present shareholders Schedule to form 363a

CHFP010

Company Number 1931397

Company Name in full UBS UK HOLDING LIMITED

- Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a "full list" of all the company shareholders on:
 - The company's first annual return following the incorporation;
 - Every third annual return after a full list has been provided
- List the company shareholders in alphabetical order or provide an index
- List joint shareholders consecutively

Shareholders' details	Shares or amount of stock transferred <i>(if appropriate)</i>		
	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred	Date of registration of transfer
Name UBS AG Address BAHNHOFSTRASSE 45, CH-8001 ZURICH, SWITZERLAND UK postcode <u> </u>	£1.00 ORDINARY Shares Held 5,000,000		
Name Address UK postcode <u> </u>			
Name Address UK postcode <u> </u>			