

BLUEPRINT

2000

363a

002553/30

Please complete in typescript,
or in bold black capitals

Annual Return

CHFP010

Company Number

1931397

Company Name in full

UBS UK HOLDING LIMITED

Date of this return

The information in this return is made up
to

Day		Month		Year			
0	8	0	4	2	0	0	8

Date of next return

If you wish to make your next return to a
date earlier than the anniversary of this
return please show the date here
Companies House will then send a form
at the appropriate time

Day		Month		Year			

Registered Office

Show here the address at the date of
this return.

1 Finsbury Avenue

Any change of
registered office **must**
be notified on form
287

Post town

London

County / Region

UK Postcode

EC2M 2PP

Principal business activities

Show trade classification code number(s)
for the principal activity or activities

7415

If the code number cannot be determined,
give a brief description of principal
activity

When you have completed and signed the form please send it to the
Registrar of Companies at
Companies House, Crown Way, Cardiff, CF14 3UZ DX 33050 Cardiff
for companies registered in England and Wales
or
Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB
for companies registered in Scotland DX 235 Edinburgh

WEDNESDAY



A89

AIE7NZBA

30/04/2008

3

COMPANIES HOUSE

Register of members

If the register of members is not kept at the registered office, state here where it is kept

Post town

County / Region

UK Postcode

Register of Debenture holders

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state where it is kept

Post town

County / Region

UK Postcode

Company type

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

<input type="checkbox"/>
<input checked="" type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>

Please tick the appropriate box

Company Secretary**Details of a new company secretary must be notified on form 288a.**

(Please photocopy this area to provide details of joint secretaries)

Name

* Style / Title

Forename(s)

JOHN STUART

Surname

MITCHELL-HEWSON

Address ††

100 LIVERPOOL STREET

Post town

LONDON

County / Region

UK Postcode

EC2M 2RH

Country

UNITED KINGDOM

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address

X

If a partnership give the names and addresses of the partners or the name of the partnership and office address

Directors**Details of new directors must be notified on form 288a***Please list directors in alphabetical order*

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address In the case of a corporation or Scottish firm, give the registered or principal office address

Name*** Style / Title**

Day Month Year
Date of birth 2 0 1 2 1 9 6 3

Forename(s) RICHARD CHARLES

Surname BLUNDELL

☒**Address ††** 100 LIVERPOOL STREET

Post town LONDON

County / Region UK Postcode EC2M 2RH

Country UNITED KINGDOM Nationality British

Business occupation CORPORATE TAX ACCOUNTANT

* Voluntary details

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address In the case of a corporation or Scottish firm, give the registered or principal office address

Name*** Style / Title**

Ms
Day Month Year
Date of birth 1 7 0 6 1 9 6 6

Forename(s) ANNA MARIE INGEBORG

Surname HAEMMERLI

☒**Address ††** 100 LIVERPOOL STREET

Post town LONDON

County / Region UK Postcode EC2M 2RH

Country UNITED KINGDOM Nationality SWISS

Business occupation INVESTMENT BANKER

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Directors*Please list directors in alphabetical order***Details of new directors must be notified on form 288a**

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

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Name	* Style / Title	Mrs	
		Day	Month
		Year	
Date of birth		1	1
		0	2
		1	9
		4	9
Forename(s)	SALLY ANN		
Surname	JAMES		
<input checked="" type="checkbox"/> Address ††	100 LIVERPOOL STREET		
Post town	LONDON		
County / Region		UK Postcode	EC2M 2RH
Country	UNITED KINGDOM	Nationality	British
Business occupation	EUROPEAN GENERAL COUNSEL		

* Voluntary details

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address In the case of a corporation or Scottish firm, give the registered or principal office address

Name	* Style / Title	MR	
		Day	Month
		Year	
Date of birth		0	6
		0	2
		1	9
		6	4
Forename(s)	SEAN ENDA		
Surname	RYAN		
<input checked="" type="checkbox"/> Address ††	100 LIVERPOOL STREET		
Post town	LONDON		
County / Region		UK Postcode	EC2M 2RH
Country	UNITED KINGDOM	Nationality	IRISH
Business occupation	DIRECTOR		

Directors

Please list directors in alphabetical order

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address

Name

* Style / Title

Day Month Year

Date of birth

1 1 0 3 1 9 6 4

Forename(s)

GREGORY PAUL

Surname

SIMPSON

X

Address ††

100 LIVERPOOL STREET

Post town

LONDON

County / Region

UK Postcode

EC2M 2RH

Country

UNITED KINGDOM

Nationality

BRITISH

Business occupation

ACCOUNTANT

* Voluntary details

Name

* Style / Title

Day Month Year

Date of birth

0 6 0 6 1 9 6 7

Forename(s)

CLAUDIUS

Surname

SUTTER

X

Address ††

100 LIVERPOOL STREET

Post town

LONDON

County / Region

UK Postcode

EC2M 2RH

Country

UNITED KINGDOM

Nationality

SWISS

Business occupation

INVESTMENT BANKER

Directors

Please list directors in alphabetical order

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address In the case of a corporation or Scottish firm, give the registered or principal office address

Name

* Style / Title

Mr

Day Month Year

Date of birth

1 4 1 0 1 9 6 7

Forename(s)

ANDREW DAVID

Surname

WILLIAMS

☒

Address ††

100 LIVERPOOL STREET

Post town

LONDON

County / Region

UK Postcode

EC2M 2RH

Country

UNITED KINGDOM

Nationality

British /
Australian

Business occupation

Investment Banker

* Voluntary details

Name

* Style / Title

Day Month Year

Date of birth

Forename(s)

Surname

☐

Address ††

Post town

County / Region

UK Postcode

Country

Nationality

Business occupation

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Issued share capital

Enter details of all the shares in issue at the date of this return

Class
(e.g. Ordinary/Preference)**Number of shares issued****Aggregate Nominal Value**

(i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)

ORDINARY	5,000,000	£5,000,000 00
Totals	5,000,000	5,000,000 00

List of past and present shareholders

(use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns

There were no changes in the period

☐

on paper

in another format

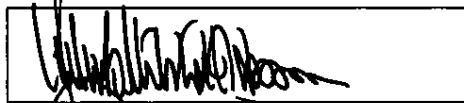
A list of changes is enclosed

☐☐


A full list of shareholders is enclosed

☒☐**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief

Signed

† a director / secretary

DateAPR 24 2008 

† Please delete as appropriate

When you have signed the return send it with the fee to the Registrar of Companies. Cheques should be made payable to **Companies House**.

You do not have to give any contact information in the box opposite but if you do, it will help Companies House to contact you if there is a query on the form. The contact information that you give will be visible to searchers of the public record.

This return includes

2

continuation sheets

(enter number)

JOHN S MITCHELL-HEWSON, UBS INVESTMENT BANK,

100 LIVERPOOL STREET, LONDON, EC2M 2RH

Tel

BLUEPRINT

2000

DX number

DX exchange

List of past and present shareholders

Schedule to form 363a

CHFP010

Company Number | 1931397

Company Name in full | UBS UK HOLDING LIMITED

- Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a "full list" of all the company shareholders on
 - The company's first annual return following the incorporation,
 - Every third annual return after a full list has been provided
- List the company shareholders in alphabetical order or provide an index
- List joint shareholders consecutively

Shareholders' details	Shares or amount of stock transferred (if appropriate)		
	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred	Date of registration of transfer
Name UBS AG Address BAHNHOFSTRASSE 45, CH-8001 ZURICH, SWITZERLAND UK postcode	£1 00 ORDINARY Shares Held 5,000,000		
Name Address UK postcode			
Name Address UK postcode			

UBS UK HOLDINGS LIMITED (1931397) SUBSIDIARIES AT 8 APRIL 2008

Apollo Nominees Ltd
Pan Nominees Limited
S G Securities U K Limited
S G Warburg & Company Limited
UBS Pension Trustee Company Limited
UBS Securities International Limited
UBS Trustee Company Limited
Warburco Nominees Ltd
UBS Securities Nominees Limited
1FA Information (ITC) Ltd
2FA Information Ltd
S G Nominees Korea Limited
S G Warburg & Co (AFS) Ltd
S G Warburg Holdings Ltd
S G Warburg Overseas Ltd
UBS Israel Financial Instruments Limited (In Liquidation)
UBS Securities Israel Limited
UBS UK Properties Limited
UBS Xanadu GP Limited
UBS Xanadu Holding Limited
British Industrial Corporation, Limited
UBS Services Limited
UBS Warburg Ltd
UBS Reorganisation 2006-01 Ltd (in Members' Voluntary Liquidation) (formerly UBS UK Limited)
UBS Reorganisation 2006-02 Ltd (in Members' Voluntary Liquidation) (formerly UBS Capital Partners Limited)
UBS Reorganisation 2006-03 Ltd (in Members' Voluntary Liquidation) (formerly UBS FXNET Limited)
UBS Reorganisation 2006-04 Ltd (in Members' Voluntary Liquidation) (formerly UBS Overseas (U K) Limited)
UBS Reorganisation 2006-06 Ltd (in Members' Voluntary Liquidation) (formerly S G Warburg Group
UBS Reorganisation 2006-07 LTD (In Members Voluntary Liquidation) (formerly UBS Assets (UK) Limited)
UBS Reorganisation 2007-01 plc (in Members' Voluntary Liquidation) (formerly S G Warburg Group plc)
UBS Reorganisation 2007-02 Ltd (in Members' Voluntary Liquidation) (formerly UBS Capital (Scotland) Limited)
UBS Reorganisation 2007-03 Ltd (in Members' Voluntary Liquidation)(formerly Hunchbuy Limited)
UBS Reorganisation 2007-04 Ltd (in Members' Voluntary Liquidation)(formerly UBS Project Finance (1986)
UBS Reorganisation 2007-05 Ltd (in Members' Voluntary Liquidation) (formerly Potter Warburg U K Ltd)
UBS Reorganisation 2007-06 Ltd (in Members' Voluntary Liquidation)(formerly S G W Finance Limited)